

Journal Pre-proofs

Aqueous Solutions of Organic Acids as Effective Solvents for Levodopa Extraction from *Mucuna pruriens* Seeds

Jordana Benfica, Eduarda S. Morais, Julia S. Miranda, Mara G. Freire, Rita de Cássia Superbi de Sousa, João A.P Coutinho

PII: S1383-5866(21)00794-2
DOI: <https://doi.org/10.1016/j.seppur.2021.119084>
Reference: SEPPUR 119084

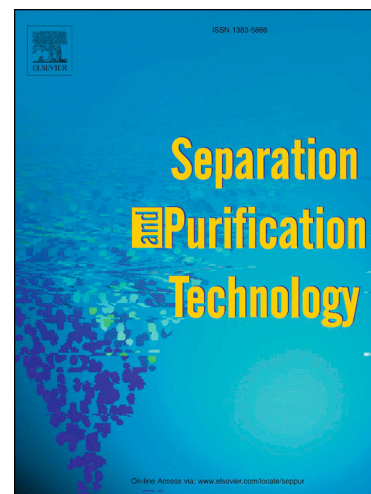
To appear in: *Separation and Purification Technology*

Received Date: 4 April 2021
Revised Date: 26 May 2021
Accepted Date: 2 June 2021

Please cite this article as: J. Benfica, E.S. Morais, J.S. Miranda, M.G. Freire, R. de Cássia Superbi de Sousa, J. A.P Coutinho, Aqueous Solutions of Organic Acids as Effective Solvents for Levodopa Extraction from *Mucuna pruriens* Seeds, *Separation and Purification Technology* (2021), doi: <https://doi.org/10.1016/j.seppur.2021.119084>

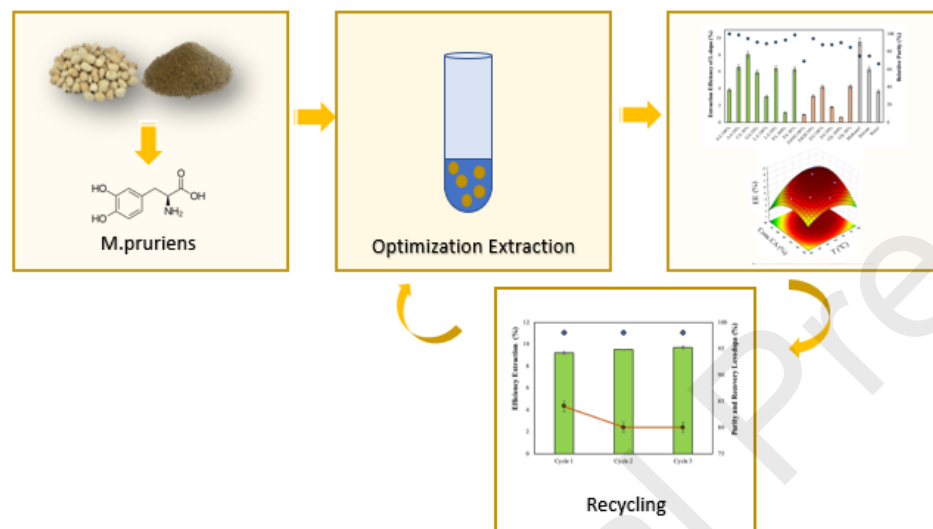
This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2021 Published by Elsevier B.V.



Highlights

- Aqueous solutions of organic acids are promising substitutes for organic solvents.
- Performance in the extraction of L-dopa from the seeds of *M. Pruriens* was assessed.
- High purity and yields of L-dopa have been achieved with the investigated compounds.



1 **Aqueous Solutions of Organic Acids as Effective Solvents for**
2 **Levodopa Extraction from *Mucuna pruriens* Seeds**

3

4 Jordana Benfica^a, Eduarda S. Morais^a, Julia S. Miranda^b, Mara G. Freire^a, Rita de
5 Cássia Superbi de Sousa^{b*} and João A.P Coutinho^{a*}

6

7 ^aCICECO – Aveiro Institute of Materials, Department of Chemistry, University of
8 Aveiro, 3810-193 Aveiro, Portugal.

9 ^bDepartment of Chemistry, Federal University of Viçosa, Viçosa, Minas Gerais, Brazil.

10 ***Corresponding authors:** rita.sousa@ufv.br (Phone: +55 3899-3060) and
11 jcoutinho@ua.pt (Phone: +351 234 401 507)

12

13

14

15

16

17

18

19

20

21

22

23

24

25 **Abstract:** Levodopa is an amino acid commonly used in the treatment of Parkinson's
26 disease found in several plants, such as *Mucuna pruriens*. The extraction of levodopa
27 from biomass has been achieved using methanol, ethanol:water mixtures in presence of
28 ascorbic acid, chloroform in alkaline media, and acetonitrile. Aiming at finding more
29 sustainable solvents and develop efficient extraction processes, in this work, aqueous
30 solutions of carboxylic acids (acetic, propionic, citric, glycolic, and lactic acid) and
31 (poly)alcohols (ethanol, ethylene glycol and glycerol) were studied for the extraction of
32 levodopa from *Mucuna pruriens* seeds. An initial screening with aqueous solutions of
33 these compounds (at 50 wt.%) was conducted at 50 °C, with an extraction time of 90
34 minutes at a solid/liquid (biomass/solvent) ratio of 1:10. Based on these results, citric
35 acid aqueous solutions were identified as the best solvent, and an experimental design
36 was carried out to optimize the temperature (T), solid/liquid ratio (S:L) and
37 concentration of acid (wt.%), with the following optimal extraction conditions found: T
38 = 60 °C, S:L = 1:7 and concentration of acid at 58 wt.%. Under these optimal
39 conditions, an extraction efficiency of 9.2 ± 0.1 wt.% of levodopa was achieved. The
40 recovery of levodopa from the acidic aqueous solution was achieved using an ion
41 exchange column, allowing the recovery of approximately 84% of levodopa. The
42 solvent was shown to be reusable in three successive extraction cycles, with no
43 significant losses in the extraction efficiency of levodopa. The results here obtained
44 show that citric acid aqueous solutions can lead to the effective extraction of levodopa
45 from seeds of *Mucuna pruriens*, serving as basis for the development of more effective
46 and environmentally friendly processes to recover natural products with therapeutic
47 properties.

48 **Keywords:** levodopa, solid-liquid extraction, co-solvency, green solvent, organic acids.

49

50 **1.Introduction**

51 *Mucuna pruriens* is an important plant of the Fabaceae family, whose seeds present a
52 high concentration of Levodopa (L-dopa).[1] L-dopa is an amino acid widely used in
53 the treatment of neurodegenerative disorders, such as Parkinson's disease,[2] considered
54 the second most common neurodegenerative disorder in the world, behind Alzheimer's
55 disease, affecting approximately 0.3% of the world's population.[3,4]

56 L-Dopa was first synthesized in 1911 and since then has been presented as promising
57 drug in the treatment of progressive neurodegenerative diseases.[5] Despite presenting
58 side effects such as involuntary movements, the pharmacokinetic and pharmacokinetic
59 activities of L-dopa as well as the ability to combat neurodegenerative disorders has
60 generated interest for the scientific community.[6–8] L-dopa is present in high content
61 in *M. pruriens* seeds, thus boosting the interest in exploring biomass as a natural source
62 of this compound.

63 Volatile organic solvents such as methanol, hexane and chloroform are often used in
64 solid-liquid extraction processes due to their high extraction capacity.[9–11] However,
65 they present intrinsic problems such as toxicity, high volatility and low selectivity, with
66 a consequent loss of process efficiency due to the need of further purification
67 strategies.[12,13] In particular, the extraction of L-dopa from *M. pruriens* seeds has
68 been attempted using methanol, resulting in an extract containing 12.16 wt.% of L-
69 dopa.[10] Mixtures of ethanol:water in presence of ascorbic acid, and chloroform in
70 alkaline media were also investigated, and extraction yields of 1.78 and 4 wt.% were
71 reported.[11] Rane *et al.* used a mixture of acetonitrile and methanol under ultrasounds
72 to extract L-dopa from seeds of different types of *M. pruriens*, reporting a yield of
73 levodopa of 7.61 wt.%.[14]

74 Although the use of volatile organic solvents has allowed relatively high L-dopa yields,
75 there is a growing interest in developing innovative, efficient, sustainable and low-cost
76 extraction processes based on alternative solvents. Most of these works address
77 compounds or solvents such as ionic liquids, eutectic mixtures, surfactants, and more
78 recently green hydrotopes.[12,15,16] However, the use of low-cost compounds such as
79 polycarboxylic acids or polyalcohols and their aqueous solutions is poorly investigated.
80 Herein, their use in aqueous solutions for the extraction of L-dopa is studied as both a
81 cost-effective option to alternative solvents and as a form to reduce the environmental
82 impact generated by conventional solvents.[17] Moreover, most of the compounds here
83 studied are approved ingredients or solvents used in the food, pharmaceutical and
84 cosmetic industries.[18,19]

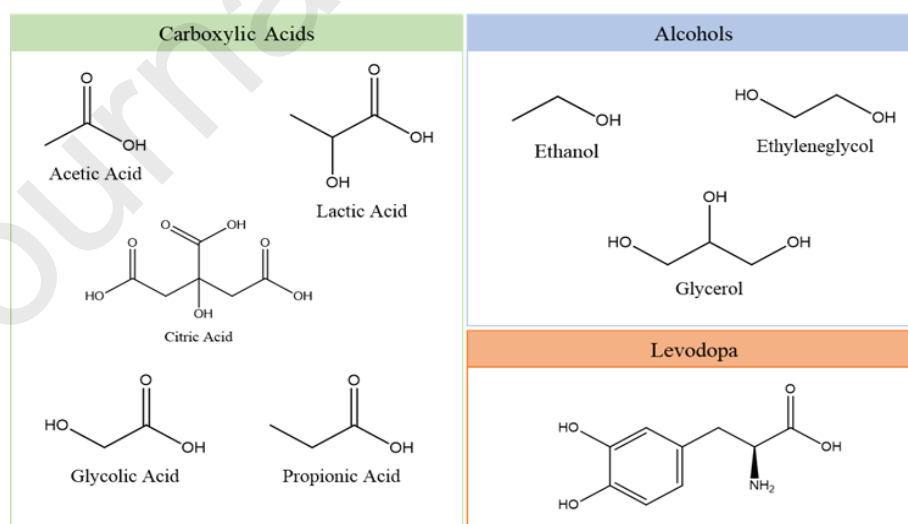
85 In this work, the potential of aqueous solutions of carboxylic acids (acetic, propionic,
86 citric, glycolic, and lactic acid) and (poly)alcohols (ethanol, ethylene glycol and
87 glycerol) to selectively extract L-dopa from *M. pruriens* seeds was evaluated aiming at
88 developing a sustainable process. After the screening of the best extracting solvent at
89 fixed operational conditions, the extraction yield was optimized through a response
90 surface methodology (RSM) by changing the following variables: extraction
91 temperature (T), solid-liquid ratio (S:L) and concentration of solvent (C, wt.%). Finally,
92 the recovery of L-dopa from the extracts and the reuse of aqueous solutions were also
93 demonstrated.

94 **2. Materials and Methods**95 2.1 Biomass.

96 *M. pruriens* seeds were purchased by a company specialized in grains located in the São
97 Paulo region, Brazil. The samples supplied in dry form were reduced to a granulometry
98 of 40-20 mesh vacuum-packed and protected from light until use.

99 2.2 Reagents, Standards and Solvents.

100 Double distilled deionized water was obtained from a Millipore Milli-Q water
101 purification system (Millipore, USA). The amino acid standard 3,4-Dihydroxy-L-
102 phenylalanine or L-Dopa (99.9 wt%) was obtained commercially by Sigma Aldrich
103 (Germany). The molecular structure of all solvents investigated in this work and of L-
104 Dopa are shown in Figure 1. A description of the supplier and purity of the compounds
105 acetic acid, citric acid, glycolic acid, lactic acid, propionic acid, ethanol, ethylene
106 glycol, and glycerol is provided in Table 1. All other chemicals were of analytical
107 quality and purchased from common sources.



108

109

110 **Figure 1.** Chemical structures of levodopa and carboxylic acids/(poly)alcohols investigated.

111 **Table 1.** Compounds Description, Molecular formula, CAS Number, Purity and
 112 Supplier.

Compound	Molecular formula	CAS number	Purity/wt %	Supplier
Acetic acid (AA)	C ₂ H ₄ O ₂	64-19-7	99.9	Honeywell
Citric acid (CA)	C ₆ H ₈ O ₇	77-92-9	99.5	Panreac
Glycolic acid (GA)	C ₂ H ₄ O ₃	79-14-1	99.0	Acros Organics
Lactic acid (LA)	C ₃ H ₆ O ₃	10326-41-7	90.0	Sigma Aldrich
Propionic acid (PA)	C ₃ H ₆ O ₂	79-09-4	99.0	Acros Organics
Ethylene glycol (EG)	C ₂ H ₆ O ₂	107-21-1	99.5	Sigma Aldrich
Glycerol (G)	C ₃ H ₈ O ₃	56-81-5	99.8	Fisher Chemical
Hexane	C ₆ H ₁₄	110-54-3	99.0	Carlo Erba
Methanol	CH ₄ O	67-56-1	HPLC	Fisher Scientific

113

114 2.3 Solid-liquid extraction and solvent screening.

115 An initial screening of aqueous solutions of carboxylic acids and polyols was carried
 116 out, whose concentrations studied are summarized in Table 2. Different concentrations
 117 were prepared to assess the selectivity of each solvent in the levodopa extraction
 118 process. The solid-liquid extractions were performed using a Carousel 12 Plus TM
 119 reaction station (Radleys Tech), with temperature and agitation control and coupling
 120 system to avoid solvent loss. The extractions were performed with magnetic stirring
 121 (300 rpm) and at a temperature of 50 ° C (± 0.5 ° C), time of 90 min and S:L ratio of
 122 1:10. After the extraction, suspensions were centrifuged and the supernatant was filtered
 123 using a 0.20 µm syringe filter. A 20 µL aliquot was collected and added to 4980 µL of
 124 Milli-Q water. All results presented are based on three independent experiments and
 125 expressed as average ± standard deviation.

126

127

128

129 **Table 2** – List of carboxylic acids and (poly)alcohols investigated, abbreviations and
 130 concentrations used in the initial screening.

Compounds	Abbreviation	Concentration (wt.%)
Acetic Acid	(AA)	50
		100
Citric Acid	(CA)	50
Glycolic Acid	(GA)	50
Lactic Acid	(LA)	50
		100
Propionic Acid	(PA)	50
		100
Glycerol	(Gly)	50
		100
Ethanol	(EtOH)	25
		50
		75
		100
Ethylene Glycol	(EG)	50
		100

131

132

133 2.4 Response Surface Methodology.

134 After the identification of the most suitable solvent, with the highest L-dopa extraction
 135 efficiency and selectivity, an optimization of the solvent concentration and other
 136 operational conditions was performed. The optimization was performed through a
 137 central composite planning using the response surface methodology 2^3 , with 5 central
 138 point assays, corresponding to a total of 19 extraction experiments at each set of
 139 conditions. The independent variables were extraction temperature (T), solid-liquid
 140 (biomass-solvent) ratio S:L, concentration of solvent (C, wt.%), whereas extraction
 141 efficiency of L-dopa (EE_{levo} , %) was considered as a dependent variable. The surface
 142 responses were plotted by changing two variables within the experimental ranges. The
 143 results were considered statistically significant, with a 95 % confidence interval (p
 144 <0.05).

145

146

147 2.5 Quantification of L-Dopa.

148 To quantify L-Dopa, DAD-HPLC analysis were performed based on the configuration
149 of the analytical column C18 (250 × 4.60 mm, Kinetex 5 μm C18 100 A) from
150 Phenomenex. The column temperature was adjusted to 30 °C. The mobile phase
151 consisted of 89.9 % (v/v) % acetonitrile, 10 (v/v) % water and 0.1 (v/v) % acetic acid
152 (AA). The separations were performed in the isocratic mode, at a flow rate of 0.8
153 mL/min. Detection was performed at a wavelength of 280 nm. Data acquisition and
154 evaluation were performed based on a previously established calibration curve ($R^2 =$
155 0.9987). The extraction efficiency of levodopa (EE_{levo} , %) was determined by equation
156 1. The relative purity of L-dopa was obtained by dividing the area of the levodopa
157 HPLC peak by the total area of all peaks present at 280 nm.

$$158 \quad EE_{levo} \% = \frac{w_{levo}}{w_{biomass}} \cdot 100 \quad (1)$$

159 where w_{levo} corresponds to the L-Dopa weight present in the filtered solution after
160 solid-liquid extraction and $w_{biomass}$ is the initial biomass mass.

161

162 2.6 Recovery of levodopa from the aqueous extract.

163 After identifying the ideal conditions for L-dopa extraction, its recovery from the
164 aqueous media was investigated. The methodology used consisted of an ion exchange
165 separation column filled with Dowex 500W X8 resin. First, the column was pre-treated
166 with 2 mL of methanol, followed by 2 mL of a concentrated solution of HCl (pH = 1).
167 After extraction, the aqueous solutions containing levodopa were adjusted to pH 1 with
168 0.1 M HCl to obtain protonated L-Dopa. Approximately 2 mL of aqueous solution
169 containing L-dopa was passed through the column, followed by the elution of 2 mL of
170 deionized water to recover the retained L-dopa. Finally, the column was regenerated

171 with 5 mL of methanol for successive applications. The fractions of each step were
172 recovered and analysed by DAD-HPLC. The recovery efficiency (RE_{levo} , %) of L-dopa
173 was determined by equation 2.

$$174 \quad RE_{\text{levo}} \% = \frac{w_{Lf}}{w_{Li}} \cdot 100 \quad (2)$$

175 where w_{Lf} is the weight of recovered L-dopa after elution and w_{Li} is the weight of L-
176 dopa in the initial extract.

177 2.7 Nuclear magnetic resonance (NMR).

178 The aqueous solutions of recovered solvent and the fractions obtained after the recovery
179 of L-dopa were analyzed by ^1H and ^{13}C NMR, using a Bruker Avance 300 (France) at
180 300.13 MHz and 75.47 MHz, respectively, and deuterium oxide (D_2O) as a solvent and
181 trimethylsilylpropanoic acid (TSP) as an internal standard. These samples were then
182 compared with pristine L-Dopa and chemical grade solvent to ascertain their purity and
183 stability.

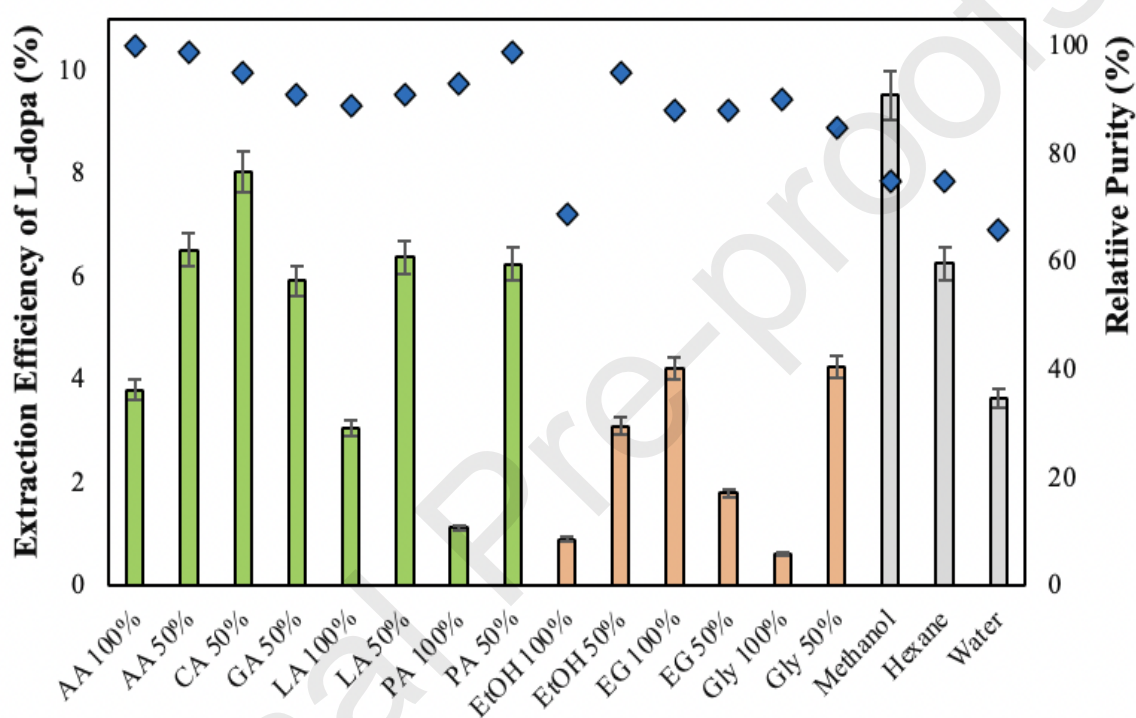
184 **3. Results and Discussion**

185 In this work we aim to develop a solvent and sustainable process to extract L-Dopa from
186 biomass, in which aqueous solutions of carboxylic acids and (poly) alcohols were
187 investigated as low cost and biocompatible alternative solvents. After an initial
188 screening to identify the most promising solvent, several process variables were studied,
189 namely temperature (T), solid-liquid ratio (S:L) and concentration of solvent (C, wt.%).

190 3.1 Solvent screening

191 Aqueous solutions of carboxylic acids and (poly)alcohols were initially investigated to
192 identify the solvent with the best extraction performance and selectivity to L-dopa from
193 *M. pruriens* seeds. The aqueous solutions studied were prepared at the concentrations

194 shown in Table 2. Carboxylic acids and (poly)alcohols that are liquid at 25 °C were
 195 also investigated in their pure form. For comparison purposes, fixed operational
 196 conditions were used, these being a 1:10 S:L ratio, an extraction time of 90 min and a
 197 temperature of 50 °C. The screening results obtained in terms of extraction efficiency of
 198 levodopa and selectivity (given by the relative purity) are shown in Figure 2.



199

200 **Figure 2.** Screening of aqueous solutions of (■) carboxylic acids, (■) (poly)alcohols and (■)
 201 methanol, hexane and water to extract levodopa, given by the extraction efficiency (bars).
 202 Relative purity of levodopa (◆). Extraction performed at fixed conditions: T = 50°C, S:L ratio
 203 = 1:10, time = 90 min.

204 The *L*-dopa extraction efficiency ranges from 0.6 to 8.0 wt.% with the solvents
 205 investigated. When analysing the data set for pure carboxylic acids for those that are
 206 liquid at 25°C, extraction yields of 3.8 ± 0.2 wt.%, 3.1 ± 0.4 wt.%, 1.1 ± 0.4 wt.% are
 207 found for acetic acid (AA), lactic acid (LA) and propionic acid (PA), respectively.

208 These results show that the dissociation constant (pK_a) of these acids,[20] all of them
209 comprising one carboxylic group, is not responsible for the extraction performance of
210 L-dopa (acetic acid ($pK_a = 4.76$); lactic acid ($pK_a = 3.86$), propionic acid ($pK_a = 4.88$)).
211 It seems however that the chemical structure and a shorter alkyl chain is preferable to
212 enhance the extraction of acetic acid, as shown by the higher performance of pure acetic
213 acid. Despite these differences, overall the pure acids are not as efficient as their
214 aqueous solutions in the extraction of L-dopa.

215 For all acids investigated, the respective aqueous solutions show a superior extraction
216 capacity of levodopa, which may be associated with a co-solvency phenomenon. Co-
217 solvents have the ability of improving solubility, and consequently the extraction
218 efficiency of target compounds from biomass.[21] This effect is evident in mixtures of
219 AA at 50 wt.% (6.5 ± 0.3 wt.%), LA at 50 wt.% (6.4 ± 0.5 wt.%), and PA 50 wt.% (6.3
220 ± 0.3 wt.%). On the other hand, pure water leads to an extraction efficiency of levodopa
221 of 3.6 ± 0.3 wt.%. Overall, mixtures consisting of (water + acid) have higher physical
222 (e.g. lower viscosity) and solvation properties than their individual constituents, as
223 reported in the literature for other co-solvents.[22] Even with extraction yields lower
224 than those obtained with aqueous solutions of organic acids, a similar effect of co-
225 solvency is noticeable in extractions performed with glycerol, e.g. when comparing pure
226 Gly (0.6 ± 0.1 wt.%) and Gly at 50 wt.% (4.3 ± 0.2 wt.%).

227 An important aspect observed with the studied aqueous solutions is the extraction
228 selectivity. Aqueous solutions of carboxylic acids lead to relative purities of levodopa
229 higher than 90%. The selectivity of the extraction is associated with the intermolecular
230 interactions that occur between the solvent and the compound of interest, which is
231 directly associated with the polarity of the molecular species.[23] Although neat ethanol
232 is often used in solid-liquid extractions, leading to high extraction efficiencies, it does

233 not present an effective selectivity when compared to aqueous solutions of organic
234 acids. Also with ethanol, its mixture with water leads to higher extraction efficiencies of
235 levodopa, revealing the co-solvency effect.

236 Methanol and hexane are solvents typically used in the extraction of levodopa from
237 biomass, and were here investigated. Both solvents allow high yields in the extraction of
238 levodopa (9.5 and 6.3 wt.%), but both present poor selectivity when compared with the
239 aqueous solutions of organic acids here studied.

240 Overall, from the initial screening on several solvents to extract levodopa, aqueous
241 solutions of citric acid appear as the ones leading to higher extraction efficiencies and
242 higher relative purity of levodopa (95 %), being further investigated by a response
243 surface methodology.

244

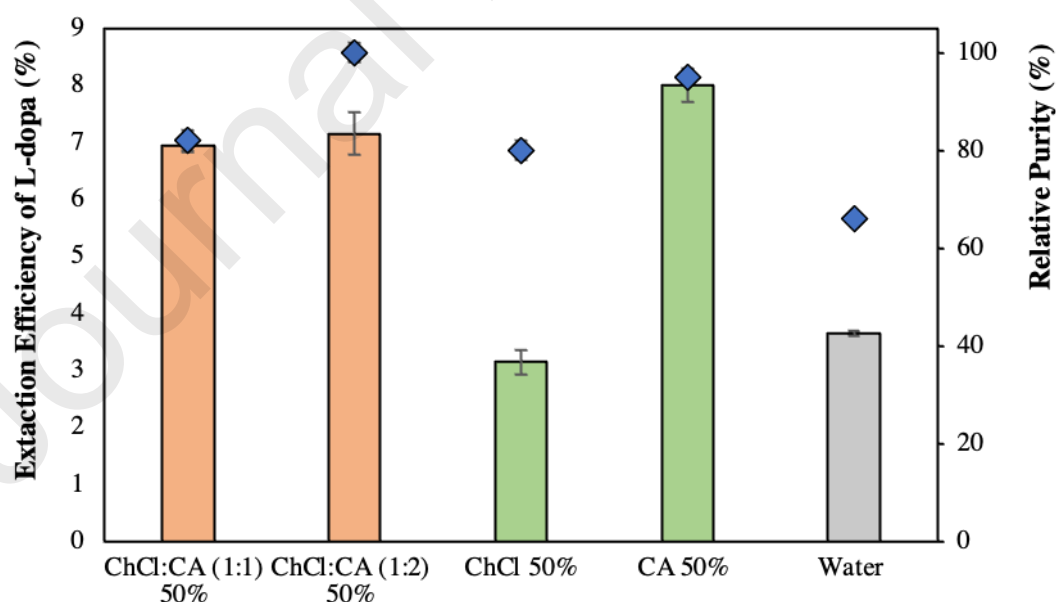
245 3.2 Eutectic solvents or organics acids

246 L-dopa is an amino acid ($pK_a = 2.32$), exhibiting the behavior of an alkaline compound
247 under acidic conditions. Therefore, the choice of aqueous solutions based on organic
248 acids comes in line with the concept of acid-base extraction, where a greater interaction
249 between solvent-solute can occur and explain the high yields and selectivity observed.
250 Since in a previous work,[24] we studied the use of eutectic systems to carry this
251 extraction, Figure 3 reports a comparison between the performance the organic acid
252 selected from the screening and the [Ch]Cl based eutectic solvents, allowing for an
253 analysis of the effects caused by each specific component of the mixture. The results for
254 the aqueous solutions of the two eutectic solvents ([Ch]Cl:CA (1:1 and 1:2), and for the
255 aqueous solution of [Ch]Cl were previously reported by Benfica et al [24].

256 Levodopa moderate solubility in water leads to low extraction yields and poor
257 selectivity (low purity) when water is used as solvent (3.6 ± 0.1 wt.%). The analysis of

258 the performance of the HBA component in water shows that choline chloride alone, at a
259 concentration of 50 wt.%, is also not conducive to a significant or selective extraction of
260 levodopa. The eutectic solvents based on CA present good yields and selectivity in the
261 extraction of levodopa from mucuna seeds,[24] with the eutectic solvent [Ch]Cl:CA
262 (1:2) at 50% in water showing a yield of $(7.1 \pm 0.4 \text{ wt.}\%)$. Surprisingly, the citric acid
263 alone, at the same concentration, presents a yield of $(8.0 \pm 0.3 \text{ wt.}\%)$, higher than those
264 observed for the eutectic systems. This is advantageous from the perspective of a
265 process design since it allows the use a simpler solvent, that will be cheaper, and easier
266 to manipulate and recover than an eutectic mixture.

267 It has been shown that acids such as citric acid and lactic acid have hydrotropic
268 properties,[25,26] and in an acid environment they have the ability to interact with
269 protonated low solubility drugs.[26] This explains the good performance observed in
270 this work for these acids and the eutectic solvents based on them previously
271 reported.[24]



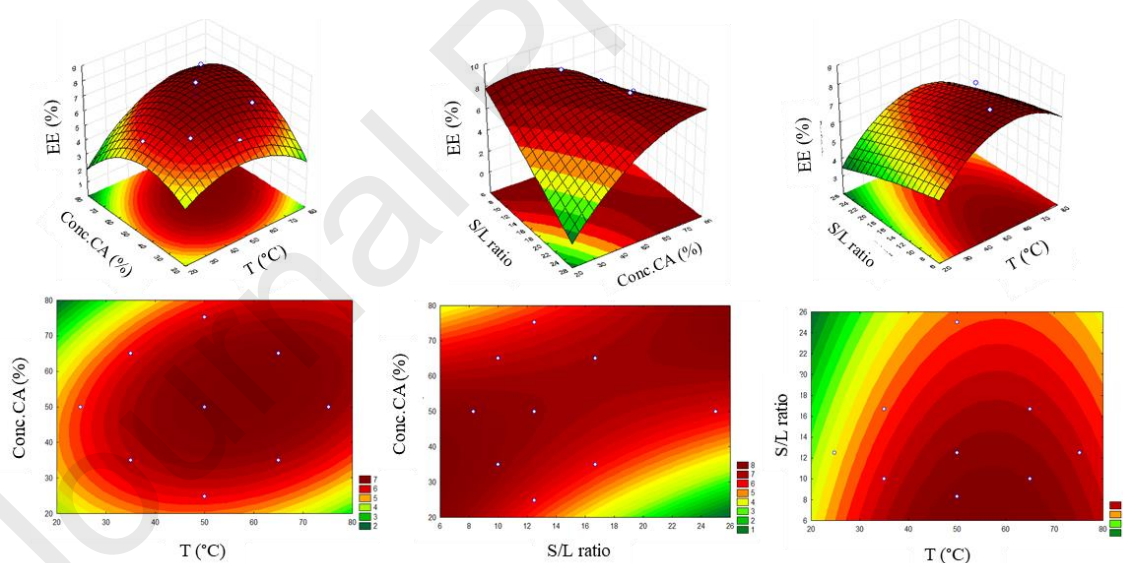
272

273 **Figure 3.** Comparison of aqueous solutions of eutectic solvents based in citric acid (■) and
274 aqueous solutions of HBA and HBD (■) to extract levodopa, given by the extraction efficiency

275 (bars). Relative purity of levodopa (◆). Extraction performed at fixed conditions: $T = 50^{\circ}\text{C}$, S:L
 276 ratio = 1:10, time = 90 min.

277 3.3 Optimization of Operational Conditions by Response Surface Methodology

278 To design a sustainable process, aqueous solutions of citric acid were selected as the
 279 most promising to extract levodopa, and were further used to optimize the extraction
 280 process conditions applying a response surface methodology. Here, the extraction
 281 temperature (T), the solid-liquid ratio (S:L) and citric acid concentration (C, wt.%) were
 282 the variables to optimize. The response surface methodology allows to identify possible
 283 mutual effects and interactions between the variables studied on the extraction
 284 efficiency. Figure 4 depicts the plots of the response surface (top) results showing the
 285 influence of each variable on the levodopa extraction efficiency, while the contour
 286 graph (bottom) shows the simultaneous interaction between the investigated variables.



287

288 **Figure 4.** Surface graphs (up) and contour graphs (bottom) of the interactions of various
 289 factors in the extraction efficiency of levodopa. (i) temperature and concentration of
 290 citric acid; (ii) concentration of citric acid and solid/liquid ratio; and (iii) temperature
 291 and solid/liquid ratio.

292 The response surfaces reflect the co-relation between two independent variables and
293 show the interactions of the extraction temperature (X_1), solid:liquid ratio (X_2) and citric
294 acid concentration (X_3) in the *L*-dopa extraction efficiency. Analysis of variance
295 (ANOVA) was used to determine the statistical significance of the variables and their
296 interactions. The efficiency of extraction of *L*-dopa was used as the dependent variable
297 in the definition of the predictive model represented by Eq. (3).

298 The model was adjusted with a confidence level of 95 % and can be considered as a
299 highly predictive model. It was validated using the plot of the observed values versus
300 predicted values. The average relative deviation between the observed and predicted
301 values is 0.09 %, demonstrating a good description of the experimental results by the
302 statistical model. The experimental design, the *L*-dopa extraction efficiencies and the
303 correlation coefficients obtained, and all statistical analyses are given in the ESI (Tables
304 S1 – S4 and Figure S1-S2).

$$305 \quad EE_{levo}(\%) = 6.74 + 0.13 X_1 - 0.001X_1^2 - 0.57X_2 + 0.02X_3 - 0.002X_3^2 + 0.001X_{13} + 0.009X_{23} \quad (3)$$

306 The extraction yield of *L*-dopa is directly linked to the concentration of solvent, S:L
307 ratio and operating temperature of the extraction system. The statistical analysis and
308 data shown in Figure 4 indicate that the linear and quadratic effects of temperature are
309 significant in relation to the efficiency of *L*-dopa extraction. Higher temperatures
310 decrease the viscosity of the solvent, decrease mass transfer constraints and increase the
311 solubility of levodopa, thus favouring the extraction process.

312 The surface responses show an increase in the efficiency of extraction of levodopa with
313 an increase in the solvent concentration up to a maximum of about 60 of wt.% citric
314 acid, revealing that the extraction capacity of the solvent may be associated with its
315 acidity and capacity hydrotropic in aqueous systems.

316 It is also noted that the S:L ratio is a significant parameter. In mass transfer principles,
317 the driving force within the solid is associated with the S:L ratio. The driving force
318 during mass transfer within the solid is higher when a higher solvent ratio is used,
319 resulting in an increased diffusion rate.[27] By increasing the S:L ratio, there is an
320 increase in the L-dopa extraction efficiency.

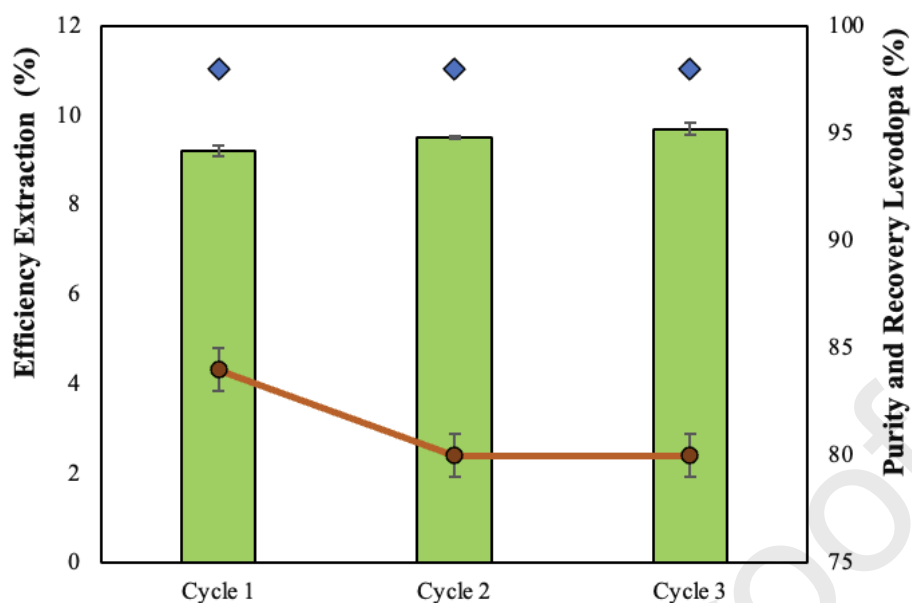
321 According to the RSM optimization, the optimal conditions for L-dopa extraction are 60
322 °C, a S:L ratio of 1:7 and a concentration of citric acid of 58 wt.%. At the optimized
323 conditions of the experimental design, a L-dopa extraction efficiency of 9.2 ± 0.6 wt.%
324 was obtained. This value is competitive with the best value obtained with volatile
325 organic solvents, namely methanol (9.5 wt.%) shown in Figure 2 and those reported in
326 the literature.[10] Furthermore, data from literature using mixtures of ethanol:water in
327 presence of ascorbic acid, chloroform in alkaline media and mixtures of acetonitrile and
328 methanol under ultrasounds reported extraction efficiencies of levodopa in the range
329 between 1.8 and 7.6 wt.%. [11,14]

330 The alternative solvent approach proposed by Benfica et al. [24] using aqueous
331 solutions of eutectic solvents based on lactic acid to extract levodopa achieve significant
332 yield results of (9.9 ± 1.0 wt.%). Although good yields and purity are obtained, the use
333 of an alternative method even simpler and easy to separate proposed here in this work
334 for aqueous citric acid solutions (9.2 ± 0.6 wt.%) is even more attractive. In mild
335 conditions and temperatures, citric acid is proposed here as a competitive and more
336 effective solvent for extracting levodopa from biomass, allowing the replacement of the
337 commonly used volatile organic solvents.

338 *3.4 Recovery of L-dopa and recycle of solvent*

339 Regardless of the efficiency of the extraction process, the solvent recovery and its
340 recyclability are two conditions to develop a sustainable process. Accordingly, in this
341 work, it was attempted the recovery of L-dopa from the citric acid aqueous solution and
342 the solvent reuse in new cycles of extraction with fresh biomass. To accomplish this
343 goal, the extraction steps were performed at the optimized conditions ($T = 60\text{ }^{\circ}\text{C}$, ratio
344 S:L 1:7 and citric acid concentration of 58 wt.%). Figure 5 depicts the extraction
345 efficiency, relative purity and recovery yield of levodopa along the cycles using the
346 recycled solvent. Approximately 9.2 to 9.8 wt.% of L-dopa was extracted at the
347 optimized conditions along the several cycles, and purities ranging between 80 and 84%
348 were obtained. These results indicate that the reused solvent does not compromise the
349 extraction efficiency and selectivity towards levodopa. About 84% of L-dopa was
350 recovered by solid-phase extraction after the first cycle. However, a decrease to 80%
351 was observed in the following cycles, which may be due to the column separation
352 performance. The integrity of the recycled solvent was confirmed by ^1H and ^{13}C NMR,
353 as shown in the ESI (Figure S3), revealing that no degradation of the solvent occurs. ^1H
354 NMR was also used to confirm the integrity and purity of the recovered levodopa after
355 the extraction and recovery step (Figure 6).

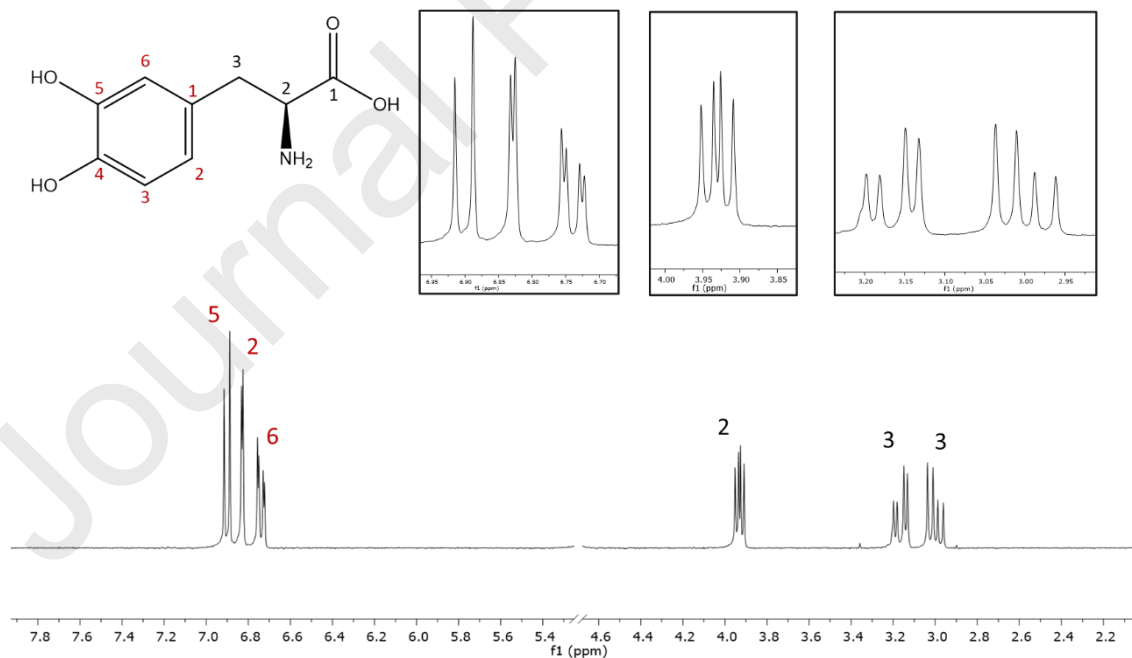
356



357

358 **Figure 5.** Efficiency Extraction (■), Recovery (●) and Purity (◆) of levodopa using
 359 aqueous solutions of citric acid at the optimized conditions and a cation exchange
 360 column for levodopa recovery, along 3 cycles of extraction involving the solvent reuse.

361



362

363 **Figure 6.** ¹H NMR spectra of the recovered levodopa from aqueous solutions of citric
 364 acid.

365

366 **4. Conclusions**

367 *M. pruriens* seeds are a good source of levodopa, with potential application in the
368 pharmaceutical industry to treat neurodegenerative disorders. Although its high
369 relevance, the extraction of levodopa from biomes is usually carried out with volatile
370 organic solvents. In this work, it was shown that aqueous solutions of carboxylic acids,
371 and in particular of citric acid which is already used in the food and pharmaceutical
372 industries, allows to obtain an effective and selective extraction of L-dopa from *M.*
373 *pruriens* seeds. By a response surface methodology, the optimal operational conditions
374 identified to improve the extraction efficiency were 60 ° C, S:L of 1:7, acid
375 concentration of 58 wt.%) and 90 minutes of extraction, leading to an extraction
376 efficiency of L-dopa of 9.2 wt.%. This value is similar to the extraction efficiency
377 obtained with pure methanol, with the additional advantage of being a more selective
378 solvent. The aqueous solution can be recovered and reused, at least for 3 times, with no
379 significant losses in extraction and selectivity performance. Levodopa can be recovered
380 from the acidic aqueous solutions by solid-phase extraction, with recovery yields
381 ranging between 80-84%. The high purity of the recovered levodopa was confirmed by
382 NMR. Overall, the proposed solvent and process is more sustainable than other
383 processes currently used and disclosed for the extraction of levodopa, making use of an
384 aqueous solutions comprising a natural, low-cost and readily available organic acid.

385 **Acknowledgment**

386 This work was also financially supported by the project POCI-01-0145-FEDER-030750
387 (PTDC/EQU-EPQ/30750/2017) - funded by FEDER, through COMPETE2020 -
388 Programa Operacional Competitividade e Internacionalização (POCI), and by national
389 funds (OE), through FCT/MCTES. The NMR spectrometers are part of the National

390 NMR Network (PTNMR) and are partially supported by infrastructure Project N°
391 022161 (co-financed by FEDER through COMPETE 2020, POCI and PORL and FCT
392 through PIDDAC). J.B.S. acknowledges FCT for her Ph.D. grant 2020.05802.BD. E. S.
393 Morais acknowledges the PhD grant SFRH/BD/129341/2017.

394 **References**

395

- 396 [1] H. Pulikkalpara, R. Kurup, P.J. Mathew, S. Baby, Levodopa in *Mucuna pruriens*
397 and its degradation, *Sci. Rep.* 5 (2015) 2–10. <https://doi.org/10.1038/srep11078>.
- 398 [2] J. Aldred, J. Nutt, Levodopa, *J. Med. Chem.* 7 (2010) 132–137.
- 399 [3] S. Duty, P. Jenner, Animal models of Parkinson ' s disease : a source of novel
400 treatments and clues to the cause of the, (2011) 1357–1391.
401 <https://doi.org/10.1111/j.1476-5381.2011.01426.x>.
- 402 [4] S.A. Jagmag, N. Tripathi, S.D. Shukla, S. Maiti, Evaluation of Models of
403 Parkinson ' s Disease, 9 (2016). <https://doi.org/10.3389/fnins.2015.00503>.
- 404 [5] P. Rev, L. Sathiyarayanan, S. Arulmozhi, *Mucuna pruriens* Linn . - A
405 Comprehensive Review, *Pharmacogn. Rev.* 1 (2007) 157–162.
- 406 [6] J.H. Kordower, C.G. Goetz, The first miracle in neurodegenerative disease : The
407 discovery of oral levodopa, 50 (1999) 377–378. <https://doi.org/10.1016/S0361->
408 [9230\(99\)00112-4](https://doi.org/10.1016/S0361-9230(99)00112-4).
- 409 [7] H.F. Martins, D.P. Pinto, V. de A. Nascimento, M.A.S. Marques, F.C.
410 Amendoeira, Determination of Levodopa in Human Plasma By High
411 Performance Liquid Chromatography–Tandem Mass Spectrometry (Hplc–
412 Ms/Ms): Application To a Bioequivalence Study, *Quim. Nova.* 36 (2013) 171–
413 176. <https://doi.org/10.1590/S0100-40422013000100028>.

- 414 [8] O. Tucha, L. Mecklinger, J. Thome, A. Reiter, G.L. Alders, Kinematic analysis
415 of dopaminergic effects on skilled handwriting movements in Parkinson ' s
416 disease, (2006) 609–623. <https://doi.org/10.1007/s00702-005-0346-9>.
- 417 [9] M. Akhbari, S. Hamed, Z. sadat Aghamiri, Optimization of total phenol and
418 anthocyanin extraction from the peels of eggplant (*Solanum melongena* L.) and
419 biological activity of the extracts, *J. Food Meas. Charact.* 13 (2019) 3183–3197.
420 <https://doi.org/10.1007/s11694-019-00241-1>.
- 421 [10] C.A. Anosike, O.N. Igboegwu, O.F.C. Nwodo, Antioxidant properties and
422 membrane stabilization effects of methanol extract of *Mucuna pruriens* leaves on
423 normal and sickle erythrocytes, *J. Tradit. Complement. Med.* (2018) 1–7.
424 <https://doi.org/10.1016/j.jtcme.2017.08.002>.
- 425 [11] L. Misra, H. Wagner, Extraction of bioactive principles from *Mucuna pruriens*
426 seeds, *Indian J. Biochem. Biophys.* 44 (2007) 56–60.
- 427 [12] F. Chemat, M. Abert Vian, A.-S. Fabiano-Tixier, M. Nutrizio, A. Režek Jambrak,
428 P.E.S. Munekata, J.M. Lorenzo, F.J. Barba, A. Binello, G. Cravotto, A review of
429 sustainable and intensified techniques for extraction of food and natural products,
430 *Green Chem.* (2020). <https://doi.org/10.1039/c9gc03878g>.
- 431 [13] J. Plotka-Wasyłka, J. Namieśnik, *Green Chemistry and Sustainable Technology*
432 *Green Analytical Chemistry*, Springer Singapore, 2019.
433 <https://doi.org/10.1007/978-981-13-9105-7>.
- 434 [14] M. Rane, S. Suryawanshi, R. Patil, C. Aware, R. Jadhav, S. Gaikwad, P. Singh,
435 S. Yadav, V. Bapat, R. Gurav, J. Jadhav, Exploring the proximate composition ,
436 antioxidant , anti-Parkinson's and anti-inflammatory potential of two neglected
437 and underutilized *Mucuna* species from India, *South African J. Bot.* 124 (2019)

- 438 304–310. <https://doi.org/10.1016/j.sajb.2019.04.030>.
- 439 [15] F. Chemat, M. Abert-vian, A.S. Fabiano-tixier, J. Strube, L. Uhlenbrock, V.
440 Gunjevic, G. Cravotto, Trends in Analytical Chemistry Green extraction of
441 natural products . Origins , current status , and future challenges, Trends Anal.
442 Chem. 118 (2019) 248–263. <https://doi.org/10.1016/j.trac.2019.05.037>.
- 443 [16] R.N. Cavalcanti, T. Forster-Carneiro, M.T.M.S. Gomes, M.A. Rostagno, J.M.
444 Prado, M.A.A. Meireles, Uses and applications of extracts from natural sources,
445 2013. <https://doi.org/10.1039/9781849737579-00001>.
- 446 [17] G.S. Dhillon, S.K. Brar, Recent Advances in Citric Acid Bio-production and
447 Recovery, 2009 (2011) 505–529. <https://doi.org/10.1007/s11947-010-0399-0>.
- 448 [18] A.R. Angumeenal, D. Venkappayya, An overview of citric acid production, LWT
449 - Food Sci. Technol. 50 (2013) 367–370.
450 <https://doi.org/10.1016/j.lwt.2012.05.016>.
- 451 [19] R. Ciriminna, F. Meneguzzo, R. Delisi, M. Pagliaro, Citric acid : emerging
452 applications of key biotechnology industrial product, Chem. Cent. J. (2017) 1–9.
453 <https://doi.org/10.1186/s13065-017-0251-y>.
- 454 [20] E.P. Serjeant, B. Dempsey, I.U. of P. and A. Chemistry., C. on E. Data.,
455 Ionisation constants of organic acids in aqueous solution, Pergamon Press,
456 Oxford; New York, 1979.
- 457 [21] S.R. Palit, Solvent action and “co-solvency”: A lecture demonstration, J. Chem.
458 Educ. 23 (1946) 182. <https://doi.org/10.1021/ed023p182>.
- 459 [22] B. Soares, A.J.D. Silvestre, P.C. Rodrigues Pinto, C.S.R. Freire, J.A.P. Coutinho,
460 Hydrotrophy and Cosolvency in Lignin Solubilization with Deep Eutectic

- 461 Solvents, *ACS Sustain. Chem. Eng.* (2019).
462 <https://doi.org/10.1021/acssuschemeng.9b02109>.
- 463 [23] M. Jacotet-Navarro, M. Laguerre, A.S. Fabiano-Tixier, M. Tenon, N. Feuillère,
464 A. Bily, F. Chemat, What is the best ethanol-water ratio for the extraction of
465 antioxidants from rosemary? Impact of the solvent on yield, composition, and
466 activity of the extracts, *Electrophoresis*. 39 (2018) 1946–1956.
467 <https://doi.org/10.1002/elps.201700397>.
- 468 [24] J. Benfica, J. S. Miranda, E. S. Morais, M. G. Freire, J. A.P. Coutinho, R. de
469 Cássia Superbi de Sousa, Enhanced extraction of levodopa from *Mucuna pruriens*
470 seeds using aqueous solutions of eutectic solvents, *ACS Sustain. Chem. &
471 Eng.* 8 (2020) 6682–6689. <https://doi.org/10.1021/acssuschemeng.0c00196>.
- 472 [25] E. Baka, J.E.A. Comer, K. Takács-Novák, Study of equilibrium solubility
473 measurement by saturation shake-flask method using hydrochlorothiazide as
474 model compound, *J. Pharm. Biomed. Anal.* 46 (2008) 335–341.
475 <https://doi.org/10.1016/j.jpba.2007.10.030>.
- 476 [26] E. Shoghi, E. Fuguet, E. Bosch, C. Ràfols, Solubility – pH profiles of some
477 acidic, basic and amphoteric drugs, *Eur. J. Pharm. Sci.* 48 (2013) 291–300.
478 <https://doi.org/10.1016/j.ejps.2012.10.028>.
- 479 [27] J.E. Cacace, G. Mazza, Mass transfer process during extraction of phenolic
480 compounds from milled berries, *J. Food Eng.* 59 (2003) 379–389.
481 [https://doi.org/10.1016/S0260-8774\(02\)00497-1](https://doi.org/10.1016/S0260-8774(02)00497-1).

482

483

484

485

486

487

488

489

490

491

492

493

494

495

Journal Pre-proofs

496

Supporting Information Captions

497 **Aqueous Solutions of Organic Acids as Effective Solvents for**498 **Levodopa Extraction from *Mucuna pruriens* Seeds**499 Jordana Benfica^a, Eduarda S. Morais^a, Julia S. Miranda^b, Mara G. Freire^a, Rita de500 Cássia Superbi de Sousa^{b*} and João A.P Coutinho^{a*}501 ^a CICECO – Aveiro Institute of Materials, Department of Chemistry, University of

502 Aveiro, 3810-193 Aveiro, Portugal.

503 ^b Department of Chemistry, Federal University of Viçosa, Viçosa, Minas Gerais, Brazil.

504

505 ***Corresponding authors:** rita.sousa@ufv.br (Phone: +55 3899-3060) and

506 jcoutinho@ua.pt (Phone: +351 234 401 507)

507

508 Pages: 7

509 Figures: 2

510 Table: 5

511

512 **Table S1.** Compound Descriptions, Molecular formula, CAS Number, Purity and
 513 Supplier of the ES Components Investigated.

Compounds	Molecular	CAS number	Purity/wt %	Supplier
Acetic acid (AA)	C ₂ H ₄ O ₂	64-19-7	99.9	Honeywell
Citric acid (CA)	C ₆ H ₈ O ₇	77-92-9	99.5	Panreac
Glycolic acid (GA)	C ₂ H ₄ O ₃	79-14-1	99.0	Acros Organics
Lactic acid (LA)	C ₃ H ₆ O ₃	10326-41-7	90.0	Sigma Aldrich
Propionic acid (PA)	C ₃ H ₆ O ₂	79-09-4	99.0	Acros Organics
Ethylene glycol (EG)	C ₂ H ₆ O ₂	107-21-1	99.5	Sigma Aldrich
Glycerol (G)	C ₃ H ₈ O ₃	56-81-5	99.8	Fisher Chemical
Hexane	C ₆ H ₁₄	110-54-3	99.0	Carlo Erba
Methanol	CH ₄ O	67-56-1	HPLC	Fisher Scientific

514

515 **Table S2.** Levels of process factors in experimental design.

Parameters	Levels				
	-1.682	-1	0	1	1.682
Temperature (°C)	24.8	35	50	65	75.2
Solid-liquid ratio	25.0	16.7	12.5	10	8.3
ES Concentration (wt.%)	24.8	35	50	65	75.2

516

517

518

519

520

521

522

523 **Table S3.** Results of response surface methodology for the extraction of levodopa from
 524 *Mucuna pruriens* seeds using aqueous solution of CA.

Assay	Coded values			Conditions			Response
	X ₁	X ₂	X ₃	T (°C)	S:L	CA (%)	EE _{levo} (Y)
1	-1	-1	-1	35	16.7	35	5.81
2	-1	-1	1	35	16.7	65	5.75
3	-1	1	-1	35	10	35	6.11
4	-1	1	1	35	10	65	6.15
5	1	-1	-1	65	16.7	35	5.88
6	1	-1	1	65	16.7	65	5.87
7	1	1	-1	65	10	35	6.07
8	1	1	1	65	10	65	6.61
9	-1.682	0	0	24.8	12.5	50	6.11
10	1.682	0	0	75.2	12.5	50	5.99
11	0	-1.682	0	50	25	50	5.46
12	0	1.682	0	50	8.3	50	6.51
13	0	0	-1.682	50	12.5	24.8	6.02
14	0	0	1.682	50	12.5	75.2	5.94
15	0	0	0	50	12.5	50	5.86
16	0	0	0	50	12.5	50	5.97
17	0	0	0	50	12.5	50	5.95
18	0	0	0	50	12.5	50	5.93
19	0	0	0	50	12.5	50	5.97

525

526

527

528

529 **Table S4.** Estimated coefficients obtained from the polynomial model and statistical
 530 criteria of extraction using aqueous solutions of CA[.

Parameters	Coefficients	Standard deviation	t-student (4)	P-value	Confidence Limit -95%	Confidence Limit +95%
Interception	6.746	0.353	19.07	0.0000	5.76	7.72
Temperature	0.139	0.006	20.38	0.0000	0.12	0.15
Temperature ²	-0.001	0.000	-31.09	0.0000	-0.00	-0.00
Solid-Liquid ratio	-0.571	0.016	-34.02	0.0000	-0.61	-0.52
Concentration	0.023	0.008	2.82	0.0476	0.00	0.04
Concentration ²	-0.002	0.000	-37.18	0.0000	-0.00	-0.00
Temperature x Concentration	0.001	0.000	16.99	0.0000	0.00	-0.00
Solid-liquid ratio x concentration	0.009	0.000	29.40	0.0000	0.00	0.01

531

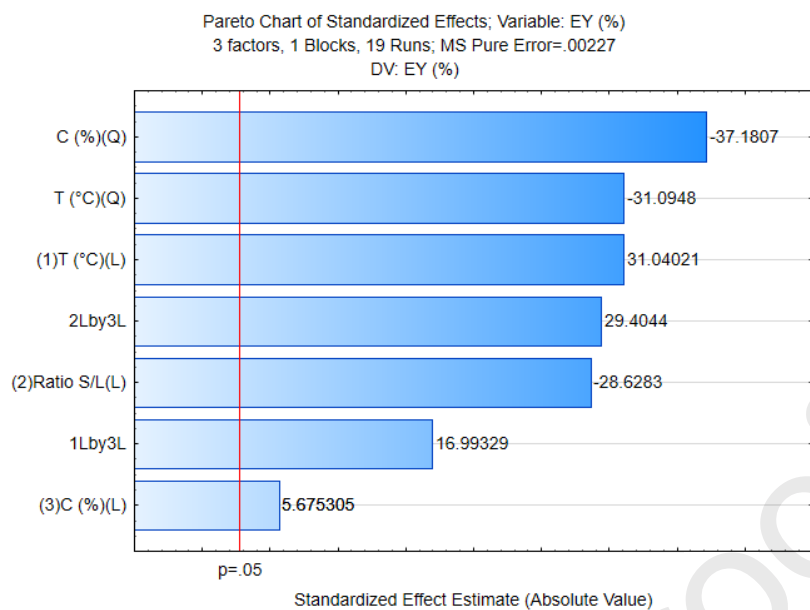
532 **Table S5.** ANOVA data for the extraction of levodopa obtained from RSM design
 533 using aqueous solutions of CA

Factors	Sum of squares	Degree of freedom	Mean Square	F-value	P-value
Temperature	2.18	1	2.18	963.49	0.000006
Temperature ²	2.19	1	2.19	966.88	0.000006
Solid-Liquid ratio	1.86	1	1.86	819.58	0.000008
Concentration	0.07	1	0.07	32.20	0.004
Concentration ²	3.13	1	3.13	1382.40	0.000003
Temperature x Concentration	0.65	1	0.65	288.77	0.000007
Solid-liquid ratio x concentration	1.96	1	1.96	864.61	0.000007
Lack or Fit	0.90	7	0.12		
Pure Error	0.009	4	0.002		-

534

535

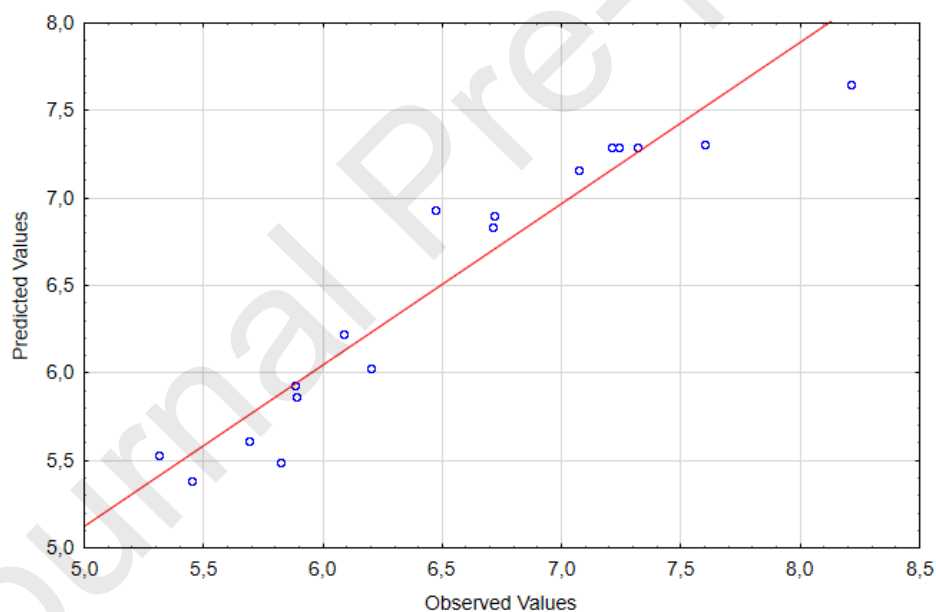
536



537

538 **Figure S1.** Pareto diagram for the effects of process parameters on the extraction of
539 levodopa from *Mucuna pruriens* seeds using aqueous solutions of CA.

540



541

542 **Figure S2.** Predicted and observed values obtained from RSM design using aqueous
543 solutions of CA.

544

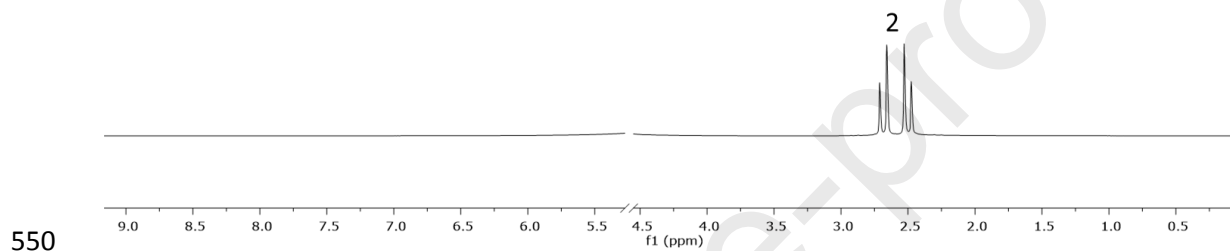
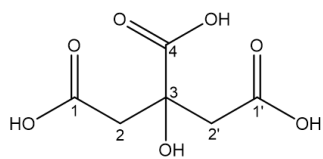
545

546

547

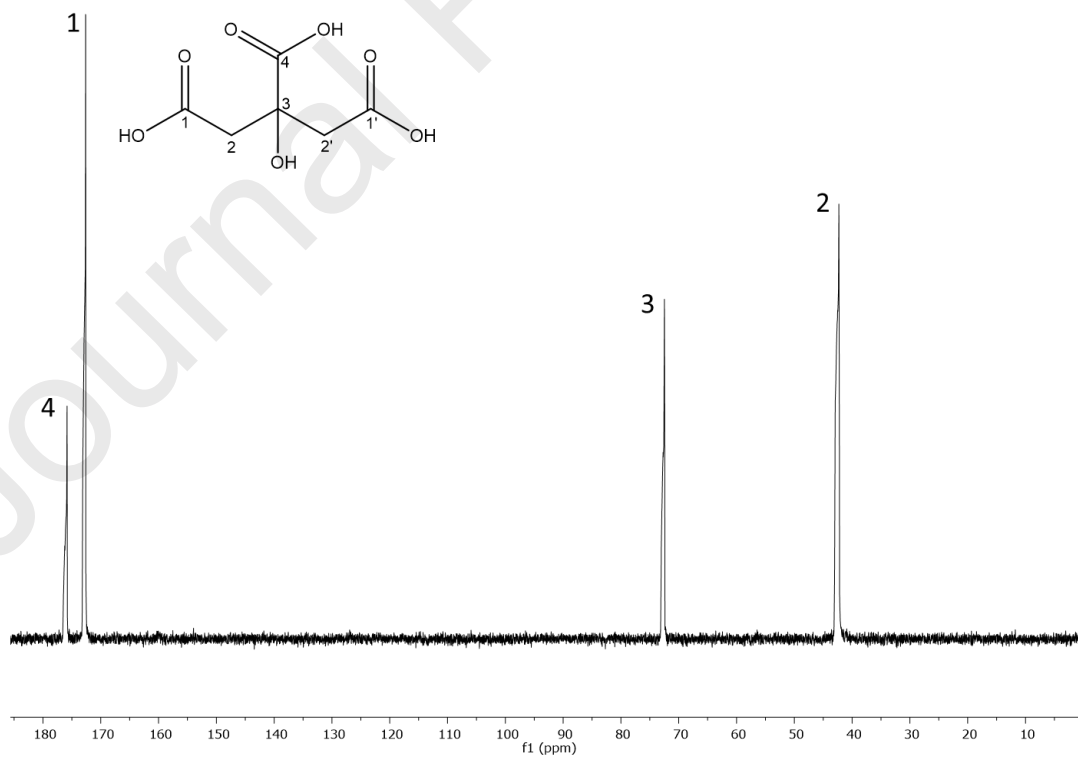
548

549

Citric Acid, ^1H 

550

551

Citric Acid, ^{13}C 

552

553

Figure S3. Results of NMR ^1H and ^{13}C from aqueous solutions of CA.

554

Journal Pre-proofs

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

AUTHOR CONTRIBUTIONS

Jordana Benfica: Investigation; Methodology; Writing - Original Draft;

Eduarda S. Morais: Visualization; Writing - Review & Editing;

Julia S. Miranda: Writing - Review & Editing

Mara G. Freire: Conceptualization; Writing - Review & Editing ; Supervision

Rita de Cássia S. de Sousa: Writing - Review & Editing ; Supervision

João A. P. Coutinho: Conceptualization; Writing - Review & Editing; Supervision