

1 **Title:** Responsiveness and minimal clinically important difference of the Brief-BESTest in
2 people with COPD after pulmonary rehabilitation

3 **Running head:** Brief-BESTest in COPD: responsiveness and MCID

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35 **ABSTRACT (295/300 words)**

36 **Objective:** The Brief-Balance Evaluation Systems Test (Brief-BESTest) is a
37 comprehensive, reliable and valid balance test, which provides valuable information to
38 guide balance training in people with COPD. Its clinical interpretability is, however,
39 currently limited, as cutoff points to identify clinical relevant changes **in people with**
40 **COPD**, after pulmonary rehabilitation (PR), are still lacking. This study aimed to establish
41 the responsiveness and minimal clinically important difference (MCID) for the Brief-
42 BESTest **in people with COPD, after PR.**

43 **Methods:** A secondary analysis of data from two previous studies was conducted. The
44 modified British Medical Research Council (mMRC) dyspnea scale, the six-minute walk
45 test (6MWT) and the Brief-BESTest (0-24 points) were collected in people with COPD
46 pre/post a 12-week PR program including balance training. The MCID was computed
47 using anchor- and distribution-based methods. Changes in the 6MWT and the mMRC
48 were assessed and used as anchors. The pooled MCID was computed using the
49 arithmetic weighted mean (2/3 anchor- and 1/3 distribution-based methods).

50 **Results:** Seventy-one people with COPD (**69±8 years**; 76% male; FEV₁ 49.8±18%
51 predicted) were included. There was a significant improvement in the Brief-BESTest
52 after PR (mean difference **3±3** points; p<0.001). Significant correlations were found
53 between the Brief-BESTest and the mMRC (r=-0.31; p=0.008), and the 6MWT (r=0.37;
54 p=0.002). The pooled MCID was 3.3 points.

55 **Conclusion:** An improvement of at least 3 points in the Brief-BESTest **in people with**
56 **COPD** will enhance the interpretability of PR effects on balance performance **of this**
57 **population** and guide tailored interventions.

58 **Impact:** This study reports on the responsiveness and the MCID of the Brief-BESTest, in
59 people with COPD following PR. This outcome measure is comprehensive, **easily**
60 **administered** and simple to interpret in clinical practice. This study represents a
61 significant contribution for the clinical interpretation of changes in balance **in people**
62 **with COPD**, following PR.

63 **KEYWORDS:** **Responsiveness; minimal clinically important difference;** balance;
64 pulmonary rehabilitation; Brief-BESTest; COPD

65 **INTRODUCTION**

66 Chronic obstructive pulmonary disease (COPD) has a progressive deterioration not
67 limited to pulmonary function, but including several other systemic effects, such as
68 impairments in skeletal muscle function, which are closely related to reduced mobility,
69 exercise intolerance and balance impairment.¹⁻⁴

70 **Balance control is a complex skill^{5,6} and the ability to maintain balance is essential to**
71 **preserve one's mobility, functional independence in activities of daily living⁷ and to**
72 **avoid falls.⁸ Impaired balance has been associated with the age-related process,⁵**
73 **however, in people with COPD this decline is further marked by other mechanisms,**
74 **e.g., peripheral muscle weakness,⁹ somatosensory deficits,¹⁰ exercise intolerance and**
75 **dyspnea.^{11,12}**

76 **Impaired balance leads to an increased risk of falls in people with COPD,⁹ resulting in**
77 **harmful consequences on mobility¹³ and increased injury-related mortality¹⁴ in this**
78 **population. Given the relevance of balance in chronic respiratory diseases, the**
79 **American Thoracic Society/European Respiratory Society statement recommended its**
80 **assessment in people referred to pulmonary rehabilitation (PR).¹⁵**

81 Several outcome measures exist to assess balance **in people with COPD**, however, most
82 do not identify the different balance systems, present ceiling effects and/or are time-
83 consuming.⁵ The Brief-Balance Evaluation Systems Test (Brief-BESTest), a
84 comprehensive and **easy to administer** measure, was developed to overcome these
85 limitations and provides valuable information to tailor balance training.¹⁶ **The validity**
86 **and reliability of** the Brief-BESTest are well-established **in people with COPD,¹**
87 nevertheless, its responsiveness and clinical interpretability are currently unknown.
88 Determining the responsiveness and minimal clinically important difference (MCID) of

89 the Brief-BESTest is important to define its utility as an outcome measure of balance **in**
90 **people with COPD**, following PR¹⁷ and to clinically interpret the changes achieved.^{18,19,20}
91 This information will be further useful to guide and inform balance tailored
92 interventions, establish expected endpoints in clinical trials,^{19,21} define sample sizes¹⁹
93 and develop guidelines.²⁰
94 Thus, this study aimed to determine the responsiveness and MCID of the Brief-BESTest
95 in people with COPD after PR. **Furthermore**, we hypothesised that changes in Brief-
96 BESTest, **used to determine both responsiveness and MCID**, would correlate
97 moderately (0.3-0.5)^{11,12,17} and: i) positively with changes in **exercise tolerance**; and ii)
98 negatively with changes in **dyspnea**.

99 **METHODS**

100 **Study design and participants**

101 A secondary analysis of data from a real-world non-randomised controlled study
102 (**NCT03799666**) to assess the cost-effectiveness of community-based PR²² and a
103 prospective cohort study (NCT03701945), was conducted according to the guidelines for
104 measurement properties studies proposed by the COnsensus-based Standards for the
105 selection of health status Measurement Instruments (COSMIN) initiative²³. This work is
106 described according to the Strengthening the Reporting of Observational Studies in
107 Epidemiology (STROBE) guidelines.²⁴ Ethical approval **for the above mentioned studies**
108 was obtained from the Ethics Committee for Health of the *Administração Regional de*
109 *Saúde do Centro* (Ref. 73/2016; 85/2018) and from the National Committee for Data
110 Protection (no. 7295/2016). Informed consent was obtained from all participants prior
111 to data collection.

112 People with COPD were recruited via clinicians at *Centro Hospitalar do Baixo Vouga* and
113 at primary healthcare centres of the center region of Portugal from January 2019 to
114 March 2020 and enrolled in a 12-week community-based PR program. Individuals were
115 included if diagnosed with COPD³ and clinically stable for 1 month prior to the study (no
116 hospital admissions or exacerbations, nor changes in medication, according to Global
117 Initiative for Chronic Obstructive Lung Disease – GOLD report).³ Individuals were
118 excluded if they presented other respiratory diseases or any clinical condition that
119 precluded them from being involved in community-based PR (i.e., signs of cognitive
120 impairment or presence of neoplastic disease or a significant cardiovascular,
121 neurological, musculoskeletal or infectious disease).

122 **Data collection**

123 Sociodemographic (age, gender), anthropometric (height and weight to compute body
124 mass index [BMI]) and general clinical (smoking habits, medication or number of
125 exacerbations in the past year) data were first collected. Lung function values were
126 obtained from participants' medical records. Participants were classified according the
127 severity of airway limitation (GOLD grades 1-4) and ABCD assessment tool (GOLD groups
128 A-D), as recommended by GOLD report.³ The severity of comorbid diseases was
129 recorded and scored according to the Charlson Comorbidity Index.²⁵ The remaining
130 measures were collected before (T0) and after the PR program (T1), by two
131 physiotherapists experienced in administering performance-based tests.

132 The mMRC was used to assess functional dyspnea²⁶ and to classify participants
133 according to the ABCD assessment tool.³ This questionnaire is a 5-point scale, where 0
134 represents the lowest and 4 the greatest dyspnea level of dyspnea impairment

135 perceived.²⁷ The mMRC has been shown to be valid and reliable, **presenting a MCID of**
136 **-1 point, in people with COPD, after PR.**^{28,29}

137 Exercise tolerance was measured with the 6MWT, according to the international
138 guidelines.³⁰ The 6MWT has been shown to be valid and reliable, **with a MCID of 25**
139 **meters in people with COPD, following PR.**^{31,32}

140 The Brief-BESTest was used to assess balance.¹⁶ This is a 6-item balance test containing
141 1 item of each of the 6 subsections of the full BESTest: biomechanical constraints,
142 stability limits/verticality, transitions/anticipatory postural adjustments, reactive
143 postural control, sensory orientation and stability in gait.¹⁶ Each task is scored on a 4-
144 point scale (0-3), with a maximum score of 24 points, where higher scores indicate better
145 balance performance.¹⁶ The Brief-BESTest has shown to be a valid and reliable
146 instrument, able to differentiate between people with COPD with and without a history
147 of falls (cutoff for fall risk: 16.5 points).¹

148 **Intervention**

149 All participants completed a 12-week community-based PR program, consisting of two
150 weekly sessions of exercise training and one session of education and psychosocial
151 support every two weeks.¹⁵ Exercise training sessions included aerobic and resistance
152 exercises plus a balance training component. All participants received balance training,
153 as the World Health Organization recommends that people aged 65 years old or over
154 must perform balance training 2-3 times/week.³³ Balance exercises consisted of 15
155 minutes of performing tasks targeting the six subsystems of balance control of the Brief-
156 BESTest (Appendix 1). When participants performed a task independently and with little
157 instability, the difficulty level of that subsystem task was increased by introducing more

158 challenging tasks (e.g., eyes closed, adjustments of the centre of gravity in static and
159 dynamic postures, increased speed/repetitions, perturbations, and dual cognitive and
160 motor tasks). Further information regarding the intervention has been published
161 elsewhere.²²

162 **Data analysis**

163 Statistical analysis was performed using IBM SPSS Statistics version 24 and plots created
164 using GraphPad Prism 8 and MetaXL 5.3. The analysis included only participants that
165 adhered to at least 65% of PR, according to the international recommendations which
166 refer that 8 weeks of PR are needed to achieve substantial benefits.¹⁵ The level of
167 significance was set at 0.05.

168 Regarding the sample size, we aimed to recruit at least 50 participants, as it has been
169 established as adequate to compute the MCID.³⁴ Differences between participants and
170 dropouts, and T0-T1, were explored with independent t-test/Mann-Whitney U test and
171 paired t-test/Wilcoxon signed-rank tests, according to the normality of data distribution.
172 Floor and ceiling effects of the Brief-BESTest were verified and considered inexistent if
173 less than 15% of participants scored at the bottom or top, respectively.³⁵ Effect sizes (ES)
174 were calculated through Cohen's d or with nonparametric tests, when data was not
175 normally distributed;³⁶ and interpreted as small (≥ 0.2 or ≥ 0.1), medium (≥ 0.5 or ≥ 0.3)
176 and large (≥ 0.8 or ≥ 0.5), if calculated from Cohen's or with nonparametric tests,
177 respectively.^{37,38} ES were considered as minimally clinically/subjectively important when
178 ≥ 0.2 .³⁷

179

180

181 ***Responsiveness***

182 Responsiveness of the Brief-BESTest to PR in people with COPD was determined using
183 the Pearson's correlation coefficient as recommended by the COSMIN guidelines.³⁹
184 These correlations were explored between the mean change of the Brief-BESTest and
185 the mean changes of the mMRC and six-minute walk distance (6MWD).²⁰ Significant
186 correlations ≥ 0.3 were considered adequate.^{17,40}

187 ***Minimal clinically important difference***

188 MCID was established using a combination of both anchor- and distribution-based
189 methods,^{40,41} which were weighted on a ratio of 2/3 and 1/3, respectively, according to
190 the authors' best judgement and previous work.¹⁹ The final MCID was calculated
191 through the arithmetic weighted mean. The MCIDs generated from the different
192 methods were entered into the MetaXL 5.3 to create the MCID plots.

193 Anchor-based methods

194 For anchor-based methods, the mMRC and the 6MWD, outcome measures with
195 previously established MCIDs (-1 point²⁹ and 25m³², respectively) **in people with COPD**,
196 after a PR program were selected as possible anchors.⁴⁰ The suitability of the mMRC and
197 6MWD to be used as anchors were confirmed if the Pearson correlation coefficients,
198 previously explored in the responsiveness analysis, were ≥ 0.3 .⁴⁰

199 The MCID of the Brief-BESTest was calculated with three different methods: i) the mean
200 change (i.e., the absolute difference between the mean scores of the Brief-BESTest at
201 T1 and T0), of those individuals who achieved the MCID established for the anchors;^{41,42}
202 ii) the receiver operating characteristic (ROC) curves (the area under the curve [AUC] of

203 a ROC>0.7 was considered adequate); and iii) the linear regression analysis (the
204 estimated change was considered the MCID for the Brief-BESTest).⁴¹

205 Distribution-based methods

206 Five distribution-based methods were calculated: i) 0.5*standard deviation (SD);⁴³ ii)
207 standard error of measurement (SEM);⁴⁴ iii) 1.96*SEM;⁴³ iv) minimal detectable change
208 (MDC), **i.e., the absolute measure of reliability**,⁴³ and, v) ES^{36,45} (Table 1). The intraclass
209 correlation coefficient (ICC) used for the SEM calculation was based on test-retest
210 reliability previously published for the Brief-BESTest **in people with COPD** (ICC_{2,1}=0.82).¹

211 *(please insert Table 1 here)*

212

213 After combining both anchor- and distribution-based methods, the pooled MCID value
214 was used to compute the matching ES⁴¹ according to the formula: $MCID_{ES} =$
215 $MCID_{pooled} / \sqrt{(SD_{T1}^2 + SD_{T0}^2) / 2}$. A MCID_{ES} between 0.3-0.5 has been recommended.⁴¹

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226 conduction, or reporting of this study.

227 **RESULTS**

228 **Sample characterization**

229 A total of 71 patients were analyzed. A flow diagram of the participants is provided in
230 Figure 1.

231 *(Please insert Figure 1 here)*

232

233 At baseline, no differences were observed between the included patients and drop-outs
234 (**p>0.05**) (Table 2). Most participants were male (n=54; **76%**) with a **mean age of 69±8**
235 years old, had severe airway obstruction (n=30; **42%**) and were at GOLD grades 2 and 3
236 (n=58; **81%**) and GOLD group B (n=40; **56%**).

237 *(please insert Table 2 here)*

238 **The Brief-BESTest did not present a ceiling effect at T0, with only 8 (11%) participants**
239 **scoring at the top of the scale. A ceiling effect was however observed at T1, with 18**
240 **(25%) individuals scoring at the top of the scale.** After PR, there was a significant
241 decrease in mMRC (**mean difference of -1±1**) and increase in the performance of both
242 6MWD (mean difference of **38±67** meters) and Brief-BESTest (mean difference of **3±3**
243 points) (Table 3). Thirty-two (**45%**) participants improved beyond the MCID of -1 point
244 established for the mMRC and 41 (**58%**) above the 25m in the 6MWD.

245 *(please insert Table 3 here)*

246

247 **Responsiveness**

248 Changes in the Brief-BESTest correlated moderately with changes in the mMRC ($r=-$
249 0.314 ; $p=0.008$) and in the 6MWD ($r=0.366$; $p=0.002$).

250 **Minimal clinically important difference**

251 **The MCIDs resulting from the mean change of responsive participants to the mMRC**
252 **and 6MWT were 3.6 and 3.4, respectively** (Supplementary Table 1).

253 Using ROC statistics, no significant results or AUCs over 0.7 were found for the
254 discrimination ability of the Brief-BESTest using the mMRC (AUC= 0.64 [$0.51-0.77$]
255 $95\%CI$; $p=0.047$) or the 6MWD (AUC= 0.63 [$0.5-0.76$] $95\%CI$; $p=0.068$) as anchors. Thus,
256 ROC statistics could not be used to compute the MCID.

257 Using linear regression, the estimated MCID for the Brief-BESTest was 3.3 [$3.328-3.338$]
258 $95\%CI$ ($p=0.008$) and 2.6 [$1.637-3.583$] $95\%CI$ ($p=0.002$), using the mMRC and the
259 6MWT, respectively (Supplementary Figure 1).

260 *(Please insert Figure 2 here)*

261 The distribution-based methods and the overall MCID pooled values are presented in
262 Table 4 and plots of pooled MCID in Figure 2. Pooled MCID for the Brief-BESTest was 3.3
263 points.

264 *(Please insert Table 4 here)*

265 *(Please insert Figure 2 here)*

266

267

268 **DISCUSSION**

269 **This work** showed that the Brief-BESTest is a responsive measure and established a
270 pooled MCID of 3 points **in people with COPD, after PR. Furthermore, improvements**
271 **in balance were moderately associated with improvements in exercise tolerance and**
272 **dyspnea. These results confirm our hypothesis that changes in Brief-BESTest would**
273 **correlate moderately (0.3-0.5)^{11,12,17} and: i) positively with changes in exercise**
274 **tolerance; ii) negatively with changes in dyspnea; in agreement with previous**
275 **literature.^{11,12}**

276 Similarly to the Berg Balance Scale,⁵ the Brief-BESTest was able to detect changes after
277 balance training, however, the Brief-BESTest has the additional advantage of enabling
278 clinicians to identify impairments in specific balance systems and tailor the treatment
279 accordingly. Additionally, the Brief-BESTest has shown excellent validity and reliability.¹
280 Thus, Brief-BESTest can be advocated as a worthwhile, adequate and psychometrically
281 sound outcome measure **to assess effects of PR on balance in people with COPD.**

282 The MCID found in our study (i.e., 3.3 points) is similar to the one computed for
283 individuals after total knee arthroplasty (i.e., 2-3 points),⁴⁶ but smaller than the MCID
284 estimated for people with subacute stroke (i.e. 4.5-5.5 points).⁴⁷ These differences
285 might be explained by the discrepancies among populations, due to the different
286 pathophysiology of the diseases, but also different baseline values, i.e., those with
287 subacute stroke presented lower Brief-BESTest baseline values than participants from our
288 study (8.8 vs 17.5 points, respectively), having a greater room for improvement.
289 Additionally, the differences might also be explained by the different interventions and
290 methodologies used to compute MCIDs. Although there are no other studies focusing

291 on the MCID of the Brief-BESTest **in people with COPD**, the MDC previously found for
292 the Brief-BESTest (4.9 points)¹ was similar to ours (5.6 points). It is known that MDC
293 computation leads to larger estimates^{48,49} and does not take into account the clinical
294 meaning provided by anchor-based methods.^{40,48} In our study, the MDC also displayed
295 the highest value among all methods used. When computing MCID, it is therefore
296 important to use different approaches and integrate a wide range of anchor- and
297 distribution-based methods, as performed in this study.^{40,48}

298 Important strengths of our study include the robust methodology used to establish
299 responsiveness, following the international recognised COSMIN guidelines,²³ and the
300 estimate of the Brief-BESTest MCID using both anchor- (provide clinical meaning) and
301 distribution- (add statistical difference) based methods, as previously
302 recommended.^{40,48} Moreover, our study is in accordance with the recommendation of
303 having anchor- prevailing over distribution-based methods.^{40,41} Finally, our work adds
304 value to the body of literature by enhancing the interpretability of balance **in people**
305 **with COPD**, with a measure that can be easily implemented in clinical practice.
306 Treatment can now be guided and personalised to improve one of the main
307 contributors, balance impairment, to the morbidity and risk of falls **in people with COPD**,
308 and increased burden for the society.^{13,50}

309 **Limitations**

310 This study presents some limitations that should be acknowledged. **A ceiling effect**
311 **(25%) of the Brief-BESTest was observed at T1. At T0, there was no ceiling effect (only**
312 **11% of the participants scored at the top of the scale), which emphasizes that a real**
313 **improvement on balance after PR was observed. Nevertheless, it should be noticed**

314 that the presence of balance impairments was not an inclusion criteria of our study.
315 In fact, at T0, most of our sample scored above the cutoff for risk of fall (54% of
316 participants >16.5 points¹). After PR, balance improved in most of our participants,
317 explaining why we had a relatively large proportion of participants (25%) scoring at
318 the top of the scale at T1. It is however possible that the observed ceiling effect at T1
319 biased the results and led to an overestimation of the MCID. This is consistent with
320 the fact that the Brief-BESTest MCID_{ES} found in our study corresponded to 0.81,
321 instead of the desirable ES between 0.3-0.5.⁴¹ However, having significant
322 improvements in balance (ES=0.68) even with a population with lower balance
323 impairment shows that PR is an effective intervention improving balance and that the
324 Brief-BESTest is a responsive measure to PR. Thus, the Brief-BESTest is a good measure
325 to be applied before and after PR, and in real-world settings, where human resources,
326 time and equipment are scarce, urges as an excellent, simple and easy to administer
327 measure to screen balance impairment. However, special attention is needed to those
328 people scoring at the top of the scale at the baseline, where the use of the BESTest is
329 recommended.¹⁸ Moreover, our sample was mainly composed of GOLD B and male
330 participants, with high functional capacity (6MWD>300m),³² therefore the external
331 validity of our results might have been affected and the established MCID might not be
332 generalisable to all people with COPD. Future studies involving participants with
333 different disease severity and presenting risk of falls should be conducted to further
334 validate these results. It is also important to notice that our study provides the MCID of
335 Brief-BESTest for people with COPD enrolling a community-based PR. Further studies,
336 in this population integrating a hospital-based PR program are needed to confirm this
337 estimation in all PR-settings. Lastly, in our study, it was not possible to compute the

338 anchor-based methods through the ROC curves. Consequently, Brief-BESTest may not
339 be a good measure to discriminate between people with COPD who improved in
340 dyspnea and exercise tolerance.

341 **CONCLUSION**

342 The present study suggests that Brief-BESTest is a responsive measure and an
343 improvement greater than 3 points in this outcome measure is clinically relevant for
344 people with COPD after PR. These results can now inform future studies regarding
345 sample calculation and will aid health professionals to understand the effects of PR on
346 balance performance and develop tailored interventions **for people with COPD.**

347

348 **AUTHORS' CONTRIBUTIONS**

349 AM obtained the funding, had full access to all data in the study and takes responsibility
350 for the data and the accuracy of data analysis, including and especially any adverse
351 effects. AM and AO conceived the idea. All authors contributed to the design and
352 interpretation of data. CP, PR, AO, VM and PS contributed to data acquisition. CP
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361 National Committee for Data Protection (no. 7295/2016).

362 **Conflict of interests' statement:** The authors report no financial, or non-financial,
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364

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508

509 **TABLES**

510 **Table 1** Distribution-based methods to estimate the minimal clinically important
 511 difference.

Method	MCID calculation
0.5SD	$0.5 * SD_{T0}$
SEM	$SD_{T0} \sqrt{(1-r)}$
1.96SEM	$1.96 * (SD_{T0} \sqrt{(1-r)})$
MDC	$1.96 \times SEM \times \sqrt{2}$
ES	$(\text{mean}_{T1} - \text{mean}_{T0}) / \sqrt{(SD_{T1}^2 + SD_{T0}^2) / 2}$
ES_{NP}	$ z / (\sqrt{n})$

512 **Legend:** ES – effect size; ES_{NP} – Nonparametric effect size; MCID - minimal clinically
 513 important difference; MDC – minimal detectable change; n – number of total matched
 514 pairs; r - test-retest reliability coefficient; SD – standard deviation; SEM – standard error
 515 measurement; T0 – baseline; T1 – after the pulmonary rehabilitation program; z-statistic
 516 test.

517

518 **Table 2** – Sample characterization.

Characteristics	Participants included (n=71)	Drop-outs (n=39)	p-value
Age, years	69±8	66±10	0.086
Gender, male n (%)	54 (76)	28 (72)	0.624
BMI, kg/m²	26±5	28±5	0.192
Smoking status, n (%)			0.334
Current	9 (13)	6 (15)	
Former	48 (68)	21 (54)	
Never	14 (19)	12 (31)	
Packs/year	53±40	42± 31	0.949
Exacerbations/year¹	1±1	1±1	0.156
Lung function (post- bronchodilator)			
FEV₁, L	1.3±0.5	1.3±0.5	0.832
FEV₁, %predicted	49.8±18	50.8±17.5	0.771
FEV₁/FVC, %	50.1±13.1	54.7±12.9	0.075
GOLD grade, n (%)			0.766
1	6 (9)	2 (5)	
2	28 (39)	19 (49)	
3	30 (42)	14 (36)	
4	7 (10)	4 (10)	
GOLD groups, n (%)			0.172

A	11 (16)	7 (18)	
B	40 (56)	14 (36)	
C	2 (3)	3 (8)	
D	18 (25)	15 (38)	
CCI, n (%)			0.785
1-2	8 (11)	6 (15)	
3-4	38 (54)	21 (54)	
≥5	25 (35)	12 (31)	
Medication, n (%)			
Bronchodilators			
SABA	8 (11)	6 (16)	0.519
SAMA	3 (4)	1 (3)	0.664
SABA/SAMA combination	3 (4)	1 (3)	0.656
LABA	7 (10)	5 (13)	0.618
LAMA	21 (30)	15 (40)	0.319
LAMA/LABA combination	21 (30)	15 (39)	0.342
ICS	13 (18)	4 (11)	0.286
ICS/LABA combination	35 (49)	18 (46)	0.752
LAMA/LABA/ICS	1 (1)	0 (0)	0.457
LTRA	5 (7)	6 (15)	0.163
Xanthines	6 (9)	8 (21)	0.069
Expectorants	5 (7)	4 (10)	0.556
Antibiotics	1 (1)	0 (0)	0.457

mMRC	2±1	2± 1	0.723
6MWD, meters	402±121	388±104	0.540
Brief-BESTest, points	18±5	18±5	0.438

519 **Notes:** Values are presented as mean±standard deviation or median [interquartile
520 range], unless otherwise stated. ¹past-year.

521 **Legend:** 6MWD – 6-minute walk test total distance; AECOPD – acute exacerbation of
522 chronic obstructive pulmonary disease; BMI – body mass index; Brief-BESTest – Brief-
523 Balance Evaluation Systems Test; CCI – Charlson comorbidity index; FEV₁ – forced
524 expiratory volume in one second; FVC – forced vital capacity; GOLD - Global Initiative for
525 Chronic Obstructive Lung Disease; ICS – inhaled corticosteroids; LABA – long-acting beta-
526 agonists; LAMA – long-acting muscarinic antagonists; LRTA – leukotriene receptor
527 antagonists; mMRC – modified British medical research council questionnaire; SABA –
528 short-acting beta-agonists; SAMA – short-acting muscarinic antagonists.

529 **Table 3** - Outcome measures before and after the 12-week community-based
 530 pulmonary rehabilitation program in people with COPD (n=71)

Outcome measure	Baseline	Post-PR	Δ	95% CI	p-value	ES
mMRC	2±1	1±1	-1± 1	-0.7 to -0.3	<0.001*	0.48 [‡]
6MWD	402±121	440±123	38±67	21.3 to 53.2	<0.001*	0.31
Brief-BESTest	18±5	21±3	3±3	2.1 to 3.5	<0.001*	0.68

531 **Notes:** Values are presented as mean±standard deviation or median [interquartile
 532 range], unless otherwise stated. *p<0.05; [‡]Non-parametric ES.

533 **Legend:** Δ – mean/median change; 6MWD – 6-minute walk test total distance; Brief-
 534 BESTest – Brief-Balance Evaluation Systems Test; CI – Confidence Intervals; ES: Effect
 535 size; mMRC – modified British medical research council questionnaire; PR – pulmonary
 536 rehabilitation.

537 **Table 4** – Anchor- and distribution-based methods used to calculate the minimal
 538 clinically important difference of Brief-BESTest in people with COPD after the 12-week
 539 community-based PR program

		Brief-BESTest	
		Mean change	3.6 (2.5 to 4.7)
Anchor-based methods	mMRC	Linear regression	3.3 (3.328 to 3.338)
			Mean change
	6MWT	Linear regression	3.4 (2.4 to 4.5) 2.6 (1.637 to 3.583)
		0.5SD	2.35
Distribution- based methods			SEM
			1.96SEM
			MDC
			ES
			Pooled MCID
		MCID ES	3.3 0.81

540 **Legend:** 6MWT – 6-minute walk test; Brief-BESTest – Brief-Best Evaluation System Test;
 541 ES – effect size; MDC – minimal detectable change; MCID - minimal clinically important

542 difference; mMRC – modified British medical research council questionnaire; SD –
543 standard deviation; SEM – standard error measurement.

544 **FIGURE LEGEND**

545 **Figure 1:** Flow diagram of participants recruited and included in the study. COPD –
546 Chronic obstructive pulmonary disease; PR – pulmonary rehabilitation.

547 **Figure 2:** Plot of the pooled MCID for the Brief-BESTest in people with COPD after the
548 12-week community-based PR program. The horizontal plots represent the MCID
549 estimates derived in this study. When appropriated, the estimates included the 95%
550 confidence intervals (95% CI).

551 **Legend:** 6MWT – 6-minute walk test; Brief-BESTest – Brief-Best Evaluation System Test;
552 CI, confidence intervals; ES – effect size; MDC – minimal detectable change; mMRC –
553 modified British medical research council questionnaire; SD – standard deviation; SEM
554 – standard error measurement.

555

556 **APPENDIXES**

557 **Appendix 1**

558 **Balance training**

559 **Instructions:** The balance training should be performed in all exercise training sessions
 560 during, at least, 15 minutes. Start with the 1st exercise of each component. The same
 561 exercise can be performed 3 times in the same session. Progress should be made when
 562 the final goal established for each exercise is achieved, by reducing the support base,
 563 the visual input or by adding a cognitive task.

1. BIOMECHANICAL CONSTRAINTS	FINAL GOAL
Hip extension, standing	Hold 20 sec
Hip abduction, standing	Hold 20 sec
Hip flexion, standing	Hold 20 sec
Step-up	5 reps
Lateral step-up	5 reps
Toe/heel raises	Hold 5 sec
2. STABILITY LIMITS	FINAL GOAL
Reach forward/lateral, sitting	Shortest time to reach an object
Reach forward/lateral, standing	Shortest time to reach an object
Reach diagonal, sitting/standing	Shortest time to reach an object
Standing, pick up an object	Shortest time to reach an object
Tandem stand, reach lateral	Shortest time to reach an object
One-legged stand, reach lateral	Shortest time to reach an object
3. TRANSITIONS- ANTICIPATORY POSTURAL ADJUSTMENT	FINAL GOAL

Transfer from one chair to another	1 rep
Sit on ball, extend the knees (one of each time)	Hold 20 sec
Stair tapping	5 reps
Side step with squat	1 rep
Kick a ball	5 reps
Throw/grab the ball	5 reps
4. REACTIVE POSTURAL RESPONSE	FINAL GOAL
Standing, disturbances (backward, forward, sideward), narrow stance	5 reps. Recover the stability without arms and hips movements.
Tandem, disturbances (backward, forward, sideward)	5 reps. Recover the stability without arms and hips movements.
One-legged stance, disturbances (backward, forward, sideward)	5 reps. Recover the stability without arms and hips movements.
Step forward	5 reps. Recover the stability without arms and hips movements.
Step sideward	5 reps. Recover the stability without arms and hips movements.
Step backward	5 reps. Recover the stability without arms and hips movements.
5. SENSORY ORIENTATION	FINAL GOAL
Eyes closed, narrow stance	Hold 1 min.

Eyes open, narrow stance in foam	Hold 1 min.
Eyes closed, narrow stance in foam	Hold 1 min.
Eyes closed, tandem stance	Hold 30 sec
Eyes open, one-legged stance in foam	Hold 30 sec
Eyes closed, ramp	Hold 30 sec
6. STABILITY IN GAIT	FINAL GOAL
Backwards walk	Shortest time possible
Walk and look at side	Shortest time possible
Walk and quick direction change ("change")	Shortest time possible
Walk with countdown	Shortest time possible
Obstacle course	Shortest time possible
Time Up and Go	Shortest time possible