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1 **Mating type gene analyses in the genus *Diplodia*: from cryptic sex to cryptic species**

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19 **ABSTRACT**

20 Cryptic species are common in *Diplodia*, a genus that includes some well-known
21 and economically important plant pathogens. Thus, species delimitation has been based on
22 the phylogenetic species recognition approach using multigene genealogies. We assessed
23 the potential of mating type (*MAT*) genes sequences as phylogenetic markers for species
24 delimitation in the genus *Diplodia*. A PCR-based mating type diagnostic assay was
25 developed that allowed amplification and sequencing of the *MAT1-1-1* and *MAT1-2-1*
26 genes, and determination of the mating strategies used by different species. All species
27 tested were shown to be heterothallic. Phylogenetic analyses were performed on both *MAT*
28 genes and also, for comparative purposes, on concatenated sequences of the ribosomal
29 internal transcribed spacer (ITS), translation elongation factor 1-alpha (*tef1- α*) and beta-
30 tubulin (*tub2*). Individual phylogenies based on *MAT* genes clearly differentiated all species
31 analysed and agree with the results obtained with the commonly used multilocus
32 phylogenetic analysis approach. However, *MAT* genes genealogies were superior to
33 multigene genealogies in resolving closely related cryptic species. The phylogenetic
34 informativeness of each locus was evaluated revealing that *MAT* genes were the most
35 informative loci followed by *tef1- α* . Hence, *MAT* genes can be successfully used to
36 establish species boundaries in the genus *Diplodia*.

37

38

39 **Keywords:** *Botryosphaeriaceae*, Heterothallism, PCR-based assay, Phylogenetic
40 Informativeness

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48 Introduction

49 The genus *Diplodia* (*Ascomycetes*, *Botryosphaerales*, *Botryosphaeriaceae*)
50 includes species that are pathogens, endophytes and saprobes of mostly woody hosts
51 (Phillips et al. 2013). Several studies have revealed cryptic species in this genus (Phillips et
52 al. 2012; Phillips et al. 2013; Alves et al. 2014; Linaldeddu et al. 2016). This feature is
53 common in other genera of *Botryosphaeriaceae* and it is now widely accepted that
54 morphology is a poor indicator of species limits. Thus, the 30 or more species of *Diplodia*
55 currently known from culture (Phillips et al. 2013; Dissanayake et al. 2016; González-
56 Domínguez et al. 2017; Yang et al. 2017) have been delimited on the basis of the
57 Genealogical Concordance Phylogenetic Species Recognition (GCPSR) proposed by
58 Taylor et al. (2000). This has been based largely on sequence data of two loci, the
59 ribosomal internal transcribed spacer (ITS rDNA) and the translation elongation factor 1-
60 alpha (*tef1- α*) (Lazzizera et al. 2008; Jami et al. 2012; Phillips et al. 2012; Linaldeddu et al.
61 2013; Lynch et al. 2013; Phillips et al. 2013; Alves et al. 2014).

62 Although the use of combined ITS and *tef1- α* sequences has helped to resolve a
63 number of cryptic species (e.g. Phillips et al. 2012; Alves et al. 2014) it has some
64 shortcomings. For example, in the clade containing species with brown, aseptate conidia
65 that occasionally develop one or two septa (e.g. *D. seriata*, *D. sapinea*), resolution of all
66 species using these two loci can be difficult and some species clades tend to have low
67 phylogenetic support (Phillips et al. 2012; Linaldeddu et al. 2016; Giambra et al. 2016).
68 Finding alternative loci with higher resolving power would be important for adequate
69 species delimitation within this clade and the genus in general.

70 Mating type (*MAT*) genes have been used as molecular markers to establish
71 phylogenetic relationships and species boundaries in several fungi (Steenkamp et al. 2000;
72 Ueng et al. 2003; O'Donnell et al. 2004; Pöggeler et al. 2011; Martin et al. 2013; Kashyap
73 et al. 2015), including the genus *Neofusicoccum*, a member of the *Botryosphaeriaceae*
74 (Lopes et al. 2017). *MAT* genes are responsible for determining mating compatibility, for
75 the regulation of the sexual cycle, and are located in a specialized region of the genome, the
76 mating type locus (Lee et al. 2010; Ni et al. 2011; Sun and Heitman 2015). In ascomycetes,
77 mating systems are generally assumed to be bipolar due to the existence in the single *MAT*
78 locus of two alternate forms (idiomorphs) that define two mating types (Debuchy and

79 Turgeon 2006). Both idiomorphs encode transcriptional regulators. The *MAT1-1-1*
80 idiomorph encodes a protein with an alpha box motif, and the *MAT1-2-1* idiomorph
81 encodes a regulatory DNA-binding protein with a high mobility group (HMG) motif
82 (Debuchy and Turgeon 2006; Lee et al. 2010; Sun and Heitman 2015). In ascomycetes,
83 homothallic (self-fertile) species contain in their *MAT* locus both idiomorphs *MAT1-1* and
84 *MAT1-2*, while heterothallic (self-sterile) species contain either *MAT1-1* or *MAT1-2* (Lee et
85 al. 2010; Ni et al. 2011).

86 *MAT* genes are known to be evolutionarily dynamic, undergoing expansions and
87 contractions, as well as chromosomal rearrangements such as translocations and inversions,
88 resulting in high evolutionary rates (Gioti et al. 2012; Martin et al. 2013; Sun and Heitman
89 2015). Because of the evolutionary dynamism of *MAT* genes, using them to establish
90 phylogenetic relationships, even in apparently asexual fungi, has resulted in clarification of
91 species delimitation in numerous studies (Groenewald et al. 2006; Yokoyama et al. 2006;
92 Lopes et al. 2017). Apart from the usefulness in determining phylogenetic relationships
93 between species and determining species boundaries, the study of *MAT* genes provides
94 information about the mode of reproduction, which is of relevance for population genetic
95 analyses of plant pathogens.

96 Despite the importance of the genus *Diplodia* very little is known about the genetics
97 of their mating systems as well as the mating strategies employed by each species. For the
98 majority of the species there is no known sexual stage and induction of the sexual cycle *in*
99 *vitro* has been unsuccessful (e.g. Bihon et al. 2014). Knowledge about *MAT* genes in the
100 genus is currently limited to *D. sapinea*, a species that has been considered strictly asexual,
101 but for which a cryptic heterothallic sexual cycle has been proposed (Bihon et al. 2014).
102 Considering this, the goals of the present study were (i) to expand knowledge about *MAT*
103 genes and sexual reproductive strategies in *Diplodia* species and (ii) to evaluate the
104 usefulness of *MAT* genes as phylogenetic markers to delimit species in the genus compared
105 to the more commonly used loci. To accomplish this, a PCR-based assay was developed to
106 amplify and sequence *MAT* genes from *Diplodia* species.

107

108 **Materials and Methods**

109

110 ***Fungal strains and culture conditions***

111 A total of 50 strains representing 20 species were studied and these are listed in
112 Table 1. All strains were grown and maintained on half-strength potato-dextrose agar
113 (PDA) (HIMEDIA, India) at room temperature.

114

115 ***Analyses of *Diplodia sapinea* genomes and design of *MAT* genes primers***

116 The genomes of two strains of *Diplodia sapinea* from each mating type were
117 available and the primers in the present study were firstly designed based on them
118 (PRJNA215898; PRJNA242796). Analysis of the *MAT* locus of *D. sapinea* had already
119 been done by Bihon et al. (2014), which facilitated our approach to *MAT* genes analyses
120 (KF551229, KF551228). Although the locus contained more than one gene only the main
121 *MAT1-1-1* and *MAT1-2-1* genes were used in this study.

122 A first set of primers for each *MAT* gene was designed manually. Characteristics
123 such as length, GC content, melting temperature, potential hairpin formation,
124 complementarity and potential self-annealing sites were checked with OligoCalc
125 (Oligonucleotide Properties Calculator
126 <http://biotools.nubic.northwestern.edu/OligoCalc.html>) and Sequence Manipulation Suite
127 (http://www.bioinformatics.org/sms2/pcr_primer_stats.html). Primers were synthesized by
128 STAB Vida Lda (Lisbon, Portugal), reconstituted and diluted (10 pmol) in Tris-EDTA
129 buffer, and stored at -20°C. The first set of primers was then tested in *D. sapinea* and in all
130 the remaining species. Whenever primers gave rise to amplification, the amplicons were
131 sequenced and new sets of primers were designed based on consensus of the acquired *MAT*
132 sequences. The aim of this procedure was to reach a set of “universal” primers able to
133 amplify each gene in all the studied species. All the primers designed are listed in Table 2
134 (see also Fig. S1). The primers used in the amplification of the sequences used in
135 phylogenetic analyses of *MAT* genes are discriminated in the Table 3. Combinations of
136 primers and species that generated an amplicon are available in the supplementary Tables
137 S1 and S2.

138

139 ***DNA extraction, PCR amplification and sequencing***

140 Genomic DNA was extracted from fresh mycelium of cultures grown on half-
141 strength PDA plates for 5 d at approximately 23°C, according to Alves et al. (2004). All
142 PCR reactions were carried out in 25 µL reaction mixtures with NZYTAq 2× Green Master
143 Mix (2.5 mM MgCl₂; 200 µM dNTPs; 0.2 U/µL DNA polymerase) (Lisbon, Portugal), in a
144 Bio-Rad C-1000 Touch™ Thermal Cycler (Hercules, CA, USA). Negative controls with
145 sterile water instead of template DNA were used in every PCR reaction. Amplification of
146 *MAT1-1-1* gene was performed with the primers Diplodia_MAT1_391F and
147 Diplodia_MAT1_1325R (primers set A). Thermal conditions were denaturation at 95°C for
148 3 min; 35 cycles at 94°C for 30 s, 50–56°C for 30 s, and 72°C for 1 min; final extension at
149 72°C for 10 min. For *MAT1-2-1* amplification the primers Diplodia_MAT2_82F and
150 Diplodia_MAT2_1058R (primers set B), Diplodia_MAT2_113F and
151 Diplodia_MAT2_1187R (primers set C) or Diplodia_MAT2_82F and
152 Diplodia_MAT2_1187R (primers set D) were used with the following thermal conditions:
153 denaturation at 95°C for 3 min; 35 cycles at 94°C for 30 s, 50–52°C for 30 s, and 72°C for
154 1 min 10s; final extension at 72°C for 10 min (see Table 3 for annealing temperatures
155 specification).

156 Primers ITS1 and ITS4 (White et al. 1990) were used for amplification and
157 sequencing of the ITS region of the ribosomal DNA as described by Alves et al. (2004).
158 Part of the translation elongation factor 1-alpha was amplified and sequenced with primers
159 EF1-688F and EF1-1251R (Alves et al. 2008). Beta-tubulin (*tub2*) gene was amplified and
160 sequenced with primers T1 and Bt2b (Glass and Donaldson 1995; O'Donnell and Cigelnik
161 1997) with the cycling conditions previously described by Lopes et al. (2017). The histone
162 gene (*his3*) was amplified and sequenced with primers CYLH3F and H3-1b (Glass and
163 Donaldson 1995; Crous et al. 2004) according to Santos et al. (2017). For amplification and
164 sequencing of actin (*act2*) the primers ACT-512F and ACT-783R (Carbone and Kohn
165 1999) were used with an initial denaturation at 95°C for 5 min; followed by 35 cycles at
166 94°C for 30 s, 55°C for 30 s, and 72°C for 1 min; and then a final extension at 72°C for 10
167 min.

168 After amplification, 2 µL of each PCR product were separated by electrophoresis in
169 1.5% agarose gels at 90 volts for 1 h in 1× TAE buffer (40 mM Tris, 40 mM acetate, 2 mM
170 EDTA, pH 8.0). A GeneRuler DNA Ladder Mix (Thermo Scientific, USA) was also

171 included. Gels were stained with ethidium bromide and visualized on a BioRad Molecular
172 Imager Gel DocTM XR⁺ to assess PCR amplification. The amplified PCR fragments were
173 purified with the DNA Clean & ConcentratorTM-5 kit (Zymo Research, CA, USA) before
174 sequencing.

175 Both strands of the PCR products were sequenced at GATC Biotech (Cologne,
176 Germany). The nucleotide sequences were read with FinchTV v.1.4.0 (Geospiza Inc.
177 <http://www.geospiza.com/finchtv>). All sequences were checked manually, and nucleotide
178 arrangements at ambiguous positions were clarified using both primer direction sequences.
179 Sequences generated in this study were deposited in GenBank (see Table 1; accession
180 numbers for actin and histone are MG015715–MGO15722, MG015829 and MG015733–
181 MG015740, MG015830, respectively).

182

183 *Phylogenetic analyses*

184 Sequences were aligned with ClustalX v. 2.1 (Thompson et al. 1997), using the
185 following parameters: pairwise alignment parameters (gap opening = 10, gap extension =
186 0.1) and multiple alignment parameters (gap opening = 10, gap extension = 0.2, transition
187 weight = 0.5, delay divergent sequences = 25 %). Alignments were checked and edited with
188 BioEdit Alignment Editor v. 7.2.5 (Hall 1999). Phylogenetic analyses were done with
189 MEGA6 v. 6.06 (Tamura et al. 2013). All gaps were included in the analyses. The best
190 model of DNA sequence evolution used for each dataset was determined automatically by
191 the software (See Figs 3 and 4). Maximum likelihood (ML) analyses were performed on a
192 Neighbor-Joining (NJ) starting tree generated by the software. A bootstrap analysis (1000
193 replicates) was used to estimate the robustness of nodes. Alignments were deposited in
194 TreeBASE (<http://purl.org/phylo/treebase/phyloids/study/TB2:S21615>).

195

196 *Phylogenetic informativeness of the loci used in phylogenetic analyses*

197 Profiles of phylogenetic informativeness for each locus were obtained using
198 PhyDesign (López-Giráldez and Townsend 2011; <http://phydesign.townsend.yale.edu/>) as
199 proposed by Townsend (2007). This allows a comparison of the different loci through
200 calculation of the informativeness per base pair as well as net phylogenetic
201 informativeness, which takes into account sequence length. Since the species are all

202 presumably heterothallic, comparisons between each *MAT* gene and the other loci used in
203 phylogenetic analyses were done separately and comparisons between *MAT1-1-1* and
204 *MAT1-2-1* were therefore not possible.

205

206 **Results**

207

208 *MAT* genes sequencing and mating strategies

209 To amplify and sequence *MAT* genes in all studied species a large number of
210 primers were designed (Table 2). For *MAT1-1-1* a single combination of primers (set A)
211 worked for all species but in the case of *MAT1-2-1* three sets of primers were needed (set B,
212 C and D) (Fig. 1, Table 3). The four sets of primers annealed inside the genes amplifying
213 partial fragments of *MAT1-1-1* and *MAT1-2-1* with variable lengths for each *MAT* gene and
214 for each species (Table 4). Variability was also seen in the sequence alignments with the
215 internal regions being more dissimilar than the regions closer to the ends of the genes.
216 Among isolates of the same species the sequences of both genes were mostly identical
217 (*MAT1-1-1* and *MAT1-2-1* alignments in supplementary data). In the species analysed one
218 small intron (48–49 bp) located near the start of the gene was identified in each *MAT* gene
219 using the FGENESH+ gene prediction program (Solovyev et al. 2006). For *MAT1-1-1* this
220 intron was not included in the region amplified and thus was not included in the
221 phylogenetic analyses. In *MAT1-2-1* the intron was included in the amplification and
222 phylogenetic analyses (Fig. 1).

223 No homothallic species could be detected. For *D. corticola*, *D. sapinea*, *D.*
224 *scrobiculata* and *D. seriata* heterothallism was confirmed from the available sequenced
225 genomes (PRJNA325745, PRJNA215898, PRJNA242796, PRJNA278001, PRJNA261773)
226 through BLAST searches using the *MAT* genes of *D. sapinea* (Bihon et al. 2014;
227 KF551229; KF551228). These confirmed the presence of only one mating type in the
228 genomes. This was further confirmed by PCR amplification with the primers developed in
229 this study. Also, in this study strains of each mating type for *D. insularis*, *D. intermedia*, *D.*
230 *mutila* and *D. olivarum* were analysed confirming the presence of only one *MAT* gene in
231 each strain. On the other hand, *D. africana*, *D. alatafructa*, *D. cupressi*, *D. eriobotryicola*,
232 *D. fraxini*, *D. malorum*, *D. pseudoseriata*, *D. quercivora*, *D. rosacearum*, *D. rosulata*, *D.*

233 *subglobosa* and *D. tsugae* are putative heterothallic species since only one of the genes
234 (either *MAT1-1-1* or *MAT1-2-1*) was detected by PCR amplification (Table 3 and 4).

235

236 ***Phylogenetic analyses***

237 All species of *Diplodia* were, in general, distinguished by the phylogenetic analyses
238 of each *MAT* gene and by the combined dataset of ITS rDNA, *tef1- α* and *tub2* (Figs. 2 and
239 3). In the phylogenetic analysis of *MAT1-2-1* gene sequences of *D. mutila* and *D.*
240 *subglobosa* grouped very closely with short branch lengths. *MAT1-2-1* sequences from both
241 species are very similar and differ in only three nucleotide positions (*MAT1-2-1* alignment
242 in supplementary data).

243 Topology of the tree resulting from the analysis of the three concatenated regions
244 was, overall, in concordance with that obtained with *MAT1-1-1* and *MAT1-2-1* sequences.
245 All analyses grouped the species in three major clades (I, II and III) (Figs. 2 and 3). In the
246 concatenated data analysis, which includes all the species studied, clade I grouped the
247 closely related species *D. alatafructa*, *D. eriobotryicola*, *D. insularis*, *D. intermedia*, *D.*
248 *pseudoseriata*, *D. sapinea*, *D. scrobiculata*, *D. seriata* and *D. rosacearum*. Clade II is
249 represented by *D. africana*, *D. cupressi*, *D. fraxini*, *D. malorum*, *D. mutila*, *D. olivarum*, *D.*
250 *rosulata*, *D. subglobosa* and *D. tsugae*. Clade III constitutes only *D. corticola* and *D.*
251 *quercivora*. The phylogenetic analyses also revealed subgroups within some species.

252 In the concatenated data analysis isolates of *D. corticola* are separated into two
253 main groups as a result of fixed differences in the *tef1- α* sequences. In order to confirm that
254 the separation of *D. corticola* isolates into two groups is stable and congruent, phylogenetic
255 analyses were performed on each individual locus (*MAT1-1-1*, *MAT1-2-1*, ITS, *tef1- α* and
256 *tub2*) as well as two additional loci (*act2* and *his3*). The two groups of *D. corticola* formed
257 in the analysis of the three concatenated regions are not supported by either the *MAT* gene
258 phylogenies or by the ITS and *his3* phylogenies (Fig. 4). Phylogenies generated for *tub2*
259 and *act2* were not congruent but both grouped the isolates of *D. corticola* representing the
260 two *tef1- α* lineages in other separate but closely related subclades (Fig. 4).

261

262 ***Phylogenetic informativeness of the loci used in the phylogenetic analyses***

263 As can be seen in Figure 5, *MAT* genes are the highest contributors to both net and
264 per-site phylogenetic informativeness from all loci studied. Furthermore, ITS and *tub2*
265 sequences are the least informative to resolve *Diplodia* species (Fig. 5).

266

267 **Discussion**

268 In this study primers were developed to amplify and sequence *MAT* loci from
269 several species of *Diplodia*. Phylogenies resulting from these *MAT* sequences were
270 compared with those obtained from the combined analyses of ITS, *tef1-a* and *tub2* regions
271 revealing a general concordance between them, but with higher support in *MAT*
272 phylogenies.

273 For a long time the lack of sequenced genomes of *Diplodia* species was an obstacle
274 to the development of suitable primers to amplify and study *MAT* genes. The availability of
275 genomes from both mating types of *D. sapinea* was fundamental to the development of the
276 first primers. Despite the presence of additional *MAT* genes (*MAT1-1-4* and *MAT1-2-5* in
277 the *MAT1-1* and *MAT1-2* locus respectively) the targets of this study were the main *MAT1-1-1*
278 *1-1* and *MAT1-2-1* genes (Fig. 1). Five primers per idiomorph were necessary to reach the
279 right combinations that allowed the amplification of the genes in all the studied species.
280 Since we do not have in our collection any strain of *D. scrobiculata* with the *MAT1-1-1*
281 idiomorph the primers could not be tested and we cannot draw any conclusion about their
282 performance in this species. The sequence used in the phylogenetic analysis was retrieved
283 from the genome of *D. scrobiculata* CMW30223 (PRJNA278001; Wingfield et al. 2015).
284 Similar to what has been reported for the genus *Neofusicoccum* (Lopes et al. 2017), *MAT*
285 gene sequence alignments revealed that internal regions of the genes are more dissimilar
286 than the regions closer to the ends of the genes and that among isolates of the same species
287 sequences of both genes are almost identical (Supplementary data). The great variability of
288 the *MAT1-2-1* gene between species was also reflected by the need for more than one set of
289 primers to amplify it in all the studied species.

290 As with *D. sapinea* all other species studied are apparently heterothallic. This is
291 very different from what has been found in the genus *Neofusicoccum* where the majority of
292 the species are homothallic (Lopes et al. 2017). The prevalence of heterothallism as mating
293 strategy in fungal plant pathogens such as *Diplodia* species is an important feature with

294 implications on the genetic variability and evolutionary potential of a species. Obligate
295 outcrossing species are able to rapidly generate new genotypes with differing infection
296 capacity and virulence, with the more favourable genotypes maintained through clonal
297 reproduction (McDonald and Linde 2002). Thus, knowledge about the mating strategy
298 adopted by a fungal plant pathogen is relevant for the development and implementation of
299 adequate disease management strategies (McDonald and Linde 2002).

300 Working with *MAT* genes in heterothallic species produces an additional challenge
301 in comparison to homothallic species in that two idiomorphs are present in a population but
302 only one idiomorph might have been sampled in a study. In our collection, for example,
303 only isolates with the idiomorph *MAT1-1* were available for *D. africana* and *D. rosulata*,
304 while for *D. subglobosa* and *D. tsugae* only isolates with *MAT1-2* idiomorph were present.
305 In these cases, comparison of phylogenies is possible between only those species for which
306 both genes are available. Furthermore, comparison of phylogenetic informativeness of
307 *MAT1-1-1* with *MAT1-2-1* could not be tested. Despite this limitation, the results obtained
308 using the concatenated data (ITS, *tefl- α* , *tub2*) and *MAT* genes are in concordance, with
309 each analysis resolving three major clades (I, II, III) (Fig. 2 and 3). These results are also in
310 concordance with the analyses of Phillips et al. (2013), where the same clades were
311 represented. The three clades are constituted by closely related species that are sometimes
312 difficult to distinguish. Specifically in clade I where cryptic species (e.g. *D. intermedia/D.*
313 *sapinea/D. seriata* and *D. alatafructa/D. pseudoseriata*) are very common the resolution of
314 species is not well supported in phylogenies based on ITS and *tefl- α* (Phillips et al. 2012,
315 2013). In our combined analyses, where partial *tub2* sequences were included, the
316 delimitation of the cryptic species *D. intermedia* and *D. seriata* was improved but bootstrap
317 support for *D. sapinea*, *D. eriobotryicola* and *D. alatafructa* is low. On the contrary,
318 phylogenetic analyses based on *MAT1-1-1* and/or *MAT1-2-1* genes discriminate all species
319 with high bootstrap support values (Fig. 3). They are clearly effective in resolving cryptic
320 species in clade I. In the case of *D. mutila* and *D. subglobosa* (clade II) in the phylogenetic
321 analysis of *MAT1-2-1* these species group very closely but still with some differences at
322 sequence level (Fig. 3 and *MAT1-2-1* alignment in supplementary data). This could be a
323 result of a recent divergence of these two species. Thus, it would be important in the future
324 to study more isolates of *MAT1-2* idiomorph for both species to confirm if these differences

325 are stable within each species. Unfortunately, *MAT1-1* strains of *D. subglobosa* were not
326 available and thus it was not possible to determine how this species is placed in the *MAT1-*
327 *1-1* phylogenetic analysis.

328 In all phylogenetic analyses minor genetic variations between isolates of the same
329 species are usually due to intraspecific variability. However, for *D. corticola*, *D. sapinea*
330 and *D. seriata*, multiple phylogenetic subgroups were revealed in the analyses of the three
331 concatenated genes (ITS, *tef1- α* and *tub2*) raising questions about the putative existence of
332 distinct species. In the case of *D. corticola*, Linaldeddu et al. (2013) considered these
333 subgroups, which are a result of fixed differences in the *tef1- α* sequences, as different
334 lineages within a single species. Although in our concatenated genes phylogeny isolates
335 BL36 and BL37 appear to be more closely to *D. quercivora*, previous studies with more
336 strains clearly indicate that these are two subgroups within *D. corticola* (Linaldeddu et al.
337 2013, Smahi et al. 2017). Phylogenetic relationships of *D. corticola* isolates based on the
338 sequence data from ITS, *tef1- α* , *tub2*, *act2* and *his3* regions were not concordant revealing
339 the existence of gene flow between lineages (Fig. 4). Considering this and the analyses of
340 *MAT* genes presented here, where no differences were found between these lineages, which
341 reinforces the possibility of crossing between them, we agree with Linaldeddu et al. (2013)
342 that these lineages represent a single species, *D. corticola*. In the case of *D. sapinea* and *D.*
343 *seriata* only minor differences within a species were noticed in the analysis of *MAT1-2-1*
344 gene suggesting that these variations probably reflect intraspecific variability rather than
345 interspecific variation.

346 As mentioned above it was impossible to obtain both *MAT* genes for all species
347 analysed and therefore a full comparison of *MAT* phylogenies could not be accomplished,
348 precluding us from drawing any definite conclusion about which of the *MAT* genes is more
349 efficient in resolving *Diplodia* species. The *MAT1-1-1* gene seemed to be more useful than
350 *MAT1-2-1* gene in PCR amplification since for *MAT1-1-1* gene one set of primers was
351 enough to amplify the gene in all species, reducing the workload, cost and time. However,
352 in the phylogenetic analysis both genes appeared to be useful in delimiting species. Also,
353 the test for phylogenetic informativeness showed that both *MAT* genes are highly effective
354 as opposed to ITS and *tub2*, which are least effective (Fig. 5). Thus, in the resolution of
355 *Diplodia* species we strongly recommend the use of *MAT* genes due to their high resolving

356 power (Fig. 3) as well their high net and per-site phylogenetic informativeness (Fig. 5). In
357 addition to *MAT* genes, the *tef1- α* locus seems to be useful in all species except *D.*
358 *corticola*.

359 In conclusion, the results from our study represent an advance in the current
360 knowledge about *MAT* genes in the genus *Diplodia*. The PCR-based assay developed here
361 will be a valuable tool to assess and score the mating types within populations of *Diplodia*
362 species in a fast, robust and reliable way, thus helping to unravel cryptic sex and cryptic
363 sexual strategies. Our results also suggest that *MAT* gene analysis is a good approach for
364 accurate and reliable species differentiation within the genus *Diplodia*, either alone or in
365 combination with other loci such as the *tef1- α* . Future studies should expand these analyses
366 to all currently known *Diplodia* species; analyse the structure and organization of the *MAT*
367 locus within different species in the genus; and undertake a functional characterization of
368 both *MAT* idiomorphs.

369

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Table 1 Identity of the *Diplodia* isolates studied and GenBank accession numbers of the sequences used in phylogenetic analyses.

Species	Isolate No. ^a	Host	ITS	GenBank Accession Numbers ^b			
				<i>tef1-α</i>	<i>tub2</i>	<i>MAT1-1-1</i>	<i>MAT1-2-1</i>
<i>D. africana</i>	BL19	<i>Juniperus phoenicea</i>	JF302648	JN157807	MG015797	MG015751	-
	CAD014	<i>Vitis vinifera</i>	KJ638326	KJ638344	MG015798	MG015752	-
<i>D. alatafructa</i>	CBS124931	<i>Pterocarpus angolensis</i>	FJ888460	FJ888444	MG015799	-	MG015775
<i>D. corticola</i>	CBS112549	<i>Quercus suber</i>	AY259100	AY573227	DQ458853	MG015753	-
	CAA499	<i>Eucalyptus globulus</i>	MG015741	MG015723	MG015800	-	MG015776
	CAA500	<i>Eucalyptus globulus</i>	KT440895	KT440958	MG015801	-	MG015777
	CAA691	<i>Eucalyptus globulus</i>	KT440896	KT440959	MG015802	MG015754	-
	BL36	<i>Quercus canariensis</i>	JX894196	JX894215	MG015803	MG015755	-
	BL37	<i>Quercus suber</i>	JX894197	JX894216	MG015804	-	MG015778
	<i>D. cupressi</i>	CBS261.85	<i>Cupressus sempervirens</i>	DQ458894	DQ458879	DQ458862	MG015756
	CAA028	<i>Juniperus scopulorum</i>	MG015742	MG015724	MG015805	MG015757	-
<i>D. eriobotryicola</i>	CBS140851	<i>Eriobotrya japonica</i>	KT240355	KT240193	MG015806	MG015758	-
<i>D. fraxini</i>	CBS136010	<i>Fraxinus angustifolia</i>	KF307700	KF318747	MG015807	MG015759	-
	CBS136011	<i>Fraxinus angustifolia</i>	KF307711	KF318758	MG015808	MG015760	-
<i>D. insularis</i>	CBS140350	<i>Pistacia lentiscus</i>	KX833072	KX833073	MG015809	MG015761	-
	BL132	<i>Fraxinus angustifolia</i>	KF307720	KF318767	MG015810	-	MG015779
<i>D. intermedia</i>	CAA147	<i>Malus domestica</i> (fruit rot)	GQ923857	GQ923825	MG015811	MG015762	-
	CAA490	<i>Pyracantha coccinea</i>	MG015744	MG015726	MG015812	-	MG015780
	CAA491	<i>Pyracantha coccinea</i>	MG015745	MG015727	MG015813	MG015763	-
	CAP150	Quince fruit	MG015743	MG015725	MG015814	-	MG015781
<i>D. malorum</i>	CBS112554	<i>Malus sylvestris</i>	AY259095	DQ458870	DQ458851	MG015764	-

<i>D. mutila</i>	CBS136014	<i>Populus alba</i>	KJ361837	KJ361829	MG015815	MG015765	-
	CAA507	<i>Fraxinus ornus</i>	MG015746	MG015728	MG015816	MG015766	-
	CBS230.30	<i>Phoenix dactylifera</i>	DQ458886	DQ458869	DQ458849	-	MG015782
<i>D. olivarum</i>	BL96	<i>Pistacia lentiscus</i>	KX833078	KX833079	MG015817	-	MG015783
	BL97	<i>Quercus coccifera</i>	KF307719	KF318766	MG015818	MG015767	-
	CAD019	<i>Vitis vinifera</i>	KJ638323	KJ638341	MG015819	MG015768	-
<i>D. pseudoseriata</i>	CBS124906	<i>Blepharocalyx salicifolius</i>	EU080927	EU863181	MG015820	-	MG015784
<i>D. quercivora</i>	CBS133852	<i>Quercus canariensis</i>	JX894205	JX894229	MG015821	-	MG015785
	CBS133853	<i>Quercus canariensis</i>	JX894206	JX894230	MG015822	-	MG015786
<i>D. rosacearum</i>	CBS141915	<i>Eriobotrya japonica</i>	KT956270	KU378605	MG015823	-	MG015787
	CAA802	<i>Sorbus intermedia</i>	MG015747	MG015729	MG015824	-	MG015788
<i>D. rosulata</i>	CBS116470	<i>Prunus africana</i>	EU430265	EU430267	EU673132	MG015769	-
	CBS116472	<i>Prunus africana</i>	EU430266	EU430268	EU673131	MG015770	-
<i>D. sapinea</i>	CBS393.84	<i>Pinus nigra</i>	DQ458895	DQ458880	DQ458863	MG015771	-
	CBS109727	<i>Pinus radiata</i>	DQ458897	DQ458882	DQ458865	MG015772	-
	CBS591.84	<i>Pinus radiata</i>	MG015748	MG015730	MG015825	-	MG015789
	CBS109943	<i>Pinus patula</i>	DQ458898	DQ458883	DQ458866	-	MG015790
	CMW190	<i>Pinus radiata</i>	KF766159	PRJNA215898	PRJNA215898	KF551229	-
	CMW39103	<i>Pinus radiata</i>	PRJNA242796	PRJNA242796	PRJNA242796	-	KF551228
	CBS112555	<i>Vitis vinifera</i>	AY259094	AY573220	DQ458856	-	MG015793
<i>D. seriata</i>	CAA317	<i>Eucalyptus globulus</i>	KT440897	KT440955	MG015826	-	MG015794
	CAA634	<i>Fraxinus ornus</i>	MG015749	MG015731	MG015827	MG015773	-
	CAA636	<i>Fraxinus ornus</i>	MG015750	MG015732	MG015828	MG015774	-
	DS831	<i>Vitis vinifera</i>	KP296243	PRJNA261773	PRJNA261773	-	PRJNA261773
<i>D. scrobiculata</i>	CBS109944	<i>Pinus greggii</i>	DQ458899	DQ458884	DQ458867	-	MG015791

	CBS113423	<i>Pinus greggii</i>	<i>DQ458900</i>	<i>DQ458885</i>	<i>DQ458868</i>	-	MG015792
	CMW30223	<i>Pinus patula</i>	<i>PRJNA278001</i>	<i>PRJNA278001</i>	<i>PRJNA278001</i>	<i>PRJNA278001</i>	-
<i>D. subglobosa</i>	CBS124132	<i>Fraxinus excelsior</i>	<i>DQ458887</i>	<i>DQ458871</i>	<i>DQ458852</i>	-	MG015795
<i>D. tsugae</i>	CBS418.64	<i>Tsuga heterophylla</i>	<i>DQ458888</i>	<i>DQ458873</i>	<i>DQ458855</i>	-	MG015796

^a Acronyms of culture collections: **BL** - B.T. Linaldeddu, Università degli Studi di Sassari, Italy; **CAA** – Personal culture collection Artur Alves, Universidade de Aveiro, Portugal; **CAD** - A. Deidda, Università degli Studi di Sassari, Italy; **CAP** - Personal culture collection Alan Phillips, Universidade de Lisboa, Portugal; **CBS** – Centraalbureau voor Schimmelcultures, Utrecht, The Netherlands; **CMW** – Tree Pathology Co-operative Program, Forestry and Agricultural Biotechnology Institute, University of Pretoria, South Africa.

^b Sequence numbers in italics were retrieved from GenBank. All others were determined in the present study.
Isolates in bold are ex-type cultures.

Table 2 Primers designed in this study

Target	Name	Nucleotide Sequence (5' → 3')	T_m (°C)
<i>MAT1-1-1</i> gene	Diplodia_MAT1_292F	CTCAGCTGACACTACGCAGG	57.7
	Diplodia_MAT1_391F	GTCAAGGCCAAATGGACCATC	56.5
	Diplodia_MAT1_1159R	CCATCGTGCCAGACTTCTC	55.5
	Diplodia_MAT1_1174R	CCTTCTCACCAACTTCCATCG	55.5
	Diplodia_MAT1_1325R	GCGAGACGGTGCATGTCTGAAT	60.2
<i>MAT1-2-1</i> gene	Diplodia_MAT2_82F	GTCGCACTTCAGCAACTGAAG	56.6
	Diplodia_MAT2_113F	CCTCGATCGATTTGCCTCAC	55.8
	Diplodia_MAT2_978R	GTGGCATCAGCATTGGCTTTAG	56.9
	Diplodia_MAT2_1058R	CGTTGAGCTGGAAGCCACCAT	60.2
	Diplodia_MAT2_1187R	GGTCGAAGTTGGCCTCACG	58.6

Table 3 Primers and annealing temperatures used in the PCR

	Annealing Temperatures (°C)			
	<i>MAT1-1-1</i>		<i>MAT1-2-1</i>	
	Primer set A	Primer set B	Primer set C	Primer set D
<i>D. alatafructa</i>	a	-	-	52
<i>D. africana</i>	52	b	b	b
<i>D. corticola</i>	52	-	52	-
<i>D. cupressi</i>	50	b	b	b
<i>D. eriobotryicola</i>	52	b	b	b
<i>D. fraxini</i>	52	b	b	b
<i>D. insularis</i>	52	-	-	52
<i>D. intermedia</i>	52	52	-	NT
<i>D. malorum</i>	56	b	b	b
<i>D. mutila</i>	56	-	52	-
<i>D. olivarum</i>	52	NT	52	NT
<i>D. pseudoseriata</i>	a	-	-	52
<i>D. quercivora</i>	a	-	52	+
<i>D. rosacearum</i>	a	52	-	NT
<i>D. rosulata</i>	50	b	b	b
<i>D. sapinea</i>	52	52	-	NT
<i>D. seriata</i>	52	52	52	NT
<i>D. scrobiculata</i>	a	50	-	NT
<i>D. subglobosa</i>	a	-	52	-
<i>D. tsugae</i>	a	-	52	-

(a) – No *MAT1-1* isolates available(b) – No *MAT1-2* isolates available

(-) – No amplification

NT – Not tested

Table 4 – Fragment lengths obtained for each *Diplodia* species and mating strategies

Species	Isolate No. ^a	Mating strategy	Fragment length (bp)	
			<i>MAT1-1-1</i>	<i>MAT1-2-1</i>
<i>D. africana</i>	BL19	Heterothallic (?)	671	-
	CAD014		671	-
<i>D. alatafructa</i>	CBS124931	Heterothallic (?)	-	797
<i>D. corticola</i>	CBS112549	Heterothallic	782	-
	CAA499		-	851
	CAA500		-	851
	CAA691		782	-
	BL36		782	-
	BL37		-	851
	<i>D. cupressi</i>	CBS261.85	Heterothallic (?)	737
	CAA028		737	-
<i>D. eriobotryicola</i>	CBS140851	Heterothallic (?)	668	-
<i>D. fraxini</i>	CBS136010	Heterothallic (?)	632	-
	CBS136011		632	-
<i>D. insularis</i>	CBS140350	Heterothallic	671	-
	BL132		-	797
<i>D. intermedia</i>	CAA147	Heterothallic	668	-
	CAA490		-	792
	CAA491		668	-
	CAP150		-	792
<i>D. malorum</i>	CBS112554	Heterothallic (?)	671	-
<i>D. mutila</i>	CBS136014	Heterothallic	671	-
	CAA507		671	-
	CBS230.30		-	871
<i>D. olivarum</i>	BL96	Heterothallic	-	871
	BL97		671	-
	CAD019		671	-
<i>D. pseudoseriata</i>	CBS124906	Heterothallic (?)	-	797
<i>D. quercivora</i>	CBS133852	Heterothallic (?)	-	851

	CBS133853		-	851
<i>D. rosacearum</i>	CBS141915	Heterothallic (?)	-	792
	CAA802		-	792
<i>D. rosulata</i>	CBS116470	Heterothallic (?)	671	-
	CBS116472		671	-
<i>D. sapinea</i>	CBS393.84	Heterothallic	659	-
	CBS109727		659	-
	CBS591.84		-	792
	CBS109943		-	792
	CMW190		659	-
	CMW39103		-	792
<i>D. seriata</i>	CBS112555	Heterothallic	-	786
	CAA317		-	786
	CAA634		668	-
	CAA636		668	-
	DS831		-	786
<i>D. scrobiculata</i>	CBS109944	Heterothallic	-	792
	CBS113423		-	792
	CMW30223		668	-
<i>D. subglobosa</i>	CBS124132	Heterothallic (?)	-	871
<i>D. tsugae</i>	CBS418.64	Heterothallic (?)	-	877

^aIsolates in bold are ex-type cultures.

Figure Captions

Fig 1 – Structure of the mating type locus of the heterothallic fungus *Diplodia sapinea*. Arrows below the genes indicate genes orientation (5'-3'). Introns are represented inside the *MAT* genes by vertical black lines. Primers used to amplify partial *MAT* genes are noted with respective fragment lengths. Distances and sizes are not drawn to a scale. Adapted from Bihon et al. (2014).

Fig 2 – Phylogenetic relationships of *Diplodia* species based on the combined sequence data from ITS, *tef1-a* and *tub2* regions. There were a total of 1157 positions in the final dataset. The phylogeny was inferred using the Maximum Likelihood method based on the Tamura 3-parameter model. The tree with the highest log likelihood is shown. A discrete Gamma distribution was used to model evolutionary rate differences among sites. Bootstrap values (>50%) are given at the nodes. The tree is drawn to scale, with branch length measured in the number of substitutions per site.

Fig 3 – Phylogenetic relationships of *Diplodia* species based on the sequence data from *MAT1-1-1* (A) and *MAT1-2-1* (B) genes. For *MAT1-1-1* gene a total of 864 positions were used. Phylogeny was inferred using the Maximum Likelihood method based on the Hasegawa-Kishino-Yano model. In the *MAT1-2-1* phylogeny were used a total of 904 positions in the final dataset and the Maximum Likelihood method based on the Tamura-3 parameter model was performed. A discrete Gamma distribution was used to model evolutionary rate differences among sites for both phylogenies. The trees with the highest log likelihood are shown. Bootstrap values (>50%) are given at the nodes. The trees are drawn to scale, with branch length measured in the number of substitutions per site.

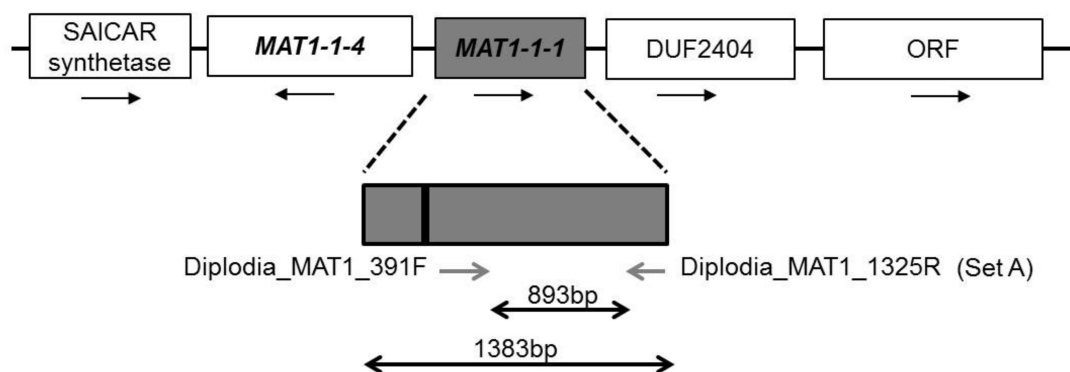
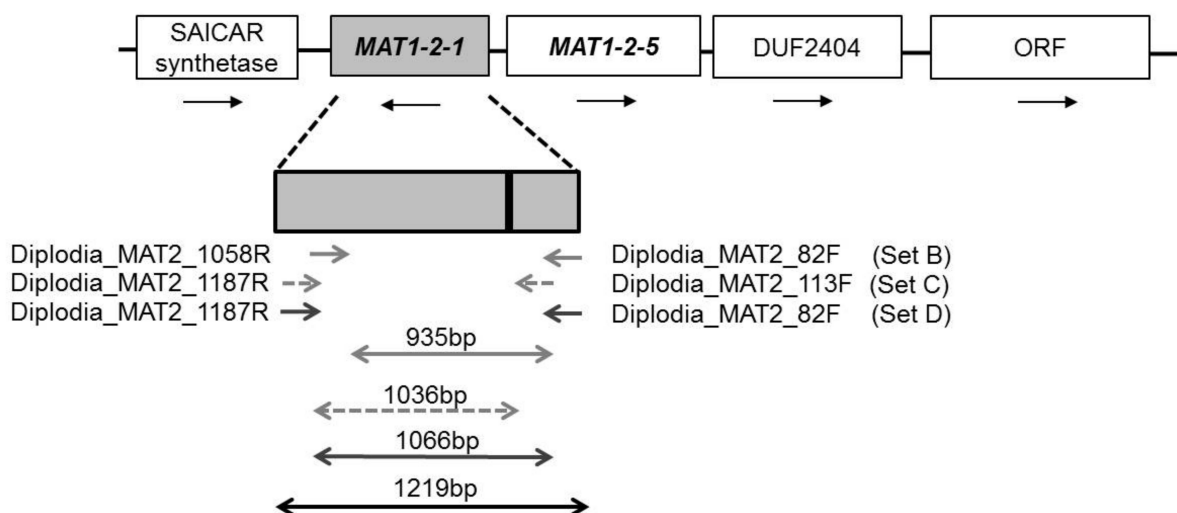
Fig 4 – Phylogenetic relationships of *Diplodia corticola* isolates based on the sequence data from ITS (A), *tef1-a* (B), *tub2* (C), *act2* (D) and *his3* (E) regions. The phylogeny was inferred using the Maximum Likelihood method based on the Tamura 3-parameter model except for the ITS region that was inferred based on the Jukes-Cantor model. The tree with the highest log likelihood is shown. A uniform distribution was used to model evolutionary rate differences among sites. Bootstrap values are given at the nodes. The tree is drawn to scale, with branch length measured in the number of substitutions per site.

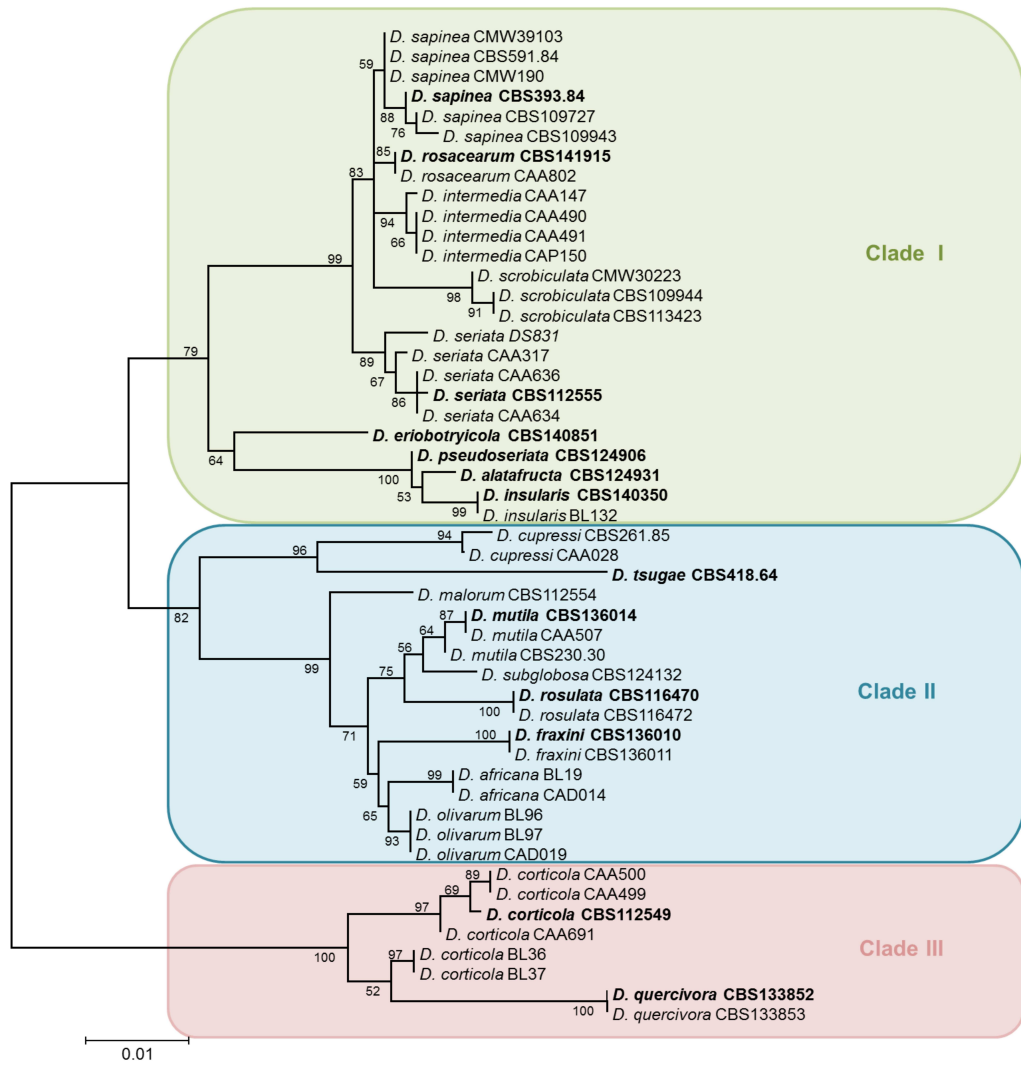
Fig 5 – Profiles of phylogenetic informativeness per gene through relative time periods (time units, TU). Net Phylogenetic informativeness for the loci ITS, *tef1- α* , *tub2*, *MAT1-1-1* (A) and ITS, *tef1- α* , *tub2*, *MAT1-1-2* (C); Phylogenetic informativeness per site for the loci ITS, *tef1- α* , *tub2*, *MAT1-1-1* (B) and ITS, *tef1- α* , *tub2*, *MAT1-1-2* (D).

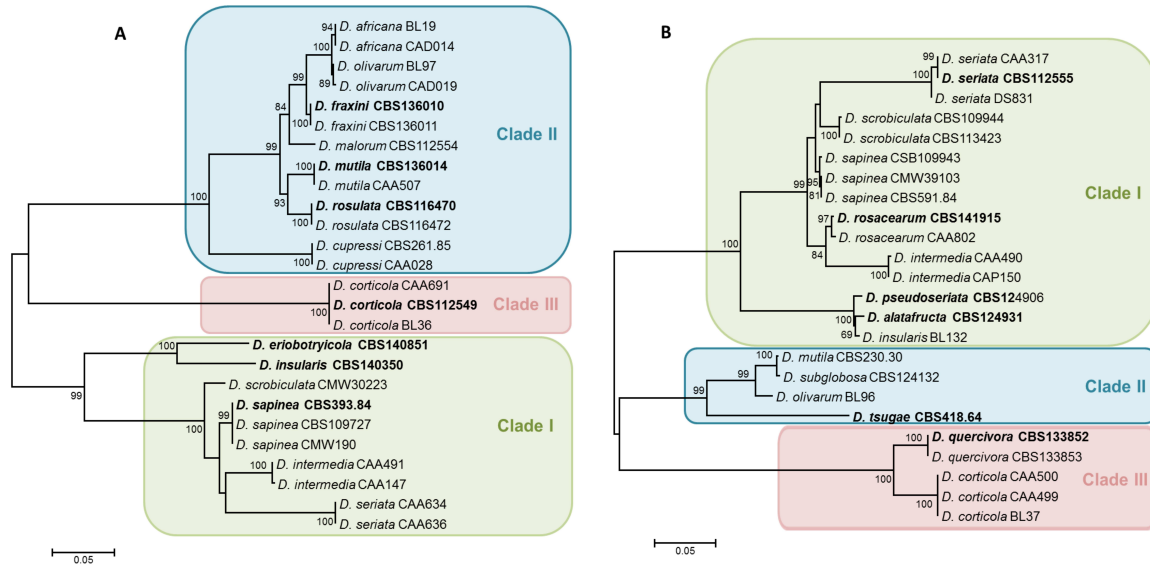
Fig S1 - Binding sites scheme of all the primers designed and tested for *MAT* loci. Introns are indicated by the vertical lines inside the genes. Arrows below the genes give the gene orientation (5'-3'). Distances and sizes are not drawn to a scale.

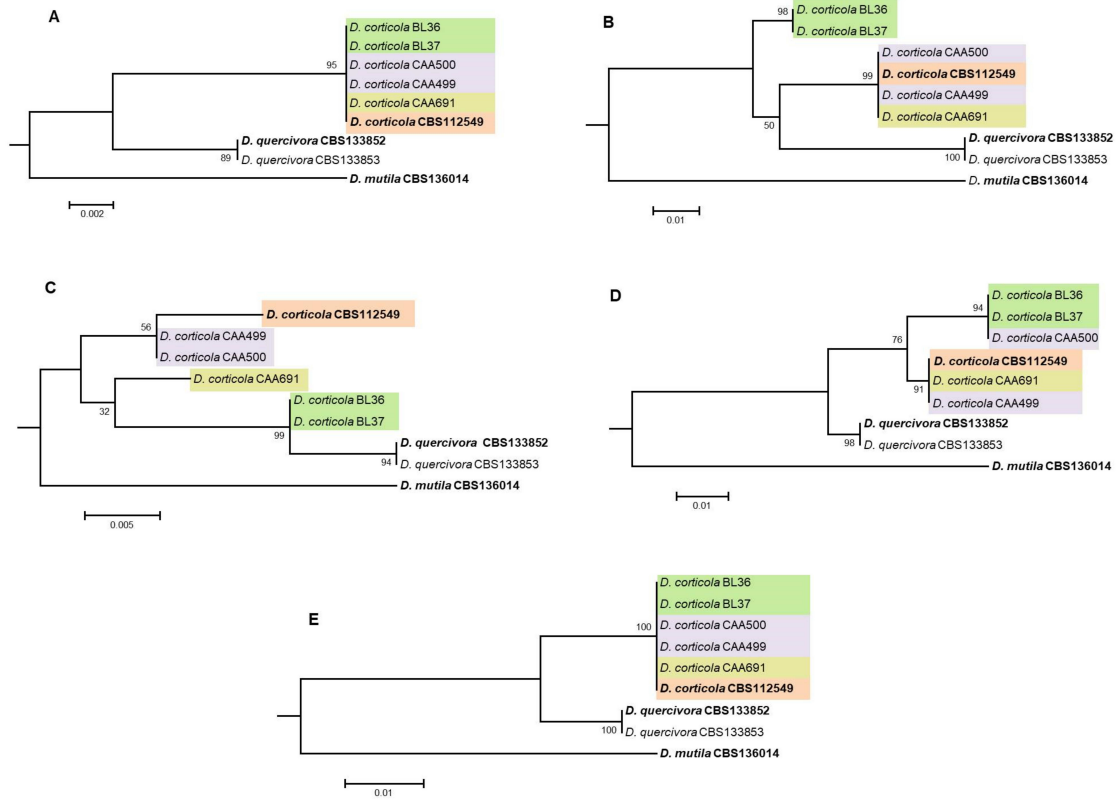
Table S1 - Possible primers combinations for *MAT1-1-1* gene

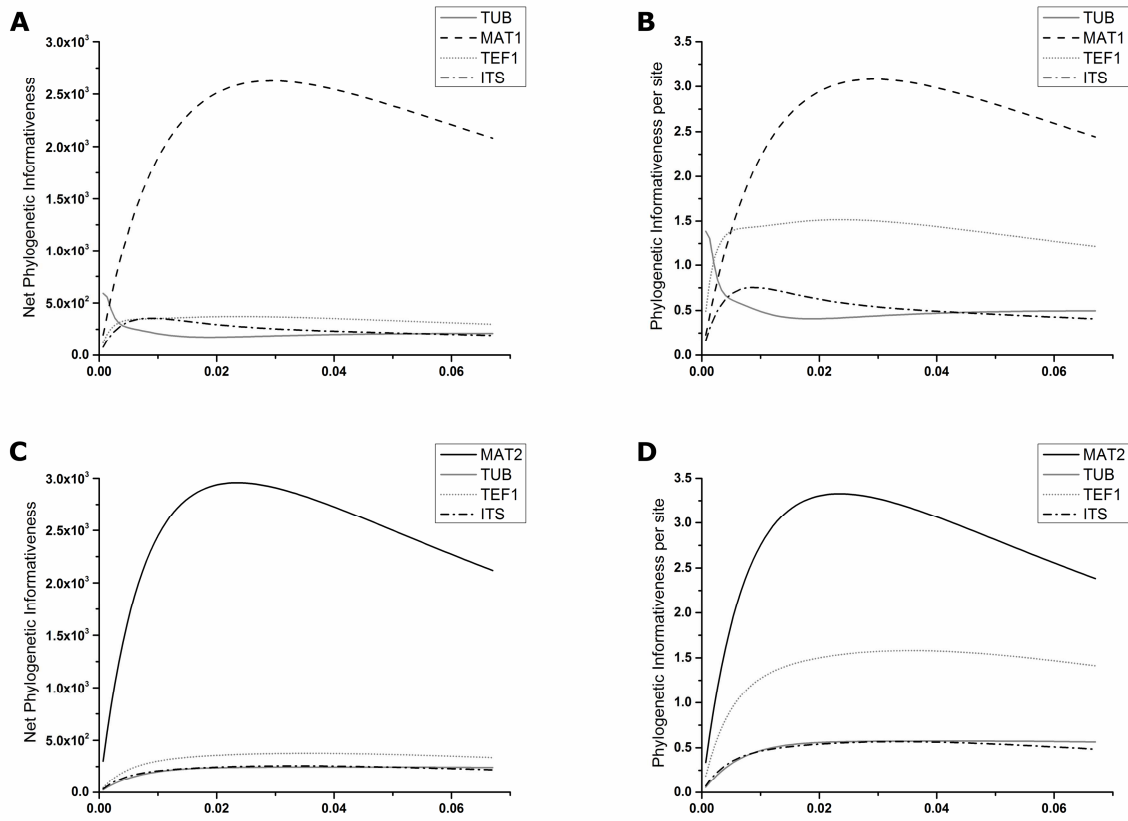
Table S2 - Possible primers combinations for *MAT1-2-1* gene

MAT1-1 Idiomorph (Strain CMW190)**MAT1-2 Idiomorph (Strain CMW39103)**









Research highlights

- Revealing *MAT* loci organization in *Diplodia* species
- All *Diplodia* species studied are heterothallic
- Development of PCR-based assays for mating type determination
- *MAT* genes phylogenies distinguish species in *Diplodia*