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New frontiers in remediation of (micro)plastics

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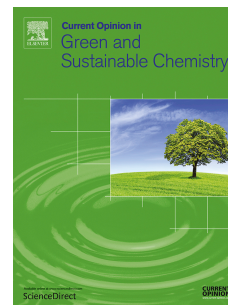
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1 **New frontiers in remediation of (micro)plastics**

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6 **Abstract**

7 Plastic pollution is increasing daily, raising social, environmental, and economic concerns.

8 Along with the reduction policies on plastic use and consumption, and improvement of waste

9 management systems, it is of utmost importance to develop and implement remediation and

10 emission control measures. Focused on the most recent literature, this paper provides a

11 critical overview and in-depth discussion on breakthrough technological and biotechnological

12 research that may sustain an effective and efficient (micro)plastic remediation in the near

13 future.

14 **Keywords**

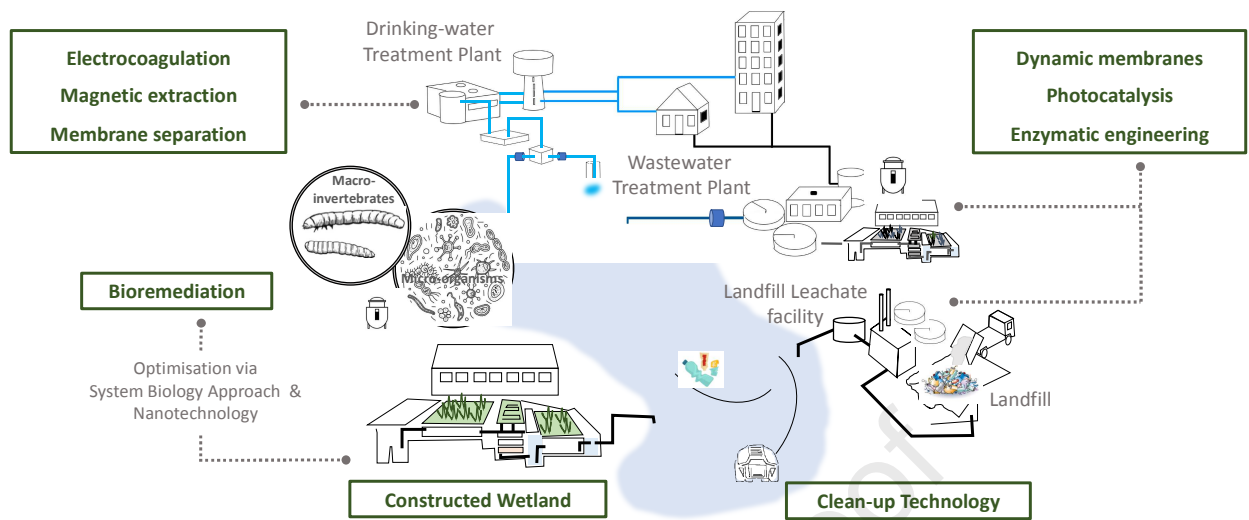
15 Plastic pollution; Cleanup technologies; Biotechnological approaches; Microbial consortium

16 Systems Biology

17 **Highlights**

- 18 • Plastic pollution calls for mitigation and remediation strategies
- 19 • Membranes and enzymatic engineering offer efficacy in microplastics removal
- 20 • Biotechnological approaches enhance plastic/microplastic bioremediation
- 21 • Combining multiple strategies may solve plastic pollution and microplastic
- 22 contamination

23 **Graphical abstract**



24  
25

## 26 1. Introduction

27 Plastics (synthetic or semi-synthetic polymers) are essential to modern society, with a wide  
28 range of applications in food packaging, medical appliances, electronics, consumer products,  
29 among others [1]. While the benefits of plastic use are far-reaching, plastic waste  
30 mismanagement and improper disposal are escalating plastic pollution worldwide. The  
31 accumulation of plastic waste in natural environments is threatening both animal (e.g.,  
32 through ingestion, suffocation and entanglement) and human health (e.g., by limiting  
33 livelihoods, affecting food security and quality, providing suitable habitats for zoonotic  
34 diseases), while imposing an increasing economic pressure to overcome losses in tourism,  
35 fisheries and habitats. However, the problem does not stop here, since plastic waste does not  
36 biodegrade in open environments due to their resilient polymeric composition. Instead, they  
37 undergo deterioration and fragmentation into micro- and nanoplastics by physicochemical  
38 processes [2]. Such small plastic debris knows no boundaries and can be easily transported  
39 and even carry a panoply of hazardous chemicals and pathogens to a wide range of  
40 environments, potentially affecting biota at different biological systems, and consequently  
41 threatening ecosystem functioning and services [3,4].

42 To mitigate the above mentioned social, economic, and environmental impacts of plastic  
43 pollution and reduce plastic leakage, several international agreements (e.g., UNCLOS,  
44 MARPOL), strategic frameworks (e.g., EU 1<sup>st</sup> plastic strategy), and enterprise alliances  
45 (e.g., the Alliance to End Plastic Waste) have been established; along with the  
46 implementation of national or state-wide plastic restriction policies (e.g., SUP ban, deposit-  
47 refund, Recycling Act and Compulsory Trash-sorting Policy) (as reviewed by [5]). Although  
48 these strategies have resulted in a significant decrease in the use and consumption of plastics

49 and plastic waste generation at regional/local levels, they are still unable to compete with  
50 generalized increasing quantities of plastic entering the environment [2,6]. This fact is  
51 claiming an urgent need for remediation actions to restore and protect threatened ecosystems  
52 by plastic pollution while ensuring their ecological services and functions.

53 Scientists have been developing different technological and biotechnological approaches to  
54 mitigate plastic pollution and reduce environmental contamination by microplastics. With  
55 increasing evidence on the successful application of these (bio)technologies in controlled  
56 conditions, has increased the need to summarize and critically analyze their potential for field  
57 application. Several interesting and detailed reviews have been recently released (e.g., [7-  
58 14]), but each one has specific focus (e.g., cleanup technologies, WWTP, WTP, LLT). This  
59 paper intends, therefore, to provide a broader overview on the latest technological and  
60 biotechnological advances that can pave the path towards an effective and efficient  
61 (micro)plastic environmental remediation, and it critically addresses main challenges and  
62 potential solutions to overcome them.

## 63 **2. Technological approaches for plastic debris removal**

64 The presence of plastic debris in aquatic and terrestrial ecosystems worldwide has been  
65 highlighted in a significant number of studies (as reviewed by [15,16]). As an attempt to  
66 reduce plastic pollution, several cleanup campaigns have been encouraged and implemented,  
67 such as the Clean Up The World [17] and The Clean Seas Plastic Challenge [18]. However,  
68 cleaning campaigns revealed to be expensive and time-consuming, also requiring a high  
69 number of volunteers and specialized personnel (for operative machinery). The application of  
70 cleanup technology such as the Interceptor 2.0 (launched in 2019, it uses aquatic surface  
71 currents and barriers to redirect plastic trash waste onto its conveyor belt [19]) and Urban

72 Rivers Trash Robot (launched in 2018, it also uses conveyor belts to move plastics in the hold  
73 [20]) revealed to be more effective and efficient in the removal of large plastic items than  
74 cleaning campaigns, although mostly designed for aquatic environments [21] (Table I, for  
75 more examples see [13]). Nevertheless, the successful implementation of such cleanup  
76 technologies relies on their technical optimization to reduce potential adverse environmental  
77 impacts such as habitat damage, accidental capture of aquatic fauna and flora, and restriction  
78 of organic matter between compartments. The reduction of such environmental impacts can  
79 be achieved if cleanup technologies only skim surface plastics (although a compromise, as  
80 highly dense plastic debris will not be collected), which will presumably affect the upper few  
81 meters of the water column, and thus have a lower encounter rate with aquatic life. In  
82 addition, their action could be space-temporarily reduced by targeting specific areas and  
83 times where and when plastic densities are high [6]. As examples, existing barriers in  
84 freshwaters such as dams and dikes can accumulate high levels of plastic pollution during  
85 rainy seasons (personal observation), and tropical gyres during anticyclone eddies [39], thus  
86 being interesting spots for plastics collection/removal. The successful application of such  
87 cleanup technologies with concomitant waste management improvement, plastic reduction  
88 policies, and individual behaviour change towards zero-waste/circular economy was  
89 predicted to stabilize the ocean plastic stock in the upcoming years, with less pressure on  
90 low- and middle-income countries [6].

91 These cleanup technologies fail, however, in removing plastic debris of smaller sizes such as  
92 microplastics (MPs), demanding for complementary approaches. MPs can result from the  
93 abrasion and fragmentation of polymeric materials or being produced at the microscale (e.g.,  
94 microbeads) [22]. With a significant portion coming from inland activities, these MPs can  
95 end up in landfills and wastewater streams, or find their way into freshwater systems (directly

96 or indirectly via soil leachates). Some traditional operations, such as ozonation,  
97 coagulation/flocculation, rapid sand filtration and dissolved air floatation commonly carried  
98 in wastewater treatment plants – WWTP and in landfill leachate facilities (LLF) are able to  
99 retain part of MPs (particularly of higher size and density [23]). However, small-sized MPs  
100 can pass untreated through both WWTP and LLF, being released to natural environments [14,  
101 24].

102 Several innovative technological approaches have been developed with the intent of reducing  
103 the release of these particles to natural environments and consequent involuntary  
104 consumption by organisms and humans, by improving WTP, WWTP, and LLF [8, 14].  
105 Designed as an "add-on" technology or "secondary and tertiary treatment facilities", recent  
106 innovative technologies proved efficiency but still present challenges that need to be  
107 overcome in the near future (Table I). For instance, membrane bioreactor technology proved  
108 their ability to remove a considerable amount of MPs (> 90%) from aqueous solutions than  
109 conventional methods in WWTP [15,25], but such filtration modules are relatively expensive,  
110 and can easily be blocked, resulting in higher operating costs. Nevertheless, the recovery of MPs  
111 from tertiary treatment employing bioreactor technology are practically clean (as most  
112 adsorbed matter would have been removed in previous steps); thus, they have the potential to  
113 be revalorized (upcycling).

114 Dynamic membranes are also considered to be a promising technology in removing MPs  
115 particularly of low density, but its performance is also delimited by several factors such as  
116 membrane materials, pore size, deposited materials and operating conditions (pressure, cross-  
117 flow velocity, hydraulic retention time, temperature, among others) [23]. Nevertheless, with  
118 breakthroughs in membrane technology and membranes for nanofiltration, a blend of reverse

119 osmosis and ultrafiltration is currently emerging, which promises to remove MPs more  
120 effectively and without clogging [25].

121 The application of electrochemical techniques (e.g., electrocoagulation, where the liberation  
122 of metal ions from electrodes will form coagulants that destabilize the surface of MPs) [24],  
123 or the application of magnets or adsorbates that will adhere and cluster MPs for removal  
124 (e.g., magnetic carbon nanotubes and inorganic-organic hybrid silica gels – organosilanes),  
125 seem to be efficient and eco-friendly alternatives in WTP or WWTP, particularly in the  
126 removal of persistent polymers such as polyethylene, polyethylene terephthalate, and nylon  
127 (up to 90% removal efficiency) [26]. However, they require optimization (from application to  
128 end-products treatment) and plea competitive costs before their widespread implementation.  
129 The combination of granular activated carbon filtration, high doses of  
130  $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}/\text{AlCl}_3 \cdot 6\text{H}_2\text{O}$  coagulants, and ultrafiltration through polyvinylidene fluoride  
131 (PVDF) membranes have been highlighted as vital treatment methodologies for removing  
132 small-sized MPs, which is of high interest particularly for WTP [27].

133 Photocatalytic degradation (e.g., with zinc oxide) also stands out as a promising, viable, and  
134 energy-efficient method for MPs degradation in WWTP and LLF [28]. During the  
135 photocatalytic degradation process, the MP surface will face a direct attack by highly  
136 oxidizing radicals that will cause polymeric chain oxidation and breakage. The decomposed  
137 chains are leached from the bulk as an intermediate and simpler compound that can be further  
138 used as raw materials for organic synthesis. The major drawback of this procedure is related  
139 to the maintenance costs, limiting the widespread practical application of these technologies.  
140 Furthermore, some end-products from photocatalytic degradation may impose a risk to both  
141 animal and human health, limiting their application to WWTPs or LLFs. Nevertheless, the



142 application of highly efficient sources of UV radiation, the improvement of the reactor design  
143 itself, and the use of catalysts that absorb radiation from the visible spectrum may overcome  
144 these challenges.

145 Another promising approach recently used as a barrier for MPs leakage is horizontal  
146 subsurface flow constructed wetlands (CW) (a.k.a., engineered treatment wetlands, which  
147 consists of a horizontal subsurface flow that uses vegetation, soil, and organisms to treat the  
148 wastewater) (Table I). CW is not new as it has been used as a substitute for WWTP (in small  
149 villages) or as a tertiary treatment facility in municipal WWTPs (in city-capitals). The  
150 application of CW has proved efficiency in processing total suspended solids and remediating  
151 hazardous chemicals; thus, it may also contribute to MP removal or prevent their leakage to  
152 natural environments. A recent study carried out by Wang et al. [29] revealed that CWs  
153 indeed has the potential to efficiently reduce MPs concentration (88% efficiency, as MPs  
154 were ingested and accumulated by the macroinvertebrates [specifically by Tubificidae  
155 annelids] with negligible effects), preventing them from entering vulnerable aquatic systems.  
156 CW can even be improved if their macro- and microorganisms are capable not only to ingest  
157 but to biodegrade MPs, without toxic effects on their fitness (as further explored in section 3).  
158 Considering the use of macroinvertebrates, it remains unclear if the depuration of the  
159 organisms in controlled conditions would be feasible (at first sight, it might), to avoid their  
160 sacrifice.

### 161 **3. Plastic-degrading organisms for *in-situ* remediation purposes**

162 Some macroinvertebrate species proved to be able to degrade plastics. The mealworms  
163 (*Tenebrio molitor*) and larvae of the greater wax moth (*Galleria mellonella*) seem to chew  
164 and digest the plastic, such as low-density polyethylene plastic bags [30]. Such capacity relies

165 on their gut microbiota [30]. The biotechnological potential of these macroinvertebrates (or  
166 others under investigation) and their microbiota represents an open window to develop  
167 biotechnologies with the potential for bioremediation or to expedite the development of  
168 biochemical recycling technologies. However, in the current status and from the  
169 technological point of view, the application of macroinvertebrates is uncertainty, as raising  
170 larvae can be too slow and costly (i.e., requiring regular maintenance for habitable  
171 conditions) when compared with their effectiveness on plastics degradation. Furthermore, it  
172 remains unclear if they contribute for MPs production rather than biodegradation (which is  
173 problematic and studies to date do not exclude the possibility of this unintended  
174 consequence) [30].

175 Various microorganisms inhabiting soils, landfills, aquatic environments, and wastewater  
176 sludge, also proved to be able to degrade plastics (i.e., use them as a substrate and source of  
177 nutrients) as a result of their capacity to degrade natural organic polymers. For instance, the  
178 fungal genera *Aspergillus* and *Penicillium* and the bacterial genera *Pseudomonas* and  
179 *Bacillus* have been involved in the degradation of more than ten types of plastics, including  
180 recalcitrant ones such as polyethylene, polystyrene, polyethylene terephthalate [31,32].  
181 Isolated strains are capable of biodegrading plastics, but bacterial consortia or biofilm (which  
182 combines, among other organisms, bacteria and fungi) offer higher efficiency in the  
183 biodegradation processes – where some strains are involved in the deterioration and  
184 degradation process, and others are responsible for eliminating toxic metabolites excreted by  
185 the counterparts – ensuring complete mineralization [25, 31, 33].

186 The success of plastic biodegradation by microbes rely, however, on their metabolic  
187 processes and enzymatic system (i.e., on both extracellular and intracellular enzymes). The

188 amount and type of enzymes present in microorganisms vary with species and even between  
189 strains of the same species, and they are very specific in their action on substrates; thus,  
190 effective biodegradation implies the action of multiple enzymes. So far, 79 extracellular  
191 enzymes have been involved in polymers chain-scission (into oligomers-dimers-monomers),  
192 such as: lignin-degrading enzymes (laccase, manganese-dependent peroxidase); lipase,  
193 esterase, and cutinase; depolymerase (generally followed by the action of proteases, such as  
194 protease K and trypsin); hydrolase (urease, protease, and lipase); and oxygenase  
195 (monooxygenases and dioxygenases) [31,32]. Recently, an enzyme highly homologous to  
196 several cutinases – PETase, was isolated from the bacteria *Ideonella sakaiensis* 201-F6 and  
197 displays outstanding performance in hydrolyzing PET into monomers, holding an excellent  
198 potential for the bioconversion of plastics [34].

199 After the action of the extracellular enzymes, monomers sufficiently small will cross the  
200 microbial cell membrane, where they will be oxidized via the catabolic pathway (i.e., the  
201 action of intracellular enzymes), and the energy produced will be used to form new cell  
202 biomass [31]. The incorporation of the atoms into the microbial cell (i.e., assimilation) and  
203 the secondary metabolites formed as a result of this process will be excreted and probably  
204 used by other microorganisms. When plastics biodegradation occurs in the presence of  
205 oxygen (i.e., aerobically), it releases carbon dioxide and water, whereas, in the absence of  
206 oxygen (i.e., anaerobically), the main end products generated are the same nature along with  
207 the additional generation of methane, while in sulfidogenic condition, the formation of  
208 hydrogen sulfide [35]. Yet, some secondary metabolites with potential for environmental  
209 hazardousness can be released; thus, the application of microorganisms as bioremediators  
210 must be carefully evaluated (as they must constitute a lower risk than the primary  
211 contaminants).

212 Although biodegradation of plastics by natural microorganisms seems to offer a low-cost and  
213 eco-friendly remediation approach, it remains a slow process as it is highly dependent on  
214 several factors such as polymer properties and surface area, and environmental factors (biotic  
215 and abiotic) [33]. One approach to promote plastic bioremediation is through biostimulation  
216 (with the application of growth supplements, fertilizers, natural surfactants, and  
217 nanoparticles, along with the optimization of environmental requirements) and/or  
218 bioaugmentation [36]. Another approach includes applying modern biotechnological  
219 techniques such as protein/enzyme engineering (for purification/stabilization and industrial  
220 production). For instance, the engineered PETase mutants R61A, L88F, and I179F (created  
221 through the rational protein engineering of key hydrophobic sites) increased PET degradation  
222 by 1.4-fold, 2.1-fold, and 2.5-fold, in comparison to wild type strain [35]. A modified  
223 cutinase enzyme Tcur1278 (the modified version has an anchor peptide Tachistain A2 – that  
224 acts as an adhesion promoter for Tcur1278) significantly increased the degradation of  
225 polyester-Polyurethane [37].

226 The development of optimized or synthetic microbial consortium, the application of genetic  
227 engineering, systems biology (i.e., application of multi-omics – genomics, proteomics,  
228 metabolomics), and the development of genetically modified organisms are also under  
229 study/consideration as potential solutions to improve plastics biodegradation processes [31].  
230 As a simple example, an optimized/tailored microbial consortia revealed the ability to thrive  
231 in the presence of mixtures of plastics and participate more efficiently in their degradation  
232 compared with the original consortia [38,39].

233 The use of genetically modified organisms or tailored microbial consortia, along with the  
234 discovery of new enzymes, metabolic pathways, and metabolically active molecules with

235 improved functionalities (via system biology approach), will boost the advance of modern  
236 biotechnological tools for plastic remediation and, potentially, recovery [31]. However, the  
237 implementation of such novel approaches is not without challenge. The introduction of  
238 modified strains or organisms to the ecosystem may cause an unmeasurable adverse effect on  
239 the natural structural and functional microorganism's community composition and  
240 occurrence. Therefore, these processes must be carefully considered to be applied in  
241 bioreactors (ex-situ bioremediation) instead of natural environments. However, when *in situ*  
242 is required, the use of modified strains or organisms must be firstly optimized (in a  
243 laboratory, micro, and mesocosms conditions) and planned (i.e., considering controlling  
244 measures) before their application in impacted environments.

#### 245 **4. Main conclusions and future directions**

246 Plastic pollution is imposing a severe threat to the environment, and it is escalating year after  
247 year, calling for remediation strategies. Several innovative cleanup technologies prove their  
248 efficiency in removing plastic debris from aquatic environments (and aqueous solutions) to  
249 be further applied as add-ons or additional treatments to WTP, WWTP, and LTF. Besides,  
250 biotechnological approaches such as genetic engineering, systems biology, and the synthetic  
251 microbial consortium have been developed and implemented to enhance plastic  
252 biodegradation and have been raised as a promising solution for *in-situ* or *ex-situ*  
253 bioremediation. Nevertheless, they all need optimization to avoid increased environmental  
254 problems and need to improve their cost-effectiveness.

255 While recognizing the positive impacts of each strategy/approach, none offers a "fit-of-all  
256 solution" due to the complexity of factors intimately related to plastic debris/microplastics  
257 removal/biodegradation. The combination of membrane and enzyme technology, along with

258 biotechnological techniques such as genetic engineering, systems biology, and the  
259 development of synthetic microbial consortium, will provide better results than individual  
260 solutions and should be put in place in a short-term scenario.

261 However, these innovative remediation approaches do not solve plastic pollution and must be  
262 accompanied by effective mitigation strategies that focus on source reduction to avoid  
263 continuous cleanup and remediation efforts. This could be achieved by i) straightening plastic  
264 reduction policies emphasizing a decreasing use and consumption of plastics (along with  
265 extended producer responsibility, shared environmental responsibility principle, and the  
266 implementation of incentives for recycling and redesigning [38]; ii) optimizing waste  
267 management infrastructures, iii) seeking sustainable plastics by scaling up in innovation to  
268 ensure their environmental friendliness and their integration in the circular economy; iv)  
269 increasing public awareness on plastic pollution along with a behavioural-shift to entail the  
270 implementation of good and sustainable practices.

#### 271 **Conflict of Interest**

272 The author declares no conflict of interest.

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382 *mellonella*) as bio-remediators. It unravels, for the first time, important mechanistic  
383 insights (using a variety of experimental setups with both species, with live specimens  
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**Table I:** An overview of main processes for plastics and microplastics removal or elimination found in literature.

Macropastics (aim at preventing and collection)		Microplastics (aim at removing)			
Surface waters (as reviewed by [13])	Terrestrial environments	Wastewater treatment plants (as reviewed by [15, 23])	Landfill leachates facilities (as reviewed by [14])	Treatment water facilities (as reviewed by [11])	Ex-situ/in-situ bioremediation (as reviewed by [25, 31, 33])
Traditional approach	Cleanup campaigns (collection)	Chlorination disinfection (7%) Coagulation/flocculation (47-82%) Rapid sand filtration (45-97%) Dissolved air floatation (up to 95) Oxidation ditch (up to 97%, size > 25 µm) Ozone (90%, particularly at temperatures > 35 °C) Conventional activated process (up to 95.6%, size 20-5000 µm)	Artificial soil filtration (~98%, size 50-500 µm) Sand bed filtration (70%, size 50-500 µm) Electrochemical oxidation*	Coagulation/flocculation + sand filtration (70%)  Coagulation/flocculation + sedimentation + sand filtration + activated carbon filtration (81%)  Coagulation/ flocculation + flotation + sand filtration + activated carbon filtration (83%)	Biostimulation*  Bioaugmentation*
Existing advance approaches	Stormwater and wastewater filters (prevention; e.g., StormTrap TrashTrap) Laundry balls (Prevention; e.g., coral ball) Drones and robots (collection, e.g., FRED) Boats and wheels (collection; e.g., Interceptor) Detection aids (detection/collection; e.g., NetTag)	Sequencing batch reactor (up to 98%) Reverse osmosis (90% removal) Membrane biological reactor (up to 99%, size > 2 Dissolved air flotation (up to 95%) Ultrafiltration (42%) Microscreen filtration with disc filters (DFDS) * Electrocoagulation (up to 99% at pH 7) Coagulation/flocculation (47-82%, size < 1.2 µm)	Sequencing Batch Biological Reactor (100%; size 50-500 µm)  Reverse osmosis*  Advanced oxidation processes (e.g., photo-Fenton, O <sub>3</sub> /UV, H <sub>2</sub> O <sub>2</sub> /UV, ultrasound (US), UV/US, and H <sub>2</sub> O <sub>2</sub> /US)	Electrocoagulation*  Magnetic extraction (up to 98%, size 200-1  Membrane separation*	Fungi and bacteria species (e.g., <i>Ideonella sakaiensis</i> degrades 0.13 mg PET-cm <sup>-2</sup> ·day <sup>-1</sup> )  Microbial consortium (e.g., <i>Bacillus cereus</i> , <i>Bacillus pumilus</i> and <i>Arthrobacter</i> ; up to 22% PE weight loss in 14 days)
Emerging approaches	Bioremediation (elimination)	Blend of reverse osmosis and ultrafiltration Dynamic membranes (99%) Photocatalysis (e.g., 98.40% for 400-nm OS) Elimination with fats* Constructed wetlands (88%) Biological degradation	Thermochemical technologies using supercritical water*  Biological degradation*	Granular activated carbon filtration, high doses of FeCl <sub>3</sub> ·6H <sub>2</sub> O/AlCl <sub>3</sub> ·6H <sub>2</sub> O, and ultrafiltration through polyvinylidene fluoride (PVDF) membranes (up to 40%)	Multomics - System Biology Approach*  Nanotechnology (e.g., Nano barium Titanate, Fullerene 60, Super magnetic iron oxide + bacteria interaction; higher efficiency degrading PE)

\* identified as potential (bio)technological approach, although not tested under the target circumstances.

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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