Solid-liquid phase equilibrium of *trans*-cinnamic acid, *p*-coumaric acid and ferulic acid in water and organic solvents: Experimental and modelling studies

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2	and ferulic acid in water and organic solvents: experimental and						
3	modelling studies						
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16	Abstract
17	The solubility of the trans isomers of cinnamic acid, p-coumaric acid and ferulic acid
18	was measured in water and seven organic solvents (methanol, ethanol, 1-propanol, 2-
19	propanol, 2-butanone, ethyl acetate and acetonitrile), at 298.2 and 313.2 K, using the
20	analytical shake-flask technique. The melting temperatures and enthalpies of the solutes
21	were studied by differential scanning calorimetry, while solute solid structures were
22	identified by powder and single X-ray diffraction.
23	The NRTL-SAC model was applied to calculate the solubility of trans-cinnamic acid
24	and trans-ferulic acid in pure solvents. For trans-p-coumaric acid, the NRTL-SAC was
25	combined with the Reference Solvent Approach, as the solute melting properties could
26	not be determined. The global average relative deviations (ARD) were 32% and 41%, in
27	the correlation and prediction stages, respectively. The Abraham solvation model was
28	also applied. The global ARD were 20% for correlation and 29% for predictions, which
29	can be considered very satisfactory results for these semi-predictive models.
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33	Keywords
34	Cinnamic acid derivatives; solubility; solid phase studies; NRTL-SAC model; Abraham
35	solvation model
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1. Introduction

47 Naturally occurring phenolic acids are well studied for their bioactive properties and 48 present a wide distribution in plant material, where they can be found in the free form, 49 or conjugated to other molecules [1]. Among this family of compounds, two major **50** classes can be distinguished based on their structure: benzoic acid derivatives and 51 cinnamic acid derivatives [1,2]. In general, cinnamic acid derivatives are more abundant 52 in nature, especially ferulic acid, caffeic acid, p-coumaric acid and sinapic acid [2–4], 53 having many applications in the pharmaceutical, food, and cosmetic industries due to 54 their chemical and biological properties [2,3]. 55 The main aim of this work is to study the solubility of the *trans* isomers (for simplicity, **56** the prefix trans will be omitted in the text) of cinnamic acid and two derivatives (p-57 coumaric acid and ferulic acid) in water and seven pure organic solvents (methanol, **58** ethanol, 1-propanol, 2-propanol, 2-butanone, ethyl acetate and acetonitrile) at 298.2 K **59** and 313.2 K. Whenever possible, the solubility data were critically compared to **60** literature. Some solubility studies can be found for cinnamic acid [5–8], p-coumaric acid [9,10], and ferulic acid [5,11–15], but for several binary systems, the solubility data 61 **62** are reported for the first time. 63 The structures of the solutes are shown in Fig. 1. As can be seen, relatively to the 64 cinnamic acid (3-phenylacrylic acid), *p*-coumaric simplest acid (3-(4-65 hydroxyphenyl)acrylic acid) has an additional hydroxyl group and ferulic acid (3-(4hydroxy-3-methoxyphenyl)acrylic acid) presents a hydroxyl and a methoxy group. 66

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Fig. 1. Chemical structures of the *trans* isomers of: (a) cinnamic acid; (b) *p*-coumaric acid and (c) ferulic acid.

The *trans* isomer is the predominant form of cinnamic acid, being Chinese cinnamon a major natural source, and presents relevant pharmaceutical and biological properties, such as antibacterial, anti-inflammatory, antifungal, antioxidant and antitumor activities

73 [16]. Cinnamic acid is also an ingredient used in several personal-care products and **74** non-cosmetic products [17]. Similarly, p-coumaric acid and its conjugates also present bioactive properties in different dimensions, such as antioxidant, anti-tumour, **75 76** antimicrobial, antivirus, anti-inflammatory, antiplatelet aggregation, anxiolytic, 77 antipyretic, analgesic, and anti-arthritis [18]. Also, this compound has been identified as **78** a competitive inhibitor of tyrosinase, and studied as a potential skin-lightening cosmetic **79** ingredient [19]. Finally, ferulic acid is the most abundant phenolic acid found in cereal **80** grains, and in different vegetables and fruits, such as citrus fruits, banana, coffee, 81 eggplant, bamboo shoots, beetroot, cabbage, spinach, and broccoli [2,20]. It presents antioxidant, antimicrobial, anti-inflammatory, anti-thrombosis, and anti-tumour **82** 83 activities, among others. It is widely used as an ingredient in the food and cosmetic 84 areas and as a raw material for the production of other important compounds, such as vanillin, sinapic acid and curcumin [20,21]. Thus, for the adequate design of products 85 86 and processes it is extremely relevant to know some of their physicochemical **87** properties, namely reliable solubility data in pure and mixed solvents, as the compounds 88 are in the solid state at room conditions. **89** Finally, to model the solid-liquid equilibria data, two semi-predictive thermodynamic 90 models were selected: (1) the semi-predictive Nonrandom Two-Liquid Segment 91 Activity Coefficient (NRTL-SAC) model proposed by Chen and Song [22] that was 92 already used to describe the solubility of phenolic compounds in water and organic 93 solvents [23–28]; (2) the Abraham solvation model [29–31] that has been applied to 94 calculate the solubility of benzoic acid derivatives [28,32-39], and cinnamic acid 95 derivatives [8,40]. To support the description of the solid-liquid equilibria, the melting 96 properties of the pure solutes were measured by Differential Scanning Calorimetry 97 (DSC) and solid phase studies were carried out by X-Ray Diffraction (XRD).

98 2. Experimental

99 2.1. Chemicals

100 Ultrapure water (resistivity of 18.2 M Ω ·cm, free particles $\geq 0.22~\mu m$ and total organic carbon $< 5~\mu g \cdot dm^{-3}$) was used. All the organic compounds were used as received from the suppliers and are listed in Table 1. The solids were kept in a desiccator to avoid water contamination.

Table 1: Mass purity (%), CAS number and source of the organic compounds used in this work.

Compound	Mass purity (%) ^a	CAS number	Source
trans-cinnamic acid	≥ 99.5	140-10-3	Alfa Aesar
p-coumaric acid	≥ 99.9	7400-08-0	Merck KGaA
trans-ferulic acid	≥99.9	537-73-5	Alfa Aesar
methanol	≥ 99.9	67-56-1	Carlo Erba
ethanol	≥ 99.9	64-17-5	Carlo Erba
1-propanol	≥ 99.5	71-23-8	Carlo Erba
2-propanol	≥ 99.9	67-63-0	Honeywell
2-butanone	≥ 99.5	78-93-3	Sigma-Aldrich
ethyl acetate	≥ 99.9	141-78-6	Carlo Erba
acetonitrile	≥ 99.9	75-05-8	Sigma-Aldrich

The purity was obtained in the certificate of analysis issued by the manufacturer.

106 2.2. Melting Properties

The melting temperatures and enthalpies were determined by DSC (model 204 F1 Phoenix, NETZSCH) using a nitrogen flowing system. Samples of 3 to 8 mg (± 0.1 mg) were hermetically sealed into aluminum crucibles. The heating and cooling rates were 1 K/min and 2 K/min, respectively. The experiments were performed from 293.2 K to 523.2 K for cinnamic acid, from 293.2 K to 503.2 K for *p*-coumaric acid, and from 293.2 K to 473.2 K for ferulic acid. At least three runs were considered to calculate the final average results. An external calibration was performed using 11 compounds (water, 4-nitrotoluene, naphthalene, benzoic acid, diphenyl acetic acid, indium, anthracene, tin, caffeine, bismuth and zinc). The onset value was considered as the melting temperature.

117 2.3. Solubility Experiments

The solubility experiments were carried out by the isothermal shake-flask method, which was described in detail elsewhere [27,41]. In summary, around 80 ml of a saturated solution of each binary system was prepared and placed in a thermostatic bath (maximum temperature deviation of \pm 0.1 K). From preliminary experiments, the optimum stirring and settling times were found to be 32 h and 15 h, respectively. After reaching equilibrium, three samples of around 0.3 cm³ were collected from the supernatant solution, using pre-heated plastic syringes coupled to a polypropylene filter (0.45 μ m pore size).

- 126 In previous works [27,28,41], the composition of the samples was quantified by
- 127 gravimetry. However, tests showed that *trans*-cinnamic acid was thermally unstable,
- once it presented a weight loss of 6.3%, after remaining at 343.2 K for one week inside
- an oven, and a weight loss of 1.3% after one month at 303.2 K (along with significant
- 130 color change in both cases). Therefore, the selected analytical method was UV-Vis
- 131 spectroscopy (model T70, PG Instruments), at wavelengths 273 nm (cinnamic acid),
- 132 310 nm (p-coumaric acid) and 321 nm (ferulic acid). The samples were diluted in a
- mixture of water + ethanol (proportion 35:65 by wt.%), placed in cuvettes (5 mm
- optical path) and then read at least three times. The calibration curves ($R^2 \ge 0.998$) were
- obtained using seven standard solutions.
- 136 2.4. Solid-Phase Studies
- **137** *2.4.1. Samples*
- 138 The solid phase of the aromatic acids, as received from suppliers and crystallized after
- evaporation of a set of selected solvents, was analyzed by powder or single crystal X-
- 140 Ray diffraction.
- 141 2.4.2. Powder and Single X-ray Diffraction
- 142 Powder XRD data were collected on a X'Pert MPD Philips diffractometer, using Cu-Ka
- radiation ($\lambda = 1.5406 \text{ Å}$), with a curved graphite monochromator, a set incident area of
- 144 10 mm², and a flat plate sample holder, in a Bragg-Brentano para-focusing optics
- configuration. Intensity data were collected by the step counting method (step 0.02° and
- 146 time 5 s) in the range $5^{\circ} < 2\theta < 50^{\circ}$.
- 147 The cell parameters of suitable crystals of the solutes provided from suppliers as well
- 148 the solid samples obtained after evaporating the solvent (water, methanol, ethanol, 2-
- butanone, ethyl acetate and acetonitrile) were determined on a Bruker D8 Quest photon
- 150 100 CMOS, with monochromated Mo-K α radiation ($\lambda = 0.71073$ Å) and operating at
- 151 150(2) K. The selected crystals were placed at 40 mm from the detector and the spots
- were measured using different counting times (varying from 10 to 30 s).
- 153 3. Thermodynamic Modeling
- **154** *3.1. The NRTL-SAC Model*

- 155 The NRTL-SAC model was already applied in previous studies [22–25,28,41–43], and
- is described in detail elsewhere [22,42]. The model describes each molecule using four
- conceptual segments related to the different surface characteristics: hydrophobic (X),
- 158 hydrophilic (Z), polar attractive (Y+), and polar repulsive (Y-). These parameters were
- reported for a large number of solvents, including those studied in this work [22,42].
- 160 Therefore, only the molecular descriptors of the solute need to be estimated.
- 161 Assuming pure solid phase and neglecting the heat capacity change upon melting which
- has often a small impact in equilibrium calculations, the solubility of a solid solute in a
- liquid solvent can be calculated from the equation [44]:

$$\ln x_s = \frac{\Delta_m H}{RT_m} \left(1 - \frac{T_m}{T} \right) - \ln \gamma_s \tag{1}$$

- where x_s is the mole fraction solubility of the solute S, R is the ideal gas constant, T is
- 165 the absolute temperature, T_m is the absolute melting temperature of the solute, $\Delta_m H$ its
- melting enthalpy, and γ_s is the activity coefficient of the solute S in the binary liquid
- solution, here calculated using the NRTL-SAC model [22].
- 168 As can be seen from Eq. (1), accurate melting properties are needed to predict the
- 169 solubility data. Alternatively, the NRTL-SAC model can be combined with the
- 170 Reference Solvent Approach (RSA), proposed by Abildskov and O'Connell [45,46]. In
- this methodology, the use of a reference solvent eliminates the need of the melting
- 172 properties, being a useful tool whenever the melting properties present high
- uncertainties or are unavailable. Briefly, the RSA can be described by:

$$\ln x_{Si} = \ln x_{Sj} + \ln \gamma_{Sj} (T, \{x_S\}_j) - \ln \gamma_{Si} (T, \{x_S\}_i)$$
 (2)

- where x_{Si} is the mole fraction solubility of solute S in a solvent i, x_{Si} is the solubility of
- 175 the same solute in a reference solvent j, $\gamma_{Si}(T, \{x_S\}_i)$ is the activity coefficient of the
- solute in solvent i, while $\gamma_{Si}(T, \{x_S\}_i)$ is the activity coefficient of the solute in the
- 177 reference solvent j.
- 178 As can be seen in Eq. (2), the experimental solubility of a solute in a given reference
- solvent is used along with the activity coefficients calculated by the NRTL-SAC model.
- 180 For a given set of data, the optimal reference solvent is found by:

$$\min_{j} \left| \sum_{i=data} \delta \ln x_{S,ij} \right| = \min_{j} \left| \sum_{i=data} (\ln x_{Si} + \ln y_{Si}) - N(\ln x_{Sj} + \ln y_{Sj}) \right|$$
(3)

- where $\sum_{i=data} \delta \ln x_{S,ij}$ is the error associated to the mole fraction solubilities of solute
- 182 S in all the solvents assuming a reference solvent j, and N is the number of experimental
- data points in a given set.

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- 184 3.2. The Abraham Solvation Model
- 185 The partition coefficient between water and a solvent (P_s) can be approximated by the
- ratio of the molar solubilities of a solute in the organic solvent (S_s) and in water (S_w) :

$$P_S = \frac{S_S}{S_W} \tag{4}$$

As discussed by Abraham and co-authors [31], Eq. (4) only holds if: (a) the solid phase in equilibrium with both solvents is the same; (b) the secondary medium activity coefficient of the solute in the two phases is near unity; the same (undissociated, if ionizable) chemical species should be present in each phase. In addition, the partition of a solute between two fluid phases can be correlated by two linear free energy relationships (LFERs) [29–31].

$$\log(P_S) = c + eE + sS + aA + bB + vV$$
(5)

$$\log(K_S) = c + eE + sS + aA + bB + lL$$
 (6)

Eq. (5) calculates the solute partition between two condensed phases and, Eq. (6), the partition between a gas phase and an organic solvent. In those equations, the uppercase

196 descriptors (E, S, A, B, V and L) represent the Abraham solute descriptors, where E is

197 the solute excess molar refractivity, S refers to the solute dipolarity/polarizability, A and

198 B account for the overall solute hydrogen bond acidity and basicity, V is the solute's

199 McGowan characteristic molecular volume and L is the logarithm of the gas-to-

hexadecane partition coefficient at 298.15 K. The lowercase regression coefficients and

constants represent condensed phase properties, already available for a large number of

solvents. For each solute, V can be calculated from its molecular structure. The

203 descriptor E can be calculated from the solute's refractive index, which can be

204 experimentally obtained or, if unavailable, estimated using ACD free software.

205 For the solvents studied in this work, these coefficients have already been reported in

206 literature [31]. Regarding the solute descriptors, they can be estimated by multiple linear

regression, using experimental solubility data. Estimations of the solute descriptors have been already reported for the monomeric and dimeric forms of *trans*-cinnamic acid [8] and for the monomeric form of *p*-coumaric acid [40], but no information was found for ferulic acid.

4. Results and Discussion

4.1. Melting Properties

The melting temperatures and enthalpies obtained in this work are presented in Table 2 along with the data found in literature [5,6,9,10,14,47–52]. In Fig. S1 of Supporting Information (SI), exemplificative thermograms of *trans*-cinnamic acid and ferulic acid are presented.

Table 2: Comparison of the melting temperatures and enthalpies of the studied aromatic acids found in the literature and measured in this work.

Compound	$T_{ m m}/{ m K}$	$\Delta_{\rm m}H/{\rm kJ\cdot mol^{-1}}$	Methodology	Reference
	406.1. ± 0.4	22.2 ± 0.8	DSC	[5]
	406.2 ± 0.3	22.2 ± 0.4	DSC	[6]
trans-cinnamic acid	406.2	22.6	NA^a	[48]
trans-cimanne acid	405.5	25.7	DSC	[49]
	404.8	22.6	DSC	[50]
	406.9 ± 0.2^{b}	22.1 ± 0.1	DSC	this work
	492.4 ±0.3	27.4 ± 0.9	DSC	[9]
p-coumaric acid	494.4 ± 0.2	34.3 ± 0.02	DSC	[10]
	_c	_c	DSC	this work
	444.6 ±. 0.5	33.3 ± 1.2	DSC	[5]
	448.0^{d}	33.5 ^d	DSC	[14]
	447.7 ^e	36.3 ^e	DSC	[17]
ferulic acid	445.9 ± 0.5	34.7 ± 0.2	DSC	[47]
	444.9 ± 0.4	31.9 ± 0.9	DSC	[51]
	445.1 ± 0.9	33.5 ± 0.5	DSC	[52]
	445.8 ± 0.2^{b}	38.4 ± 0.2	DSC	this work

<sup>219
&</sup>lt;sup>a</sup> Not available.

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The melting properties of *p*-coumaric acid could not be derived from the DSC experiments performed in this work due to decomposition of the samples upon melting. Several experiments were performed, always showing an exothermic transition immediately after an endothermic one, precluding the correct integration of the melting

^b The experimental onset temperatures were considered as melting temperatures, in this work.

^c Decomposition upon melting.

^d Melting properties of ferulic acid as received from the supplier.

 $^{^{\}mathrm{e}}$ Melting properties of ferulic acid recovered from an aqueous saturated solution (after evaporation of the solvent).

peak. The melting temperatures reported by Alevizou et al. [9] and Ji et al. [10] aresimilar, whereas the melting enthalpies present larger deviations.

In the case of *trans*-cinnamic acid, the melting temperature (406.9 \pm 0.2 K) and enthalpy (22.1 \pm 0.2 kJ·mol⁻¹) obtained in this work are consistent with the average literature data ($T_{\rm m} = 405.7 \pm 0.7$ K and $\Delta_{\rm m}H = 23.1 \pm 1.5$ kJ·mol⁻¹). For ferulic acid, the melting temperature obtained in this work (445.8 \pm 0.2 K) is very close to the average melting temperature calculated from literature (445.7 \pm 1.2 K), being closer to the value reported by Emel'yanenko et al. [47]. The melting enthalpy (38.4 \pm 0.2 kJ·mol⁻¹), however, is higher than the literature average ($\Delta_{\rm m}H = 33.9 \pm 1.5$ kJ·mol⁻¹). Shakeel et al. [14] measured the melting properties for ferulic acid obtained directly from the supplier and recovered from saturated aqueous solutions, being the later much closer to the melting enthalpy obtained in this work. In fact, the melting temperatures reported by the authors [14] for both cases are very close, indicating that no solid phase transformation occurred in the solid recovered from the aqueous mixtures. For this reason, both data were included in Table 2.

For *trans*-cinnamic acid and ferulic acid, in the studied temperature range, only the melting phase transition peak was identified in the thermograms. The melting peak shapes of *trans*-cinnamic acid presented modifications over successive runs (at heating rates of 1 K/min and cooling rates of 2 K/min) leading generally to lower temperature and enthalpy values (as shown in Fig. S2 of SI). The samples of ferulic acid could not be crystalized after melting. Therefore, only independent first runs were considered.

4.2. Experimental Solubilities

The solubilities of the *trans* isomers of cinnamic acid, *p*-coumaric acid and ferulic acid in methanol, ethanol, 1-propanol, 2-propanol, 2-butanone, ethyl acetate, acetonitrile and water at 298.2 K and 313.2 K are presented in Table 3.

Table 3: Experimental solubility (g of solute/100 g of solvent) of the studied cinnamic acids in water and organic solvents at 298.2 K and 313.2 K. ^{a,b}

Solvent	trans-cinr	namic acid	p-coumai	ric acid	ferulic acid	
Sorvent	298.2 K	313.2 K	298.2 K	313.2 K	298.2 K	313.2 K
methanol	32.94 ± 0.27	45.70 ± 0.07	22.79 ± 0.27	31.39 ± 0.18	21.45 ± 0.81	30.18 ± 0.12
ethanol	25.86 ± 0.85	36.47 ± 0.08	18.78 ± 0.84	19.29 ± 0.64	11.60 ± 0.03	17.56 ± 0.02
1-propanol	19.29 ± 0.68	28.47 ± 0.53	10.81 ± 0.22	11.57 ± 0.25	5.82 ± 0.16	9.22 ± 0.29
2-propanol	16.79 ± 0.55	29.18 ± 0.62	9.44 ± 0.26	10.56 ± 0.29	6.20 ± 0.05	9.92 ± 0.16

2-butanone 22.46 ± 0.15 31.93 ± 1.00 8.39 ± 0.28 10.19 ± 0.37 8.41 ± 0.16 11.74 ± 0.51 13.05 ± 0.24 1.97 ± 0.11 2.85 ± 0.03 4.49 ± 0.01 ethyl acetate 19.86 ± 0.27 2.91 ± 0.08 6.26 ± 0.01 11.42 ± 0.03 1.20 ± 0.03 2.02 ± 0.04 2.19 ± 0.03 3.85 ± 0.06 acetonitrile 0.042 ± 0.001 0.083 ± 0.001 0.056 ± 0.001 0.133 ± 0.003 0.060 ± 0.001 0.126 ± 0.003 water

- Each reported data point is the average of three samples. The consistency of the measurements is confirmed by the low coefficients of variation, lower than 5.5%.
- 259 In all cases, the solubility increases as the temperature increases, being larger in
- alcohols and 2-butanone and considerably smaller in water. This behavior was observed
- 261 in previous works with benzoic acid derivatives [27,28]. Among the solutes studied,
- 262 trans-cinnamic acid is the most soluble solute in all the organic solvents, whereas
- 263 ferulic acid and p-coumaric acid have similar solubilities. The latter are more soluble in
- water than cinnamic acid.

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- 265 Trans-cinnamic acid presents the lowest melting properties compared to the other two
- acids (discussed in Section 4.1), meaning it has the highest ideal solubility. This effect
- seems to dominate over the solute-polar solvent interactions that could be higher in the
- 268 cases of p-coumaric acid and ferulic acid due to the presence of an additional polar
- 269 hydroxyl group, which certainly contributes for their slightly higher (mole fraction)
- 270 solubility in water.
- 271 In the case of systems containing alcohols, the solubilities follow the order: trans-
- 272 cinnamic-acid > p-coumaric acid > ferulic acid. Also, the solubility decreases as the
- alkyl chain length of the alcohol increases. The increase in the solubility of p-coumaric
- acid in ethanol from 298.2 K to 313.2 K (2.7%) is surprisingly much lower than the
- average increase of the solubilities of the solutes in alcohols (26.4%), but also of the
- other solutes in ethanol.
- 277 For ethyl acetate, 2-butanone and acetonitrile, trans-cinnamic acid is also the most
- soluble solute, but ferulic acid presents higher solubilities than p-coumaric acid. In this
- 279 case, the presence of the methoxy group seems to increase the interactions of ferulic
- acid with the polar non-associative solvents.
- **281** *4.3.* Comparison with Literature Data
- 282 The solubility data obtained in this work was critically compared to literature data.[5–
- 283 15,53] In Fig. 2, a comparison between the solubility data in ethanol and water is

²⁵⁵ Temperature and pressure standard uncertainties are u(T) = 0.10 K and $u_r(p) = 0.05$, respectively.

^bStandard deviations are placed after plus-minus sign.

presented, while other relevant comparisons are given in Table S1 and Fig. S3-S5 inSupporting Information.

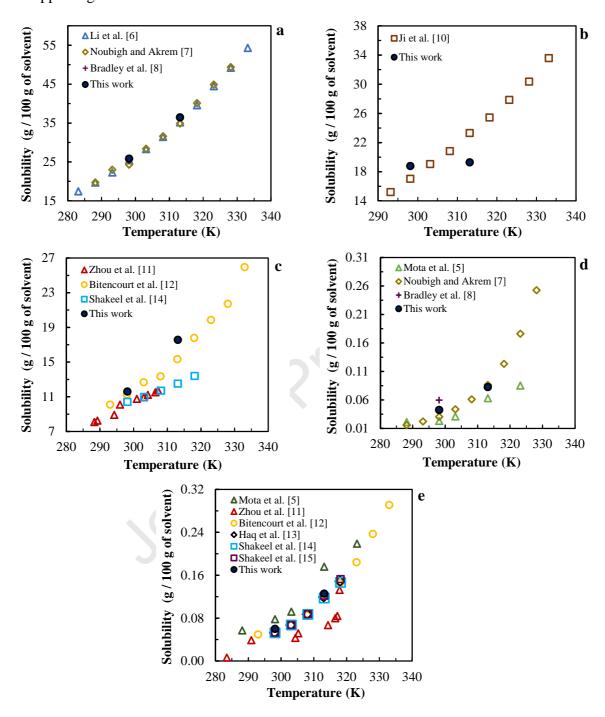


Fig. 2: Comparison of the experimental solubility data obtained in this work with literature data [5–8,10–15]: (a) cinnamic acid + ethanol; (b) p-coumaric acid + ethanol; (c) ferulic acid + ethanol; (d) cinnamic acid + water; (e) ferulic acid + water.

In general, the solubilities obtained in this work are in good agreement with the literature data. For example, the solubilities in ethanol or water have ARD (calculated as the ratio between the absolute value of the difference between the solubility data

- 292 obtained in this work and the average value from literature, divided by the average
- value from literature) lower than 13% for ethanol and lower than 5% for water, with the
- 294 exception of the system p-coumaric acid + ethanol at 313.15 K, where the solubility
- obtained in this work is 4 g/100 g of solvent (ARD of 17%) lower than the value
- reported by Ji et al. [10]. The solubility of ferulic acid in water reported by Noubigh et
- al. [53] is much higher than all the other data found in literature [5,11–15], and were not
- **298** included in Fig. 2 for practical viewing purposes.
- 299 A point deserving attention is the slope of the solubility change with temperature (Fig.
- 300 2b) in the systems p-coumaric acid + alcohol (ethanol, 1-propanol, 2-propanol), in Figs.
- 301 2b, S4b and S4c. Ji and co-authors [10] employed the gravimetric method for the
- quantification analysis of the saturated solution, while the UV-Vis spectroscopy was
- 303 preferred in this work. As discussed by Königsberger [54], several parameters, from
- 304 compound purities to the analytical methods influence the final solubility value. To
- 305 check the accuracy of the solubility values obtained in this work, the experiments were
- 306 repeated for p-coumaric acid in alcohols, at both temperatures, but no significant
- 307 changes were observed.
- 308 4.4. Solid Phase Studies
- 309 The solid phase of the aromatic acids, as received from suppliers as well as crystallized
- after evaporation of a set of selected solvents, was analyzed by powder or single crystal
- 311 X-ray diffraction. It was found that, for all solutes, the solid phase recovered from
- evaporating the solvent kept the same structure when compared to that of the supplier.
- 313 The *trans*-cinnamic acid obtained from the supplier had very small crystals that were
- analyzed by powder X-ray diffraction, showing a pattern comparable to that published
- in CCDC database with number 705511 (Fig. S6). The solid phases of *trans*-cinnamic
- acid obtained after evaporation from water, ethanol, methanol, ethyl acetate, 2-butanone
- and acetonitrile solutions showed suitable crystals to be analyzed by single crystal X-
- 318 ray diffraction. All solids crystalized in monoclinic system P with the cell parameters
- 319 a=5.57 Å, b=17.51 Å, c=7.61 Å and β =96.35°, which are comparable to *trans*-cinnamic
- acid published with CCDC number 705511.
- 321 Crystals of p-coumaric acid from supplier and obtained after evaporation from water,
- 322 methanol, ethyl acetate, 2-butanone and acetonitrile solutions were indexed by single
- 323 crystal X-ray diffraction with the following cell parameters: a=8.70 Å, b=5.22 Å,

324 c=17.06 Å and β =100.43°, monoclinic P, which are comparable to p-coumaric acid 325 published with CCDC number 945006. Additionally, all samples were analyzed by 326 powder X-ray diffraction showing similar powder patterns. Fig. S7 compares the 327 powder pattern of p-coumaric acid from supplier and the powder pattern simulated from 328 the single crystal data of the sample published in CCDC database with number 945006. 329 The crystals of *trans*-ferulic acid from supplier, and obtained after evaporation from 330 water, methanol, ethanol, ethyl acetate and 2-butanone solutions, crystallized in the monoclinic system P with the cell parameters a=4.61 Å, b=16.76 Å, c=11.85 Å and 331 β=91.55°, comparable to trans-ferulic acid deposited in CCDC database with number 332 333 950899. The structure of the bulk samples was analyzed by powder X-ray diffraction 334 showing all the same powder pattern as the trans-ferulic acid sample with CCDC 335 number of 950899 (Fig. S8).

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- 4.5. Thermodynamic Modelling 337
- 338 4.5.1. NRTL-SAC and NRTL-SAC + RSA
- 339 The optimization of the NRTL-SAC segment descriptors of the solutes was carried out **340** using the routine Isqnonlin (MATLAB software version R2018a). The following objective function was minimized using a nonlinear least-squares method: 341

$$F = \sum_{i} \left(\frac{\left| x_i^{exp} - x_i^{calc} \right|}{x_i^{exp}} \right) \tag{7}$$

where x_i is the mole fraction solubility in the solvent i and the superscripts "calc" and 343 "exp" mean the calculated and experimental values, respectively. 344 To assure that the NRTL-SAC parameters provide a good predictive character to the 345 model it is advisable to include, in the correlation step, solubility data obtained in 346 solvents with different surface characteristics. Therefore, the experimental solubilities 347 measured in seven solvents (water, methanol, ethanol, 2-propanol, ethyl acetate, 348 acetonitrile and 2-butanone) were used to correlate the four conceptual segment parameters (X, Y, Y^{+}, Z) for each solute. Afterwards, the solubility in 1-propanol (data 349 350 from this work) and in other organic solvents [6,8,10,11,14] was predicted. The 351 deviations between the experimental and calculated data were calculated as:

$$ARD(\%) = \frac{1}{NP} \sum_{i} \frac{\left| x_i^{\text{exp}} - x_i^{\text{calc}} \right|}{x_i^{\text{exp}}} * 100$$
 (8)

352 where *NP* is the number of data points.

As discussed before, the melting properties obtained for *trans*-cinnamic acid and *trans*-ferulic acid are in satisfactory agreement with literature. For these two solutes, the NRTL-SAC model could be directly combined with Eq. (1). Nevertheless, the melting temperature and enthalpy could not be determined in this work for *p*-coumaric acid, and high uncertainties are observed in the literature data, especially for the melting enthalpies. For that reason, the RSA proposed by Abildskov and O'Connell [45,46] was in this case combined with the NRTL-SAC model.

The molecular descriptors of the solutes obtained using both correlation approaches

The molecular descriptors of the solutes obtained using both correlation approaches
 (NRTL-SAC or NRTL-SAC + RSA), the outlier solvent (presenting the highest ARD)
 and the global ARD are presented in Table 4.

Table 4: NRTL-SAC estimated parameters, outlier solvent and ARD (%) for each solute.

Compound	X	Y-	Y +	Z	Model	Outliers	ARD (%)
trans-cinnamic acid	0.708	0.000	0.000	0.524	NRTL-SAC	2-butanone	23
p-coumaric acid	0.702	0.023	0.000	1.702	$NRTL$ - $SAC + RSA^{a}$	ethyl acetate/ 2-butanone	38
ferulic acid	0.456	0.816	0.583	0.000	NRTL-SAC	methanol	36

^aThe reference solvent is acetonitrile.

The results above show that both NRTL-SAC and NRTL-SAC + RSA approaches adequately describe the solubilities of the studied compounds, presenting ARDs varying from 23% to 38%, which are satisfactory for this semi-predictive model and close to values obtained previously for similar binary systems [23,24,27,28]. Attempts to estimate the NRTL-SAC segment descriptors of *trans*-cinnamic and ferulic acids using the RSA were performed, but no significant improvements in the global ARDs were accomplished. After, the models were applied to predict the solubility in other solvents at 298.2 K and 313.2 K (+/- 1 K). Those systems are shown in Table S2 of SI. A complete overview of the correlation and prediction results, using either the NRTL-SAC or NRTL-SAC + RSA, is presented in Fig. 3.

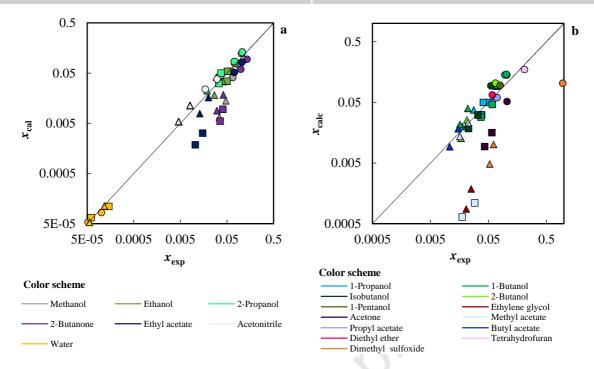


Fig. 3: Comparison between the experimental and calculated solubility data by the NRTL-SAC (*trans*-cinnamic acid and ferulic acid) and NRTL-SAC + RSA (*p*-coumaric acid): a) correlation; b) prediction. The symbols correspond to: \circ , *trans*-cinnamic acid; \square , *p*-coumaric acid; Δ , ferulic acid.

As can be seen in Fig. 3, a very good description is achieved for aqueous systems (maximum ARDs of 25%). The model also satisfactorily correlates the solubilities in acetonitrile (ARD of 30%) and alcohols in general (global ARD of 26%). The highest ARDs are obtained for the systems containing ethyl acetate and 2-butanone (ARDs of 45% and 60%, respectively). In general, the model provides satisfactory predictions for systems including alcohols, ethers, esters and ketones. The global ARDs for *trans*-cinnamic acid, *p*-coumaric acid and ferulic acid are 40%, 40% and 44%, respectively. The worse predictions were found for the solubility in dimethyl sulfoxide, ethylene glycol, and methyl acetate, and generally, when deviations are more significant, the model underestimates the solubility values.

4.5.2. Abraham Solvation Model

The solute descriptors A, B and S were obtained by multiple linear regression using solid-liquid equilibrium data only (Eq. 5). From our previous experience with benzoic acid derivatives [27,28], the robustness of the parameters relies in the number and diversity of systems included in the correlation. For instance, the inclusion of experimental solubility data in dimethylformamide (DMF) in the correlation set,

considerably improved the overall predictions [28]. Therefore, data reported by Bradley et al. [8] and Shakeel et al. [14] for the solubility of *trans*-cinnamic acid and ferulic acid in another polar aprotic solvent, dimethyl sulfoxide (DMSO), were added to the same correlation set already used with the NRTL-SAC model. In the Abraham solvation model, the data are expressed in molar concentration (Eq. 4), so all the experimental solubilities were converted from mole fraction (x_s^{exp}) to molar solubilities (S_s^{exp}) (Table S3 of SI). A global ARD of 20% was obtained in the correlation step. The model parameters, the outlier solvent and the ARD% are shown in Table 5.

Table 5: Estimated solute parameters in the Abraham solvation model, outlier solvent and ARD (%) for each solute.

Compound	E^{a}	S	A	В	V^{b}	Outlier	ARD (%)
trans-cinnamic acid	1.301	1.100	0.482	0.479	1.171	Methanol	14
p-coumaric acid	1.582	1.781	1.143	0.546	1.229	ethyl acetate	26
ferulic acid	1.472	1.138	0.290	0.877	1.429	ethyl acetate	18

^a Calculated following the procedure described by Abraham et al.[30] using the index of refraction of the solutes obtained from the ACD free software.[55]

Like in a previous work [27,28], the results obtained in the correlation show an excellent description of the SLE. To the best of our knowledge, the Abraham solvation descriptors are reported for the first time for ferulic acid. Bradley et al. [8] and Acree et al. [40] have already applied the model to describe the SLE of the monomeric and dimeric forms of trans-cinnamic acid and the monomeric form of p-coumaric acid, respectively. In the first case, the authors considered the solubility data of transcinnamic acid in water and in 21 organic solvents along with water-solvent partition coefficient data of five systems. The solute parameters reported for the monomeric form [8] are very close to the parameters found in this work, being the largest deviation observed for the E parameter (1.140 compared to 1.301 obtained in this work). The authors estimated E through a group contribution model proposed by Platts et al. [56], which allowed the calculation of different values for both monomeric and dimeric forms of the cinnamic acid, which is different from the methodology used in this work. It is relevant to mention that, as discussed by Bradley et al. [8] and Acree et al. [40], cinnamic acids might dimerize in less polar solvents, such as alkyl benzenes, chloroalkanes and nonpolar hydrocarbons. As the set of solvents selected to correlate

^b Calculated from the molecular structures of the solutes, as described by Abraham.[29]

425 the parameters of solutes in both NRTL-SAC and Abraham models are composed by 426 polar solvents, such as alcohols, ketones, esters and nitriles, the parameters obtained in this work are expected to provide reliable solubility predictions for systems where the 427 428 solute is predominantly present in the monomeric form. 429 For comparison purposes, the parameters were re-estimated, considering all the 430 solubility data available for trans-cinnamic acid in its monomeric form, in the 431 correlation step. As can be seen in Table S4 of SI, no significant changes were observed 432 either in the parameter values nor in the relative deviations. It shows the robustness of 433 the models, more clearly for the Abraham solvation model, even when using a small 434 number of solvents in the correlation. 435 In the case of p-coumaric acid, Acree and co-authors [40] regressed the Abraham solute 436 descriptors using 32 LFERs, including expressions derived from Eqs. (5-6). The 437 parameters reported by the authors [40] are comparable to those calculated in this work. 438 Despite the broader number of expressions used in the correlation of the parameters, the 439 authors did not include the experimental solubility of p-coumaric acid in water, 440 regressing it along with the molar concentration of the solute in the gas phase, obtained 441 from Eq. (6) and the parameters S, A and B. Besides, the value of the parameter E 442 reported by the authors (1.330) is slightly lower than the value estimated in this work 443 (1.582), which also contributes for small differences in the regressed parameters. 444 The parameters presented in Table 5 were used to estimate the solubilities of the acids 445 in 1-propanol and other organic solvents. An overview of the correlation and prediction 446 results is presented in Fig. 4.

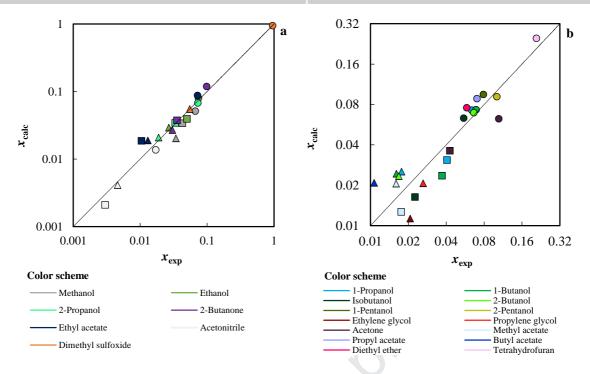


Fig. 4: Comparison between experimental and calculated solubility data by the Abraham solvation model: (a) correlation, (b) prediction. The symbols correspond to: \circ , *trans*-cinnamic acid; \Box , *p*-coumaric acid; Δ , ferulic acid.

Even if the number of LFERs used in the regression of the solute parameters is reduced, the predicted solubilities are in very good agreement with the experimental solubility data, even for solvents very structurally different, such as propylene glycol (ARD of 21%) and tetrahydrofuran (ARD of 19%). The global ARDs obtained were 18% for *trans*-cinnamic acid, 26% for *p*-coumaric acid and 46% for ferulic acid, being the highest deviations found for the system ferulic acid + butyl acetate.

One of the advantages of the Abraham solvation model is that the descriptors are related to some physicochemical properties of the solute. According to Abraham and coauthors [57], the acidity descriptor (A) represents the strength of H-bonds formed by the donor groups when they interact with lone pairs of acceptor groups present in the solvent, whereas the basicity descriptor (B) is related to the strength of the lone pairs of acceptor groups of the solute when there is interaction between the solute and solvents presenting H-bond donor groups. Thus, the presence of hydroxyl groups increases the H-bond acidity of the solute, whereas intramolecular hydrogen bonds tend to reduce it. Looking at the aromatic acids studied in this work, p-coumaric acid presents the highest value for the parameter A (1.143), followed by trans-cinnamic acid (0.482) and trans-ferulic acid (0.290). The presence of the hydroxyl group in the para position of the

aromatic ring significantly increases the acidity of p-coumaric acid [58] when compared to trans-cinnamic acid, but the presence of the methoxy group in the meta position in trans-ferulic acid probably leads to intramolecular hydrogen bond with the hydroxyl group in the para position, which only partially explains the decrease of the acidity descriptor. Regarding the basicity character, the values of B progressively decrease in the following order: ferulic acid p-coumaric acid p-coumaric acid p-coumaric acid, which matches very consistently the number of hydrogen acceptors in the molecules.

5. Conclusions

474

- 475 In this work, experimental solubility data of three cinnamic acids (trans-cinnamic acid,
- 476 p-coumaric acid and ferulic acid) in water and seven pure organic solvents at 298.2 K
- 477 and 313.2 K are reported. A good agreement with literature was found for all the
- 478 systems containing *trans*-cinnamic acid, while some inconsistences were found for the
- 479 solubility of p-coumaric acid and ferulic acid in alcohols, especially at 313.2 K.
- 480 The melting temperatures and melting enthalpies of *trans*-cinnamic acid and ferulic acid
- 481 were determined by DSC. The melting temperatures of both acids and melting enthalpy
- 482 of trans-cinnamic acid were in excellent agreement with the literature average value,
- 483 whereas the melting enthalpy of ferulic acid was slightly higher. The melting properties
- 484 of p-coumaric acid could not be measured due to its decomposition upon melting. The
- 485 solid phase analysis showed that the solute structure after the evaporation of the
- 486 solvents corresponds to the structure of the compound as received from the suppliers.
- 487 The NRTL-SAC was successfully employed to describe the SLE of *trans*-cinnamic acid
- 488 and ferulic acid, reaching global ARD of 31% for the correlations and 42% for the
- **489** predictions. Once the melting properties could not be measured for *p*-coumaric acid, the
- 490 NRTL-SAC model was combined with the RSA to represent the solubility data.
- 491 Selecting acetonitrile as the reference solvent, the obtained ARDs for the correlation
- and prediction were 38% and 40%, respectively.
- 493 The Abraham solvation model was also applied to correlate and predict the solubility in
- 494 organic solvents at 298.2 K. The obtained ARDs obtained in the correlation step for
- 495 trans-cinnamic acid, p-coumaric acid and trans-ferulic acid were 14%, 26% and 18%,
- 496 respectively, whereas a very satisfactory global ARD for the predictions was found
- **497** (29%).
- 498 In general, the thermodynamic models used in this work provided an adequate
- 499 description of the solid-liquid equilibria using a reduced set of experimental solubility

- 500 data and estimating only a few number of parameters. The ability of estimating
- solubilities at different temperatures is one of the main advantages of NRTL-SAC, and
- 502 the model still provides solubility estimates comparable to the experimental data for
- most of the studied systems. Nevertheless, the Abraham solvation model provides more
- robust predictions for the solubility of the three aromatic acids at 298.15 K.

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520 Appendix A. Supporting Information

521

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Declaration of interests

☑ The authors declare that they have no known competing fir that could have appeared to influence the work reported in th	·
□The authors declare the following financial interests/personal as potential competing interests:	al relationships which may be considered