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The use of different fermentative approaches on *Paracoccus denitrificans*: Effect of high pressure and air availability on growth and metabolism

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Data/Date  
16 May 2020

Dear Dr. Ching T. Hou, Editor-in-Chief of Biocatalysis and Agricultural Biotechnology,

Please find enclosed the revised manuscript (ref. BAB\_2020\_146) entitled The use of different fermentative approaches on *Paracoccus denitrificans*: Effect of high pressure and air availability on growth and metabolism, authored by Maria J. Mota, Rita P. Lopes, Carlos A. Pinto, Sérgio Sousa, Ana M. Gomes, Ivonne Delgadillo, Jorge A. Saraiva. I would like to state that all the aforementioned authors contributed for the manuscript, as follows:

- Maria J. Mota and Rita P. Lopes (PhD) performed the experiments and wrote several sections of the manuscript;
- Carlos A. Pinto, (PhD student), assisted Maria J. Mota and Rita P. Lopes with the experiments and wrote some sections of the manuscript;
- Sérgio Sousa (PhD student), performed the organic acid analyses and data interpretation by HPLC;
- Ana M. Gomes (Full Professor) and Jorge A. Saraiva (Full professor) coordinated and designed all the experimental work and reviewed the manuscript.

Yours sincerely,

Dr. Jorge M. A. Saraiva, Ph.D.  
(Full Professor)

1 **The use of different fermentative approaches on *Paracoccus denitrificans*: Effect of high**  
2 **pressure and air availability on growth and metabolism**

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30 **Abstract**

31 The performance of fermentation under sub-lethal high pressure (HP) is a strategy for  
32 stimulation of microbial growth and/or improvement of fermentation titers, rates and yields. The  
33 present work intended to study the possibility of applying HP to *Paracoccus denitrificans*  
34 glycerol fermentation, considering that HP-fermentation usually involves some process  
35 constrains, such as limited air volumes. Consequently, the work was divided in two main goals:  
36 *i)* study the effects of air availability on *P. denitrificans*; *ii)* assess if the strain is able to grow  
37 and maintain metabolic activity under HP (10 – 35 MPa).

38 *Paracoccus denitrificans* growth and metabolism were highly affected by air availability.  
39 Samples under higher air availability showed considerable cell growth, but no production of  
40 ethanol or organic acids. On the other hand, samples without air had lower cell growth, but  
41 active metabolic activity (with the production of ethanol and organic acids). Regarding the HP  
42 experiments, *P. denitrificans* was able to grow at 10, 25 and 35 MPa, but to a lower extent  
43 compared to atmospheric pressure. Application of HP promoted modifications in the production  
44 of ethanol, acetate and succinate, and the fermentative profile varied according to the pressure  
45 level. Overall, the present work demonstrated new metabolic features of *P. denitrificans* at  
46 atmospheric pressure and HP conditions. It also opened the way for further studies regarding *P.*  
47 *denitrificans* fermentation under HP, as well as utilization of this technology for other glycerol  
48 fermentations, in particular in the case of high requirements of air availability.

49  
50 **Keywords:** Fermentation, glycerol, stress, high pressure.

## 51 1. Introduction

52 Biodiesel is a fuel originated from biomass, produced from vegetable oils and animal fats,  
53 and represents one of the most promising alternatives to fossil fuels (da Silva et al., 2009). The  
54 increasing biodiesel production is raising constrains that may compromise the sustainability of  
55 the process, and one of the major problems corresponds to the formation of crude glycerol as a  
56 reaction by-product (da Silva et al., 2009; Kolesárová et al., 2011). In order to maintain the  
57 viability of biofuel economy, it became necessary to develop new and sustainable applications  
58 for glycerol, such as the use as substrate for microbial fermentation processes, resulting in the  
59 production of different value-added products, such as organic acids, alcohols, polymers, among  
60 others (Mattam et al., 2013). For example, the industrial viability of glycerol fermentation for  
61 ethanol production was assessed by Yazdani and Gonzalez, (2007), who also compared the  
62 fermentative approach with the traditional ethanol production pathway (from corn) and  
63 observed that the use of glycerol was the most cost-effective approach, as both feedstock and  
64 operational costs were lower. Another example is the production of 1,3-propanediol, a very  
65 interesting compound in synthetic chemical reactions, namely for the production  
66 polytrimethylene terephthalate (Varrone et al., 2017). Nevertheless, the use of fermentative  
67 approaches for glycerol valorization remains a challenge due to the limit number of strains able  
68 to use it as substrate, as usually other chemicals are present in crude glycerol that will inhibit  
69 microbial metabolism.

70 *Paracoccus denitrificans* is a Gram-negative microorganism able to grow in glycerol, as  
71 well as in many other carbon sources, including methanol, ethanol, 1-butanol, and 1-pentanol  
72 (Ueda et al., 1992; Yamane et al., 1996b, 1996a). The first strain of *P. denitrificans* was isolated  
73 from soil more than one century ago by Beijerinck and Minkman (1910) and was previously  
74 termed *Micrococcus denitrificans* Beij. It exhibits metabolic versatility, and it was shown to  
75 grow both aerobically and anaerobically, performing complete or partial denitrification. Air  
76 availability is a critical parameter in *P. denitrificans* growth and fermentation. It affects not only  
77 cell growth, but also some other relevant metabolic features. Kalaiyezhini and Ramachandran  
78 (2015) observed that *P. denitrificans* specific growth rates increased with the increase in oxygen  
79 transfer rate, while moderate oxygen transfer rate promoted poly(3-hydroxybutyrate)  
80 production. In aerobic bioprocesses, oxygen is a key substrate, and must be continuously  
81 supplied (Garcia-Ochoa and Gomez, 2009). However, this dependence on oxygen availability  
82 may be a limitation to some fermentation processes, particularly for high-scale industrial  
83 processes. The requirement for high oxygen availability also presents a limitation for the  
84 performance of fermentation under high pressure (HP) conditions since, currently, many HP  
85 equipment are not adapted to allow continuous air supply. Therefore, in some specific cases, it  
86 might be necessary to perform aerobic microbial processes under limited-air conditions.

87           The interest in exposing microbial cells to HP is related to growth stimulation and/or  
88 improvement of fermentation (Mota et al., 2018). This approach involves the use of sub-lethal  
89 HP levels that affect cell growth and metabolism, but without compromising cell viability. In  
90 some cases, these modifications can represent considerable improvements, such as increased  
91 yields, productivities and fermentation rates, lower accumulation of by-products and/or  
92 production of different compounds. For instance, Picard et al. (2007) accelerated alcoholic  
93 fermentation and increased ethanol yields in *Saccharomyces cerevisiae* by performing  
94 fermentation at 5 and 10 MPa. Later on, Bravim et al. (2012) observed that pre-treatment of *S.*  
95 *cerevisiae* with HP led to an increase in ethanol content upon fermentation. A global  
96 transcriptional analysis revealed the over-expression of several genes related to cell recovery  
97 and stress tolerance induced by HP. The most relevant case was the gene SYM1, which was  
98 related to enhancement of ethanol production and increase of stress tolerance upon  
99 fermentation.

100 HP was also tested to change the metabolic selectivity of fermentative strains: application of  
101 pressures of 7 and 17 MPa during fermentation by *Clostridium thermocellum* redirected the  
102 metabolism from the production of by-products (such as acetic acid) to ethanol, compared to  
103 fermentation at atmospheric pressure (Bothun et al., 2004). Another example is the use of HP to  
104 modify the properties of biopolymers produced during fermentation. Production of bacterial  
105 cellulose by *Gluconacetobacter xylinus* under HP (30, 60 and 100 MPa) showed profound  
106 differences in morphological properties of the polymer depending on the applied pressure  
107 conditions. The cellulose produced under HP had a significantly higher density compared with  
108 the cellulose produced at atmospheric pressure (Kato et al., 2007). Regarding polymer  
109 production, Follonier et al. (2012) applied a low pressure level (0.7 MPa) to *Pseudomonas*  
110 *putida* KT2440 and enhanced productivity of medium-chain-length polyhydroxyalkanoate  
111 production, although with a significant decrease in specific growth rates. The effects of HP have  
112 also been evaluated in the context of food fermentation: on lactic acid fermentation, for  
113 production of probiotic yogurt (Mota et al., 2015); and in the beginning of malolactic  
114 fermentation by *Oenococcus oeni* (Neto et al., 2016). In the first case, HP was found to reduce  
115 the fermentation rate, but it was still possible to produce yogurt under pressure by extension of  
116 the fermentation time (Mota et al., 2015). The probiotic yogurt produced at 5 MPa showed  
117 different biochemical composition (unpublished results), and possibly different organoleptic  
118 properties. In the study with *O. oeni* (microorganism used by the wine industry to perform  
119 malolactic fermentation), the strain was able to perform fermentation during and after HP-  
120 stresses of 50 and 100 MPa, with some metabolic changes. For instance, the HP-stress of 100  
121 MPa stimulated the production of the D-lactic acid isomer, relative to the L-isomer. In addition  
122 to HP-assisted fermentation processes, these are also suitable to be assisted by pulsed electric  
123 fields (Al Daccache et al., 2020a, 2020c) and by ultrasounds (Al Daccache et al., 2020b).

124 There is still a great potential to explore in this field, with the studies conducted so far  
125 showing promising results, not only regarding food fermentations, but also for biotechnological  
126 processes. *Paracoccus denitrificans* ATCC 17741 was selected to perform this study due to its  
127 metabolic versatility, as well as high biotechnological potential and applicability. There are  
128 some interesting but preliminary results regarding the behavior of this microorganism in  
129 response to HP stress (Deguchi et al., 2011), indicating ability to grow at 30 MPa, but complete  
130 inhibition at 40 MPa. Considering that HP can be a useful tool for improving the glycerol-based  
131 fermentation processes, and since there is limited information about the effects of pressure on *P.*  
132 *denitrificans* cells, we intended to perform a preliminary study on the subject. The aim of this  
133 work was to obtain general information about the effects of HP and air availability on *P.*  
134 *denitrificans* growth, and how the combination of both factors could be applied to re-direct cell  
135 metabolism. As a result, the present work was divided in two main goals: *i*) to evaluate how  
136 different conditions of air availability could affect *P. denitrificans* growth and metabolism; *ii*) to  
137 assess if *P. denitrificans* is able to grow and maintain metabolic activity under HP (10, 25 and  
138 35 MPa), even with limitations in terms of volume and air supply.

139

## 140 2. Material and methods

### 141 2.1. Microorganism and culture media

142 A lyophilized culture of *Paracoccus denitrificans* DSM 413 (ATCC 17741), obtained from  
143 *Deutsche Sammlung von Mikroorganismen und Zellkulturen* (DSMZ, Braunschweig, Germany),  
144 was used in this study. The strain was reconstituted in nutrient broth according to the  
145 manufacturer's instructions. The strain was sub-cultured on nutrient agar plates and incubated at  
146 30 °C for 24 h, and then preserved at 4 °C for a maximum period of 1 month.

147 Rich Medium reported by Hori et al. (1994) was used for inoculum preparation. It included  
148 polypeptone (10 g L<sup>-1</sup>), yeast extract (10 g L<sup>-1</sup>), meat extract (5 g L<sup>-1</sup>) and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> (5 g L<sup>-1</sup>).  
149 Mineral Medium reported by Mothes et al. (2007) was used for the fermentation experiments.  
150 The medium contained glycerol (20 g L<sup>-1</sup>), yeast extract (4.5 g L<sup>-1</sup>), K<sub>2</sub>HPO<sub>4</sub> (5 g L<sup>-1</sup>), KH<sub>2</sub>PO<sub>4</sub>  
151 (0.5 g L<sup>-1</sup>), CaCl<sub>2</sub>•2H<sub>2</sub>O (20 mg L<sup>-1</sup>), MgSO<sub>4</sub>•7H<sub>2</sub>O (1 g L<sup>-1</sup>), and trace elements solution (2 mL  
152 L<sup>-1</sup>). The composition of trace elements solution is as follows: FeSO<sub>4</sub>•7H<sub>2</sub>O (4.98 g L<sup>-1</sup>), ZnCl<sub>2</sub>  
153 (0.44 g L<sup>-1</sup>), CuSO<sub>4</sub>•5H<sub>2</sub>O (0.78 g L<sup>-1</sup>), Na<sub>2</sub>MoO<sub>4</sub>•2H<sub>2</sub>O (0.24 g L<sup>-1</sup>), MnSO<sub>4</sub>•4H<sub>2</sub>O (0.81 g L<sup>-1</sup>),  
154 dissolved in 1 N HCl solution.

155

### 156 2.2. Inoculum preparation and inoculation

157 A single colony was seeded into 100 mL of rich medium and incubated at 35 °C for 16 – 20  
158 h, in a rotary incubator (160 rpm). Mineral medium was inoculated with 5 % (v/v) of standard  
159 inoculum, in an aseptic environment, in a laminar flow cabinet (BioSafety Cabinet Telstar Bio  
160 II Advance, Terrassa, Spain), to avoid contamination.

161

**162 2.3. Fermentation experiments: Effect of air availability**

163 For these experiments, three different types of samples were prepared: *i*) samples “with air”,  
164 which performed fermentation in shake-flasks, with medium:air volume ratio ( $V_{\text{medium}}:V_{\text{air}}$  ratio)  
165 of 1:5, and agitation speed of 135 rpm; *ii*) samples “without air”, which fermented in  
166 polyethylene bags, sealed with no air; *iii*) samples “24 h with air + 48 h without air”, which  
167 fermented in shake-flasks (with air availability) during the first 24 h, and then were transferred  
168 to polyethylene bags (with no air), where they remained during the following 48 h of  
169 fermentation. All samples were then fermented at 35 °C, at atmospheric pressure (0.1 MPa), for  
170 72 h. Fermentation samples were collected over time in duplicate and all the analyses were also  
171 performed in duplicate.

172 In a subsequent study, fermentation was performed in polyethylene bags with air, under two  
173 slightly different  $V_{\text{medium}}:V_{\text{air}}$  ratios (1.0:1.8 and 1.0:2.2), to test the most suitable conditions for  
174 fermentation under pressure, considering volume limitations of the pressure vessel.  
175 Fermentation was carried out at 35 °C, at atmospheric pressure (0.1 MPa), for 24 h.  
176 Fermentation samples were collected over time in duplicate and all the analyses were also  
177 performed in duplicate.

178

**179 2.4. Fermentation experiments: Effect of high pressure**

180 Fermentation was carried out in polyethylene bags, with controlled  $V_{\text{medium}}:V_{\text{air}}$  ratio  
181 (1.0:2.2), at 35 °C under different HP conditions (10, 25, and 35 MPa), for 72 h. The  
182 experiments were conducted in a Hydrostatic press (FPG7100, Stanstead Fluid Power,  
183 Stanstead, United Kingdom), with a pressure vessel of 100 mm inner diameter and 250 mm  
184 height surrounded by an external jacket to control the temperature, using a mixture of propylene  
185 glycol and water (40:60 v/v) as pressurizing fluid. In parallel, a control sample (at atmospheric  
186 pressure, 0.1 MPa) was also performed, maintaining the exact same conditions of the HP-  
187 samples. Fermentation samples were collected over time in duplicate and all the analyses were  
188 also performed in duplicate.

189

**190 2.5. Analytical methods****191 2.5.1. Biomass concentration**

192 Biomass concentration of the samples was determined by optical density measurement at  
193 600 nm, with a Multiskan GO Microplate Spectrophotometer (Thermo Fisher Scientific Inc.,  
194 Waltham, Massachusetts, USA). Cell dry weight (CDW) was routinely determined using a  
195 standard curve relating *P. denitrificans* optical density and cell dry weight (CDW).

196

**197 2.5.2. Glycerol concentration**



198 Glycerol measurement was performed in the samples' supernatants using the Glycerol GK  
199 Assay Kit (Megazyme, Ireland), according to the manufacturer's instructions for use in 96-well  
200 microplates. The absorbance was measured with a Multiskan GO Microplate Spectrophotometer  
201 (Thermo Fisher Scientific Inc., USA). The results were further confirmed by analysis with high  
202 performance liquid chromatography (HPLC) coupled with refractive index detector (HPLC-RI),  
203 by the method described in the following section.

204

### 205 **2.5.3. Characterization of the extracellular medium**

206 Culture samples were centrifuged at 10,000 rpm and 4 °C for 10 min and the collected  
207 supernatants were filtered through a 0.22 µm filter membrane. Analysis by HPLC was  
208 performed using a HPLC Knauer system equipped with Knauer K-2301 RI detector and a  
209 Aminex HPX-87H cation exchange column (300 x 7.8 mm) (Bio-Rad Laboratories Pty Ltd,  
210 Hercules, CA, USA). The mobile phase was 13 mM H<sub>2</sub>SO<sub>4</sub>, delivered at a flow rate of 0.6 mL  
211 min<sup>-1</sup> and the column maintained at 65 °C. Peaks were identified by their retention times and  
212 quantified using calibration curves prepared with the respective standards.

213

### 214 **2.5.4. Statistical analysis**

215 The results obtained for the previously indicated parameters were tested at a 0.05 level of  
216 significance and the effect of pressure was tested with a one-way analysis of variance  
217 (ANOVA), followed by a multiple comparisons' test (Tukey HSD) to identify the differences  
218 between samples.

219

## 220 **3. Results**

### 221 **3.1. Effect of air availability on *P. denitrificans* growth and fermentation at** 222 **atmospheric pressure**

223 The results for variation of biomass and glycerol concentrations are shown in **Figure 1**. In  
224 samples "without air", cell growth (**Figure 1a**) was nearly inexistent and substrate consumption  
225 was low, with glycerol concentrations (**Figure 1b**) varying from 18.95 g L<sup>-1</sup> in the beginning of  
226 fermentation to 17.90 g L<sup>-1</sup> after 72 h. This indicates that *P. denitrificans* was inhibited by the  
227 absence of aeration/agitation and confirms that oxygen was required for cell growth, at least  
228 when using this culture medium and conditions. In the present work, the selected culture  
229 medium and conditions did not seem suitable for growth under low oxygen environments. In  
230 fact, higher air availability and agitation conditions were more suitable for *P. denitrificans*  
231 growth, since the samples "with air" showed pronounced cell growth and substrate consumption  
232 over time. In the "24 h with air + 48 h without air" samples, cell growth and glycerol  
233 consumption were similar to samples "with air" during the first 24 h, as expected, since the  
234 fermentation was performed at the same conditions during that period. Afterwards, when

235 fermentation was carried out without air, cell and glycerol concentrations remained stable over  
236 time, possibly due to inhibition of metabolic activity.

237 The effects of air availability were also evaluated in terms of production of extracellular  
238 compounds by *P. denitrificans* during fermentation. This is a rather unusual approach, since  
239 typically only *P. denitrificans* intracellular products are analyzed, in attempt to find  
240 biopolymers (Kalaiyehzini and Ramachandran, 2015; Mothes et al., 2007). However, since that  
241 was not the purpose of the present work, only the extracellular products were analyzed, in  
242 particular alcohols and organic acids. As a result, ethanol, acetic and succinic acids were  
243 identified for each fermentative batch. The variation of these compounds over fermentation time  
244 is represented in **Figure 2**, while the respective yields ( $Y$ ,  $\text{g g}^{-1}$ ) are indicated in **Table 1**. The  
245 formation of the extracellular products was profoundly affected by air availability. It is  
246 interesting to point out that the conditions “without air”, which highly inhibited cell growth and  
247 glycerol uptake, were the ones that promoted the formation of extracellular products. While all  
248 these compounds were formed in samples fermenting “without air”, none of them was detected  
249 in samples with high air availability. In the mixed samples, ethanol and acetic acid (**Figure 2a**,  
250 **b**) were both produced, but only during the period of fermentation without air (24-72 h). It  
251 would be expected that the higher cell density accumulated in mixed samples during the first 24  
252 h would result in increased production of extracellular compounds, compared to samples  
253 “without air”. However, acetic acid concentration was lower in mixed samples, and succinic  
254 acid (**Figure 2c**) was not produced, which suggests that both samples developed different  
255 mechanisms to survive under low oxygen availability conditions. In addition, the absence of  
256 succinic acid may indicate that this compound was produced by different metabolic pathways,  
257 which were differently affected by air availability, relative to ethanol and acetic acid. In  
258 addition, in the present work, there was high ethanol production during fermentation with low  
259 aeration (**Figure 2** and **Table 1**), which resulted in a concentration of  $1.00 \text{ g L}^{-1}$  and a yield of  
260  $0.95 \text{ g g}^{-1}$ , both considerably higher than the values obtained for acetic acid ( $0.15 \text{ g L}^{-1}$  and  $0.14$   
261  $\text{g g}^{-1}$ ) after the same time.

262 Glucose concentration showed low variation over time, from  $1.07 \text{ g L}^{-1}$  at 0 h, to  $1.03 - 1.66$   
263  $\text{g L}^{-1}$  after 72 h. In contrast, maltose initially present in the medium ( $0.26 \text{ g L}^{-1}$ ) was entirely  
264 consumed after 48 h of fermentation. These results indicate that ethanol, acetic and succinic  
265 acids may also be produced from maltose, and not exclusively from glycerol. To understand  
266 which substrate is used for the production of each compound, and which are the metabolic  
267 pathways used for that purpose, the metabolic profile of *P. denitrificans* should be studied in  
268 detail, using specific and suitable metabolomics tools.

269 In samples “without air”, *P. denitrificans* showed metabolic activity, with the production of  
270 ethanol, acetic and succinic acids, but no cell growth over time. In contrast, samples “with air”  
271 showed considerable cell growth, but no production of ethanol, acetic or succinic acids.

272 Samples “24 h with air + 48 h without air” were able to accumulate biomass during the first 24  
273 h, and to produce ethanol and acetic acid during the period without air. However, it did not  
274 achieve the concentrations produced without air, and succinic acid was not even detected.

275

### 276 **3.2. Effect of high pressure on *P. denitrificans* growth and fermentation**

277 Similar cell growth profiles (**Figure 3a**) were observed at 10 and 25 MPa, with similar  
278 biomass concentrations ( $p>0.05$ ) reached after 72 h of fermentation (2.52 and 2.42 g L<sup>-1</sup>,  
279 respectively). In both cases, biomass concentration was significantly lower ( $p<0.05$ ) compared  
280 to the obtained at 0.1 MPa (3.01 g L<sup>-1</sup>). At 35 MPa, inhibition of cell growth was even more  
281 pronounced, showing only slight variation over time, which resulted in a final biomass  
282 concentration of only 1.14 g L<sup>-1</sup>. In a study concerning microbial growth under  
283 hyperaccelerations (in centrifuges), Deguchi et al. (2011) tested the effects of HP (where the  
284 pressure was generated by hyperacceleration) on *P. denitrificans* proliferation, and observed  
285 that the strain was able to grow at 30 MPa, but was completely inhibited at 40 MPa (at 30 °C, in  
286 LB agar). This negative effect of HP on cell growth was previously reported for other  
287 microorganisms such as *Streptococcus thermophilus*, *Lactobacillus bulgaricus* and  
288 *Bifidobacterium lactis*, at 5 and 100 MPa (Mota et al., 2015); for *Gluconacetobacter xylinus* at  
289 100 MPa (Kato et al., 2007) and for *Clostridium thermocellum* at 7 and 17.3 MPa (Bothun et al.,  
290 2004).

291 Glycerol consumption (**Figure 3b**) seemed to be less affected by HP, at least at 10 and 25  
292 MPa: in those cases, glycerol consumption after 48 h was slightly but significantly lower  
293 ( $p<0.05$ ) compared to 0.1 MPa, but reached similar concentrations ( $p>0.05$ ) after 72 h (in the  
294 range of 9.04 and 9.26 g L<sup>-1</sup>). In contrast, biomass concentration at these same pressure  
295 conditions was always lower ( $p<0.05$ ) compared to 0.1 MPa. This discrepancy between the  
296 pressure effects on growth and substrate consumption may be related to the development of  
297 stress response mechanisms to ensure cell survival.

298 As indicated in the previous section, glucose and maltose can both be found in the culture  
299 medium, and their presence (and consumption) may have an impact on *P. denitrificans*  
300 metabolism. Therefore, the concentrations of these sugars were analyzed throughout the  
301 fermentation time, at the end of each fermentation condition. In all cases, maltose showed the  
302 same behavior, with 0.31 g L<sup>-1</sup> of maltose, initially present in the medium, being completely  
303 consumed, regardless of the pressure applied (data not shown). On the other hand, glucose  
304 consumption was highly affected by pressure, as indicated in **Figure 4**. After 48 h, glucose  
305 concentrations were similar ( $p>0.05$ ) at 0.1, 10 and 25 MPa ( $\approx$  1.38 g L<sup>-1</sup>), but changed  
306 considerably at 72 h: *i*) at 0.1 MPa, the concentration remained as 1.38 g L<sup>-1</sup>; *ii*) at 10 MPa, it  
307 decreased to 0.76 g L<sup>-1</sup>; and *iii*) at 25 MPa, it decreased to 0.97 g L<sup>-1</sup>. This suggests that HP  
308 stimulates glucose consumption during fermentation, possibly due to the higher need of

309 substrate and energy to ensure cell survival, with development of general and/or specific stress  
310 responses. Interestingly, glucose concentrations at 35 MPa were significantly lower ( $p<0.05$ )  
311 that for all other conditions, after 48 and 72 h (0.83 and 0.20 g L<sup>-1</sup>, respectively), showing an  
312 opposite behavior relative to glycerol consumption. Therefore, fermentation at 35 MPa seems to  
313 stimulate glucose consumption, while the same effect was not observed for glycerol. These  
314 results suggest specific metabolic changes at this pressure level, which affected differently *P.*  
315 *denitrificans* growth and fermentation compared to the lower pressure levels.

316 Application of HP on *P. denitrificans* was also evaluated in terms of ethanol, acetic and  
317 succinic acids production (**Figure 5**). Different pressure levels showed different effects on the  
318 formation of these compounds. Ethanol production (**Figure 5a**) was observed for all pressure  
319 conditions, with a general increasing trend for the first 48 h of fermentation, and decreasing  
320 thereafter. The highest ethanol concentration was reached after 48 h at 0.1 MPa (1.92 g L<sup>-1</sup>), but  
321 this compound was not detected after 72 h at this pressure. Similarly, ethanol concentrations of  
322 1.34 and 1.50 g L<sup>-1</sup> were observed after 48 h at 10 and 25 MPa, respectively, but these values  
323 decreased to 0.43 and 0.40 g L<sup>-1</sup> at 72 h of fermentation.

324 Succinic acid production (**Figure 5b**) was also detected during fermentation at 0.1, 10 and  
325 25 MPa, but not at 35 MPa. After 72 h of fermentation, succinic acid concentrations were  
326 significantly different ( $p<0.05$ ) for all pressures tested: the highest succinic acid concentration  
327 was achieved for samples at 10 MPa (0.28 g L<sup>-1</sup>), followed by those at 0.1 MPa (0.21 g L<sup>-1</sup>) and,  
328 finally, at 25 MPa (0.13 g L<sup>-1</sup>). Stimulation of succinic acid production at 10 MPa could be an  
329 interesting outcome of *P. denitrificans* fermentation under HP, since this compound is widely  
330 used as a precursor of many industrially important compounds in food, chemical, and  
331 pharmaceutical industries (Jiang et al., 2017). However, the concentrations produced by *P.*  
332 *denitrificans* are considerably low compared to other microorganisms typically used for that  
333 purpose, such as *Actinobacillus succinogenes*, *Mannheimia succiniciproducens*, or  
334 *Anaerobiospirillum succiniciproducens*. For these microbial strains, succinic acid  
335 concentrations in the range of  $\approx 10 - 83$  g L<sup>-1</sup> are usually reported in literature (Jiang et al.,  
336 2017). However, further optimization is highly likely to be possible, and could increase the  
337 succinic acid production by *P. denitrificans*, possibly resulting in titers and yields more similar  
338 to the ones reported for other microorganisms.

339 As observed for other results in this section, fermentation at 35 MPa exhibited a different  
340 metabolic profile compared to other pressure conditions, with low ethanol production (max.  
341 0.17 g L<sup>-1</sup>), and no detected production of acetic and succinic acids. This suggests that HP is  
342 inhibiting the formation of these compounds, an effect that was also observed at lower extent  
343 for fermentation at 10 and 25 MPa. To clarify this inhibitory effect of pressure, the yields ( $Y$ , g  
344 g<sup>-1</sup>) of biomass, ethanol, acetic acid, and succinic acid on glycerol were estimated at the end of  
345 fermentation (72 h) and are indicated in **Table 2**. In the cases of biomass and acetate, the yields

346 followed a decreasing trend with the increase of pressure, suggesting a negative impact on these  
347 features. Ethanol yields were only estimated for fermentation at 10 and 25 MPa, as this  
348 compound was not detected after 72 h at the other conditions. Due to the high variation of  
349 ethanol concentrations over time, the yields at the end of fermentation did not allow a pertinent  
350 evaluation of the HP effects on ethanol formation. In contrast, succinic acid yields reflected the  
351 behavior observed for concentrations over time, with the yield at 10 MPa ( $0.29 \text{ g g}^{-1}$ ) being  
352 slightly higher than at 0.1 MPa ( $0.22 \text{ g g}^{-1}$ ).

353

#### 354 **4. Discussion**

##### 355 **4.1. Effect of air availability on *P. denitrificans* growth and fermentation at** 356 **atmospheric pressure**

357 In the first part of this work, *P. denitrificans* metabolism was evaluated under different  
358 conditions of air availability and agitation, in order to understand how they affected growth and  
359 fermentation. For that purpose, three different approaches were tested: (1) in the absence of air  
360 (or, at least, minimizing the air availability) and without agitation, i.e. samples “without air”; (2)  
361 in the presence of air ( $V_{\text{medium}}:V_{\text{air}}$  ratio of 1:5) and with agitation, i.e. samples “with air”; and  
362 (3) in a mixed process, which corresponded to fermentation with air in the first 24 h, and  
363 without air in the remaining time, i.e. samples “24 h with air + 48 h without air” (without adding  
364 fresh culture medium). We intended to assess if *P. denitrificans* was able to maintain growth  
365 and activity under all these conditions, and to analyze potential metabolic differences between  
366 them.

367 In literature, *P. denitrificans* strains are reported to grow both aerobically and anaerobically  
368 (Beijerinck and Minkman, 1910), but with specific requirements of culture medium composition  
369 and culture conditions at each one of these environments (Hahnke et al., 2014; Nokhal and  
370 Schlegel, 1983).

371 Since the extracellular products were only formed in samples “without air”, or during the  
372 equivalent period in mixed samples, it is possible to conclude that these products are  
373 characteristic of *P. denitrificans* metabolism under lower oxygen availability. A similar  
374 behavior was reported for a recombinant *E. coli* strain (de Almeida et al., 2010). In that case,  
375 two different agitation speeds were used to provide different levels of oxygen availability and  
376 resulted in variations in the pattern of product formation. In cultures grown with strong  
377 agitation, i.e. with higher oxygen availability, there was low production of metabolic products  
378 (ethanol, and acetic, formic and lactic acids) and formation of larger amounts of biomass. In  
379 contrast, the reduction in oxygen availability caused a redirection of carbon flow towards the  
380 production of acids and ethanol. The authors also observed that this enhancement effect was  
381 particularly noteworthy for ethanol production, compared to the formation of organic acids.

382 The high value obtained for ethanol yield on glycerol raised the possibility of production of  
383 this compound (as well as other extracellular compounds) from carbon sources other than  
384 glycerol. In fact, the experiments were performed using complex culture medium, since some  
385 ingredients had unspecified chemical composition, e.g. yeast or meat extracts. Therefore, it  
386 would be possible to have different carbon sources present in the media. In order to address this  
387 issue, the presence of alternative carbon sources was evaluated, and glucose and maltose were  
388 detected in the initial samples (0 h), as indicated in **Table S1** (supplementary material).

389 Overall, the results in this section showed that *P. denitrificans* growth and metabolism were  
390 highly affected by air availability. It is also important to consider that the low cell growth under  
391 low air availability can represent a serious limitation to achieve reasonable concentrations of  
392 fermentation products, due to the reduced number of cells. Therefore, the use of moderate air  
393 availability conditions would possibly favor the process, balancing the formation of biomass  
394 and the fermentative activity. In addition, these would be the most suitable conditions to  
395 perform fermentation under HP, due to volume limitations of the HP vessel used at the present  
396 work, which does not easily allow high air volumes or agitation. Considering these constraints,  
397 two different  $V_{\text{medium}}:V_{\text{air}}$  ratios (1.0:1.8 and 1.0:2.2) were selected and tested for *P. denitrificans*  
398 growth and fermentation during 24 h. As indicated in **Table 3**, specific growth rates ( $\mu$ ,  $\text{h}^{-1}$ ),  
399 final biomass concentrations and glycerol consumption percentages were all slightly higher for  
400 the 1.0:2.2 ratio, correspondent to higher air availability. It is certain that high air availability  
401 and high specific growth rates do not only always translate in higher product formation (de  
402 Almeida et al., 2010; Kalaiyezhini and Ramachandran, 2015). However, since the study under  
403 HP would be limited by other factors, such as the lack of agitation, and the stress induced by the  
404 pressure itself, the highest ratio (1.0:2.2) was selected to proceed the studies with *P.*  
405 *denitrificans* at HP conditions.

406

#### 407 **4.2. Effect of high pressure on *P. denitrificans* growth and fermentation**

408 The previously discussed experiments provided relevant information about the  
409 fermentation process and how it depends on air availability. In the second stage of the work, HP  
410 (at 10, 25 and 35 MPa) was applied during the 72 h at 35 °C of *P. denitrificans* fermentation.  
411 Fermentation was also tested at atmospheric pressure (0.1 MPa), to use as control. The pressure  
412 effects on cell growth and glycerol consumption (**Figure 3**) showed a clear inhibitory effect,  
413 which was more accentuated with the increase of pressure level.

414 The inhibitory effect of pressure on cell growth may result from a wide variety of  
415 damaging effects. Generally, low pressure levels, such as the ones used in this work, may be  
416 enough to impair several cellular processes, such as motility, cell division, nutrient uptake or  
417 membrane protein function. A pressure of 50 MPa can inhibit protein synthesis and reduce the  
418 number of functional ribosomes (due to subunit dissociation), while 100 MPa can induce partial

419 protein denaturation (Abe, 2007; Huang et al., 2014). However, it is important to take into  
420 account that pressure effects on microbial growth are highly variable depending on several  
421 factors, such as the organisms' degree of piezotolerance, the growth stage, the extent and  
422 duration of pressure treatment, as well as other environmental parameters (such as temperature,  
423 pH, and medium composition) (Mota et al., 2013).

424 With the increasing pressure, relevant cell structures and functions are successively  
425 compromised until it turns impossible to withstand the stress and survive at these hostile  
426 conditions (Mota et al., 2013). In terms of cell structure, different organelles show different  
427 sensitivity to HP. For instance, lipid membranes are particularly pressure sensitive, because of  
428 its high compressible potential. Thus, changes in membrane composition and fluidity are  
429 observed under HP, as well as weakening of important protein-lipid interactions (Winter and  
430 Jeworrek, 2009). HP treatments may also affect the structure of DNA, ribosomes and proteins  
431 (Abe, 2007; Macgregor, 2002; Niven et al., 1999) possibly leading to inhibition of cell  
432 processes (such as replication, transcription and translation) and metabolic reactions essential  
433 for cell maintenance. Additional information regarding genetic regulation for pressure-induced  
434 stress can be found in Mota et al. (2013).

435 Under stress conditions, cell growth is usually disregarded, in order to favor other  
436 processes more relevant to their survival, i.e. cell maintenance processes. Cell maintenance  
437 refers to the fraction of substrate consumed to generate energy for functions other than the  
438 production of new cell material (Pirt, 1965). These functions include energy costs of  
439 osmoregulation, cell motility, turnover of macromolecular compounds, as well as defense  
440 mechanisms (Van Bodegom, 2007). In short, when the energy is used for these maintenance  
441 processes, bacterial growth is reduced, even if substrate consumption is maintained. Therefore,  
442 it is expectable that under stressful conditions, such as HP, biomass production will be more  
443 affected than substrate consumption. However, this effect was not observed at 35 MPa, which  
444 showed low glycerol consumption during the entire process, possibly indicating metabolic  
445 activity inhibition at this pressure.

446 The results of the present work for the formation of extracellular products suggest that  
447 ethanol is produced during the first hours of fermentation, and was then converted into other  
448 metabolic products. An option for the ethanol degradation pathway is the oxidation into  
449 acetaldehyde, which can be followed by oxidation into acetate. In fact, Felux et al. (2013)  
450 indicated that *P. denitrificans* Pd1222 (a derivative of DSM 413) has the genetic machinery to  
451 perform these metabolic reactions: a gene that encodes an alcohol dehydrogenase (locus tag  
452 Pden\_2367) able to convert ethanol into acetaldehyde; and a gene that encodes an NAD<sup>+</sup>-  
453 dependent aldehyde dehydrogenase (locus tag Pden\_2366) that oxidizes acetaldehyde to acetate.  
454 This is also supported by the production of acetic acid (**Figure 5c**) during the period between 48  
455 and 72 h, with the highest concentration at 0.1 MPa (0.69 g L<sup>-1</sup>), followed by significantly lower

456 concentrations ( $p < 0.05$ ) at 10 and 25 MPa (0.16 and 0.17 g L<sup>-1</sup>, respectively). However, these  
457 acetic acid concentrations are too low relative to the concentration of ethanol consumed. If  
458 ethanol was entirely converted into acetic acid,  $\approx 2.5$  g L<sup>-1</sup> would be obtained at 0.1 MPa, which  
459 is quite higher than the concentration actually detected (0.69 g L<sup>-1</sup>). There may be two possible  
460 explanations for this discrepancy: *i*) acetaldehyde (obtained from ethanol) was not entirely  
461 converted into acetic acid and accumulated in the cell, which is unlikely due to the high toxicity  
462 of this compound; *ii*) acetate obtained from this pathway was further converted into acetyl-CoA  
463 by an acetyl-CoA synthetase, possibly entering in a wide variety of metabolic pathways, such as  
464 the tricarboxylic acid cycle (TCA cycle) or fatty acid synthesis.

465 Overall, HP was found to affect *P. denitrificans* cell growth and metabolism, with different  
466 effects on substrate consumption, as well as on production of ethanol, acetic and succinic acids.  
467 These effects varied according to the pressure level, with the lower pressures (10 and 25 MPa)  
468 showing a behavior approximate to 0.1 MPa, while the highest pressure (35 MPa) presented a  
469 more extensive impact on *P. denitrificans* metabolism. As previously reported for other  
470 microorganisms (Mota et al., 2018), the application of pressure stresses resulted in particular  
471 and interesting effects on *P. denitrificans* growth and metabolism. These results open the way  
472 for application of HP to other glycerol fermentation processes, in particular to the ones with  
473 high requirements of air availability. Most information in literature concerning cell growth and  
474 fermentation under pressure is regarding anaerobic or facultative anaerobic microbial strains  
475 (thus with low oxygen requirements), since these processes are easier and simpler to perform  
476 with most of the HP equipment. It is certain that, in aerobic processes, there are more limitations  
477 that demand a more complex development and optimization, but the results obtained so far for  
478 *P. denitrificans* confirm that it is possible to perform aerobic fermentation under these lower air  
479 availability conditions.

480 In this preliminary study, the experiments were performed in a lab-scale HP equipment,  
481 designed for pasteurization and food technology purposes; such equipment can also be used for  
482 a broader range of applications, including extraction, hyperbaric storage or microbial growth,  
483 but with inherent limitations. In the case of microbial growth and fermentation processes under  
484 pressure, the main constraints are related to volume limitations, absence of agitation  
485 mechanisms, as well as unpractical oxygen supply. Therefore, it may be worth to perform  
486 equipment and process optimization, in order to perform further studies in more suitable and  
487 tailor-made systems, able to meet the specifications of these microbial processes. In fact, such  
488 type of pressure equipment is now becoming more widely available, making it possible to  
489 evaluate the full potential of fermentation under pressure. It should be highlighted that, in this  
490 context, this technology is highly versatile, since it can be applied intermittently, as pressure  
491 stresses, but can also be maintained during the whole fermentation time, without serious cell  
492 loss and no heating effect. Since there is no refrigeration requirement (because the continuous



493 application of pressure does not generate heat), the energetic costs of the fermentation process  
494 are lower, and the application of HP to these processes is simpler. Additionally, it is only  
495 necessary to provide energy to generate the pressure (and not to maintain it), and so application  
496 of HP stress during the whole fermentation process has minimal energetic costs, which would  
497 have a small impact on the integration of HP on industrial fermentative processes. Also, as the  
498 pressure levels used in these processes are quite lower than those used for food processing, the  
499 required equipment could be designed to withstand lower pressures, thus being cheaper than the  
500 commercial alternatives currently on the market. Therefore, HP technology can offer a high  
501 variety of process possibilities to perform microbial growth and fermentation under pressure.

502

### 503 **5. Conclusions**

504 The present work intended to study the possibility of applying HP to *P. denitrificans*  
505 glycerol fermentation, to stimulate cell growth and/or improve fermentation. However, it was  
506 necessary to consider that some of the HP systems that may be used for these purposes currently  
507 comprise some limitations to aerobic processes, such as the absence of continuous air supply or  
508 agitation. To understand if it was possible to perform *P. denitrificans* growth and fermentation  
509 under limited-air conditions, the effects of air availability on this process were evaluated. The  
510 results showed that growth and metabolism were both highly affected by air availability. With  
511 higher air availability, considerable cell growth was observed over time, but no production of  
512 ethanol, acetic or succinic acids. In contrast, without air availability, *P. denitrificans* showed  
513 active metabolic activity (with the production of ethanol, acetic and succinic acids), but no cell  
514 growth over time. Therefore, these products seem to be characteristic of *P. denitrificans*  
515 metabolism under lower oxygen availability.

516 To avoid inhibition of both cell growth and formation of extracellular products,  
517 fermentation at HP conditions was tested under moderate air availability conditions ( $V_{\text{medium}}:V_{\text{air}}$   
518 ratio of 1.0:2.2). *Paracoccus denitrificans* cells were able to grow under HP, even if to a lower  
519 extent compared to atmospheric pressure. At 10 and 25 MPa, biomass concentrations were still  
520 similar to 0.1 MPa, while a more extensive inhibitory effect was observed at 35 MPa. In fact,  
521 this pressure may be enough to impair several cellular processes, resulting in decreased cell  
522 growth under these conditions. Application of HP was also found to promote modifications in  
523 terms of substrate consumption, and formation of ethanol, acetic and succinic acids, with the  
524 fermentative profile varying according to the pressure level. Generally, it was similar at 10 and  
525 25 MPa, but once again, considerably different at 35 MPa, possibly as a result of metabolic  
526 shifts, or even metabolic activity inhibition. The formation of these compounds under HP  
527 showed interesting patterns and confirm that HP has interesting effects on living systems,  
528 offering great biotechnological potential (such an example are microorganisms thriving in deep-  
529 sea). Therefore, it would be interesting to proceed the studies on *P. denitrificans* under HP, to

530 further optimize the fermentation process at these conditions, and to improve the titers and  
531 yields. As future work, it would also be relevant to study the pressure effects on the production  
532 of different compounds, such as the intracellular biopolymers polyhydroxyalkanoates. It would  
533 also be important to evaluate the physiologic, genetic and metabolic effects of HP on *P.*  
534 *denitrificans* cells, using genomics and proteomics tools, as well as cell imaging techniques that  
535 allow the analysis of cell structure - with particular interest for the cell membrane.

536 Overall, the implications of the pressure-promoted changes in *P. denitrificans* growth and  
537 fermentation process are still not completely understood, but the results obtained in this work  
538 unveil new metabolic features of this bacterial strain, and provide useful information for further  
539 studies regarding *P. denitrificans* under pressure. Although there is no immediate and direct  
540 practical application of the study to the industry, we consider that these results show great  
541 relevance in the field, since they also open the way for application of this technology to other  
542 glycerol fermentation processes, in particular to the ones with high requirements of air  
543 availability.

544

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554

#### 555 **Conflict of interest**

556 The authors have no conflict of interest to declare.

557

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667 **Figure captions:**

668 **Figure 1.** Concentrations of biomass (a) and glycerol (b) throughout fermentation, for different  
669 air availability conditions: without air during the entire process (●); with air during the  
670 first 24 h, and without air during the remaining 48 h (▲); or with air during the entire  
671 process (■).

672 **Figure 2.** Concentrations of ethanol (a), acetic (b) and succinic acids (c) throughout  
673 fermentation, for different air availability conditions: without air during the entire process  
674 (●); with air during the first 24 h, and without air during the remaining 48 h (▲); or with  
675 air during the entire process (■).

676 **Figure 3.** Concentrations of biomass (a) and glycerol (b) throughout fermentation at different  
677 HP conditions: 10 MPa (▲), 25 MPa (●), or 35 MPa (■). Control samples (0.1 MPa) are  
678 also represented (\*).

679 **Figure 4.** Glucose concentrations throughout fermentation at different HP conditions: 10 MPa  
680 (▲), 25 MPa (●), or 35 MPa (■). Control samples (0.1 MPa) are also represented (\*).

681 **Figure 5.** Concentrations of ethanol (a), acetic (b) and succinic acids (c) throughout  
682 fermentation at different HP conditions: 10 MPa (▲), 25 MPa (●), or 35 MPa (■). Control  
683 samples (0.1 MPa) are also represented (\*).

**Table 1.** Yields of biomass ( $Y_{X/S}$ ), ethanol ( $Y_{EtOH/S}$ ), acetic ( $Y_{Acet/S}$ ), and succinic acids ( $Y_{Succ/S}$ ) on glycerol, for fermentation under different air availability conditions.

Samples	$Y_{X/S}$ (g g <sup>-1</sup> )	$Y_{EtOH/S}$ (g g <sup>-1</sup> )	$Y_{Acet/S}$ (g g <sup>-1</sup> )	$Y_{Succ/S}$ (g g <sup>-1</sup> )
Without air	0.061 ± 0.019	0.945 ± 0.005	0.140 ± 0.089	0.106 ± 0.018
24h with air + 48h without air	0.492 ± 0.005	0.095 ± 0.002	0.028 ± 0.001	n.d.
With air	0.221 ± 0.008	n.d.	n.d.	n.d.

Yields were calculated from a single time-point corresponding to the end of the experiment (72 h). Values reported in the table represent the mean ± SD of two independent biological replicates, analyzed in duplicated. N.d. indicates non-detected production of the compound.

**Table 2.** Yields of biomass ( $Y_{X/S}$ ), ethanol ( $Y_{EtOH/S}$ ), acetic acid ( $Y_{Acet/S}$ ), and succinic acid ( $Y_{Succ/Gly}$ ) on glycerol, for fermentation under different pressure conditions.

Pressure (MPa)	$Y_{X/S}$ (g g <sup>-1</sup> )	$Y_{EtOH/S}$ (g g <sup>-1</sup> )	$Y_{Acet/S}$ (g g <sup>-1</sup> )	$Y_{Succ/S}$ (g g <sup>-1</sup> )
0.1	0.221 ± 0.008	n.d.	0.070 ± 0.006	0.022 ± 0.003
10	0.170 ± 0.012	0.043 ± 0.007	0.016 ± 0.003	0.029 ± 0.005
25	0.163 ± 0.010	0.041 ± 0.011	0.017 ± 0.001	0.014 ± 0.005
35	0.121 ± 0.056	n.d.	n.d.	n.d.

Yields were calculated from a single time-point corresponding to the end of the experiment (72 h). Values reported in the table represent the mean ± SD of two independent biological replicates, and analyzed in duplicated. N.d. indicates non-detected production of the compound.

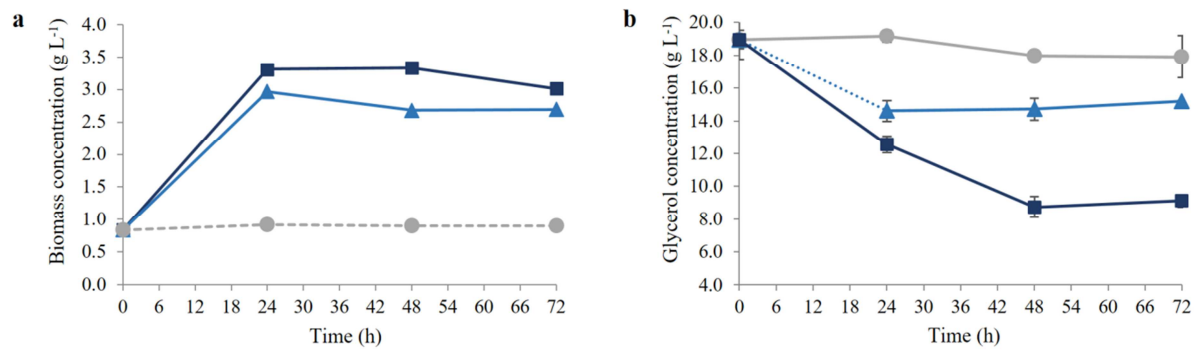


**Table 3.** Specific growth rates ( $\mu$ ), final biomass concentrations and percentages of glycerol consumed, after 24 h of fermentation, for medium:air ratios of 1.0:1.8 and 1.0:2.2.

Samples		$\mu$ ( $\text{h}^{-1}$ )	Final biomass concentration ( $\text{g L}^{-1}$ )	Glycerol consumed (%)
0.1 MPa	1.0:1.8	$0.098 \pm 0.011$	$2.65 \pm 0.14$	$28.34 \pm 3.19$
	1.0:2.2	$0.104 \pm 0.010$	$2.99 \pm 0.16$	$33.54 \pm 0.98$

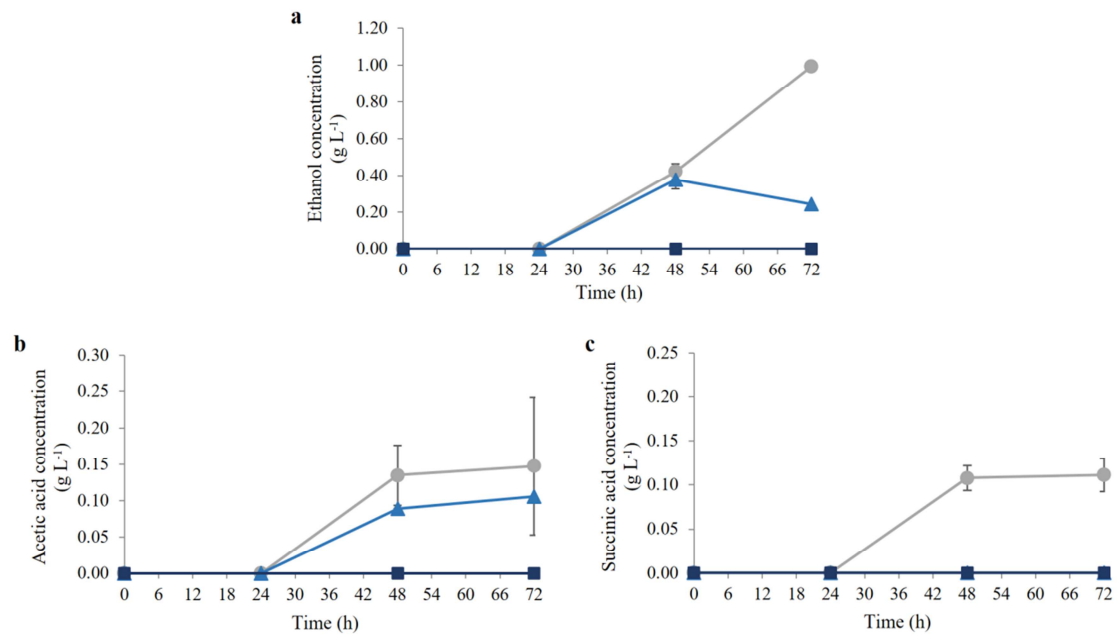
Values reported in the table represent the mean  $\pm$  SD of two independent biological replicates, analyzed in duplicated.

Figure 1:



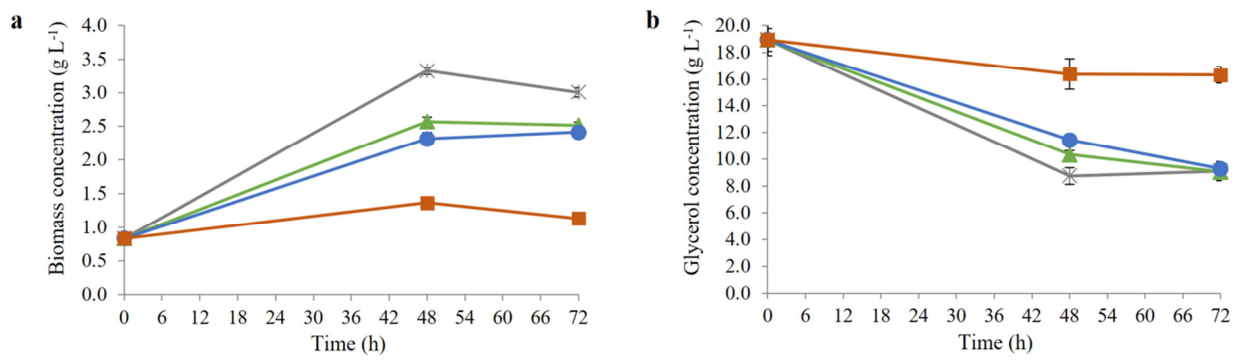
No air (●); With air on the first 24 h, followed by no air for 48 h (▲); With air (■).

Figure 2:



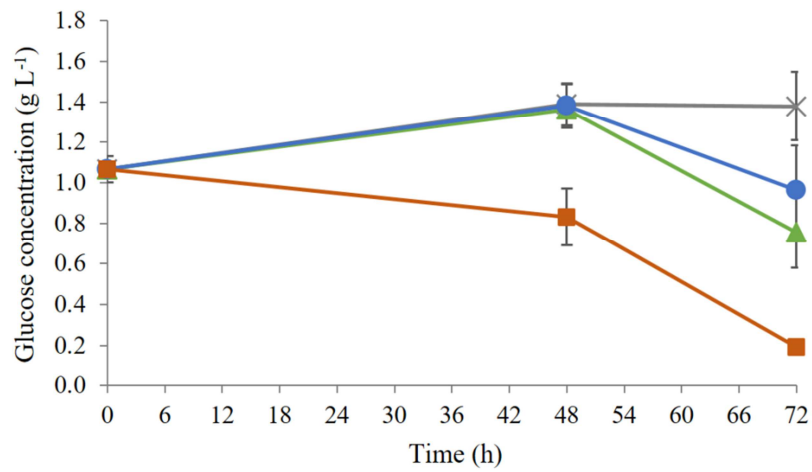
No air (●); With air on the first 24 h, followed by no air for 48 h (▲); With air (■).

Figure 3:



0.1 MPa (\*), 10 MPa (▲), 25 MPa (●), or 35 MPa (■)

Figure 4:



0.1 MPa (\*), 10 MPa (▲), 25 MPa (●), or 35 MPa (■)

Figure 5:

