



Prospects for new physics from gauge left-right-colour-family grand unification hypothesis

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Abstract Given the tremendous phenomenological success of the Standard Model (SM) framework, it becomes increasingly important to understand to what extent its specific structure dynamically emerges from unification principles. In this study, we present a novel anomaly-free supersymmetric (SUSY) Grand Unification model based upon gauge trinification $[SU(3)]^3$ symmetry and a local $SU(2)_F \times U(1)_F$ family symmetry, with particle spectra and gauge symmetries inspired by a possible reduction pattern $E_8 \rightarrow E_6 \times SU(2)_F \times U(1)_F$, with subsequent $E_6 \rightarrow [SU(3)]^3$ symmetry breaking step. In this framework, higher-dimensional operators of E_6 induce the threshold corrections in the gauge and Yukawa interactions leading, in particular, to only two distinct Yukawa couplings in the fundamental sector of the resulting $[SU(3)]^3 \times SU(2)_F \times U(1)_F$ Lagrangian. Among the appealing features emergent in this framework are the Higgs-matter unification and a unique minimal three Higgs doublet scalar sector at the electroweak scale as well as tree-level hierarchies in the light fermion spectra consistent with those observed in nature. In addition, our framework reveals a variety of prospects for New Physics searches at the LHC and future colliders such as vector-like fermions, as well as rich scalar, gauge and neutrino sectors.

1 Introduction

After the discovery of the Higgs boson at the LHC by the ATLAS and CMS collaborations [1, 2] our current understanding for the origin of the mass of the fundamental particles, as described by the Standard Model (SM), has finally met an experimental confirmation. Despite the great success

achieved, a consensual explanation for the observed features of the particle spectra and interactions observed in nature is still lacking. Along these lines, while over the past forty years the strong and electroweak (EW) interactions have been extensively probed and confirmed in various experiments, their origin at a more fundamental level is still unknown. Besides, the existing SM framework is not capable of explaining some of the observed phenomena such as the specific patterns and hierarchies in its fermion spectra nor contains a suitable candidate for Dark Matter. At last, but not least, it cannot explain the observed matter-antimatter asymmetry in the Universe.

Typically, these problems are addressed separately in different contexts. In order to describe the origin of the SM gauge interactions one typically refers to Grand Unified Theories (GUTs) where larger continuous symmetries contain the SM gauge group, e.g. $SU(5)$, $SO(10)$, or E_6 [3–13]. A common procedure to resolve the flavour problem in minimal extensions of the SM or in GUT theories is by introducing new discrete or continuous family symmetries at high-energy scales. For a few most recent and representative implementations, see e.g. Refs. [14–20] and references therein. Most of the studies focus on the neutrino sector combined with a variation of the seesaw mechanisms [21, 22] (see also Refs. [23–30]).

In this work, we propose a new look into such fundamental questions as 1) the origin of the gauge interactions in the SM, and 2) the origin of the quark, lepton and neutrino families' replication experimentally observed in nature. These questions are addressed by tying together in a common framework both flavour physics and Grand Unification, which are typically treated on a different footing. Furthermore, we explore which new physics scenarios are expected to emerge at phenomenologically relevant energy scales as sub-products of our framework and investigate theoretical possibilities for

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both the gauge couplings unification as well as Yukawa couplings unification.

In previous work by some of the authors [31–33], a philosophy of family-gauge unification has been introduced based upon a trification-GUT $[SU(3)]^3$ model, or T-GUT for short, where the gauge sector is extended by a global $SU(3)_F$ family symmetry. A supersymmetric (SUSY) version of this theory is called as the SUSY Higgs-Unified Theory (SHUT) due to an emergent SM Higgs-matter unification property inspired by an embedding of $[SU(3)]^3 \times SU(3)_F$ symmetry into E_8 . The SHUT framework reveals several interesting features such as, e.g. the radiative nature of the Yukawa sector of SM leptons and lightest quarks as well as the absence of the μ -problem. However, its first particular realisation in Ref. [33] relies on a few simplifying assumptions such as the presence of a \mathbb{Z}_3 cyclic permutation symmetry acting upon the $[SU(3)]^3$ subgroup of E_6 , as has been proposed initially by Glashow [34], and an approximately global family symmetry. These assumptions are not necessary and will be consistently avoided in the framework presented in this work leading to several relevant features to be discussed in what follows.

The E_6 -based GUTs, also accompanied with family symmetries, have received a lot of attention in the literature due to a number of attractive features (see e.g. Refs. [10, 12, 35–43]). In variance to the previous implementation of the flavored T-GUT realised by some of us in Ref. [33], in this work we abandon the \mathbb{Z}_3 symmetry at the T-GUT scale and consider a minimal anomaly-free realisation of the $E_6 \times SU(2)_F \times U(1)_F$ SUSY theory followed by $E_6 \rightarrow [SU(3)]^3$ symmetry breaking [44].¹ We also consider the family $SU(2)_F \times U(1)_F$ symmetry as a gauge group on the same footing of the Left, Right and Colour symmetries. Under the key hypothesis of a full Left-Right-Colour-Family unification, the gauge and matter sectors of our model are inspired by the reduction pattern $E_8 \rightarrow E_6 \times SU(2)_F \times U(1)_F$ that may be realised in extra-dimensional scenarios via e.g. the Wilson-line breaking and orbifolding techniques [45, 46].² Starting with vector-like E_8 representations, the extra-dimensional symmetry breaking mechanisms enable one to remove mirror antichiral components yielding a chiral anomaly-free theory in four dimensions [51, 52]. In the framework of orbifolding scenarios, such an approach typically yields many light unobservable states that are difficult to make consistent with phenomenology in a conventional field-theoretical way, which is an open problem. In this work, we do not rely on any particular extra-

dimensional scenario of E_8 breaking. Instead, we adopt a phenomenologically motivated approach and postulate a minimal anomaly-free superfield content in the effective four-dimensional $E_6 \times SU(2)_F \times U(1)_F$ SUSY theory, where the sector of light chiral superfields (containing the SM matter) is inspired by its possible embedding into the lowest 248 representation of E_8 , and then explore its overall phenomenological consistency by studying its symmetry breaking, particle spectra and gauge coupling evolution down to the SM energy scale. If the E_8 and E_6 breaking scales are not too far apart, one expects that high-dimensional operators of the E_6 theory are sizeable. Indeed, we show that such operators are important for both gauge coupling unification as well as for explaining the observed hierarchy of the fermion mass spectrum. We also show that taking the measured gauge couplings as input one obtains an E_8 scale of a few times 10^{17} GeV as expected for the string scale.

The first notable consequence of dimension-5 operators in the E_6 gauge-kinetic function is the existence of sizeable threshold corrections to the gauge couplings, see e.g. [53]. Thus, the universality among Left-Right-Colour $SU(3)$ gauge interactions previously imposed by a \mathbb{Z}_3 permutation group [33] does not hold any longer. Therefore, the mass scale for the soft SUSY breaking terms gets considerably lowered compared to the previous attempt. This is intrinsically connected to a second notable effect, where dimension-4 operators in the superpotential of the E_6 theory, in combination with a $SU(2)_F \times U(1)_F$ flavour symmetry, only allow for two distinct Yukawa couplings in the $[SU(3)]^3 \times SU(2)_F \times U(1)_F$ theory. This, in turn, together with a slight hierarchy in the vacuum expectation values of the low-energy scale Higgs bosons allows for an explanation of the top-charm and bottom-strange mass hierarchies at tree-level. Besides second and third generation quark Yukawa couplings, Majorana neutrino mass terms are also tree-level generated. All other Yukawa couplings in the SHUT model are loop induced as a consequence of soft-SUSY breaking, potentially offering a first-principles explanation for the fermion mass hierarchies and mixing angles observed in nature. As a by-product, the SHUT model also provides specific new physics scenarios involving additional Higgs doublets, new vector-like fermions and even flavour non-universal gauge bosons, possibly, at the reach of the LHC or future colliders. The size of the soft-SUSY breaking terms and the freedom that they add to the model with a total of 35 mass-dimensional parameters provides enough freedom to make the SM Higgs and Yukawa sectors consistent with phenomenology and potentially realisable with not too strong fine-tuning.

The article is organised as follow. In Sect. 2, we give a detailed discussion of the high-scale SUSY model structure focussing on specific conditions on the E_8 reduction pattern that need to be satisfied in order to obtain a minimal working

¹ For alternative realisations of E_6 GUT with $SU(2)$ or $SU(3)$ family symmetry, see Refs. [39, 41–43].

² For example, an orbifolding mechanism has also been used to unify the gauge and family symmetries into $SO(18)$ in Refs. [47, 48] and, combined with a Wilson-line breaking technique, into E_8 in Refs. [49, 50].

model based upon $E_6 \times SU(2)_F \times U(1)_F$ symmetry. Besides, we show how the $[SU(3)]^3$ gauge group emerges along an $SU(2)_F \times U(1)_F$ family symmetry and also how the corresponding representations emerge from those of E_8 . We also demonstrate the crucial role of high-dimensional operators in generating the T-GUT superpotential which only contains two unified Yukawa couplings. In Sect. 3, we introduce the most generic soft SUSY breaking sector in the left-right (LR) symmetric phase of the model emerging from the considered high-scale T-GUT. We also discuss the spontaneous gauge symmetry breaking (SSB) scheme induced by these soft interactions. We find that a new parity emerges, an analogue to R -parity in MSSM, which forbids the Yukawa-driven proton decay channels. This model is a multi-Higgs model and in Sect. 4 we give a first analysis of the fermion spectra and mixings for both, the ‘light’ SM-like chiral fermions as well as the vector-like states. A particular focus will be on the interplay of tree-level and loop-induced contributions. In Sect. 5, we demonstrate how the measured gauge couplings lead to an E_8 scale of $O(10^{17})$ GeV taking into account the tree-level threshold corrections due to E_6 high-dimensional operators. In Sect. 6, a brief summary and an outlook for future studies is given. In a series of appendices we collect the most important details on group-theoretical aspects and E_6 representations, the evolution of the gauge couplings at different stages of symmetry breaking including the tree-level matching conditions. Moreover, we present a generic structure of the effective Lagrangian below the trinification breaking scale.

2 Defining the model from unification principles

In order to consistently unify the SM gauge and non-SM family interactions one needs a simple group with high enough rank whose reduction down to the SM gauge symmetry should occur in several symmetry breaking steps. An ambitious goal here is to construct a GUT theory where both types of couplings’ unification, in gauge and Yukawa sectors, are a dynamically emergent phenomena.

A promising candidate to play such a role is the exceptional E_8 symmetry that has long been motivated as the one describing the dynamics of massless sectors in superstring theories [10–12, 54] (see also Ref. [55]). However, it is known that E_8 is a vector-like symmetry due to the presence of chiral and anti-chiral E_6 27-plets in its fundamental representation. Therefore, to obtain the chiral nature of known matter one typically relies on the geometrical extra-dimensional symmetry breaking mechanisms such as the orbifold compactification and Wilson-line breaking [45, 46]. In this framework, the breaking of a single E_8 or superstring-inspired $E_8 \times E'_8$ symmetries via \mathbb{Z}_N orbifold compactification can occur in several distinct ways containing, for example, an E_6 symmetry or other E_8 subgroups and leading to different possibilities

for massless chiral matter in four-dimensions depending on the orbifold order N [56]. In a class of scenarios with E_6 remnant of such a compactification, the usual gauge interactions of the SM belong to the E_6 gauge group, while the remaining group factors can be regarded as candidates for the family symmetry i.e. candidates for a new ‘‘horizontal’’ gauge symmetry that acts in the space of SM fermion generations and is present below the E_8 energy scale.

In one of the possible realisations considered earlier in Refs. [32, 33],

$$E_8 \supset E_6 \times SU(3)_F \supset [SU(3)]^3 \times SU(3)_F \rightarrow \dots \quad (2.1)$$

the family symmetry $SU(3)_F$ has been treated as a global symmetry, for simplicity, while the gauge couplings unification has been imposed already at the level of trinification $[SU(3)]^3$. The particle content has been inspired by an embedding of the chiral superfields into a single vector-like E_8 representation, while no particular extra-dimensional mechanism leading to such a content has been discussed. The model offers a number of emergent distinct features such as the absence of μ -problem, accidental baryon symmetry, tree-level Cabibbo mixing in the quark sector etc. On the other hand, the universality of the Yukawa interactions in the superpotential imposed by the $[SU(3)]^3 \times SU(3)_F$ symmetry and the gauge couplings unification at the $[SU(3)]^3$ breaking scale, both require a significant fine-tuning in the scalar sector in order to push the soft SUSY breaking scale to much larger values than the electroweak scale and to enhance the one-loop radiative correction to the third-generation quark Yukawa couplings and, hence, to split the second- and third-generation quark masses for consistency with experimental data.

In this work, we discuss another promising scenario that generalised the one in Eq. 2.1

$$E_8 \supset E_6 \times SU(2)_F \times U(1)_F \rightarrow [SU(3)]^3 \times SU(2)_F \times U(1)_F \rightarrow \dots, \quad (2.2)$$

with the fully gauged family $SU(2)_F \times U(1)_F$ symmetry, and the corresponding scale hierarchies

$$M_8 \gtrsim M_6 > M_3, \quad (2.3)$$

where M_8 , M_6 and M_3 are the E_8 , E_6 and $[SU(3)]^3$ breaking scales, respectively. Here, we do not impose the $[SU(3)]^3$ gauge couplings unification readily at M_3 scale as was done in Refs. [32, 33], instead considering their natural unification at M_6 . While we do not consider any particular extra-dimensional E_8 reduction scenario, we fully rely on the conventional effective field theory (EFT) approach to $E_6 \times SU(2)_F \times U(1)_F$ theory in four dimensions and introduce the minimal anomaly-free chiral superfield content inspired by the \mathbb{Z}_4 orbifold compactification scheme [56] following

Table 1 Fundamental representations of the $E_6 \times SU(2)_F \times U(1)_F$ SUSY EFT inspired by the Z_4 orbifold compactification scenario [56]. For simplicity, antichiral states are not listed and notation of $U(1)_F$ charges is suppressed. To comply with phenomenological motivation,

Sector	I	II
Untwisted	$(27, 2)^{(+)} + (1, 2)^{(-)}$	$(27, 1)^{(+)} + (\overline{27}, 1)^{(-)}$
Twisted	$16(27, 1)^{(-)} + 32(1, 2)^{(-)}$ $+80(1, 1)^{(-)}$	$10(27, 1)^{(-)} + 6(\overline{27}, 1)^{(-)}$ $+32(1, 2)^{(-)} + 16(1, 1)^{(-)}$

an extra Z_2 symmetry is imposed forbidding a mixing between Z_2 -even (“visible”) and Z_2 -odd (“hidden”) sectors. We assume that $\{\dots\}^{(+)}$ remain at low energies and contain the SM sectors, while all $\{\dots\}^{(-)}$ acquire large masses and are integrated out below E_8 energy scale

the setup proposed in Ref. [44], see Table 1. The gauge and Witten anomalies are cancelled in the considered scheme. Specifically, below the GUT scale M_8 , the resulting four-dimensional SUSY EFT would contain only two massless fundamental $(27, 2)_{(1)}$ and $(27, 1)_{(-2)}$ superfields (“visible” sector, denoted as $\{\dots\}^{(+)}$), while all the other representations that play a critical role in anomalies’ cancellation are assumed to acquire mass through yet unknown mechanism (“hidden” sector, denoted as $\{\dots\}^{(-)}$). The imposed exact Z_2 parity forbids any mixing between the “visible” (Z_2 -even) and “hidden” (Z_2 -odd) sectors. We disregard the latter at lower energy scales in this work (so that the parity signs $\{\dots\}^{(+)}$ can then be suppressed in the considered EFT). The massless “visible” superfields from the untwisted sector are then considered to emerge from a single vector-like E_8 representation,

$$248^{(+)} = (1, 8)^{(+)} \oplus (78, 1)^{(+)} \oplus (27, 3)^{(+)} \oplus (\overline{27}, \overline{3})^{(+)}, \quad (2.4)$$

more specifically, from $(27, 3)^{(+)}$ part of it, while the antichiral representation $(\overline{27}, \overline{3})^{(-)}$ is assumed to be projected away by the orbifold compactification procedure. Such a unification of fermion generations implies that the SUSY flavor problem [57, 58] can be resolved even in the case of large neutrino mixings, while realistic Yukawa matrices can be straightforwardly obtained by the spontaneous breaking of the horizontal family symmetry [39, 41, 59]. Besides the massless fundamental superfields $(27, 2)_{(1)}$ and $(27, 1)_{(-2)}$, the same 248 representation contains also a massive E_6 -adjoint $(78, 1)_{(0)}$ superfield that is kept in the spectrum until the trinification symmetry gets broken by VEVs in its components (for details of the symmetry breaking chain, see below).

Below the M_8 scale, quadratic and cubic terms of heavy superfields from large E_6 representations such as bi-fundamental $(650, 1)_{(0)}$ etc are generated in the superpotential. The latter fields may develop VEVs effectively triggering further breaking of the E_6 symmetry down to the trinification group [44]. In the geometrical approach, VEVs in large E_6 representations may mimic the effect of Wilson line VEVs in extra dimensions. Eventually, an analogical process also induces a further breaking down to a SUSY LR-symmetric

theory. In particular, VEVs in heavy modes generate effective μ -terms for adjoint $(78, 1)_{(0)}$ (and hence for $\Delta_{L,R,C}$) superfield (called μ_{78} in Ref. [33]), setting up M_3 scale. The mechanism of generation of large massive representations such as $(650, 1)_{(0)}$ or $(78, 1)_{(0)}$ from E_8 is beyond the scope of this article and left for future work. However, we assume that their size is given by the GUT scale. This way, the orbifolding mechanism may, in principle, be responsible for dynamical generation of all the scales in the high-scale SUSY theory given in Eq. (2.3), with a mild hierarchy between those.

Following a close analogy with the previous work [33], in this scenario every $SU(3)$ gets broken by a rank- and SUSY-preserving VEV in the corresponding adjoint superfield. All $SU(3)_{L,R,C}$ -adjoint superfields $\Delta_{L,R,C}$ that emerge from the $(78, 1)_{(0)}$ -rep upon E_6 breaking will gain a mass of the order of trinification $[SU(3)]^3$ (T-GUT) breaking scale M_3 , and thus do not play any role below that scale.

We recall that the unification condition of the $SU(3)_C$, $SU(3)_L$ and $SU(3)_R$ gauge couplings at M_3 scale (g_C , g_L and g_R respectively), typically discussed in trinification-based scenarios [34], emerges due to an imposed cyclic Z_3 -permutation symmetry acting on the trinification gauge fields. However, as was demonstrated in Ref. [33], such a restriction comes with a price, namely, a too large soft-SUSY breaking scale, approximately 10^{11} GeV, is unavoidable. This makes it rather challenging to generate a consistent Higgs sector at the EW scale without a significant fine-tuning. Alternatively, noting that the trinification gauge group $SU(3)_C \times SU(3)_L \times SU(3)_R$ is a maximal symmetry of E_6 , the corresponding gauge couplings can instead become universal at (and beyond) the E_6 breaking scale, M_6 . In this work, we thoroughly explore this new possibility without the simplifying assumption of a Z_3 symmetry but incorporating the effect of high-dimensional operators that introduce a splitting between the g_C , g_L and g_R gauge couplings at M_6 .

2.1 E_6 breaking effects

2.1.1 Gauge coupling unification

In this article, we consider that both the trinification and flavour symmetries are remnants of a fundamental E_8 uni-

fying force emerging via the following symmetry breaking chain

$$E_8 \xrightarrow{M_8} E_6 \times SU(2)_F \times U(1)_F \xrightarrow{M_6} [SU(3)]^3 \times SU(2)_F \times U(1)_F. \tag{2.5}$$

If M_8 and M_6 scales are not too far off, then the effects coming from E_8 breaking via orbifold compactifications can play a relevant role and should be taken into account. The dominant dimension-5 corrections to the gauge-kinetic terms $-\frac{1}{4C} \text{Tr}(\mathbf{F}^{\mu\nu} \cdot \mathbf{F}_{\mu\nu})$ are of the form [53]

$$\mathcal{L}_{5D} = -\frac{\xi}{M_8} \left[\frac{1}{4C} \text{Tr}(\mathbf{F}_{\mu\nu} \cdot \tilde{\Phi}_{E_6} \cdot \mathbf{F}^{\mu\nu}) \right] \tag{2.6}$$

where $\mathbf{F}_{\mu\nu}$ is the E_6 field strength tensor, C is a charge normalization factor, ξ is a coupling constant and $\tilde{\Phi}_{E_6}$ is a linear combination of Higgs multiplets transforming under the symmetric product of two E_6 adjoint representations

$$\tilde{\Phi}_{E_6} \in (\mathbf{78} \otimes \mathbf{78})_{\text{sym}} = \mathbf{1} \oplus \mathbf{650} \oplus \mathbf{2430}. \tag{2.7}$$

Here we refer to the relevant formalism developed in Ref. [53] for more details on the dimension-5 corrections such as those in Eq. (2.6).

For our purposes, we need two **650** multiplets as the minimal content required for generation of sufficient hierarchies in the SM fermion spectra already at tree level. The emergence of these two representations from E_8 is described in Appendix A. A generic breaking of E_6 down to trinification can follow a linear combination of the following orthogonal directions

$$\sigma \equiv \mathbf{1}, \quad \Sigma \equiv \mathbf{650}, \quad \Sigma' \equiv \mathbf{650}', \quad \Psi \equiv \mathbf{2430}. \tag{2.8}$$

While a VEV in E_6 -singlet σ superfield would not break E_6 alone by itself, it mixes with $[SU(3)]^3$ -singlets contained in the other representations, and hence affects the breaking in a generic case, so it must be taken into consideration. The corresponding generic VEV setting obeys the relation

$$v_{E_6}^2 = v_\sigma^2 + v_\Sigma^2 + v_{\Sigma'}^2 + v_\Psi^2 \equiv (k_\sigma^2 + k_\Sigma^2 + k_{\Sigma'}^2 + k_\Psi^2) v_{E_6}^2 \tag{2.9}$$

with

$$k_\sigma^2 + k_\Sigma^2 + k_{\Sigma'}^2 + k_\Psi^2 = 1. \tag{2.10}$$

The modified gauge coupling unification conditions after the breaking in Eq. (2.5) induced by the dimension-5 operators (2.6) read as [53]

$$\alpha_C^{-1} (1 + \zeta \delta_C)^{-1} = \alpha_L^{-1} (1 + \zeta \delta_L)^{-1} = \alpha_R^{-1} (1 + \zeta \delta_R)^{-1} \tag{2.11}$$

where

$$\alpha_i^{-1} = \frac{4\pi}{g_i^2}, \quad \zeta \sim \frac{M_6}{M_8}, \tag{2.12}$$

and $\delta_{C,L,R}$ are the group theoretical factors for each VEV given in table 4 of Ref. [53].

Note that for a large hierarchy $M_6 \ll M_8$ the gauge coupling unification conditions, Eq. (2.11), reduce to the standard unification relations $\alpha_C^{-1} \simeq \alpha_L^{-1} \simeq \alpha_R^{-1}$, thus, recovering an approximate \mathbb{Z}_3 -permutation symmetry in the gauge sector of the T-GUT, previously imposed in Ref. [33]. However, if $M_6 \sim M_8$ then sizeable threshold corrections on the gauge couplings emerge with a significant impact on the subsequent RG evolution. Here we will further consider that E_6 breaking towards the trinification symmetry can proceed through the generic vacuum direction obeying Eq. (2.9) such that the $\delta_{C,L,R}$ factors are given by the following relations:

$$\begin{aligned} \delta_C &= -\frac{1}{\sqrt{2}} k_\Sigma - \frac{1}{\sqrt{26}} k_\Psi, \\ \delta_{L,R} &= \frac{1}{2\sqrt{2}} k_\Sigma \pm \frac{3}{2\sqrt{2}} k_{\Sigma'} - \frac{1}{\sqrt{26}} k_\Psi. \end{aligned} \tag{2.13}$$

Note that the singlet direction v_σ does not participate in deviations from non-universality at one-loop level. As we will see below in Sect. 5, the relations in Eq. (2.13) above modify the boundary values of the $g_{L,R,C}$ couplings at the M_6 scale in such a way that their one-loop running allows for low-scale soft-SUSY breaking interactions in overall consistency with the SM phenomenology.

2.1.2 Origin of Yukawa interactions

Denoting the fundamental chiral representations in the $E_6 \times SU(2)_F \times U(1)_F$ phase as

$$(\mathbf{27}, \mathbf{2})_{(1)} \equiv \psi^{\mu i}, \quad (\mathbf{27}, \mathbf{1})_{(-2)} \equiv \psi^{\mu 3}, \tag{2.14}$$

where $\mu = 1, \dots, 27$ is a fundamental E_6 index, $i = 1, 2$ is a $SU(2)_F$ doublet index and the subscripts are $U(1)_F$ charges, the superpotential for the massless sector vanishes due to the anti-symmetry of family contractions, i.e.

$$W_{27} = \frac{1}{2} \mathcal{Y}_{27} d_{\mu\nu\lambda} \varepsilon_{ij} \psi^{\mu i} \psi^{\nu j} \psi^{\lambda 3} = 0 \tag{2.15}$$

where $d_{\mu\nu\lambda}$ is a completely symmetric E_6 tensor, the only invariant tensor corresponding to $\mathbf{27} \times \mathbf{27} \times \mathbf{27}$ product, see Refs. [60,61], and ε_{ij} is the totally anti-symmetric $SU(2)$ Levi-Civita tensor. Note that the vanishing superpotential in Eq. (2.15), on its own, cannot generate a non-trivial Yukawa

structure in the considered $E_6 \times SU(2)_F \times U(1)_F$ theory. This means that renormalisable E_6 interactions in this theory are not capable of generating the Yukawa sector in a form similar to $L \cdot Q_L \cdot Q_R$ in the SHUT theory emerging after E_6 breaking, i.e. in the trinification theory supplemented with $SU(2)_F \times U(1)_F$, see Eq. (2.2), or in the trinification theory supplemented with $SU(3)_F$ introduced in Ref. [33]. However, such vanishing terms imply that effects from high-dimensional operators become relevant and should be considered in detail. In particular, the product of three 27-plets forms invariant contractions with the bi-fundamental 650-plets Σ_ν^μ and $\Sigma_\nu^{\prime\mu}$ generated below the E_8 breaking scale M_8 as follows

$$W_{4D} = \frac{1}{2} \frac{1}{M_8} \varepsilon_{ij} \psi^{\mu i} \psi^{\nu j} \psi^{\lambda 3} \times \left[\tilde{\lambda}_1 \Sigma_\mu^\alpha d_{\alpha\nu\lambda} + \tilde{\lambda}_2 \Sigma_\nu^\alpha d_{\alpha\mu\lambda} + \tilde{\lambda}_3 \Sigma_\lambda^\alpha d_{\alpha\mu\nu} + \tilde{\lambda}_4 \Sigma_\mu^{\prime\alpha} d_{\alpha\nu\lambda} + \tilde{\lambda}_5 \Sigma_\nu^{\prime\alpha} d_{\alpha\mu\lambda} + \tilde{\lambda}_6 \Sigma_\lambda^{\prime\alpha} d_{\alpha\mu\nu} \right] \quad (2.16)$$

where the $\tilde{\lambda}_{1,2,4,5}$ terms are no longer completely symmetric under E_6 contractions, thus no longer vanishing. Once the 650-plets develop the VEVs (see Ref. [53] for more details),

$$\langle \Sigma \rangle = \frac{k_\Sigma v_{E_6}}{\sqrt{18}} \text{diag} \left(\underset{9 \text{ entries}}{-2, \dots, -2}, \underset{9 \text{ entries}}{1, \dots, 1}, \underset{9 \text{ entries}}{1, \dots, 1} \right) \\ \langle \Sigma' \rangle = \frac{k_{\Sigma'} v_{E_6}}{\sqrt{6}} \text{diag} \left(\underset{9 \text{ entries}}{0, \dots, 0}, \underset{9 \text{ entries}}{1, \dots, 1}, \underset{9 \text{ entries}}{-1, \dots, -1} \right) \quad (2.17)$$

breaking E_6 to its trinification subgroup, an effective superpotential

$$W_3 = \varepsilon_{ij} (\mathcal{Y}_1 L^i \cdot Q_L^j \cdot Q_R^j - \mathcal{Y}_2 L^i \cdot Q_L^j \cdot Q_R^3 + \mathcal{Y}_2 L^3 \cdot Q_L^i \cdot Q_R^j) \quad (2.18)$$

is generated³ reproducing a new version of the SHUT model with local family symmetry $SU(2)_F \times U(1)_F$ and with Yukawa couplings

$$\mathcal{Y}_1 = \zeta \frac{k_{\Sigma'}}{\sqrt{6}} (\tilde{\lambda}_4 - \tilde{\lambda}_5), \\ \mathcal{Y}_2 = \zeta \frac{k_\Sigma}{2\sqrt{2}} (\tilde{\lambda}_2 - \tilde{\lambda}_1) - \frac{\sqrt{3}k_\Sigma}{2k_{\Sigma'}} \mathcal{Y}_1, \quad (2.19)$$

where k_Σ , $k_{\Sigma'}$ and ζ are defined in Eqs. (2.9) and (2.12), respectively. Note that the superpotential in Eq. (2.18) contains an accidental Abelian $U(1)_W \times U(1)_B$ symmetry whose charges can be chosen as in Table 2.

Table 2 Charge assignment of the light bi-triplets in the trinification theory under the accidental symmetries of the superpotential Eq. (2.18). The family index is implicit

	$U(1)_W$	$U(1)_B$
L	+1	0
Q_L	-1/2	+1/3
Q_R	-1/2	-1/3

Table 3 \mathbb{P}_B parity charges of the SHUT fields. Scalar fields are denoted with tildes, V_μ corresponds to vector bosons while g are the gaugino fermions

	L	\tilde{L}	Q_L	\tilde{Q}_L	Q_R	\tilde{Q}_R	V_μ	g
\mathbb{P}_B	-	+	+	-	+	-	+	-

It is instructive to notice that the SUSY theory exhibits a new accidental \mathbb{Z}_2 parity which can be equivalently associated with either $U(1)_W$ or $U(1)_B$ symmetries of the superpotential

$$\mathbb{P}_B = (-1)^{2W+2S} = (-1)^{3B+2S}, \quad (2.20)$$

where S is the spin, while W and B are the $U(1)_W$ and $U(1)_B$ charges, respectively, given in Table 2. The corresponding \mathbb{P}_B -parity of the underlying fields is provided in Table 3.

In analogy to conventional R-parity, we may denote \mathbb{P}_B as B -parity and its relevance will become evident below. In particular, Higgs bosons, which are embedded in \tilde{L} , are even while squarks are odd under B -parity. This is quite relevant since triple-Higgs and Higgs-fermion Yukawa interactions are allowed whereas triple-squark or quark-quark-squark terms are forbidden. This means that the only fundamental interactions that could destabilise the proton in the considered SHUT framework would come from B -parity violating E_6 gauge interactions at the M_6 scale.

Note that the origins of (non-universal) gauge and Yukawa interactions in the SHUT model are interconnected and emerge due to the E_6 -breaking effects by means of the high-dimensional operators. We also see from Eqs. (2.16) and (2.18) that the M_8 and M_6 scales cannot be too far off. If so, the SM quark and lepton masses would be strongly suppressed by a small ratio v_{E_6}/M_8 which, in turn, would make it challenging to reproduce the observed fermion spectrum. Interestingly, as we will notice in Sect. 5, the measured values of the gauge couplings at the EW-scale imply that the M_6 and M_8 scales are indeed almost degenerate making both the Yukawa and gauge sectors self-consistent without any artificial tuning.

The massless superfields resulting from the $(27, 2)_{(1)}$ and $(27, 1)_{(-2)}$ supermultiplets form bi-triplets of the trinification group and transform according to the quantum numbers

³ We have used `E6-Tensors` package [61] to verify the form of the superpotential Eq. (2.18).

Table 4 Quantum numbers of the fundamental chiral superfields with respect to various subgroups

	SU(3) _L	SU(3) _R	SU(3) _C	SU(2) _F	U(1) _F
L^i	3	$\bar{3}$	1	2	1
L^3	3	$\bar{3}$	1	1	-2
Q_L^i	$\bar{3}$	1	3	2	1
Q_L^3	$\bar{3}$	1	3	1	-2
Q_R^i	1	3	$\bar{3}$	2	1
Q_R^3	1	3	$\bar{3}$	1	-2

specified in Table 4, where we cast the components of the lepton and quark superfields as

$$\begin{aligned}
 (L^{i,3})^l_r &= \begin{pmatrix} \mathcal{N}_R & \mathcal{E}_L & e_L \\ \mathcal{E}_R & \mathcal{N}_L & \nu_L \\ e_R & \nu_R & \phi \end{pmatrix}^{i,3}, \\
 (Q_L^{i,3})^x_l &= (u_L^x \ d_L^x \ D_L^x)^{i,3}, \\
 (Q_R^{i,3})^r_x &= (u_{Rx} \ d_{Rx} \ D_{Rx})^\top{}^{i,3},
 \end{aligned}
 \tag{2.21}$$

with l, r and x denoting SU(3)_L, SU(3)_R and SU(3)_C triplet indices, respectively. Note that the L and R subscripts do not denote left and right chiralities and the fermionic components of the superfields are defined as left-handed Weyl spinors.

As was thoroughly investigated in an earlier work [33], the breaking of the trinification symmetry takes place once the scalar components of the heavy adjoint octet superfields Δ_L and Δ_R acquire VEVs, i.e. $v_L = v_R \equiv M_3$, respectively,

$$\text{SU}(3)_L \times \text{SU}(3)_R \xrightarrow{v_{L,R}} \text{SU}(2)_L \times \text{SU}(2)_R \times \text{U}(1)_L \times \text{U}(1)_R.
 \tag{2.22}$$

Such superfields are embedded in a heavy E₆ adjoint **78**-plet which also contains two trinification tri-triplets that we denote as Ξ and Ξ' . Since both the octets and the tri-triplets have a common origin in E₆ they can share a universal mass and hence be kept in the trinification spectrum. Furthermore, since they are not gauge singlets, their effect to the one-loop running of the gauge couplings must be considered. The quantum numbers of the (**78**, **1**₍₀₎) components are shown in Table 5 and the part of the superpotential containing massive trinification representations reads

$$\begin{aligned}
 W_{78} &= \sum_{A=L,R,C} \left[\frac{1}{2} \mu_{78} \text{Tr} \Delta_A^2 + \frac{1}{3!} \mathcal{Y}_{78} \text{Tr} \Delta_A^3 \right] + \mu_{78} \text{Tr} (\Xi \Xi') \\
 &+ \sum_{A=L,R,C} \mathcal{Y}_{78} \text{Tr} (\Xi \Xi' \Delta_A).
 \end{aligned}
 \tag{2.23}$$

Table 5 Quantum numbers of the components of the adjoint chiral superfield (**78**, **1**₍₀₎) with respect to various subgroups

	SU(3) _L	SU(3) _R	SU(3) _C	SU(2) _F	U(1) _F
Δ_L	8	1	1	1	0
Δ_R	1	8	1	1	0
Δ_C	1	1	8	1	0
Ξ	3	$\bar{3}$	3	1	0
Ξ'	$\bar{3}$	3	$\bar{3}$	1	0

After trinification symmetry breaking, Eq. (2.22), we are left with the left-right symmetric theory whose tree-level superpotential can be written as

$$\begin{aligned}
 W &= \mathcal{Y}_1 \varepsilon_{ij} \left[\chi^i \cdot q_L^3 \cdot q_R^j + \ell_R^i \cdot D_L^3 \cdot q_R^j + \ell_L^i \cdot q_L^3 \cdot D_R^j + \phi^i \cdot D_L^3 \cdot D_R^j \right] \\
 &- \mathcal{Y}_2 \varepsilon_{ij} \left[\chi^i \cdot q_L^j \cdot q_R^3 + \ell_R^i \cdot D_L^j \cdot q_R^3 + \ell_L^i \cdot q_L^j \cdot D_R^3 + \phi^i \cdot D_L^j \cdot D_R^3 \right] \\
 &+ \mathcal{Y}_2 \varepsilon_{ij} \left[\chi^3 \cdot q_L^i \cdot q_R^j + \ell_R^3 \cdot D_L^i \cdot q_R^j + \ell_L^3 \cdot q_L^i \cdot D_R^j + \phi^3 \cdot D_L^i \cdot D_R^j \right].
 \end{aligned}
 \tag{2.24}$$

where we recast the chiral superfields in Eq. (2.21) as

$$\begin{aligned}
 (L^i)^l_r &= \begin{pmatrix} \chi^{\bar{l}\bar{r}} & \ell^{\bar{l}} \\ \ell_{R\bar{r}} & \phi \end{pmatrix}^i, \\
 (Q_L^i)^x_l &= (q_{L\bar{l}}^x \ D_L^x)^i, \quad (Q_R^i)^r_x = (q_{R\bar{r}}^x \ D_{Rx})^\top{}^i,
 \end{aligned}
 \tag{2.25}$$

and where \bar{l} and \bar{r} are the SU(2)_L and SU(2)_R doublet indices, respectively.

3 Soft-SUSY breaking interactions

The choice of the E₈ symmetry breaking pattern down to a LR-symmetric SUSY theory, with three distinct but relatively compressed breaking scales, M_8 , M_6 and M_3 , introduced above, leaves the **27**-plet components L , Q_L and Q_R massless. The latter, hence, contain the light SM matter sectors naturally decoupled from the trinification breaking scale M_3 . Indeed, the subsequent breaking steps towards the SM gauge group should be induced by a new energy scale which originates from another sector, in particular, the sector of soft-SUSY breaking interactions.

The existence of the soft SUSY breaking sector triggers the breaking of the remaining gauge symmetries down to the SM gauge group. The most generic VEV setting that leaves the SM gauge symmetry unbroken at low energies reads

$$\langle \tilde{L}^1 \rangle = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & \omega & s_1 \end{pmatrix}, \quad \langle \tilde{L}^2 \rangle = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & s_2 & f \end{pmatrix}, \quad \langle \tilde{L}^3 \rangle = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & s_3 & p \end{pmatrix}, \quad (3.1)$$

where we adopt the following hierarchy

$$M_{EW} < s_{1,2,3} \leq \omega \leq f \leq p \ll M_3 \leq M_6 \lesssim M_8, \quad (3.2)$$

with the lowest EW symmetry breaking (EWSB) scale, M_{EW} . The corresponding full symmetry breaking chain down to the SM gauge group is represented in Fig. 1. In the red blocks, the role of the soft SUSY breaking parameters on the heavy spectrum is negligible while in the green ones it becomes relevant for the remaining light states. The blue blocks further indicate the SM gauge symmetry and below with the lightest states kept in the spectrum. We have considered the minimal realistic realisation of the Higgs sector to trigger the breaking of the EW symmetry in the last step. For further details see Sect. 4.1.

The allowed soft-SUSY trilinear interactions preserving $U(1)_W$ and $U(1)_B$ read small

$$\begin{aligned} \mathcal{L}_{WB}^{\text{soft}} = & -\varepsilon_{ij} \left(a_{10} \tilde{q}_{L1}^i \tilde{q}_{Rr}^{jr} \tilde{\chi}_r^{3l} + a_{11} \tilde{q}_{L1}^i \tilde{q}_{Rr}^{3r} \tilde{\chi}_r^{jl} \right. \\ & \left. + a_{12} \tilde{q}_{L1}^3 \tilde{q}_{Rr}^{ir} \tilde{\chi}_r^{lj} + a_{13} \tilde{D}_L^i \tilde{D}_R^j \tilde{\phi}^3 \right) \\ & - \varepsilon_{ij} \left(a_{14} \tilde{D}_L^i \tilde{D}_R^3 \tilde{\phi}^j + a_{15} \tilde{D}_L^3 \tilde{D}_R^i \tilde{\phi}^j + a_{16} \tilde{q}_{L1}^i \tilde{D}_R^j \tilde{\ell}_L^{3l} \right. \\ & \left. + a_{17} \tilde{q}_{L1}^i \tilde{D}_R^3 \tilde{\ell}_L^{jl} \right) \\ & - \varepsilon_{ij} \left(a_{18} \tilde{q}_{L1}^3 \tilde{D}_R^i \tilde{\ell}_L^{jl} + a_{19} \tilde{D}_L^i \tilde{q}_{Rr}^{jr} \tilde{\ell}_R^3 \right. \\ & \left. + a_{20} \tilde{D}_L^i \tilde{q}_{Rr}^{3r} \tilde{\ell}_R^j + a_{21} \tilde{D}_L^3 \tilde{q}_{Rr}^{jr} \tilde{\ell}_R^i \right) + \text{c.c.}, \quad (3.3) \end{aligned}$$

whereas the mass terms are of the form

$$m_\varphi^2 \varphi^* \varphi \quad (3.4)$$

where φ represents any of the scalars contained in the superfields (2.25) with the appropriate group contractions left implicit.

The $U(1)_W$ -violating soft interactions are given by the following trilinear terms allowed by the gauge symmetry and B -parity of the SUSY LR-symmetric theory

$$\begin{aligned} \mathcal{L}_W^{\text{soft}} = & -\varepsilon_{ij} \varepsilon^{rr'} \varepsilon_{ll'} \left(a_1 \tilde{\chi}_r^{il} \tilde{\ell}_L^{j'l'} \tilde{\ell}_{Rr'}^3 + a_2 \tilde{\chi}_r^{il} \tilde{\ell}_L^{3l'} \tilde{\ell}_{Rr'}^j \right. \\ & \left. + a_3 \tilde{\chi}_r^{3l} \tilde{\ell}_L^{i'l'} \tilde{\ell}_{Rr'}^j + a_4 \tilde{\chi}_r^{il} \tilde{\chi}_{r'}^{3l'} \tilde{\phi}^j + a_5 \tilde{\chi}_r^{il} \tilde{\chi}_{r'}^{j'l'} \tilde{\phi}^3 \right) + \text{c.c.} \quad (3.5) \end{aligned}$$

Note that soft trilinear $U(1)_B$ -violating interactions are not allowed by the B -parity in the considered theory.

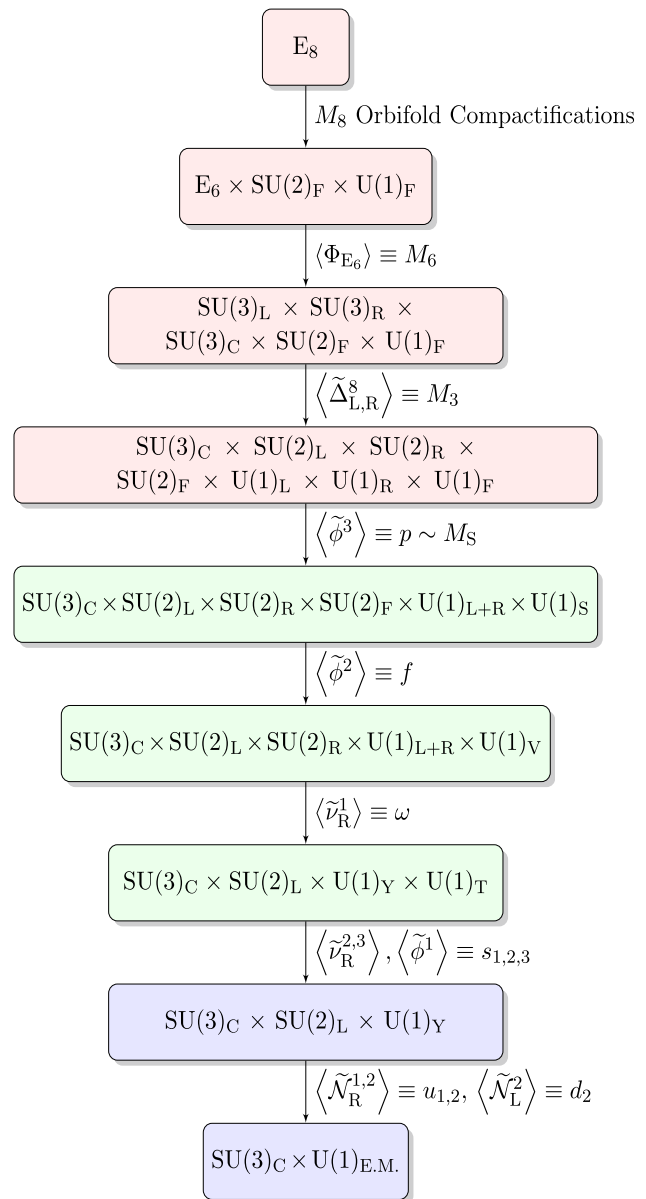


Fig. 1 The gauge symmetry breaking scheme considered in this work. In the red blocks SUSY is approximately unbroken while in the green ones it is softly broken. The blue blocks represent the SM gauge symmetry and below with only the lightest states included. The $\tilde{\nu}_R^{2,3}$ and $\tilde{\phi}^2$ scalars are allowed to mix forming two physical scalars and a Goldstone boson

With the superpotential (2.24) and the soft-SUSY breaking interactions (3.3), (3.4) and (3.5) we have all relevant ingredients necessary to consistently generate a SM-like low-energy EFT through the breaking chain shown in Fig. 1.

Indeed, in the considered LR symmetric SUSY theory the main ballpark of free parameters comes from the soft-SUSY breaking sector, in particular, 17 trilinear terms (5 with sleptons and 12 with squarks), 16 soft mass terms of $\tilde{L}\tilde{L}$ - and $\tilde{Q}\tilde{Q}$ -type as well as 2 high-scale gaugino mass parameters

corresponding to those in the E_6 and gauge-family sectors. On top of that, there are also four gauge couplings in the gauge sector of the SUSY theory while all the low-scale Yukawa couplings are matched to only two universal high-scale Yukawa terms in the superpotential that govern the strongest hierarchies between the SM quarks already at tree level (see also below). Note, as will be clear from the forthcoming sections the radiative corrections to the Yukawa couplings are determined by the soft-SUSY breaking parameters and gauge couplings, whose number is sufficient to accommodate the measured SM fermion masses and mixing angles.

4 Implications for the fermion sector

4.1 Quark masses and CKM mixing

In what follows, at the first stage we would like to discuss the properties of the SM quark spectrum neglecting the effect of vector-like quarks (VLQs) $D_{L,R}$. With the p, f, ω and $s_{1,2,3}$ VEV setting, one generates the gauge group of the SM at low energy scales according to the breaking scheme schematically illustrated in Fig. 1. In this scheme, the subsequent EW symmetry can only be broken by $SU(2)_L$ doublet VEVs, in the spirit of N-Higgs doublet models. Thus, the most generic VEV setting that one can have in the SHUT model consistent with the considered symmetry breaking scheme reads

$$\begin{aligned} \langle \tilde{L}^1 \rangle &= \frac{1}{\sqrt{2}} \begin{pmatrix} u_1 & 0 & 0 \\ 0 & d_1 & e_1 \\ 0 & \omega & s_1 \end{pmatrix}, \\ \langle \tilde{L}^2 \rangle &= \frac{1}{\sqrt{2}} \begin{pmatrix} u_2 & 0 & 0 \\ 0 & d_2 & e_2 \\ 0 & s_2 & f \end{pmatrix}, \\ \langle \tilde{L}^3 \rangle &= \frac{1}{\sqrt{2}} \begin{pmatrix} u_3 & 0 & 0 \\ 0 & d_3 & e_3 \\ 0 & s_3 & p \end{pmatrix}, \end{aligned} \tag{4.1}$$

where u_i, d_i and e_i denote up-type, down-type and sneutrino-type EWSB VEVs, respectively. It is instructive to consider only those minimal VEVs settings that roughly reproduce the viable quark mass and mixing parameters in the SM already at tree level. Note, if one considers both d_i and e_i VEVs, they contribute to a non-trivial mixing between the down-type D_R and d_R quarks. In what follows and unless noted otherwise, we would like to align our EW-breaking VEVs in such a way that $e_i = 0$ corresponding to a small mixing between D_R and d_R quarks suppressed by a strong hierarchy between the EW scale and the higher intermediate scales associated with ω, f, p VEVs.

The quark mass sector in the SHUT model reveals a number of interesting features. The up-quark mass matrix takes

the following form

$$M_u = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & u_3 \mathcal{Y}_2 & u_2 \mathcal{Y}_2 \\ -u_3 \mathcal{Y}_2 & 0 & -u_1 \mathcal{Y}_2 \\ -u_2 \mathcal{Y}_1 & u_1 \mathcal{Y}_1 & 0 \end{pmatrix}, \tag{4.2}$$

yielding the generic mass spectrum with one massless quark, the would-be u -quark in the SM,

$$\begin{aligned} m_u &= 0 & m_c^2 &= \frac{1}{2} \mathcal{Y}_2^2 (u_1^2 + u_2^2 + u_3^2) \\ m_t^2 &= \frac{1}{2} [\mathcal{Y}_1^2 (u_1^2 + u_2^2) + \mathcal{Y}_2^2 u_3^2]. \end{aligned} \tag{4.3}$$

Here we notice that the proper charm-top mass hierarchy is realised if and only if $\mathcal{Y}_2 \ll \mathcal{Y}_1$. This condition will further be employed in the analysis of the down-type quark spectrum and mixing.

In fact, as it is explicit in the field decomposition (2.21) there are six down-type quarks, three $SU(2)_L$ -doublet (chiral) components $d_{L,R}^{1,2,3}$ and three $SU(2)_L$ -singlet (vector-like) fields $D_{L,R}^{1,2,3}$ which acquire large masses above the EW scale. The generic down-type quark mass form thus takes the following structure

$$M_d^{6 \times 6} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & d_3 \mathcal{Y}_2 & d_2 \mathcal{Y}_2 & 0 & 0 & 0 \\ -d_3 \mathcal{Y}_2 & 0 & -d_1 \mathcal{Y}_2 & 0 & 0 & 0 \\ -d_2 \mathcal{Y}_1 & d_1 \mathcal{Y}_2 & 0 & 0 & 0 & 0 \\ 0 & s_3 \mathcal{Y}_2 & s_2 \mathcal{Y}_2 & 0 & p \mathcal{Y}_2 & f \mathcal{Y}_2 \\ -s_3 \mathcal{Y}_2 & 0 & -\omega \mathcal{Y}_2 & -p \mathcal{Y}_2 & 0 & -s_1 \mathcal{Y}_2 \\ -s_2 \mathcal{Y}_1 & w \mathcal{Y}_1 & 0 & -f \mathcal{Y}_1 & s_1 \mathcal{Y}_1 & 0 \end{pmatrix}, \tag{4.4}$$

written in the basis $(d_L^i \ D_L^i)^\top M_d (d_R^i \ D_R^i)$ with $i = 1, 2, 3$.

The VLQs acquire their masses as soon as the p, f and ω VEVs are generated corresponding to the fifth, sixth and seventh boxes in Fig. 1. Before the EWSB (Higgs doublet) and s_i VEVs are developed the total down-type quark mass matrix reads

$$M_d^{6 \times 6} \simeq \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & p \mathcal{Y}_2 & f \mathcal{Y}_2 \\ 0 & 0 & -\omega \mathcal{Y}_2 & -p \mathcal{Y}_2 & 0 & 0 \\ 0 & w \mathcal{Y}_1 & 0 & -f \mathcal{Y}_1 & 0 & 0 \end{pmatrix}, \tag{4.5}$$

yielding the following VLQ mass spectrum where we kept the first-order terms in $\mathcal{Y}_2 \ll \mathcal{Y}_1$ as needed for a realistic u -quark mass spectrum,

$$m_{D/S}^2 \simeq \frac{1}{2} (f^2 + p^2) \mathcal{Y}_2^2, \quad m_{S/D}^2 \simeq \frac{\omega^2 (f^2 + p^2 + \omega^2)}{2(f^2 + \omega^2)} \mathcal{Y}_2^2, \tag{4.6}$$

$$m_B^2 \simeq \frac{1}{2}(f^2 + \omega^2)\mathcal{Y}_1^2 + \frac{f^2 p^2}{2(f^2 + \omega^2)}\mathcal{Y}_2^2. \tag{4.7}$$

Here, we adopt that the lightest VLQ is D -quark, such that $m_D < m_S$, so which of the first two states is D -quark and which is S -quark depends on relative magnitudes of f , p and ω (see below).

As can clearly be seen from Eq. (4.5), the massless (before the EWSB) states will consist of d_L and an admixture of d_R with D_R states. After diagonalising this mass form we can use the resulting matrix to bring Eq. (4.4) in a block-diagonal structure where the three light states can be properly identified. This way we obtain the mass matrix of the light down-type quark states in the following approximate form

$$M_d \approx \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 0 & \mathcal{Y}_2 \frac{d_3 f - d_2 p}{\sqrt{f^2 + p^2 + \omega^2}} \\ -d_3 \mathcal{Y}_2 & 0 & d_1 \mathcal{Y}_2 \frac{p}{\sqrt{f^2 + p^2 + \omega^2}} \\ -d_2 \mathcal{Y}_1 & 0 & d_1 \mathcal{Y}_1 \frac{f}{\sqrt{f^2 + p^2 + \omega^2}} \end{pmatrix}, \tag{4.8}$$

It is obvious that there is one massless state, the would-be SM down-quark d , in analogy to the zeroth up-quark mass found above in Eq. (4.3). While the mass spectrum and mixing can be, in principle, calculated analytically for the most generic case with six nonzero Higgs doublet VEVs u_i and d_i , the resulting formulas are rather lengthy and not very enlightening. Instead, we have analysed three distinct scenarios with five nonzero Higgs doublet VEVs by setting one of the down-type VEVs d_i to zero. We have analysed the down-type mass spectra and CKM in each of such scenarios and found that only one of them (with $d_1 = 0$) provides the physical CKM matrix and spectrum compatible with those in the SM. Other two scenarios corresponding to $d_2 = 0$ or $d_3 = 0$ render unphysical CKM mixing, and hence are no longer discussed here.

Thus, setting $d_1 = 0$ in Eq. (4.8) one arrives at the following physical down-type quark spectrum

$$m_d = 0, \quad m_s^2 = \frac{(d_3 f - d_2 p)^2}{2(f^2 + p^2 + \omega^2)}\mathcal{Y}_2^2, \\ m_b^2 = \frac{1}{2}(d_2^2 \mathcal{Y}_1^2 + d_3^2 \mathcal{Y}_2^2), \tag{4.9}$$

which is exact i.e. no hierarchies between the VEVs and Yukawa couplings are imposed at this step. Note, the SM-like down-type quark masses Eq. (4.9) represent the leading contributions as emerge from the full 6×6 down-type mass matrix in Eq. (4.4). Remarkably, even for the maximal number of possible Higgs VEVs the first generation u and d quarks appear as massless states at tree level. Therefore, the origin of their mass is purely radiative, in consistency with their observed decoupling in the quark mass spectrum. As will be shown numerically below, s_i produce only a minor

effect on the tree-level down-type masses and mixing, thus, justifying the approximate procedure employed here.

It is clear from Eq. (4.9) that in the realistic VEV hierarchy $p, f, \omega \gg d_{2,3}$, one recovers a strong hierarchy $m_s \ll m_b$ in consistency with the charm-top mass hierarchy in the up-quark sector. In fact, taking $u_3 = d_3 = 0$ for simplicity we observe that the ratio of both Yukawa couplings reads

$$\frac{\mathcal{Y}_1}{\mathcal{Y}_2} = \frac{m_t}{m_c} \approx \frac{m_b}{m_s} \sim \mathcal{O}(100), \tag{4.10}$$

Indeed, the second and third quark generations acquiring their masses already at tree-level such that their hierarchy is controlled by the only two Yukawa couplings in the SHUT superpotential, \mathcal{Y}_1 and \mathcal{Y}_2 . This demonstrates that leading order terms in our model can potentially explain the quark masses and their hierarchies without significant fine tuning of the underlying model parameters.

Let us now consider the realistic quark mixing starting from the light-quark mass forms Eqs. (4.2) and (4.8) by setting $d_1 = 0$. The corresponding left quark mixing matrices L_u and L_d defined as $m_{u,d}^2 = L_{u,d}^\dagger (M_{u,d} M_{u,d}^\dagger) L_{u,d}$ provide the CKM mixing matrix in analytic form

$$V_{\text{CKM}} \equiv L_u L_d^\dagger \\ = \begin{pmatrix} \frac{d_2 u_2 \mathcal{Y}_1^2 + d_3 u_3 \mathcal{Y}_2^2}{\sqrt{A\mathcal{B}}} & -\frac{u_1 \mathcal{Y}_1}{\sqrt{A}} & \frac{(d_2 u_3 - d_3 u_2) \mathcal{Y}_1 \mathcal{Y}_2}{\sqrt{A\mathcal{B}}} \\ -\frac{d_2 u_1 \mathcal{Y}_1}{\sqrt{BC}} & -\frac{u_2}{\sqrt{C}} & \frac{d_3 u_1 \mathcal{Y}_2}{\sqrt{BC}} \\ \frac{(Cd_3 - d_2 u_2 u_3) \mathcal{Y}_1 \mathcal{Y}_2}{\sqrt{A\mathcal{B}C}} & \frac{u_1 u_3 \mathcal{Y}_2}{\sqrt{AC}} & \frac{Cd_2 \mathcal{Y}_1^2 + d_3 u_2 u_3 \mathcal{Y}_2^2}{\sqrt{A\mathcal{B}C}} \end{pmatrix} \tag{4.11}$$

where the ordering of rows and columns is consistent with the ordering of the mass states in Eqs. (4.3) and (4.9), and

$$A = C\mathcal{Y}_1^2 + u_3^2 \mathcal{Y}_2^2, \\ B = d_2^2 \mathcal{Y}_1^2 + d_3^2 \mathcal{Y}_2^2, \quad C = u_1^2 + u_2^2. \tag{4.12}$$

We have explicitly imposed the positivity of all the VEVs and Yukawa couplings, $u_i > 0, d_{2,3} > 0, \mathcal{Y}_{1,2} > 0$, for simplicity. Note, the CKM matrix in Eq. (4.11) is exact for the 3×3 quark mass forms (4.2) and (4.8) in a sense that no hierarchies between the VEVs and Yukawa couplings are imposed here. Remarkably, while the down-type quark masses in Eq. (4.9) contain an explicit dependence on the high-scale VEVs p, f, ω , the corresponding CKM mixing does not contain any information about p, f, ω VEVs at all as long as the approximate down-type matrix Eq. (4.8) is concerned.

Accounting for the first subleading term only, the top-bottom mixing element $[V_{\text{CKM}}]_{33} \equiv V_{tb}$ in the limit of small $\mathcal{Y}_2 \ll \mathcal{Y}_1$ reads

$$V_{tb} \simeq 1 - \left(\frac{\mathcal{Y}_2}{\mathcal{Y}_1}\right)^2 \frac{d_3^2 C + d_2 u_3 (d_2 u_3 - 2d_3 u_2)}{2d_2^2 C}, \tag{4.13}$$

whose deviation from unity is well under control due to a very small ratio $\mathcal{Y}_2/\mathcal{Y}_1 \ll 1$. Apparently, the same ratio is responsible for a strong suppression of V_{td} , V_{ts} , V_{bu} and V_{bc} CKM elements.

In the limit $u_3 \rightarrow 0$ and $d_3 \rightarrow 0$, the top-bottom mixing approaches unity from below, i.e. $V_{tb} \rightarrow 1^-$. Furthermore, in this case the CKM matrix takes a particularly simple Cabibbo form

$$|V_{CKM}| = \begin{pmatrix} \cos \theta_C & \sin \theta_C & 0 \\ \sin \theta_C & \cos \theta_C & 0 \\ 0 & 0 & 1 \end{pmatrix}, \tag{4.14}$$

where the Cabibbo angle is directly related to the ratio of the up-type Higgs doublet VEVs as follows

$$\theta_C = \arctan \left(\frac{u_1}{u_2} \right). \tag{4.15}$$

Thus, while the small ratio $\mathcal{Y}_2/\mathcal{Y}_1 \ll 1$ imposes a strong suppression on mixing between the third generation with the other two already at the classical level of 5HDM, one acquires an additional suppression also in the effective 3HDM limit corresponding to very small (or zero) third-generation Higgs VEVs, u_3 and d_3 . Due to the very specific structure of the CKM matrix and the masses, one cannot impose a limit of small first- and/or second-generation Higgs VEVs $u_{1,2}$ and d_2 without destroying the realistic quark mixing. This fact renders an interesting possibility for a unique minimal effective 3HDM scenario of the SHUT theory with dominant $u_{1,2}$ and d_2 VEVs only. This also gives rise to a nearly Cabibbo quark mixing, realistic hierarchies between the second- and third-generation quark masses and a new physics decoupled sector of heavy VLQs already at the classical level.

Thus, a realistic low-scale EFT of the SHUT model may only contain either five (with $u_i, d_{2,3}$), four (with $u_{1,2}, d_{2,3}$) or the minimum of three (with $u_{1,2}, d_2$) Higgs doublets yielding the realistic tree-level quark spectra and mixing, and each such scenario is unique. Any other scenario is incompatible with the SM at tree level. Recall that our calculations so far did not include sub-dominant radiative effects. As will be discussed below, such effects will be necessary for a full description of the quark sector.

4.2 Numerical analysis

4.2.1 VLQ hierarchies

The three distinct realistic examples of possible hierarchies among the ω , f and p scales with their effects on the VLQ masses are shown in Table 6. We have chosen for these examples that the ω , f and p VEVs are such that the lightest VLQ mass scale is at or above 1 TeV. In fact, the soft scales ω , f , p cannot be too close to the EWSB scale since otherwise the

lightest VLQs would become unacceptably light. In essence, the benchmark scenarios in Table 6 show that the low-scale EFT limit of the SHUT model may contain either one light VLQ generation at the TeV scale (last row) or, alternatively, two light generations (second and third row). This illustrates that a hypothetical discovery of VLQs at the LHC or at a future collider would become a smoking gun of the SHUT model and a way to indirectly probe its symmetry breaking scales above the EWSB one.

4.2.2 Tree-level deviations from unitarity

The Cabibbo-like CKM mixing discussed above in Sect. 4.1 can be considered as a good approximation in the case of vanishing third-generation Higgs VEVs, u_3 and d_3 and in the VLQs decoupling limit. Retaining the latter limit, for a particular parameter space point in the realistic 3HDM (u_1, u_2, d_2) scenario,

$$\begin{aligned} \mathcal{Y}_1 &= 0.98, & \mathcal{Y}_2 &= 0.0068, \\ u_1 &= 59.65\text{GeV}, & u_2 &= 238.6\text{GeV}, & d_2 &= 6\text{GeV}, \end{aligned} \tag{4.16}$$

chosen such that $u_1^2 + u_2^2 + d_2^2 = (246\text{GeV})^2$ and $u_1/u_2 \approx 0.25$, one obtains the following quark mass spectrum and mixing at tree level,

$$\begin{aligned} m_t &= 170.4\text{GeV}, & m_c &= 1.18\text{GeV}, \\ m_b &= 4.15\text{GeV}, & m_s &= 0.017\text{GeV}, \\ |V_{CKM}| &= \begin{pmatrix} 0.97 & 0.24 & 0 \\ 0.24 & 0.97 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \end{aligned} \tag{4.17}$$

which appear in a reasonably close vicinity of the experimentally measured values.

It is instructive to study the impact of VLQs on the light quark masses and mixing in the case of exact 6×6 down-type quark mass matrix Eq. (4.4). The generalized 3×6 CKM mixing matrix is defined as

$$\begin{aligned} V_{CKM} &= L_u \cdot P \cdot L_d^\dagger = \left(V_{CKM}^{SM} \mid V_{CKM}^{VLQs} \right) \\ &\text{with } P = (\mathbb{1}_{3 \times 3} \mid \mathbf{0}_{3 \times 3}). \end{aligned} \tag{4.18}$$

It generally depends on the Yukawa couplings $\mathcal{Y}_{1,2}$ and on the symmetry breaking scales p , f , ω and s_i . In the full down-quark mass form in Eq. (4.4) we will now fix $s = s_i = 10$ TeV, $i = 1, 2, 3$, and consider the benchmark points in the 3HDM EFT (u_1, u_2, d_2) for each of the three soft-scale VEV hierarchies summarised in Table 6.

Table 6 An example for an order of magnitude estimation of VLQ mass scales relevant for numerical considerations in this work

Scenarios	ω (TeV)	f (TeV)	p (TeV)	m_D (TeV)	m_S (TeV)	m_B (TeV)
$\omega \sim f \sim p$	100–1000	100–1000	100–1000	1–10	1–10	100–1000
$\omega \sim f \ll p$	10–100	10–100	100–1000	1–10	1–10	10–100
$\omega \ll f \sim p$	100	1000	1000	1	10	1000

Fully compressed $\omega \sim f \sim p$ scenario

In this first example, let us consider that the p, f and ω scales are not too far off and are set to, e.g.

$$p = 220 \text{ TeV}, \quad f = 210 \text{ TeV}, \quad \omega = 200 \text{ TeV}, \quad (4.19)$$

from where the down-type quark mass spectrum becomes

ω - f compressed $\omega \sim f \ll p$ scenario

For the second example, we fix

$$p = 600 \text{ TeV}, \quad f = 110 \text{ TeV}, \quad \omega = 100 \text{ TeV}, \quad (4.22)$$

which results in the following quark mass spectrum

$$\begin{aligned} m_s &= 0.028 \text{ GeV}, \quad m_b = 4.14 \text{ GeV}, \\ m_D &= 1.99 \text{ TeV}, \quad m_S = 2.94 \text{ TeV}, \quad m_B = 103.4 \text{ TeV}. \end{aligned} \quad (4.23)$$

and the corresponding total quark mixing matrix reads

$$|V_{\text{CKM}}| \simeq \left(\begin{array}{ccc|ccc} 0.97 & 0.24 & 1.92 \times 10^{-5} & 8.33 \times 10^{-7} & 8.34 \times 10^{-8} & \sim 0 \\ 0.24 & 0.97 & 7.65 \times 10^{-5} & 3.33 \times 10^{-6} & 3.34 \times 10^{-7} & \sim 0 \\ 0 & 7.91 \times 10^{-5} & 1 & 1.0 \times 10^{-4} & 2.03 \times 10^{-6} & 2.69 \times 10^{-6} \end{array} \right). \quad (4.24)$$

$$\begin{aligned} m_s &= 0.017 \text{ GeV}, \quad m_b = 4.15 \text{ GeV}, \\ m_D &= 1.3 \text{ TeV}, \quad m_S = 1.5 \text{ TeV}, \quad m_B = 211.0 \text{ TeV}. \end{aligned} \quad (4.20)$$

Note that this scenario contains two light VLQs at the TeV scale and a heavy one well beyond the reach of the LHC. The total quark mixing matrix reads

In consistency with the estimations of Table 6, such a scenario with compressed ω and f scales yields two light VLQs and a heavy one. A larger p -scale also induces a further suppression in the CKM mixing elements in comparison with the fully compressed scenario discussed above. However, the V_{tD} element is still the largest one and is of the order 10^{-4} .

f - p compressed $\omega \ll f \sim p$ scenario

$$|V_{\text{CKM}}| \simeq \left(\begin{array}{ccc|ccc} 0.97 & 0.24 & 2.31 \times 10^{-5} & 4.36 \times 10^{-6} & 7.29 \times 10^{-7} & \sim 0 \\ 0.24 & 0.97 & 9.23 \times 10^{-5} & 1.74 \times 10^{-5} & 2.92 \times 10^{-6} & \sim 0 \\ 0 & 9.51 \times 10^{-5} & 1 & 5.55 \times 10^{-5} & 1.15 \times 10^{-5} & 6.47 \times 10^{-7} \end{array} \right). \quad (4.21)$$

With this example we observe that the SM-like 3×3 CKM quark mixing is no longer unitary with small deviations induced via a small tree-level mixing with VLQs. It also generates small elements in $V_{\text{CKM}}^{\text{VLQs}}$, with the largest entry being $V_{tD} = 5.55 \times 10^{-5}$. The correct values of the light quark masses as well as the mixing between the third with the first two generations is expected to be generated at one-loop level as will be discussed below. On the other hand, such effects are sub-leading contributions to VLQ masses.

For the final benchmark scenario, let us keep the p value fixed and shift f towards the p -scale, e.g.

$$p = 600 \text{ TeV}, \quad f = 590 \text{ TeV}, \quad \omega = 180 \text{ TeV}. \quad (4.25)$$

The quark mass spectrum becomes

$$\begin{aligned} m_s &= 0.020 \text{ GeV}, \quad m_b = 4.15 \text{ GeV}, \\ m_D &= 1.2 \text{ TeV}, \quad m_S = 4.05 \text{ TeV}, \quad m_B = 427.6 \text{ TeV}, \end{aligned} \quad (4.26)$$

and the mixing matrix reads

$$|V_{\text{CKM}}| \simeq \begin{pmatrix} 0.97 & 0.24 & 3.34 \times 10^{-6} & 4.16 \times 10^{-6} & 2.91 \times 10^{-8} & \sim 0 \\ 0.24 & 0.97 & 1.34 \times 10^{-5} & 1.66 \times 10^{-5} & 1.16 \times 10^{-7} & \sim 0 \\ 0 & 1.38 \times 10^{-5} & 1 & 9.54 \times 10^{-6} & 2.70 \times 10^{-7} & 1.58 \times 10^{-7} \end{pmatrix}. \tag{4.27}$$

This case shows a larger relative suppression in $V_{\text{CKM}}^{\text{VLQs}}$ elements due to larger p and f scales. However, contrary to the previous two scenarios, here we have $|V_{\text{cD}}| > |V_{\text{tD}}|$ which follows from non-trivial details of the mixing.

In order to visualise the behaviour of $V_{\text{CKM}}^{\text{VLQs}}$ elements between different regimes we show in Fig. 2 the absolute values of V_{tD} , V_{cD} , V_{uD} and V_{tS} elements.

We have fixed the ω scale to 100 TeV and considered two possibilities for the p -VEV, 600 TeV (left panel) and 1000 TeV (right panel). In both cases we keep $s = 10$ TeV as mentioned above. By inspecting Fig. 2 we observe the following:

- In the ω - f compressed regime, in absolute value, the V_{tD} element is the largest $V_{\text{CKM}}^{\text{VLQs}}$ element of order $\mathcal{O}(10^{-4})$ followed by $V_{\text{cD}} \gtrsim V_{\text{tS}} > V_{\text{uD}}$;
- Approximately half way between the limiting ω - f and the f - p compressed scenarios V_{tD} reaches a maximum of approximately $10^{3.8}$;
- While approaching the p - f compressed regime, the V_{tD} element crosses zero leading to a spiky structure in the log-plot, while V_{cD} and V_{uD} continuously grow and V_{tS} continuously decreases;
- In the p - f compressed regime V_{cD} becomes the largest $V_{\text{CKM}}^{\text{VLQs}}$ element of order $\mathcal{O}(10^{-4.5})$ followed by $V_{\text{uD}} \approx V_{\text{tD}} \sim \mathcal{O}(10^{-5})$, all these are well above V_{tS} ;
- A growing p -scale generically imposes a stronger suppression on the $V_{\text{CKM}}^{\text{VLQs}}$ elements as expected.

Note the ω - s degeneracy enhances the $V_{\text{CKM}}^{\text{VLQs}}$ elements as shown in Fig. 3.

In the case of $p = 600$ TeV, the ω - f compressed regime yields $V_{\text{tD}} \sim \mathcal{O}(10^{-2.8})$ and $V_{\text{tS}} \sim \mathcal{O}(10^{-3.8})$ while the remaining elements become very small. Furthermore, the down-type quark mass spectrum in this limit reads

$$\begin{aligned} m_s &= 0.028 \text{ GeV}, & m_b &= 2.9 \text{ GeV}, \\ m_{\text{D}} &= 1.7 \text{ TeV}, & m_S &= 3.08 \text{ TeV}, \\ m_{\text{B}} &= 138.6 \text{ TeV}, \end{aligned} \tag{4.28}$$

with m_s and m_b being tantalisingly close to their running values at the Z -boson mass scale according to Ref. [62]. Alternatively, the p - f compressed scenario predicts $V_{\text{tD}} > V_{\text{cD}} > V_{\text{tS}} > V_{\text{uD}}$, with all of them being between 10^{-4} and 10^{-5} . The same behaviour is seen for $p = 1000$ TeV with a slight suppression in the mixing between the VLQs and SM-like quarks.

4.3 Radiative effects

4.3.1 Light quark and lepton sectors

The dominant one-loop contribution to the Yukawa couplings for both, leptons and quarks, in our model is given in Fig. 4. In the zero external momentum limit, the one-loop amplitude reads as

$$\kappa = 2i \mathcal{G}^2 C_A A_{123} m_{\Psi_3} f(m_{\Psi_3}^2, m_{\varphi_2}^2, m_{\varphi_3}^2). \tag{4.29}$$

where $C_A = (N^2 - 1)/(2N)$ in case of an $SU(N)$ gaugino, \mathcal{G} denotes a Yukawa coupling with D-term origin and m_{Ψ_3} is the gaugino mass. m_{φ_2} and m_{φ_3} are the scalar masses.

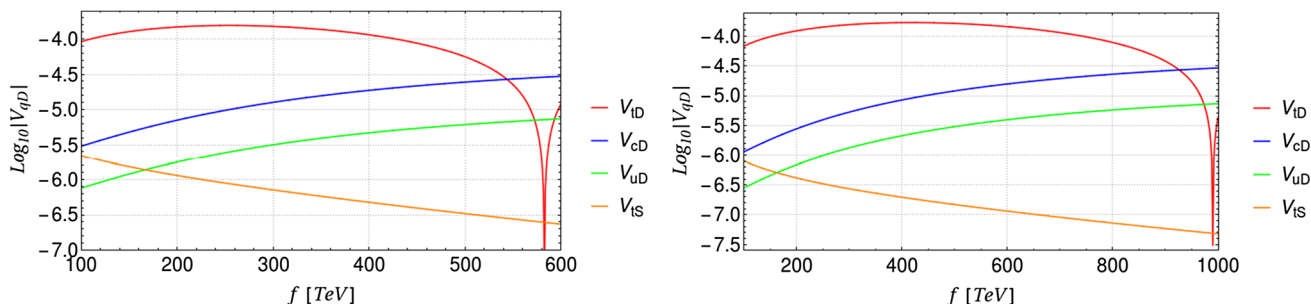


Fig. 2 The four largest quark mixing matrix elements between the VLQs and up-type SM-like quarks. In these plots $s = 10$ TeV and $\omega = 100$ TeV while on the left $p = 600$ TeV and on the right $p = 1000$ TeV. The f -scale varies between the limiting ω - f and the p - f compressed scenarios

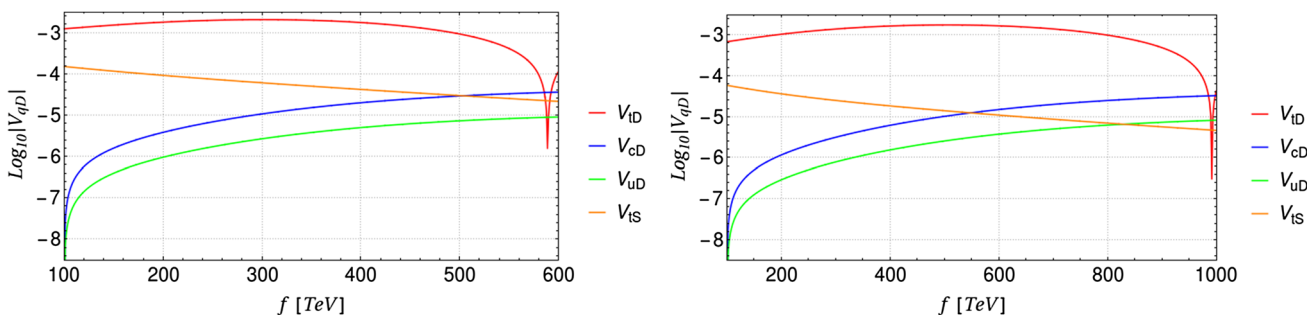


Fig. 3 The four largest quark mixing elements between VLQs and up-type SM-like quarks. In these plots $s = \omega = 100$ TeV. On the left panel $p = 600$ TeV and on the right panel $p = 1000$ TeV. The f -scale varies between the limiting ω - f and the p - f compressed scenarios

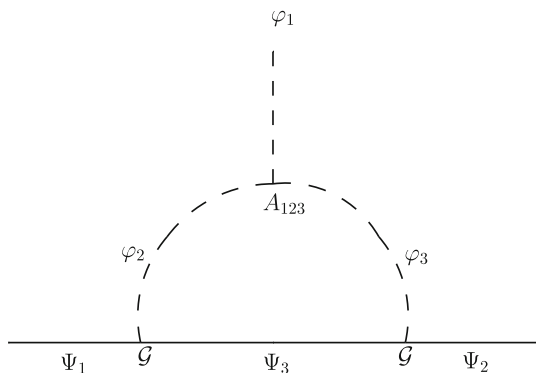


Fig. 4 One-loop topology contributing to the radiatively generated Yukawa interactions. \mathcal{G} denotes a Yukawa coupling with D-term origin

The effective trilinear coupling A_{123} gets contribution from two sources: (i) After the breaking of a gauge symmetry as an effective coupling $\lambda_{1236} \langle \phi_6 \rangle$. Here λ_{1236} is a quartic coupling originating either from an F - or D -term as discussed in detail in Appendix C. (ii) A trilinear coupling a_{123} from the soft SUSY breaking sector. Hence, the SUSY breaking and the fermion sectors are interconnected via radiative corrections to the corresponding Yukawa interactions. The radiative threshold contributions to the Yukawa couplings have to be calculated at the scale where the fermions and scalars in the loop propagators acquire their masses. Such a scale can be of the order of any of the p , f , ω or s VEVs introduced above.

The loop integral in Eq. (4.29) is given by

$$f(x, y, z) = \frac{1}{16\pi^2} \frac{1}{x-y} \left(\frac{y \log\left(\frac{z}{y}\right)}{y-z} - \frac{x \log\left(\frac{z}{x}\right)}{x-z} \right). \tag{4.30}$$

To get a better understanding for the dependence on the involved masses, it is useful to consider certain limit, in particular the case where all masses are equal or the case where there is a sizable hierarchy between scalars and fermions in the loop. The different limits read as

$$f(x, x, x) = \frac{1}{32\pi^2 x} \tag{4.31}$$

$$f(x, y, y) = \frac{1}{16\pi^2} \frac{-x + y + x \log(x/y)}{(x-y)^2} \tag{4.32}$$

$$f(x, y, y) \simeq \begin{cases} \frac{1}{16\pi^2} \left[\frac{1}{y} + \frac{x}{y^2} \left(1 + \log\left(\frac{x}{y}\right) \right) \right] & \text{for } x \ll y \\ \frac{1}{16\pi^2} \left[\frac{-1}{x} \left(1 + \log\left(\frac{x}{y}\right) \right) - \frac{y}{x^2} \left(1 + 2 \log\left(\frac{y}{x}\right) \right) \right] & \text{for } y \ll x \end{cases} \tag{4.33}$$

Independent of the precise hierarchy, we see that κ scales roughly like

$$\kappa \sim \frac{A_{123} m_{\Psi_3}}{\max(m_{\Psi_3}^2, m_{\phi_2}^2)}. \tag{4.34}$$

This implies, that in scenarios where the scalars are heavier than the gauginos, we can get an additional suppression of the corresponding Yukawa coupling beside the loop suppression.

In the following we estimate the size of A_{123} due to the $\lambda_{1236} \langle \phi_6 \rangle$ contributions for the scenario discussed in the previous section. There, we have focussed on a particular EWSB-VEV setting (u_1, u_2, d_2) , which means that ϕ_1 in Fig. 4 should be identified with the corresponding first and second generation Higgs doublets. The corresponding quartic couplings are given in Appendix C. These Higgs doublets originate from $SU(2)_L \times SU(2)_R \times SU(2)_F$ tri-doublets. For the generation of the quark Yukawa couplings the internal scalars have to be squarks. By inspection of the scalar potential in Appendix C we notice that the only possibilities for the λ_{1236} vertex are the couplings λ_{69-70} , λ_{170} and λ_{177} . The scalar ϕ_6 which obtains the VEV is one of the $\tilde{\ell}_R^{1,3}$ implying that this VEV is either ω or s .

We emphasise at this stage, that in case of second- and third-generation quarks we have contributions to the Yukawa couplings at tree-level and at the one-loop from the strong and electroweak gauginos. Furthermore, we see from Table 16 in Appendix C that only F -terms yield relevant couplings upon tree-level matching, where typical orders of magnitude are

for example

$$\begin{aligned} \lambda_{69} &= |\mathcal{Y}_2|^2 \sim 10^{-4}, \quad \lambda_{170} = |\mathcal{Y}_1|^2 \sim 1, \\ \lambda_{177} &= \mathcal{Y}_1 \mathcal{Y}_2^* \sim 10^{-2}. \end{aligned} \tag{4.35}$$

Setting at this stage for simplicity $\omega = s$ we see, that the various possibilities for A_{123} can easily vary over four orders of magnitude, e.g. $A_{123} = \mathcal{O}[(10^{-4} \text{ to } 1) \cdot \omega]$. Using again $\omega = 100 \text{ TeV}$ we obtain $A_{123} \sim \mathcal{O}(0.01 - 100 \text{ TeV})$. Note that, if the ratio $m_{\psi_3}/m_{\varphi_2}^2 \sim \mathcal{O}(10^{-4} \text{ TeV}^{-1})$, then the radiative corrections to SM-like quark Yukawa couplings coming from the diagram in Fig. 4 can be as small as 10^{-8} (or even smaller). Conversely, if $m_{\varphi_{2,3}} \sim m_{\psi_3}$ then $m_{\psi_3}/m_{\varphi_{2,3}}^2 \sim \mathcal{O}(\text{TeV}^{-1})$, thus, for $A_{123} \sim 100 \text{ TeV}$, such radiative corrections can be as large as $\mathcal{O}(1)$. This result is rather relevant as it offers the possibility for large hierarchies in the fermion sectors, potentially reproducing the observed fermion masses and mixing angles without the need for a significant fine tuning.

In variance to quarks, in the charged lepton and Dirac neutrino sectors, all the Yukawa couplings are purely radiative. They receive several distinct contributions at one-loop. One type of contributions is generated by the same one-loop topologies as for the quark Yukawa couplings illustrated in Fig. 4 but with the electroweak gauginos in the fermionic propagators only. Besides, there are also additional one-loop contributions, via new topologies with quark and squark propagators shown in Fig. 5, and two more obtained from the latter by simultaneous replacements of the fields in propagators and legs:

$$\begin{aligned} \tilde{D}_L &\rightarrow \tilde{u}_R, \quad \tilde{d}_R, \quad u_R, \quad d_R \rightarrow D_L, \\ \tilde{D}_R &\rightarrow \tilde{q}_L, \quad q_L \rightarrow D_R, \end{aligned} \tag{4.36}$$

$$\tilde{E}_R, \tilde{E}_L \rightarrow [\langle \tilde{\nu}_R \rangle, \langle \tilde{\phi} \rangle], \quad [\langle \tilde{\nu}_R \rangle, \langle \tilde{\phi} \rangle] \rightarrow \tilde{E}_R, \tilde{E}_L, \tag{4.37}$$

where the quark fields are the gauge eigenstates defined before the quark mixing. Note, here we do not specify the

generation indices and hence the type of the trilinear coupling a which should be extracted from the soft SUSY-breaking Lagrangian. Similarly, the Yukawa couplings commonly denoted as \mathcal{Y} due to its F-term origin are, in general, different in each vertex. Finally, replacing the ν_R fermion leg by ϕ and, simultaneously, $d_R \rightarrow D_R$ in the propagator of the right diagram in Fig. 5 we obtain two additional one-loop induced bilinear operators, $E_L \phi$ and $L_L \phi$.

Thus, due to different origins of the Yukawa interactions, we have an understanding why the second- and third-generation quark Yukawa couplings are larger than the first-generation quark and leptonic ones (including both the charged leptons and neutrinos). Before considering the SM-like leptons in more detail we have to investigate their mixing with the heavy vector-like leptons.

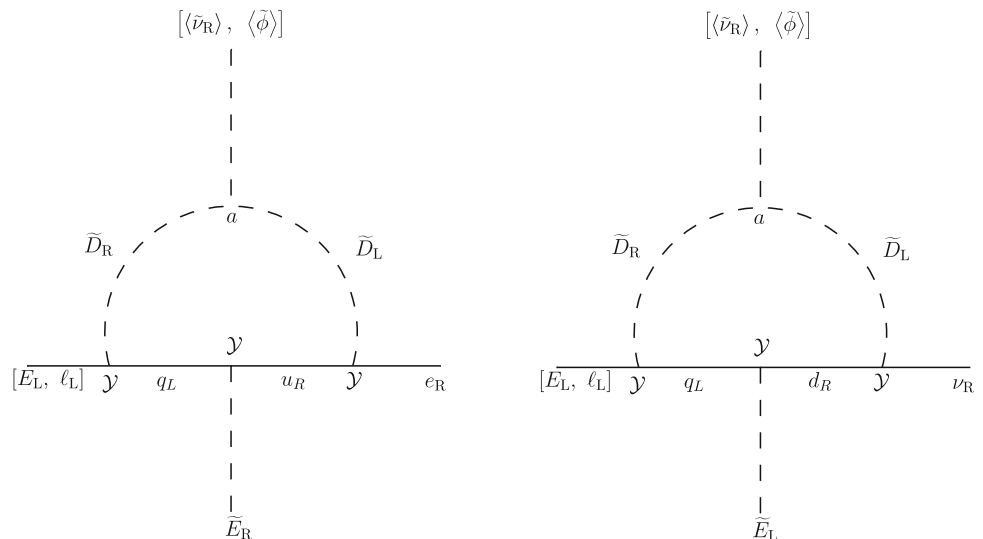
4.3.2 Vector-like lepton sector

Another interesting feature of our model is the presence of nine copies of fermion $SU(2)_L$ doublets as one notices in Eq. (2.21). In the following, we denote them as

$$\begin{aligned} E_R^i &= \begin{pmatrix} \mathcal{N}_R^i \\ \mathcal{E}_R^i \end{pmatrix}, \quad E_L^i = \begin{pmatrix} \mathcal{E}_L^i \\ \mathcal{N}_L^i \end{pmatrix} \\ \ell_L^i &= \begin{pmatrix} e_L^i \\ \nu_L^i \end{pmatrix}, \end{aligned} \tag{4.38}$$

where $i = 1, 2, 3$ is the generation index, consistently with the notation introduced in Eq. (2.21). As will be discussed below, as soon as the p , f and ω VEVs are generated, three doublets remain massless while the other six acquire a large mass and hence become vector-like with respect to $SU(2)_L$. Recalling that all lepton masses are purely radiative, such vector-like leptons (VLLs) are expected to be lighter than VLQs. However, they cannot be arbitrarily light in order to comply with the direct searches at collider experiments [63].

Fig. 5 Examples of one-loop diagrams contributing to the radiatively generated lepton Yukawa couplings, in addition to the topologies in Fig. 4. The indices L, R refer to the original tri-triplets given in Eq. (2.25). \mathcal{Y} denotes a Yukawa couplings with F-term origin



The allowed Yukawa interactions involving lepton-doublets can be separated in two main groups. While the first will be responsible for mass terms proportional to the ω , f and p VEVs and read

$$\begin{aligned} \mathcal{L}_{VLL,1}^{1-loop} = & E_L^1 E_R^2 \left(\kappa_1 \tilde{\phi}^3 + \kappa'_1 \tilde{\phi}^2 + \kappa''_1 \tilde{\nu}_R^1 \right) \\ & + E_L^2 E_R^1 \left(\kappa_2 \tilde{\phi}^3 + \kappa'_2 \tilde{\phi}^2 + \kappa''_2 \tilde{\nu}_R^1 \right) \\ & + E_L^1 E_R^3 \left(\kappa_3 \tilde{\phi}^2 + \kappa'_3 \tilde{\phi}^3 + \kappa''_3 \tilde{\nu}_R^1 \right) \\ & + E_L^3 E_R^1 \left(\kappa_4 \tilde{\phi}^2 + \kappa'_4 \tilde{\phi}^3 + \kappa''_4 \tilde{\nu}_R^1 \right) \end{aligned}$$

The different diagrams contributing to the generation of the Yukawa couplings κ_α , κ'_α and κ''_α are displayed in Fig. 6. We stress that all of them involve $U(1)_W$ -breaking soft SUSY terms, given in Eq. (3.5), which is essential as otherwise all charged leptons would remain massless even after electroweak symmetry breaking.

After the corresponding symmetry breaking the charged lepton mass matrix written in the basis

$$\begin{aligned} \mathcal{L}_C = & (e_L^1 e_L^2 e_L^3 \mathcal{E}_L^1 \mathcal{E}_L^2 \mathcal{E}_L^3) \\ & \times M_\ell (e_R^1 e_R^2 e_R^3 \mathcal{E}_R^1 \mathcal{E}_R^2 \mathcal{E}_R^3)^T + c.c. \end{aligned} \tag{4.41}$$

takes the following form

$$M_\ell \approx \begin{pmatrix} 0 & 0 & 0 & 0 & \kappa_{16}s_3 + \kappa'_{16}s_2 + \kappa''_{16}s_1 & \kappa_{17}s_2 + \kappa'_{17}s_3 + \kappa''_{17}s_1 \\ 0 & 0 & 0 & \kappa_{10}s_3 + \kappa'_{10}s_2 + \kappa''_{10}s_1 & \kappa_7\omega + \kappa'_7f + \kappa''_7p & \kappa_5\omega + \kappa'_5f + \kappa''_5p \\ 0 & 0 & 0 & \kappa_{11}s_2 + \kappa'_{11}s_3 + \kappa''_{11}s_1 & \kappa_6\omega + \kappa'_6f + \kappa''_6p & \kappa_8\omega + \kappa'_8f + \kappa''_8p \\ 0 & 0 & 0 & \kappa_9s_2 + \kappa'_9s_3 + \kappa''_9s_1 & \kappa_1p + \kappa'_1f + \kappa''_1\omega & \kappa_3f + \kappa'_3p + \kappa''_3\omega \\ 0 & 0 & 0 & \kappa_2p + \kappa'_2f + \kappa''_2\omega & \kappa_{12}s_2 + \kappa'_{12}s_3 + \kappa''_{12}s_1 & \kappa_{13}s_1 + \kappa'_{13}s_3 + \kappa''_{13}s_2 \\ 0 & 0 & 0 & \kappa_4f + \kappa'_4p + \kappa''_4\omega & \kappa_{14}s_1 + \kappa'_{14}s_3 + \kappa''_{14}s_2 & \kappa_{15}s_2 + \kappa'_{15}s_3 + \kappa''_{15}s_1 \end{pmatrix}. \tag{4.42}$$

$$\begin{aligned} & + \ell_L^2 E_R^3 \left(\kappa_5 \tilde{\nu}_R^1 + \kappa'_5 \tilde{\phi}^2 + \kappa''_5 \tilde{\phi}^3 \right) \\ & + \ell_L^3 E_R^2 \left(\kappa_6 \tilde{\nu}_R^1 + \kappa'_6 \tilde{\phi}^2 + \kappa''_6 \tilde{\phi}^3 \right) \\ & + \ell_L^2 E_R^1 \left(\kappa_7 \tilde{\nu}_R^1 + \kappa'_7 \tilde{\phi}^2 + \kappa''_7 \tilde{\phi}^3 \right) \\ & + \ell_L^3 E_R^3 \left(\kappa_8 \tilde{\nu}_R^1 + \kappa'_8 \tilde{\phi}^2 + \kappa''_8 \tilde{\phi}^3 \right), \end{aligned} \tag{4.39}$$

the second group contains Yukawa interactions responsible for generating VLL mass terms proportional to the s_i VEVs,

$$\begin{aligned} \mathcal{L}_{VLL,2}^{1-loop} = & E_L^1 E_R^1 \left(\kappa_9 \tilde{\nu}_R^2 + \kappa'_9 \tilde{\nu}_R^3 + \kappa''_9 \tilde{\phi}^{1*} \right) \\ & + \ell_L^2 E_R^1 \left(\kappa_{10} \tilde{\nu}_R^3 + \kappa'_{10} \tilde{\nu}_R^2 + \kappa''_{10} \tilde{\phi}^{1*} \right) \\ & + \ell_L^3 E_R^1 \left(\kappa_{11} \tilde{\nu}_R^2 + \kappa'_{11} \tilde{\nu}_R^3 + \kappa''_{11} \tilde{\phi}^{1*} \right) \\ & + E_L^2 E_R^2 \left(\kappa_{12} \tilde{\nu}_R^2 + \kappa'_{12} \tilde{\nu}_R^3 + \kappa''_{12} \tilde{\phi}^{1*} \right) \\ & + E_L^2 E_R^3 \left(\kappa_{13} \tilde{\phi}^{1*} + \kappa'_{13} \tilde{\nu}_R^3 + \kappa''_{13} \tilde{\nu}_R^2 \right) \\ & + E_L^3 E_R^2 \left(\kappa_{14} \tilde{\phi}^{1*} + \kappa'_{14} \tilde{\nu}_R^3 + \kappa''_{14} \tilde{\nu}_R^2 \right) \\ & + E_L^3 E_R^3 \left(\kappa_{15} \tilde{\nu}_R^2 + \kappa'_{15} \tilde{\nu}_R^3 + \kappa''_{15} \tilde{\phi}^{1*} \right) \\ & + \ell_L^1 E_R^2 \left(\kappa_{16} \tilde{\nu}_R^3 + \kappa'_{16} \tilde{\nu}_R^2 + \kappa''_{16} \tilde{\phi}^{1*} \right) \\ & + \ell_L^1 E_R^3 \left(\kappa_{17} \tilde{\nu}_R^2 + \kappa'_{17} \tilde{\nu}_R^3 + \kappa''_{17} \tilde{\phi}^{1*} \right). \end{aligned} \tag{4.40}$$

Similar to what has been done in the extended quark sector, we investigate the case where the s_i can be neglected with respect to ω , f and p that are assumed to be of similar size in what follows. Note, in this first consideration we assume a sufficiently heavy gaugino-mass scale enabling us to a first approximation to neglect a small effect of the gaugino-lepton mixing, and hence the lepton flavor violation induced by such a mixing, in the decoupling limit. In a future study, such an effect could be added and any modifications to the current results should be analysed setting bounds on parameters of the model from the LEP constraints and to explore further potential for phenomenological explorations (for such a discussion in other SUSY models with the Higgs as a slepton, see e.g. Refs. [64,65]).

It is possible to further simplify M_ℓ by noting that κ_i dominates over κ'_i and κ''_i in $\mathcal{L}_{VLL,1}^{1-loop}$. To see this, let us consider the first term in Eq. (4.39) where κ_1 is generated from the top diagram in Fig. 6 while κ'_1 and κ''_1 from the bottom-left and bottom-right diagrams respectively. While the top diagram is linear in a_5 , defined in Eq. (3.5), the bottom ones contain suppression factors of the order of the scalar quartic couplings λ_5 and $\bar{\lambda}_{109}$, which are defined in Eqs. (C7) and (C8). From the tree-level matching conditions in Table 13 we see that both λ_5 and $\bar{\lambda}_{109}$ are of D-term origin and can be written as

$$\begin{aligned} \lambda_5 = & -\frac{\pi}{6} (3\alpha_F - 2\alpha'_F + 2\alpha'_L + 2\alpha'_R), \\ \bar{\lambda}_{109} = & -\frac{\pi}{6} (3\alpha_R + 3\alpha_F - \alpha'_R - \alpha'_F). \end{aligned} \tag{4.43}$$

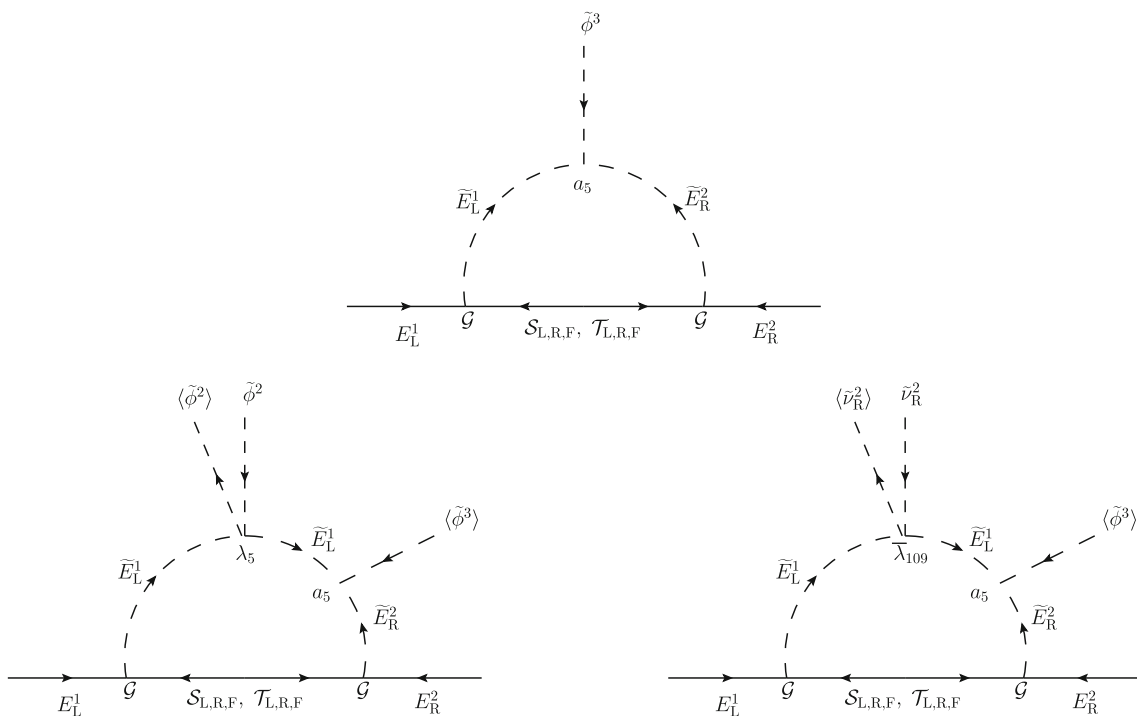


Fig. 6 One-loop diagrams contributing to the radiative generation of κ_1 (top diagram), κ'_1 (bottom-left diagram) and κ''_1 (bottom-right diagram) VLL Yukawa interactions. The Yukawa coupling \mathcal{G} is equal to

$y_{25}^{L,R,F}$ for the $\mathcal{S}_{L,R,F}$ propagators, or to $y_{31,37,43}$ – for the $\mathcal{T}_{L,R,F}$ propagators, respectively. For more details on the corresponding Yukawa operators, see Appendix C2

We can now estimate the size of both quartic couplings using Eq. (4.43) and typical values for the inverse structure constants at the p -scale. Taking the second example point in Table 8, shown in Fig. 10, that we will discuss in Sect. 5, we have

$$\alpha_F = \frac{1}{50.9}, \quad \alpha'_F = \frac{1}{76.3}, \quad \alpha_R = \frac{1}{44.5},$$

$$\alpha'_R = \frac{1}{69.6}, \quad \alpha'_L = \frac{1}{57.6}, \tag{4.44}$$

from where we get

$$\lambda_5 = -0.05 \quad \text{and} \quad \bar{\lambda}_{109} = -0.04. \tag{4.45}$$

Note, that there are no F -term contributions to the quartic interactions as these would involve squarks. The additional D -term suppression leads to the estimate:

$$\frac{\kappa'_i}{\kappa_i} \sim \frac{\kappa''_i}{\kappa_i} \sim \mathcal{O}(0.01)$$

with $i = 1, \dots, 8$. (4.46)

If the hierarchy between the ω and p VEVs does not go beyond an order of magnitude, in the limit of $s_i \rightarrow 0$ we can

approximate M_ℓ as follows

$$M_\ell \approx \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \kappa_7 \omega & \kappa_5 \omega \\ 0 & 0 & 0 & 0 & \kappa_6 \omega & \kappa_8 \omega \\ 0 & 0 & 0 & 0 & \kappa_1 p & \kappa_3 f \\ 0 & 0 & 0 & \kappa_2 p & 0 & 0 \\ 0 & 0 & 0 & \kappa_4 f & 0 & 0 \end{pmatrix}. \tag{4.47}$$

The VLL masses then become

$$m_T^2 = p^2 \kappa_2^2 + f^2 \kappa_4^2,$$

$$m_{M,E}^2 = \frac{1}{2} \left(\omega^2 \Lambda_1 + p^2 \kappa_1^2 + f^2 \kappa_3^2 \pm \left[(\omega^2 \Lambda_1 + p^2 \kappa_1 + f^2 \kappa_3^2)^2 - 4\omega^2 (\omega^2 \Lambda_2 - 2fp\Lambda_3 + p^2 \Lambda_4 + f^2 \Lambda_5) \right]^{1/2} \right), \tag{4.48}$$

where

$$\Lambda_1 = \kappa_7^2 + \kappa_5^2 + \kappa_6^2 + \kappa_8^2,$$

$$\Lambda_2 = (\kappa_5 \kappa_6 - \kappa_7 \kappa_8)^2,$$

$$\Lambda_3 = (\kappa_7 \kappa_5 + \kappa_6 \kappa_8) \kappa_1 \kappa_3,$$

$$\Lambda_4 = (\kappa_5^2 + \kappa_8^2) \kappa_1^2,$$

$$\Lambda_5 = (\kappa_7^2 + \kappa_6^2) \kappa_3^2. \tag{4.49}$$

If once again we choose ω to be the smallest of the intermediate scales, as e.g. compatible with the p - f compressed scenario discussed in Sect. 4.2.2, we can Taylor-expand $m_{M,E}^2$ for $\omega \ll p, f$ and obtain

$$m_T^2 \approx p^2 (\kappa_2^2 + \kappa_4^2), \quad m_M^2 \approx p^2 (\kappa_1^2 + \kappa_3^2),$$

$$m_E^2 \approx \frac{(\kappa_5 \kappa_1 - \kappa_7 \kappa_3)^2 + (\kappa_8 \kappa_1 - \kappa_6 \kappa_3)^2}{\kappa_1^2 + \kappa_3^2} \omega^2. \tag{4.50}$$

Even simpler expressions are obtained in the case of ω - f compressed scenario

$$m_T \approx p \kappa_2, \quad m_M \approx p \kappa_1,$$

$$m_E \approx \omega \sqrt{\kappa_5^2 + \kappa_8^2}. \tag{4.51}$$

Note, for the analytical approximations in Eqs. (4.50) and (4.51) we have assumed that the radiative Yukawa couplings κ_i all have comparable sizes. We see from these expressions that the two heaviest VLLs are proportional to the p VEV whereas the lightest one – to the ω VEV. For example, taking the benchmark scenarios defined in Eqs. (4.22) and (4.25), where $p = 600$ TeV and $\omega = 100$ TeV and assuming $\kappa_i \sim \mathcal{O}(10^{-2})$ we get for both scenarios:

$$m_{T,M} \sim \mathcal{O}(6 \text{ TeV}), \quad m_E \sim \mathcal{O}(1 \text{ TeV}). \tag{4.52}$$

4.4 Neutrinos

Limiting our consideration to the neutral components of the fermionic $L^{i,3}$ bi-triplets only (see Eq. (2.21)), we briefly discuss the structure of the neutrino sector. Similarly to quarks, one has both tree-level and loop induced contributions to the masses. In the basis

$$\Psi_N = \left(\nu_L^1 \ \nu_L^2 \ \nu_L^3 \ \mathcal{N}_L^1 \ \mathcal{N}_L^2 \ \mathcal{N}_L^3 \ \mathcal{N}_R^1 \ \mathcal{N}_R^2 \ \mathcal{N}_R^3 \ \phi^1 \ \phi^2 \ \phi^3 \ \nu_R^1 \ \nu_R^2 \ \nu_R^3 \right), \tag{4.53}$$

the neutrino mass matrix before EW symmetry breaking can be written in a block-diagonal form as

$$\mathcal{M}_N = \begin{pmatrix} \overline{\mathbf{M}}_{9 \times 9} & \mathbf{0} \\ \mathbf{0} & \mathbf{M}_{6 \times 6} \end{pmatrix}, \tag{4.54}$$

with \mathbf{M} the 6×6 mass matrix of $SU(2)_L$ singlet neutrinos while $\overline{\mathbf{M}}$ denotes the 9×9 block of $SU(2)_L$ doublet neutral components.

The entries \mathbf{M} are generated at tree-level via the topology shown in Fig. 7. Here we assumed that the gaugino-masses corresponding to the broken gauge groups at the high scales are significantly larger than the VEVs leading to the breaking.

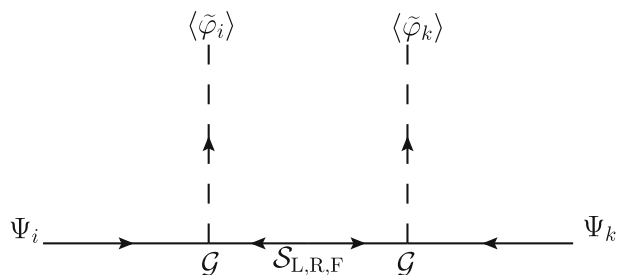


Fig. 7 Tree-level diagrams generating the entries of $\mathbf{M}_{6 \times 6}$ block of the neutrino mass matrix (see Eq. (4.54)). The flavour indices i, k denote the last six entries in Ψ_N in Eq. (4.53). The Yukawa coupling \mathcal{G} is equal to $y_{23,24,27,28}^A$ and $\bar{y}_{23,24,27,28}^A$ ($A = L, R, F$). For more details, see Appendix C2

The corresponding elements are given by

$$M_{ik} = \frac{1}{2} \mathcal{G}^2 \frac{\langle \tilde{\varphi}_i \rangle \langle \tilde{\varphi}_k \rangle}{M_S} \quad \text{with } \langle \tilde{\varphi}_i \rangle = s_{1,2,3}, \omega, f, p, \tag{4.55}$$

where M_S is the gaugino mass scale, and the Yukawa coupling \mathcal{G} has a D-term origin and is specified in the caption of Fig. 7. Clearly, \mathbf{M} offers the leading contributions to the total neutrino mass matrix which can be as large as p^2/M_S . In this sector, hierarchies result from possible different sizes among the VEVs $s_{1,2,3}$, ω , f and p .

The matrix entries of $\overline{\mathbf{M}}$ are induced at the loop-level in the same way as for their charged counterparts discussed in the previous section. In this limit, where the contributions are dominated by κ_i , f , ω and p , it is given by

$$\overline{\mathbf{M}} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \kappa_7 \omega & \kappa_5 \omega \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \kappa_6 \omega & \kappa_8 \omega \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \kappa_1 p & \kappa_3 f \\ 0 & 0 & 0 & 0 & 0 & 0 & \kappa_2 p & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \kappa_4 f & 0 & 0 \\ 0 & 0 & 0 & 0 & \kappa_2 p & \kappa_4 f & 0 & 0 & 0 \\ 0 & \kappa_7 \omega & \kappa_6 \omega & \kappa_1 p & 0 & 0 & 0 & 0 & 0 \\ 0 & \kappa_5 \omega & \kappa_8 \omega & \kappa_3 f & 0 & 0 & 0 & 0 & 0 \end{pmatrix}, \tag{4.56}$$

which is a matrix of rank-6. Due to $SU(2)_L$ invariance one finds in this limit:

$$\overline{m}_{N_{1,2,3}}^2 = 0 \quad \overline{m}_{N_{4,5}}^2 = m_E^2$$

$$\overline{m}_{N_{6,7}}^2 = m_M^2 \quad \overline{m}_{N_{8,9}}^2 = m_T^2. \tag{4.57}$$

At this stage one has 12 massive (6 from \mathbf{M} and 6 from $\overline{\mathbf{M}}$) and three massless neutrinos. In the corresponding mass basis and denoting these masses as μ_i ($i = 1, \dots, 12$) one obtains after electroweak symmetry breaking a seesaw type

I structure for the mass matrix:

$$m_\nu = \begin{pmatrix} \mathbf{0}_{3 \times 3} & \frac{v_{EW}}{\sqrt{2}} (\mathbf{y}_\nu)_{12 \times 3} \\ \frac{v_{EW}}{\sqrt{2}} (\mathbf{y}_\nu^\top)_{3 \times 12} & (\boldsymbol{\mu}_N)_{12 \times 12} \end{pmatrix}, \tag{4.58}$$

where v_{EW} represents schematically the electroweak symmetry breaking VEVs. \mathbf{y}_ν is a 3×12 matrix denoting a combination of various Yukawa couplings, which are radiatively generated via diagrams as in Fig. 4. A more detailed discussion including on how to fit neutrino data is beyond the scope of this work and will be presented in a subsequent paper.

5 Grand unification

One of the key features of the considered model is the local nature of the family symmetry implying that the family, strong and electroweak interactions are treated on the same footing and are ultimately unified within an E_8 gauge symmetry. In this section we study the possible hierarchies among soft SUSY, trification, E_6 and E_8 breaking scales, denoted by M_S , M_3 , M_6 and M_8 , respectively. It will also become evident how important are the effects resulting from the five-dimensional terms in Eq. (2.6) which induce threshold corrections at the scale M_6 . It was shown that without such corrections $M_S \geq 10^{11}$ is required [33]. In what follows, we assume for simplicity that $p = f = \omega = s_i \equiv M_S$. Inspired by the discussion in Sect. 4.1 we consider a low-energy EW-scale theory with three light Higgs doublets. We will also include three generations of VLLs and two generations of VLQs with a degenerate mass of order one TeV, in agreement with our findings in Sect. 4.2.

For these considerations we use the analytic solutions of the one-loop renormalisation group equations (RGEs) which are independent of the Yukawa couplings:

$$\alpha_i^{-1}(\mu) = \alpha_0^{-1} + \frac{b_i}{2\pi} \log\left(\frac{\mu}{\mu_0}\right), \tag{5.1}$$

where $\alpha_i = g_i^2/(4\pi)$ and b_i are the one-loop beta-function coefficients. The tree-level matching conditions in the gauge sector at every symmetry breaking scale and the explicit values of the b_i between the corresponding scales can be found in Appendix B. Note that, between M_8 and M_6 scales the presence of large representations discussed in Sect. 2.1.1 results in $b_6 = -1095$ and, thus, a very fast running of the E_6 gauge coupling. Such a steep running, which is governed by

$$\alpha_6^{-1}(M_6) = \alpha_8^{-1} + \frac{b_6}{2\pi} \log\left(\frac{M_6}{M_8}\right), \tag{5.2}$$

implies that the M_8 and M_6 breaking scales are very close to each other but the values of the E_8 and E_6 gauge couplings become rather different. In particular, we find $\alpha_6(M_6) < \alpha_8$.

We can express the SM gauge couplings at the M_Z scale in terms of the universal E_8 gauge coupling and the intermediate symmetry breaking scales:

$$\begin{aligned} \alpha_{C,L}^{-1}(M_Z) &= \alpha_6^{-1}(M_6) (1 + \zeta \delta_{C,L}) \\ &+ \frac{b_3}{2\pi} \log\left(\frac{M_3}{M_6}\right) + \frac{b_{C,L}^{(3)}}{2\pi} \log\left(\frac{M_S}{M_3}\right) \\ &+ \frac{b_{C,L}^{(4)}}{2\pi} \log\left(\frac{M_{VLF}}{M_S}\right) \\ &+ \frac{b_{C,L}^{(5)}}{2\pi} \log\left(\frac{M_Z}{M_{VLF}}\right), \end{aligned} \tag{5.3}$$

$$\begin{aligned} \alpha_Y^{-1}(M_Z) &= \frac{1}{3} \alpha_6^{-1}(M_6) (5 + \zeta \delta_L + 4\zeta \delta_R) \\ &+ \frac{5b_3}{6\pi} \log\left(\frac{M_3}{M_6}\right) \\ &+ \left(\frac{b_R^{(3)} + b_L^{(3)}}{6\pi} + \frac{b_R^{(3)}}{2\pi}\right) \log\left(\frac{M_S}{M_3}\right) \\ &+ \frac{b_Y^{(4)}}{2\pi} \log\left(\frac{M_{VLF}}{M_S}\right) + \frac{b_Y^{(5)}}{2\pi} \log\left(\frac{M_Z}{M_{VLF}}\right), \end{aligned} \tag{5.4}$$

where $\zeta = M_6/M_8$. In addition, we take $\alpha_T(M_S)$ as a free parameter because the corresponding Z' boson with mass $M_{Z'} = g_T M_S/2$ will be the lightest of the additional vector bosons. We find

$$\begin{aligned} \alpha_T^{-1}(M_S) &= \frac{1}{27} \left[16\alpha_8^{-1} + \alpha_6^{-1}(M_6) (29 + \zeta \delta_L + 28\zeta \delta_R) \right. \\ &+ \left. \frac{29b_3}{2\pi} \log\left(\frac{M_3}{M_6}\right) + \frac{2b_F^{(1)} + 6b_F^{(1)}}{\pi} \log\left(\frac{M_3}{M_8}\right) \right] \\ &+ \left(\frac{2b_F^{(2)}}{27\pi} + \frac{b_L^{(3)} + b_R^{(3)}}{57\pi} + \frac{2b_F^{(2)}}{9\pi} + \frac{b_R^{(3)}}{2\pi} \right) \log\left(\frac{M_S}{M_3}\right), \end{aligned} \tag{5.5}$$

Note that $\delta_{L,R}$ appear in this equation due to the presence of $U(1)_{L,R}$ generators in $U(1)_T$, see Table 11, which themselves originate from $SU(3)_{L,R}$. For the numerical results below we use the following values for the SM gauge couplings:

$$\begin{aligned} \alpha_C^{-1}(M_Z) &= 8.4, \quad \alpha_L^{-1}(M_Z) = 29.6, \\ \alpha_Y^{-1}(M_Z) &= 98.5. \end{aligned} \tag{5.6}$$

Now, let us address the question on how large the coefficients δ_i , $i = L, R, C$ have to be to get a consistent picture

Table 7 Ranges for parameter scans in the considered compressed scale scenario, $p = f = \omega = s_{1,2,3} \equiv M_S$

M_S (GeV)	M_3 (GeV)	$\alpha_T^{-1}(M_{Z'})$	$\alpha_8^{-1}(M_8)$
$10^4 - 10^6$	$10^4 - 10^{18}$	10–200	5–200

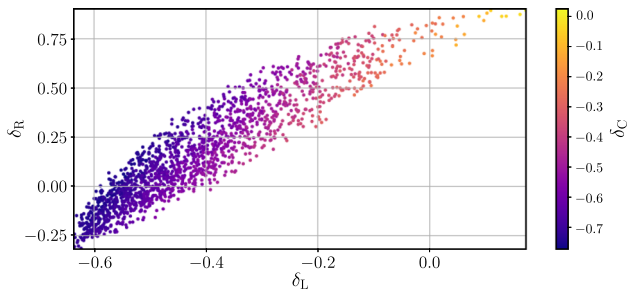


Fig. 8 Possible values for the non-universality factors δ_L , δ_R and δ_C

while requiring the ranges for the free parameters as given in Table 7. For this purpose we numerically invert Eqs. (5.2) to (5.5) in order to determine the δ_i , ζ and M_8 . We find that $0.9 \leq \zeta < 1$ and M_8 is a few times 10^{17} GeV, which is close to the string scale. This clearly demonstrates the internal consistency with our orbifold assumptions.

The results for the δ_i are presented in Fig. 8 and we find that at least one of them has to be sizable. While in some cases $\delta_C \approx \delta_L$ leading to a closer universality of the $SU(3)_C$ and $SU(3)_L$ interactions, the $SU(3)_R$ gauge coupling differs always from the other two. We note here for completeness, that in principle one should also add the contributions from integrating out the heavy states corresponding to the coset of the E_6 breaking. However, the masses of the corresponding particles are of the order of M_6 making such contributions significantly smaller than the required values for the δ_i and only impact in the regions where at least one of them is close to zero. Last but not least we note that we have not found any solution allowing for a standard unification of the trinification gauge interactions. This is in agreement with ref. [33] where it has been shown that this requires $M_S \geq 10^{11}$ GeV, well above the values we consider here.

In Figs. 9 and 10 we show two representative examples of possible RG evolution of the gauge couplings using the parameters of Table 8 corresponding to the cases where (i) δ_i are quite different (Fig. 9), and (ii) δ_i are of similar size albeit with different signs (Fig. 10). These correspond clearly to different E_6 -breaking VEV configurations at M_6 scale which can be seen from Table 8. A second difference between these scenarios is the ratio M_6/M_3 which in the first case is about 100 whereas in the second one it is close to unity. Typical values for $\alpha_8^{-1}(M_8)$ are around 10 – 30 as represented by a black star. Consequently, the first plot (Fig. 9) represents a scenario with a maximised ratio $\alpha_6^{-1}(M_6)/\alpha_8^{-1}(M_8) \simeq 2$ as found in our numerical scan, whereas in the second one

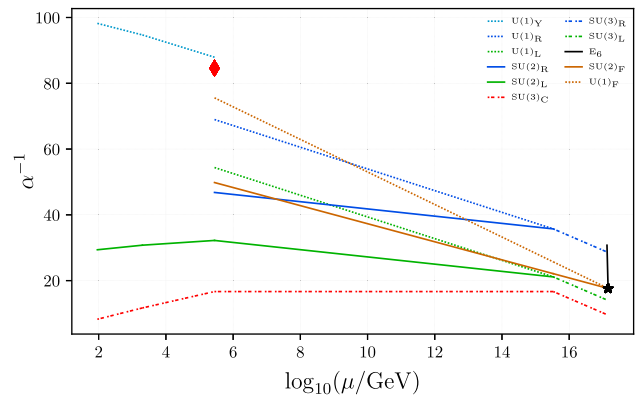


Fig. 9 Running of the gauge couplings in the SHUT model for the first point detailed in Table 8. This example represents scenarios with maximal separation between the E_6 and trinification breaking scales. While the red diamond indicates the value of the flavour structure constant $\alpha_T^{-1}(M_S)$, the universal $\alpha_8^{-1}(M_8)$ at the unification scale is represented by a black star

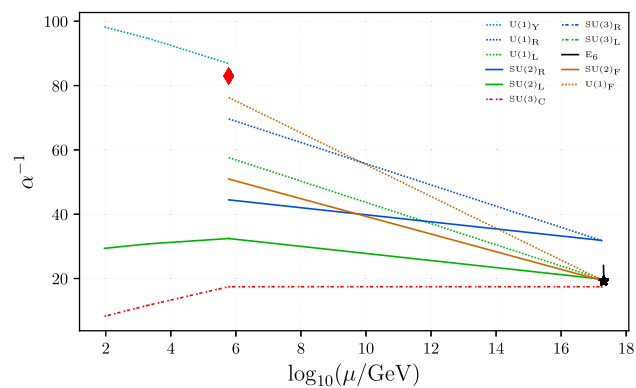


Fig. 10 Running of the gauge couplings in the SHUT model for the second point detailed in Table 8. This example was chosen as a representative solution of the scenarios with gauge couplings as close to universality as possible after E_6 is broken. In particular we have $\alpha_L(M_6) \sim \alpha_C(M_6) \sim \alpha_F(M_6) \gtrsim \alpha_R(M_6)$. The red diamond and the black star have the same meaning as in Fig. 9

(Fig. 10) this ratio is close to unity. We note for completeness that in the considered scenarios one typically finds the strength of the gauge-family interactions at the soft scale $\alpha_T^{-1}(M_S) \sim \mathcal{O}(100)$ as denoted by a red diamond. This implies that the corresponding Z' boson can be as light as two TeV or so. Due to its flavor dependent couplings and a sensitivity to the size of $s_{1,2,3}$ VEVs, a detailed investigation will be necessary to obtain bounds from the existing LHC searches.

6 Conclusions

A consistent first-principle explanation of the measured but seemingly arbitrary features of the Standard Model (SM) such as fermion mass spectra and mixings, structure of the

Table 8 Benchmark points used for the running of the gauge couplings in Figs. 9 and 10. The top line corresponds to a parameter space point where δ_i differ considerably whereas in the bottom line their absolute values are of the same order. Here, $t_i = \log_{10} \frac{M_i}{\text{GeV}}$

t_8	t_3	t_S	ζ	$\alpha_8^{-1} (M_8)$	$\alpha_1^{-1} (M_S)$	δ_L	δ_R	δ_C	k_Ψ	k_Σ	k'_Σ	k_σ
17.16	15.53	5.446	0.928	17.63	84.58	-0.583	-0.0714	-0.740	0.790	0.389	0.418	0.224
17.31	17.22	5.780	0.973	19.23	82.97	-0.193	0.321	-0.296	0.0953	0.340	-0.420	0.836

gauge interactions, proton stability and the properties of the Higgs sector in the framework of a single Grand Unified Theory (GUT) remains a challenging long-debated programme.

In this work, as an attempt to address this profound task we have formulated and performed a first analysis of a novel SUSY E_8 -inspired $E_6 \times SU(2)_F \times U(1)_F$ GUT framework. The underlying guiding principle of our approach is the gauge rank-8 Left-Right-Color-Family (LRFCF) unification under the E_8 symmetry with a subsequent string-inspired orbifolding mechanism triggering the first symmetry reduction step $E_8 \rightarrow E_6 \times SU(2)_F \times U(1)_F$. The latter is responsible for generating a viable chiral UV complete SUSY theory containing the light SM-like fermion and Higgs sectors. One of the emergent properties of the LRFCF unification is the common origin of the Higgs and matter sectors, both chiral and vector-like, from two $(\mathbf{27}, \mathbf{2})_{(1)}$ and $(\mathbf{27}, \mathbf{1})_{(-2)}$ superfield representations of the $E_6 \times SU(2)_F \times U(1)_F$ symmetry, offering a SUSY GUT theory with tightly constrained Yukawa interactions. Such a unique feature of the proposed framework is in variance with most of the existing GUT formulations in the literature where the fundamental properties of the Higgs and matter sectors are typically not or only partially connected with each other. As a by-product of such formulation, proton decay does not receive any Yukawa-mediated interactions due to an emergent B -parity symmetry, while it can only be mediated by suppressed E_6 gauge interactions at the GUT scale.

One of the key distinct properties of the SHUT framework is that neither mass terms nor Yukawa interactions for the light chiral $(\mathbf{27}, \mathbf{2})_{(1)}$ and $(\mathbf{27}, \mathbf{1})_{(-2)}$ superfields emerge in the $E_6 \times SU(2)_F \times U(1)_F$ superpotential at a renormalisable level. However, dimension-4 superpotential terms involving large representations of E_6 generate two distinct Yukawa operators in the SUSY $[SU(3)]^3 \times SU(2)_F \times U(1)_F$ theory. A hierarchy between the \mathcal{Y}_1 and \mathcal{Y}_2 Yukawa couplings of order $m_t/m_c \sim m_b/m_s \sim \mathcal{O}(100)$ provides the necessary means for reproducing the desired hierarchies in the SM-like quark sector readily at the tree-level. Besides, dimension-5 operators in the gauge sector of the $E_6 \times SU(2)_F \times U(1)_F$ theory introduce threshold corrections to the $SU(3)_{L,R,C}$ gauge couplings enabling a strong SUSY-protected hierarchy between the soft-SUSY breaking, M_S , and GUT, $M_8 \sim 10^{17}$ GeV, scales, with M_S allowed to be as low as $M_S \lesssim 10^3$ TeV.

We have found that if the intermediate scales induced by the soft SUSY breaking sector lie within a range of approx-

imately $10^2 - 10^3$ TeV, the model contains three families of vector-like leptons within the reach of LHC measurements or future High-Energy/High-Luminosity LHC upgrades. Our framework features the minimum of three (and maximum of five) light Higgs doublets at the electroweak scale providing a Cabibbo mixing consistent with the top-charm and bottom-strange mass hierarchies as well as massless first-generation quarks at tree-level. The inclusion of one-loop corrections with mild hierarchies supply the necessary ingredients to potentially generate realistic quark masses and mixing angles consistent with measurements.

Furthermore, we have commented on the possibility for at least one or two light generations of VLQs (below 10 TeV or so) being potentially accessible at the LHC or future colliders. The decoupling between light and heavy VLQ generations is dominated by the size of the SHUT superpotential Yukawa coupling $\mathcal{Y}_2 \ll \mathcal{Y}_1$ – the same effect that reproduces the top-charm and bottom-strange mass hierarchies. This is different from the mass suppression mechanism of the light VLLs relative to the M_S scale which essentially follows from the quantum (loop) effects incorporating the soft SUSY breaking interactions and mass terms. The size of the soft-SUSY breaking terms and the freedom that they add to the model with a total of 35 mass-dimensional parameters provides enough freedom to make the SM Higgs and Yukawa sectors consistent with phenomenology and potentially realisable with not too strong fine-tuning.

The SHUT model also offers a rich neutrino sector with the possibility for three sub-eV states and twelve heavy ones, with masses within the range of $10^2 - 10^3$ TeV. Additional gauge bosons, and in particular a Z' with flavour non-universal couplings to different generations, may also emerge in the particle spectrum. Such very particular features of the light fermion and gauge boson spectrum potentially offer new smoking gun signatures for phenomenological tests of the SHUT model at current and future collider experiments.

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Appendix A: High-dimensional E₆ representations

As we have seen in Sect. 2, the high-dimensional E₆ representations are required for generation of threshold effects in the breaking E₆ → [SU(3)]³ as well as for a consistent description of the observed light fermion hierarchies already at tree level. For this purpose, as we have seen above, we need at least two different superchiral 650-reps of E₆. Starting from the product of two E₈ representations,

$$(248 \otimes 248) = \mathbf{1} \oplus \mathbf{248} \oplus \mathbf{3875} \oplus \mathbf{27000} \oplus \mathbf{30380}, \quad (A1)$$

one finds in total three different 650-reps by decomposing the corresponding high-dimensional E₈ reps into representations of E₆ symmetry such that

$$650_1 \in \mathbf{3875}, \quad 650_2 \in \mathbf{27000}, \quad 650_3 \in \mathbf{30380}, \quad (A2)$$

where one of them is the same as in the E₆-product Eq. (2.7). Note, there is only one 2430 in the considered E₈-product,

$$2430 \in \mathbf{27000}, \quad (A3)$$

which should be the same as in Eq. (2.7).

Decomposing for example, any two 650 multiplets from Eq. (A2) into [SU(3)]³ representations yields four independent trification singlets (two singlets per each 650 multiplet), and an additional one comes from 2430. In essence,

there is one 650-plet that gets a VEV along one of the two trification singlet directions and another 650 from another E₈ multiplet in Eq. (A2) that gets a VEV along the other trification singlet directions. Therefore, a generic breaking of E₆ down to trification can follow a linear combination of the following orthogonal directions

$$\sigma \equiv \mathbf{1}, \quad \Sigma \equiv \mathbf{650}, \quad \Sigma' \equiv \mathbf{650}', \quad \Psi \equiv \mathbf{2430}. \quad (A4)$$

Note, the considered heavy E₆ states allow for superpotential interactions of the form⁴

$$\begin{aligned} W_{E_6} \supset & M_\Sigma \text{Tr} \Sigma^2 + M_{\Sigma'} \text{Tr} \Sigma'^2 + M_\Psi \text{Tr} \Psi^2 \\ & + M_{\Sigma \Sigma'} \text{Tr} \Sigma \Sigma' \\ & + \lambda_\Sigma \text{Tr} \Sigma^3 + \lambda_{\Sigma'} \text{Tr} \Sigma'^3 + \lambda_\Psi \text{Tr} \Psi^3 \\ & + \lambda_{\Sigma \Psi} \text{Tr} (\Sigma^2 \Psi) + \lambda'_{\Sigma \Psi} \text{Tr} (\Sigma \Psi^2) \\ & + \lambda_{\Sigma' \Psi} \text{Tr} (\Sigma'^2 \Psi) + \lambda'_{\Sigma' \Psi} \text{Tr} (\Sigma' \Psi^2) \\ & + \lambda_{\Sigma' \Sigma} \text{Tr} (\Sigma'^2 \Sigma) + \lambda'_{\Sigma' \Sigma} \text{Tr} (\Sigma' \Sigma^2) + \dots, \end{aligned} \quad (A5)$$

where we have for simplicity omitted the operators containing the σ superfield as denoted by dots.

Appendix B: Determination of the b_i coefficients, U(1) generators and tree-level matching conditions.

The one-loop running of the gauge couplings can be generically described by Eq. (5.1). The coefficients b_i depend on the number of states present between two energy scales and on group theoretical factors. For non-abelian groups they can be determined by

$$b_i = \frac{11}{3} C_2(G) - \frac{4}{3} \kappa T(F) - \frac{1}{3} T(S), \quad (B1)$$

where $\kappa = \frac{1}{2}$ for Weyl fermions, C₂(G) is a group Casimir in the adjoint representation and T(F) and T(S) are Dynkin indices for fermions and complex scalars respectively. For U(1) groups

$$b'_i = -\frac{4}{3} \kappa \sum_f (Q_f)^2 - \frac{1}{3} \sum_s (Q_s)^2 \quad (B2)$$

with Q_f and Q_s the charges of the fermion and scalars in the theory as shown in appendix. Note that we label the abelian coefficients with a prime, b'_i.

Running between M₈ and M₆ scales: Region (1)

The particle content that we consider between the M₈ and M₆ scales is summarized in Tables 9 and 10.

Table 9 Gauge superfields present between M_8 and M_6 scales

E_6	$SU(2)_F$	$U(1)_F$
78	1	0

Table 10 Chiral superfields present between M_8 and M_6 scales

E_6	$SU(2)_F$	$U(1)_F$
27	2	1
27	1	-2
1	2	-1
78	1	0
650, 650'	1	0
2430	1	0

The Dynkin index of each of such representations, calculated using `Li.eART` [66], reads

$$T(27) = 3, \quad T(78) = 12, \quad T(650) = 150, \\ T(2430) = 810, \tag{B3}$$

whereas the adjoint Casimir is $C_2(G) = 12$. The E_6 gauge coupling will run with coefficient

$$b_6 = -1095. \tag{B4}$$

The coefficients of the $SU(2)_F$ gauge coupling RG-equation follow from the non-singlet representations of the non-abelian part of the family symmetry whose Dynkin indices are

$$T(2) = \frac{1}{2} \quad T(3) = C_2(3) = 2. \tag{B5}$$

Replacing it in Eq. (B1) one obtains

$$b_F^{(1)} = -8. \tag{B6}$$

Finally, the coefficient of the $U(1)_F$ RG-equation is calculated from the abelian charges in Table 10 which yields

$$b_F'^{(1)} = -\frac{41}{3} \tag{B7}$$

where we have used the charge normalization factor $1/(2\sqrt{3})$.

Running between M_6 and M_3 scales: Region (2)

Once the E_6 symmetry is broken we are left with the massless bi-triplets $L^{(i,3)}$, $Q_L^{(i,3)}$ and $Q_R^{(i,3)}$ as well as with the adjoint **78** components, see Tables 4 and 5, respectively.

⁴ We have used `Li.eART` [66] to determine E_6 invariant operators.

The theory also contains vector supermultiplets transforming under the adjoint representation of $[SU(3)]^3 \times SU(2)_F \times U(1)_F$. The $SU(3)$ adjoint Casimir and Dynkin indices are

$$T(3) = \frac{1}{2} \quad T(8) = C_2(8) = 3, \tag{B8}$$

which replaced in Eq. (B1) yield the following coefficients

$$b_3 = -12, \quad b_F^{(2)} = -8, \quad b_F'^{(2)} = -\frac{41}{3}. \tag{B9}$$

Running between M_3 and M_S scales: Region (3)

With the breaking of the trinification symmetry all components of the adjoint superfields become heavy and are integrated out. The only surviving states are those embedded in the trinification bi-triplets as well as the massless gauge supermultiplets according to Table 4. Using the $SU(2)$ and $SU(3)$ Dynkin and Casimir indices the coefficients of the RGEs are

$$b_C^{(3)} = 0, \quad b_{L,R}^{(3)} = -3, \quad b_F^{(3)} = -8 \\ b_{L,R}'^{(3)} = -9, \quad b_F'^{(3)} = -\frac{41}{3}. \tag{B10}$$

Running between M_S and M_{VLF} scales: Region (4)

Below the soft scale the surviving states are three generations of $SU(2)_L$ VLL doublets, two generations of $SU(2)_L$ VLQ-singlets and three Higgs doublets reproducing the following coefficients

$$b_C^{(4)} = \frac{19}{3}, \quad b_L^{(4)} = \frac{11}{6}, \quad b_Y^{(4)} = -\frac{155}{18}. \tag{B11}$$

Running between M_{VLF} and M_Z scales: Region (5)

Finally, the running of the gauge couplings after integrating out the vector-like fermions is determined by a SM-like theory with three Higgs doublets. The coefficients of the RGEs are

$$b_C^{(5)} = 7, \quad b_L^{(5)} = \frac{17}{6}, \quad b_Y^{(5)} = -\frac{43}{6}. \tag{B12}$$

If contributions from high-dimensional operators are not relevant, the one-loop running of non-abelian gauge couplings with tree-level matching at each breaking scale is continuous. However, for the case of abelian symmetries, tree-level matching typically introduces discontinuities. Such jumps in the RG-flow are due to a non-trivial combination of

Table 11 Details of the abelian sector of the SHUT model

VEVs	U(1)-groups	Generators	Matching condition
$\langle \tilde{\phi}^3 \rangle = p$	U(1) _{L+R} × U(1) _S	$T_{L+R} = T_L^8 + T_R^8$ $T_S = T_L^8 - T_R^8 - 2T_F^8$	$\alpha_{L+R}^{-1} = \alpha_L'^{-1} + \alpha_R'^{-1}$ $\alpha_S^{-1} = \alpha_L'^{-1} + \alpha_R'^{-1} + 4\alpha_F'^{-1}$
$\langle \tilde{\phi}^2 \rangle = f$	U(1) _{L+R} × U(1) _V	$T_V = T_F^3 - \frac{1}{2\sqrt{3}}T_S$	$\alpha_V^{-1} = \alpha_F^{-1} + \frac{1}{12}\alpha_S^{-1}$
$\langle \tilde{v}^1 \rangle = \omega$	U(1) _Y × U(1) _T	$\frac{1}{2}T_Y = T_R^3 + \frac{1}{\sqrt{3}}T_{L+R}$ $\frac{1}{6}T_T = T_R^3 - \frac{2}{3}T_V$	$\alpha_Y^{-1} = \frac{1}{3}\alpha_{L+R}^{-1} + \alpha_R^{-1}$ $\alpha_T^{-1} = \frac{4}{9}\alpha_V^{-1} + \alpha_R^{-1}$
$\langle \tilde{N}_R^{1,2} \rangle = u_{1,2}, \langle \tilde{N}_L^2 \rangle = d_2$	U(1) _{E.M.}	$T_{E.M.} = T_L^3 - \frac{1}{2}T_Y$	$\alpha_{E.M.}^{-1} = \alpha_Y^{-1} + \alpha_L^{-1}$

generators coming from the original symmetry forming a new set of U(1) generators of the unbroken symmetry. In what follows we provide a summary of the details of the abelian sector of the SHUT model including the tree-level matching conditions of the U(1) gauge couplings. These results, presented in Table 11, were used to calculate the U(1)_Y and U(1)_T renormalization group equations (5.4) and (5.5) respectively.

With the generators on the third column of Table 11 it is possible to calculate the U(1) charges of the model eigenstates after each breaking stage. We refer to the appendix of our previous work [33] for tables containing that information.

Appendix C: Effective Lagrangian below the trinification-breaking scale with tree-level matching

To complete the discussion of Sect. 3, we write in this appendix all possible interactions of the gauge SU(3) × [SU(2)]³ × [U(1)]³ theory, fourth box in Fig. 1, with the corresponding matching conditions at tree-level accuracy.

1. The scalar potential

While bilinear and trilinear interactions are of soft-SUSY breaking nature and were already discussed in Sect. 3, the quartic terms emerge from SUSY F- and D-terms. Due to a large number of possible contractions among gauge indices we separate quartic scalar interactions into five categories. First, we consider the case where all four fields posses one common SU(N) index as e.g.

$$\begin{aligned}
 V_{sc1} \supset & \lambda_{k1} \tilde{D}_{Lx'f'}^* \tilde{D}_L^{x'f'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{fl} + \lambda_{k2} \tilde{D}_{Lx'f'}^* \tilde{D}_L^{x'f'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{f'l} \\
 & + \bar{\lambda}_{k1} \tilde{D}_{Rf'}^{*x} \tilde{D}_{Rx}^{f'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{fl} + \bar{\lambda}_{k2} \tilde{D}_{Rf'}^{*x} \tilde{D}_{Rx}^{f'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{f'l} \\
 \equiv & \lambda_{k1-k2} \tilde{D}_{Lx'f'}^* \tilde{D}_L^{x'f'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{fl} + (L \rightarrow R). \tag{C1}
 \end{aligned}$$

In this example, such an index, *f*, belongs to the SU(2)_F space. SU(3)_C, SU(2)_L and SU(2)_R contractions are denoted by *x*, *l* and *r* respectively and only occur once. For ease of notation colour indices are suppressed in the condensed form whereas terms that differ by an interchange of SU(2)_L and

SU(2)_R subscripts are implicitly defined by (L → R). Note that in general $\lambda_n \neq \bar{\lambda}_n$.

The second scenario describes interactions among four scalars sharing two common gauge indices. In the following example we show a case where the common interactions are SU(2)_L and SU(2)_F where all possible contractions read

$$\begin{aligned}
 V_{sc2} \supset & \lambda_{n1} \tilde{\ell}_{L'l'}^* \tilde{\ell}_L^{f'l'} \tilde{q}_{Lx}^{*l} \tilde{q}_{Li}^{xf} + \lambda_{n2} \tilde{\ell}_{L'l'}^* \tilde{\ell}_L^{f'l'} \tilde{q}_{Lx}^{*l} \tilde{q}_{Li}^{x'f'} \\
 & + \lambda_{n3} \tilde{\ell}_{L'l'}^* \tilde{\ell}_L^{f'l'} \tilde{q}_{Lx}^{*l'} \tilde{q}_{Li}^{x'f'} + \lambda_{n4} \tilde{\ell}_{L'l'}^* \tilde{\ell}_L^{f'l'} \tilde{q}_{Lx}^{*l'} \tilde{q}_{Li}^{x'f'} \\
 & + \bar{\lambda}_{n1} \tilde{\ell}_{Rf'}^{*r'} \tilde{\ell}_{Rr'}^{f'} \tilde{q}_{Rr}^{*x} \tilde{q}_{Rx}^{rf} + \bar{\lambda}_{n2} \tilde{\ell}_{Rf'}^{*r'} \tilde{\ell}_{Rr'}^{f'} \tilde{q}_{Rr}^{*x} \tilde{q}_{Rx}^{f'l} \\
 & + \bar{\lambda}_{n3} \tilde{\ell}_{Rf'}^{*r'} \tilde{\ell}_{Rr'}^{f'} \tilde{q}_{Rr}^{*x} \tilde{q}_{Rx}^{rf} + \bar{\lambda}_{n4} \tilde{\ell}_{Rf'}^{*r'} \tilde{\ell}_{Rr'}^{f'} \tilde{q}_{Rr}^{*x} \tilde{q}_{Rx}^{f'l} \\
 \equiv & \lambda_{n1-n4} \tilde{\ell}_{L'l'}^* \tilde{\ell}_L^{f'l'} \tilde{q}_{Lx}^{*l} \tilde{q}_{Li}^{x'f'} + (L \rightarrow R). \tag{C2}
 \end{aligned}$$

The third case also considers two common indices but four identical fields. Unlike V_{sc2}, which has four independent contractions, V_{sc3} only contains two. We illustrate this class of scenarios with quartic self-interactions among $\tilde{D}_{L,R}$ squarks:

$$\begin{aligned}
 V_{sc3} \supset & \lambda_{j1} \tilde{D}_{Lx'f'}^* \tilde{D}_L^{x'f'} \tilde{D}_{Lx'f}^* \tilde{D}_L^{x'f} \\
 & + \lambda_{j2} \tilde{D}_{Lx'f'}^* \tilde{D}_L^{x'f'} \tilde{D}_{Lx'f}^* \tilde{D}_L^{x'f} \\
 & + \bar{\lambda}_{j1} \tilde{D}_{Rf'}^{*x'} \tilde{D}_{Rx}^{f'} \tilde{D}_{Rf'}^{*x} \tilde{D}_{Rx}^{f'} \\
 & + \bar{\lambda}_{j2} \tilde{D}_{Rf'}^{*x'} \tilde{D}_{Rx}^{f'} \tilde{D}_{Rf'}^{*x} \tilde{D}_{Rx}^{f'} \\
 \equiv & \lambda_{j1-j2} \tilde{D}_{Lx'f'}^* \tilde{D}_L^{x'f'} \tilde{D}_{Lx'f}^* \tilde{D}_L^{x'f} + (L \rightarrow R). \tag{C3}
 \end{aligned}$$

It is also possible to have four identical fields but sharing three common gauge indices. This is the case of the $\tilde{\chi}_r^{fl}$ tri-doublets whose quartic terms can be cast as

$$\begin{aligned}
 V_{sc4} \supset & \lambda_{m1} \tilde{\chi}_{f'l'}^{*r'} \tilde{\chi}_{r'}^{f'l'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{fl} \\
 & + \lambda_{m2} \tilde{\chi}_{f'l'}^{*r'} \tilde{\chi}_{r'}^{f'l'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{f'l} \\
 & + \lambda_{m3} \tilde{\chi}_{f'l'}^{*r'} \tilde{\chi}_{r'}^{f'l'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{f'l} \\
 & + \lambda_{m4} \tilde{\chi}_{f'l'}^{*r'} \tilde{\chi}_{r'}^{f'l'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{f'l} \\
 \equiv & \lambda_{m1-m4} \tilde{\chi}_{f'l'}^{*r'} \tilde{\chi}_{r'}^{f'l'} \tilde{\chi}_{f'l}^{*r} \tilde{\chi}_r^{fl} + (C4)
 \end{aligned}$$

Note that in this example there is no $SU(2)_L \leftrightarrow SU(2)_R$ interchange.

Finally, we refer to the case where there are no common indices among four fields or one reoccurring index with four identical fields. Such scenarios can be illustrated by

$$V_{sc5} \supset \lambda_i \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{\phi}_f^* \tilde{\phi}_f^f + \lambda_j \tilde{\phi}_{f'}^* \tilde{\phi}_{f'}^f \tilde{\phi}_f^* \tilde{\phi}_f^f, \tag{C5}$$

respectively. Note that, for ease of notation, we assume that symmetry factors are implicit in the definition of the various λ_i and λ_{i-j} . The total scalar quartic potential results from the sum of all five scenarios (C1)–(C5) and reads

$$V_4 = V_{sc1} + V_{sc2} + V_{sc3} + V_{sc4} + V_{sc5}. \tag{C6}$$

In what follows, we use the condensed notation introduced above and write all possible quartic terms. The full V_{sc1} potential interactions read

$$\begin{aligned} V_{sc1} = & \lambda_{1-2} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{q}_R^{*3r} \tilde{q}_R^3 + \lambda_{3-4} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{D}_R^{*3} \tilde{D}_R^3 \\ & + \lambda_{5-6} \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \tilde{\phi}_f^* \tilde{\phi}_f^f + \lambda_{7-8} \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} \tilde{\ell}_f^* \tilde{\ell}_f^f \\ & + \left[\lambda_{9-10} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{q}_R^{*3r} \tilde{q}_R^f + \lambda_{11-12} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{D}_R^{*3} \tilde{D}_R^f \right. \\ & + \lambda_{13-14} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{D}_L^{*3} \tilde{D}_L^f + \lambda_{15-16} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{q}_L^f \tilde{D}_L^{*3} \tilde{D}_L^3 \\ & + \lambda_{17-18} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{D}_L^{*3} \tilde{D}_L^3 + \lambda_{19-20} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{D}_R^{*3} \tilde{D}_R^f \\ & + \lambda_{21-22} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{D}_R^{*3} \tilde{D}_R^3 + \lambda_{23-24} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\chi}_r^{*3r} \tilde{\chi}_r^{3l} \\ & + \lambda_{25-26} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{D}_R^{*3} \tilde{D}_R^3 + \lambda_{27-28} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \\ & + \lambda_{29-30} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} + \lambda_{31-32} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \\ & + \lambda_{33-34} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} + \lambda_{35-36} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\ell}_L^{*3r} \tilde{\ell}_L^{3l} \\ & + \lambda_{37-38} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\ell}_L^{*3r} \tilde{\ell}_L^{3l} + \lambda_{39-40} \tilde{q}_R^{*3r} \tilde{q}_R^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^{3l} \\ & + \lambda_{41-42} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} + \lambda_{43-44} \tilde{D}_R^{*3} \tilde{D}_R^3 \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} \\ & + \lambda_{45-46} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^f + \lambda_{47-48} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^f \\ & + \lambda_{49-50} \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} + \lambda_{51-52} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} \\ & + \lambda_{53-54} \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \tilde{\ell}_L^{*3r} \tilde{\ell}_L^{3l} + \lambda_{55-56} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{\ell}_L^{*3r} \tilde{\ell}_L^{3l} \\ & + \lambda_{57-58} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{D}_L^{*3} \tilde{D}_L^f + \lambda_{59-60} \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} \tilde{\phi}_f^* \tilde{\phi}_f^f \\ & + \left(\lambda_{61-62} \tilde{\phi}_f^* \tilde{\chi}_{f'l}^{*3r} \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} + \lambda_{63-64} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} \right. \\ & + \lambda_{65-66} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{q}_L^{*3l} \tilde{q}_L^3 + \lambda_{67-68} \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} \tilde{q}_L^{*3l} \tilde{q}_L^3 \\ & + \lambda_{69-70} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\ell}_R^{*3l} \tilde{\ell}_R^{3l} + \lambda_{71-72} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^{3l} \\ & + \lambda_{73-74} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{q}_R^{*3r} \tilde{q}_R^3 + \lambda_{75-76} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^{3l} \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} + \text{c.c.} \left. \right) \\ & + (\text{L} \rightarrow \text{R})]. \tag{C7} \end{aligned}$$

For the second scenario we have

$$\begin{aligned} V_{sc2} = & \lambda_{77-80} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{q}_R^{*3r} \tilde{q}_R^f + \lambda_{81-84} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{D}_R^{*3} \tilde{D}_R^f \\ & + \lambda_{85-88} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \end{aligned}$$

$$\begin{aligned} & + \left[\lambda_{89-92} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{D}_L^{*3} \tilde{D}_L^f + \lambda_{93-96} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{D}_R^{*3} \tilde{D}_R^f \right. \\ & + \lambda_{97-100} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{q}_L^{*3l} \tilde{q}_L^f \\ & + \lambda_{101-104} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} + \lambda_{105-108} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} \\ & \left. + \lambda_{109-112} \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \tilde{\ell}_{f'l}^{*3r} \tilde{\ell}_r^{3l} + (\text{L} \rightarrow \text{R}) \right]. \tag{C8} \end{aligned}$$

For the case of four identical fields the potential reads

$$\begin{aligned} V_{sc3} = & \lambda_{113-114} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \\ & + \left[\lambda_{115-116} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{q}_L^{*3l} \tilde{q}_L^3 \right. \\ & + \lambda_{117-118} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{D}_L^{*3} \tilde{D}_L^3 \\ & \left. + \lambda_{119-120} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 + (\text{L} \rightarrow \text{R}) \right], \tag{C9} \end{aligned}$$

while for the scenario with three reoccurring indices it looks like

$$\begin{aligned} V_{sc4} = & \lambda_{121-124} \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \\ & + \left[\lambda_{125-128} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{q}_L^{*3l} \tilde{q}_L^f + (\text{L} \rightarrow \text{R}) \right]. \tag{C10} \end{aligned}$$

Finally, quartic interactions with one single contraction of group indices read

$$\begin{aligned} V_{sc5} = & \lambda_{129} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{\phi}_f^* \tilde{\phi}_f^f + \lambda_{130} \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \tilde{\phi}_f^* \tilde{\phi}_f^f \\ & + \lambda_{131} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{\phi}_f^* \tilde{\phi}_f^3 + \lambda_{132} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\ell}_R^{*3r} \tilde{\ell}_R^3 \\ & + \lambda_{133} \tilde{\phi}_f^* \tilde{\phi}_f^f \tilde{\phi}_f^* \tilde{\phi}_f^f + \lambda_{134} \tilde{\phi}_f^* \tilde{\phi}_f^f \tilde{\phi}_f^* \tilde{\phi}_f^3 \\ & + \lambda_{135} \tilde{\phi}_f^* \tilde{\phi}_f^3 \tilde{\phi}_f^* \tilde{\phi}_f^3 + \left(\lambda_{136} \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \tilde{\phi}_f^* \tilde{\phi}_f^3 \right. \\ & + \lambda_{137} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\ell}_R^{*3r} \tilde{\ell}_R^3 + \lambda_{161} \tilde{\phi}_f^* \tilde{\chi}_r^{3l} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 + \text{c.c.} \left. \right) \\ & + \left[\lambda_{138} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{D}_L^{*3} \tilde{D}_L^3 + \lambda_{139} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\chi}_{f'l}^{*3r} \tilde{\chi}_r^{3l} \right. \\ & + \lambda_{140} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} + \lambda_{141} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\chi}_l^{*3r} \tilde{\chi}_r^{3l} \\ & + \lambda_{142} \tilde{q}_R^{*3r} \tilde{q}_R^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 + \lambda_{143} \tilde{q}_R^{*3r} \tilde{q}_R^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \\ & + \lambda_{144} \tilde{q}_R^{*3r} \tilde{q}_R^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 + \lambda_{145} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \\ & + \lambda_{146} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \\ & + \lambda_{147} \tilde{D}_R^{*3} \tilde{D}_R^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 + \lambda_{148} \tilde{D}_R^{*3} \tilde{D}_R^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \\ & + \lambda_{149} \tilde{D}_R^{*3} \tilde{D}_R^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 + \lambda_{150} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^f \\ & + \lambda_{151} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^3 + \lambda_{152} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^3 \\ & + \lambda_{153} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^f + \lambda_{154} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^3 \\ & + \lambda_{155} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^3 + \lambda_{156} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \\ & + \lambda_{157} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\ell}_R^{*3r} \tilde{\ell}_R^3 + \lambda_{158} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^f \\ & + \lambda_{159} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^3 + \lambda_{160} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^3 \\ & + \lambda_{162} \tilde{D}_L^{*3} \tilde{D}_L^3 \tilde{D}_R^{*3} \tilde{D}_R^f + \lambda_{163} \tilde{q}_L^{*3l} \tilde{q}_L^3 \tilde{q}_R^{*3r} \tilde{q}_R^f \\ & + \left(\lambda_{164} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\phi}_f^* \tilde{\phi}_f^3 + \lambda_{165} \tilde{\ell}_L^{*3l} \tilde{\ell}_L^3 \tilde{\chi}_r^{3l} \tilde{\ell}_R^{3l} \right. \end{aligned}$$

Table 12 Scalar quartic couplings matching conditions with five or six D-term interactions. The $\bar{\lambda}_i$ couplings refer to the (L → R) part of V_4

Matching value	Quartic coupling
$-\frac{1}{24} (3g_L^2 + 3g_R^2 + 3g_F^2 - g_L'^2 - g_R'^2 - g_F'^2)$	λ_{121}
$-\frac{1}{24} (2g_C^2 + 3g_L^2 + 3g_F^2 - g_L'^2 - g_F'^2)$	λ_{125}
$-\frac{1}{24} (2g_C^2 + 3g_R^2 + 3g_F^2 - g_R'^2 - g_F'^2)$	$\bar{\lambda}_{125}$
$-\frac{1}{24} (3g_L^2 + 3g_R^2 - g_L'^2 - g_R'^2 + 2g_F'^2)$	λ_{85}
$-\frac{1}{24} (3g_L^2 + 3g_R^2 - g_L'^2 - g_R'^2 - 4g_F'^2)$	λ_{113}

$$\begin{aligned}
 & + \lambda_{166} \tilde{\chi}_r^{f l} \tilde{\ell}_R^{* r} \tilde{\ell}_L^{* 3} \tilde{\phi}^3 + \lambda_{167} \tilde{\ell}_L^{* f} \tilde{\ell}_L^{* 3 l} \tilde{D}_L^{* 3} \tilde{D}_L^f \\
 & + \lambda_{168} \tilde{\phi}^{* 3} \tilde{\phi}^f \tilde{D}_L^* \tilde{D}_L^f \tilde{D}_L^3 + \lambda_{169} \tilde{D}_L^* \tilde{D}_L^f \tilde{D}_L^{* 3} \tilde{q}_L^{3 l} \tilde{q}_L^f \\
 & + \lambda_{170} \tilde{D}_L^{* 3} \tilde{q}_L^{* 3} \tilde{\ell}_R^{* r} \tilde{\chi}_r^{f l} + \lambda_{171} \tilde{D}_L^{* 3} \tilde{q}_L^f \tilde{\ell}_R^{* r} \tilde{\chi}_r^{3 l} \\
 & + \lambda_{172} \tilde{D}_L^{* 3} \tilde{q}_L^{* 3} \tilde{\ell}_R^{* 3 r} \tilde{\chi}_r^{3 l} + \lambda_{173} \tilde{D}_L^{* 3} \tilde{q}_L^{* 3} \tilde{\ell}_L^{* 3 l} \tilde{\phi}^f \\
 & + \lambda_{174} \tilde{D}_L^{* 3} \tilde{q}_L^f \tilde{\ell}_L^{* 3 l} \tilde{\phi}^f + \lambda_{175} \tilde{D}_L^{* 3} \tilde{q}_L^{* 3} \tilde{\ell}_L^{* 3 l} \tilde{\phi}^{* 3} \\
 & + \lambda_{176} \tilde{D}_L^{* 3} \tilde{D}_L^f \tilde{\ell}_R^{* r} \tilde{\ell}_R^{* 3 r} + \lambda_{177} \tilde{D}_L^* \tilde{q}_L^{* 3} \tilde{\ell}_R^{* 3 r} \tilde{\chi}_r^{f l} \\
 & + \lambda_{178} \tilde{D}_L^* \tilde{q}_L^f \tilde{\ell}_R^{* 3 r} \tilde{\chi}_r^{3 l} + \lambda_{179} \tilde{D}_L^* \tilde{q}_L^{* 3} \tilde{\ell}_L^{* 3 l} \tilde{\phi}^{* 3} \\
 & + \lambda_{180} \tilde{D}_L^* \tilde{q}_L^f \tilde{\ell}_L^{* 3 l} \tilde{\phi}^{* 3} + \lambda_{181} \tilde{\chi}_l^{* 3 r} \tilde{\chi}_r^{f l} \tilde{D}_L^* \tilde{D}_L^3 \\
 & + \lambda_{182} \tilde{\phi}^{* 3} \tilde{\phi}^f \tilde{q}_L^{* 3} \tilde{q}_L^f + \lambda_{183} \tilde{D}_L^{* 3} \tilde{D}_L^f \tilde{\ell}_L^{* 3 l} \tilde{\ell}_L^{* 3 l} \\
 & + \lambda_{184} \tilde{\ell}_L^{* 3} \tilde{\ell}_L^{* 3 l} \tilde{q}_R^{* 3} \tilde{q}_R^f + \text{c.c.} \Big] + (\text{L} \rightarrow \text{R}) \Big]. \quad (\text{C11})
 \end{aligned}$$

Tree-level matching conditions for quartic couplings are obtained by solving the condition

$$V_4 = V_{\text{SUSY}} \tag{C12}$$

where $V_{\text{SUSY}} = V_F + V_D$. While V_F refers to the F-term potential determined by the superpotential (2.24), V_D describes the scalar D-term interactions. For example, if we take

$$\begin{aligned}
 (-F_{q_L^3}^*)^l_x &= \frac{\partial W}{\partial \tilde{q}_L^3} \\
 &= \mathcal{Y}_1 \varepsilon_{ij} \left(\tilde{\chi}_r^{i l} \tilde{q}_R^{j r} + \tilde{\ell}_L^{i l} \tilde{D}_R^j \right) \quad (\text{C13})
 \end{aligned}$$

the part of the scalar potential corresponding to these F-terms read

$$\begin{aligned}
 V_{\text{SUSY}} \supset & \left| F_{q_L^3} \right|^2 \\
 &= |\mathcal{Y}_1|^2 \varepsilon_{ij} \varepsilon^{km} \\
 & \times \left[\tilde{\chi}_{k l}^{* r} \tilde{\chi}_r^{i l} \tilde{q}_{R m}^{* x} \tilde{q}_{R x}^{j r} + \tilde{\ell}_{L k l}^{* i} \tilde{\ell}_L^{i l} \tilde{D}_{R m}^{* x} \tilde{D}_{R x}^j \right. \\
 & \left. + \left(\tilde{\chi}_r^{i l} \tilde{q}_{R x}^{j r} \tilde{\ell}_{L k l}^{* i} \tilde{D}_{R m}^{* x} + \text{c.c.} \right) \right]
 \end{aligned}$$

Table 13 Scalar quartic couplings matching conditions with four D-term interactions. The $\bar{\lambda}_i$ couplings refer to the (L → R) part of V_4

Matching value	Quartic coupling
$\frac{1}{24} (3g_F^2 + 4g_L'^2 + 4g_R'^2 + g_F'^2)$	λ_{133}
$-\frac{1}{24} (2g_C^2 + 3g_L^2 - g_L'^2 + 2g_F'^2)$	λ_{97}
$-\frac{1}{24} (2g_C^2 + 3g_L^2 - g_L'^2 - 4g_F'^2)$	λ_{115}
$-\frac{1}{24} (2g_C^2 + 3g_R^2 - g_R'^2 - 4g_F'^2)$	$\bar{\lambda}_{115}$
$-\frac{1}{24} (2g_C^2 + 3g_F^2 - 4g_L'^2 - g_F'^2)$	λ_{117}
$-\frac{1}{24} (2g_C^2 + 3g_F^2 - 4g_R'^2 - g_F'^2)$	$\bar{\lambda}_{117}$
$-\frac{1}{24} (2g_C^2 + 3g_R^2 - g_R'^2 + 2g_F'^2)$	$\bar{\lambda}_{97}$
$-\frac{1}{24} (2g_C^2 + 3g_F^2 + 2g_L'^2 - g_F'^2)$	λ_{89}
$-\frac{1}{24} (2g_C^2 + 3g_F^2 + 2g_R'^2 - g_F'^2)$	$\bar{\lambda}_{89}$
$\frac{1}{24} (3g_L^2 - 3g_F^2 - g_L'^2 + g_F'^2)$	$\lambda_{101}, \lambda_{105}$
$\frac{1}{24} (3g_R^2 - 3g_F^2 - g_R'^2 + g_F'^2)$	$\bar{\lambda}_{101}, \bar{\lambda}_{105}$
$-\frac{1}{24} (3g_L^2 + 3g_F^2 - g_L'^2 - g_F'^2)$	$\lambda_{109}, \lambda_{119}$
$-\frac{1}{24} (3g_R^2 + 3g_F^2 - g_R'^2 - g_F'^2)$	$\bar{\lambda}_{109}, \bar{\lambda}_{119}$
$-\frac{1}{24} (3g_L^2 - g_L'^2 + 2g_R'^2 + 2g_F'^2)$	$\lambda_{49}, \lambda_{53}$
$-\frac{1}{24} (3g_R^2 - g_R'^2 + 2g_L'^2 + 2g_F'^2)$	$\bar{\lambda}_{49}, \bar{\lambda}_{53}$
$-\frac{1}{24} (3g_L^2 - g_L'^2 + 2g_R'^2 - 4g_F'^2)$	λ_{55}
$-\frac{1}{24} (3g_R^2 - g_R'^2 + 2g_L'^2 - 4g_F'^2)$	$\bar{\lambda}_{55}$
$-\frac{1}{24} (3g_F^2 - 2g_F'^2 + 2g_L'^2 + 2g_R'^2)$	λ_5, λ_7
$-\frac{1}{24} (3g_F^2 - g_F'^2 + 2g_L'^2 - 4g_R'^2)$	λ_{59}
$-\frac{1}{24} (3g_F^2 - g_F'^2 - 4g_L'^2 + 2g_R'^2)$	$\bar{\lambda}_{59}$
$-\frac{1}{24} (3g_L^2 - g_L'^2 - 4g_R'^2 + 2g_F'^2)$	λ_{75}
$-\frac{1}{24} (3g_R^2 - g_R'^2 - 4g_L'^2 + 2g_F'^2)$	$\bar{\lambda}_{75}$
$\frac{1}{24} (3g_L^2 + g_L'^2 + 4g_R'^2 + 4g_F'^2)$	λ_{156}
$\frac{1}{24} (3g_R^2 + g_R'^2 + 4g_L'^2 + 4g_F'^2)$	$\bar{\lambda}_{156}$

$$\begin{aligned}
 &= |\mathcal{Y}_1|^2 \left[\tilde{\chi}_{i l}^{* r} \tilde{\chi}_r^{i l} \tilde{q}_{R j r}^{* x} \tilde{q}_{R x}^{j r} - \tilde{\chi}_{j l}^{* r} \tilde{\chi}_r^{i l} \tilde{q}_{R i r}^{* x} \tilde{q}_{R x}^{j r} \right. \\
 & + \tilde{\ell}_{L i l}^{* i} \tilde{\ell}_L^{i l} \tilde{D}_{R j}^{* x} \tilde{D}_{R x}^j - \tilde{\ell}_{L j l}^{* i} \tilde{\ell}_L^{i l} \tilde{D}_{R i}^{* x} \tilde{D}_{R x}^j \\
 & \left. + \left(\tilde{\chi}_r^{i l} \tilde{q}_{R x}^{j r} \tilde{\ell}_{L i l}^{* i} \tilde{D}_{R j}^{* x} - \tilde{\chi}_r^{i l} \tilde{q}_{R x}^{j r} \tilde{\ell}_{L j l}^{* i} \tilde{D}_{R i}^{* x} + \text{c.c.} \right) \right] \quad (\text{C14})
 \end{aligned}$$

from where we see that $\lambda_{43}, \bar{\lambda}_{69}$ and $\bar{\lambda}_{103}$ have a common F-term contribution equal to $|\mathcal{Y}_1|^2$ while for $\lambda_{44}, \bar{\lambda}_{70}$ and $\bar{\lambda}_{104}$ it is $-|\mathcal{Y}_1|^2$. On the other hand, D-term contributions can be generically determined based on $SU(N)$ generators proper-

Table 14 Scalar quartic couplings matching conditions with three D-term interactions. The $\bar{\lambda}_i$ couplings refer to the (L → R) part of V_4

Matching value	Quartic coupling
$\frac{1}{24} (2g_C^2 - 3g_F^2 + g'_F{}^2)$	$\lambda_{77}, \lambda_{81}, \lambda_{93}, \bar{\lambda}_{93}$
$-\frac{1}{12} (g_C^2 + g'_L{}^2 + g'_F{}^2)$	$\lambda_{13}, \lambda_{15}, -\frac{1}{2}\lambda_{138}$
$-\frac{1}{12} (g_C^2 + g'_R{}^2 + g'_F{}^2)$	$\bar{\lambda}_{13}, \bar{\lambda}_{15}, -\frac{1}{2}\bar{\lambda}_{138}$
$-\frac{1}{12} (g_C^2 - 2g'_L{}^2 + g'_F{}^2)$	λ_{57}
$-\frac{1}{12} (g_C^2 - 2g'_R{}^2 + g'_F{}^2)$	$\bar{\lambda}_{57}$
$-\frac{1}{12} (g_C^2 + g'_L{}^2 - 2g'_F{}^2)$	λ_{17}
$-\frac{1}{12} (g_C^2 + g'_R{}^2 - 2g'_F{}^2)$	$\bar{\lambda}_{17}$
$\frac{1}{24} (3g'_L{}^2 - g'_L{}^2 - 2g'_F{}^2)$	$\lambda_{23}, \lambda_{27}, \lambda_{33}, \lambda_{35}$
$\frac{1}{24} (3g'_R{}^2 - g'_R{}^2 + 2g'_F{}^2)$	$\bar{\lambda}_{23}, \bar{\lambda}_{27}, \bar{\lambda}_{33}, \bar{\lambda}_{35}$
$-\frac{1}{24} (3g_F^2 - g'_F{}^2 - 2g'_L{}^2)$	$\lambda_{31}, \bar{\lambda}_{39}, \lambda_{41}, \lambda_{45}, \lambda_{51}$
$-\frac{1}{24} (3g_F^2 - g'_F{}^2 - 2g'_R{}^2)$	$\bar{\lambda}_{31}, \lambda_{39}, \bar{\lambda}_{41}, \bar{\lambda}_{45}, \bar{\lambda}_{51}$
$ \mathcal{D}2 ^2 - \frac{1}{24} (3g_F^2 - g'_F{}^2 + 4g'_L{}^2)$	$\bar{\lambda}_{43}, \lambda_{47}$
$ \mathcal{D}1 ^2 - \frac{1}{24} (3g_F^2 - g'_F{}^2 + 4g'_R{}^2)$	$\lambda_{43}, \bar{\lambda}_{47}$
$\frac{1}{24} (3g'_L{}^2 - g'_L{}^2 + 4g'_F{}^2)$	$\lambda_{29}, \lambda_{37}$
$\frac{1}{24} (3g'_R{}^2 - g'_R{}^2 + 4g'_F{}^2)$	$\bar{\lambda}_{29}, \bar{\lambda}_{37}$
$-\frac{1}{12} (g'_L{}^2 + g'_R{}^2 + g'_F{}^2)$	$\lambda_{129}, \lambda_{130}, -\frac{1}{2}\lambda_{135}, \lambda_{157}, \bar{\lambda}_{157}$
$-\frac{1}{12} (g'_L{}^2 + g'_R{}^2 - 2g'_F{}^2)$	$\lambda_{131}, \lambda_{132}$
$\frac{1}{12} (2g'_L{}^2 + 2g'_R{}^2 - g'_F{}^2)$	λ_{134}
$\frac{1}{12} (2g'_R{}^2 - g'_L{}^2 - g'_F{}^2)$	$\lambda_{158}, \lambda_{159}$
$\frac{1}{12} (2g'_L{}^2 - g'_R{}^2 - g'_F{}^2)$	$\bar{\lambda}_{158}, \bar{\lambda}_{159}$
$\frac{1}{12} (2g'_R{}^2 - g'_L{}^2 - 2g'_F{}^2)$	λ_{160}
$\frac{1}{12} (2g'_L{}^2 - g'_R{}^2 - 2g'_F{}^2)$	$\bar{\lambda}_{160}$

ties as well as on the U(1) factors of each representation. For example, a generic D-term expansion for two fundamental $SU(N)_A$ scalar representations A^i and B^i reads

$$\begin{aligned}
 V_D &\supset \frac{g_A^2}{2} (T^a)_j^i (T^a)_l^k A_i^* A^j B_k^* B^l \\
 &= \frac{g_A^2}{4} \left(\delta_l^i \delta_j^k - \frac{1}{N} \delta_j^i \delta_l^k \right) A_i^* A^j B_k^* B^l \\
 &= \frac{g_A^2}{4} (A_i^* B^i) (A^j B_j^*) \\
 &\quad - \frac{g_A^2}{4N} (A_i^* A^i) (B_k^* B^k). \tag{C15}
 \end{aligned}$$

The same results applies if A and B are both anti-fundamental. However, for the case where either A is fundamental and B anti-fundamental, or vice-versa, a global -1 factor stemming from the anti-fundamental generators must multiply (C15). Finally, for $U(1)_A$ D-terms, we recall that abelian charges are determined from the branching of $SU(3)_A$ triplets and anti-triplets down to its $SU(2)_A \times U(1)_A$ subgroup as

$$\mathbf{3} \rightarrow \mathbf{2}_1 \oplus \mathbf{1}_{-2} \quad \text{and} \quad \bar{\mathbf{3}} \rightarrow \bar{\mathbf{2}}_{-1} \oplus \mathbf{1}_2. \tag{C16}$$

With a charge-normalization factor of $\frac{1}{2\sqrt{3}}$ the abelian D-terms read

$$V_D \supset \frac{g_A'^2}{24} (A_i^* A^i) (B_k^* B^k) \tag{C17}$$

if A and B are both either fundamental or anti-fundamental doublets;

$$V_D \supset -\frac{g_A'^2}{24} (A_i^* A^i) (B_k^* B^k) \tag{C18}$$

if A is fundamental and B anti-fundamental or vice-versa;

$$V_D \supset \frac{g_A'^2}{6} (A_i^* A^i) (B_k^* B^k) \tag{C19}$$

if A and B are singlets embedded both in either triplets or anti-triplets of $SU(3)_A$;

$$V_D \supset -\frac{g_A'^2}{6} (A_i^* A^i) (B_k^* B^k) \tag{C20}$$

if A and B are both singlets but one belongs to a triplet whereas the other to an anti-triplet;

$$V_D \supset \frac{g_A'^2}{12} (A_i^* A^i) (B_k^* B^k) \tag{C21}$$

if A is a doublet and B a singlet, or vice-versa, with one belonging to a $SU(3)_A$ triplet whereas the other to an anti-triplet;

$$V_D \supset -\frac{g_A'^2}{12} (A_i^* A^i) (B_k^* B^k) \tag{C22}$$

if A is a doublet and B a singlet, or vice-versa, with both embedded in either a triplet or an anti-triplet.

Using the method described above we have determined the tree-level matching conditions for V_4 showing the results in Tables 12, 13, 14, 15 and 16.

Table 15 Scalar quartic couplings matching conditions with two D-term interactions. The $\bar{\lambda}_i$ couplings refer to the (L → R) part of V_4

Matching value	Quartic coupling
$\frac{1}{4}(g_L^2 + g_R^2)$	λ_{114}
$\frac{1}{4}(g_C^2 + g_L^2)$	λ_{116}
$\frac{1}{4}(g_C^2 + g_R^2)$	$\bar{\lambda}_{116}$
$\frac{1}{4}(g_C^2 + g_F^2)$	$\lambda_{118}, \bar{\lambda}_{118}$
$\frac{1}{4}(g_L^2 + g_F^2)$	λ_{120}
$\frac{1}{4}(g_R^2 + g_F^2)$	$\bar{\lambda}_{120}$
$\frac{1}{12}(g_C^2 + 2g_F'^2)$	$\lambda_1, \lambda_3, \lambda_{25}, \bar{\lambda}_{25}$
$\frac{1}{12}(g_C^2 - g_F'^2)$	$\lambda_9, \bar{\lambda}_9, \lambda_{11}, \bar{\lambda}_{11}, \lambda_{19}, \bar{\lambda}_{19}, \lambda_{21}, \bar{\lambda}_{21}$
$\frac{1}{12}(2g_L'^2 - g_F'^2)$	$\lambda_{139}, 2\lambda_{140}$
$\frac{1}{12}(2g_R'^2 - g_F'^2)$	$\bar{\lambda}_{139}, 2\bar{\lambda}_{140}$
$\frac{1}{12}(g_L'^2 + 2g_F'^2)$	$\lambda_{140}, \bar{\lambda}_{144}, \lambda_{146}, \lambda_{152}$
$\frac{1}{12}(g_R'^2 + 2g_F'^2)$	$\bar{\lambda}_{140}, \lambda_{144}, \bar{\lambda}_{146}, \bar{\lambda}_{152}$
$\frac{1}{12}(g_L'^2 - g_F'^2)$	$\bar{\lambda}_{142}, \bar{\lambda}_{143}, \lambda_{145}, -\frac{1}{2}\bar{\lambda}_{149}, \lambda_{150}, \lambda_{151}, -\frac{1}{2}\lambda_{155}$
$\frac{1}{12}(g_R'^2 - g_F'^2)$	$\lambda_{142}, \lambda_{143}, \bar{\lambda}_{145}, -\frac{1}{2}\lambda_{149}, \bar{\lambda}_{150}, \bar{\lambda}_{151}, -\frac{1}{2}\bar{\lambda}_{155}$
$ \mathcal{Y}_2 ^2 - \frac{1}{12}(2g_R'^2 + g_F'^2)$	$\lambda_{147}, \lambda_{148}, \bar{\lambda}_{153}, \lambda_{154}$
$ \mathcal{Y}_2 ^2 - \frac{1}{12}(2g_L'^2 + g_F'^2)$	$\bar{\lambda}_{148}, \bar{\lambda}_{154}$
$ \mathcal{Y}_1 ^2 - \frac{1}{12}(2g_L'^2 + g_F'^2)$	$\bar{\lambda}_{147}, \lambda_{153}$

2. The effective Yukawa Lagrangian

In addition to fundamental-chiral fermions, which were broadly discussed in Sect. 4, the SHUT model also contains fermionic states coming from the chiral-adjoint and gaugino sectors. We refer to our previous work [33] for thorough details. In this appendix we preserve the original notation which we recall in what follows: Soft-scale weak-singlet fermions embedded in $SU(3)_L, SU(3)_R$ and $SU(2)_F \times U(1)_F$ are denoted as $S_{L,R,F}$ respectively. All doublets acquire D-term masses receiving T-GUT values thus not included below the soft scale. Triplet fermions are denoted as $T_{L,R,F}$ and finally, $SU(3)_C$ octets, which are mostly gluino-like, are identified as g^a . The effective Lagrangian contains both quadratic and Yukawa interactions which we cast as

$$\mathcal{L}_{\text{fermi}} = \mathcal{L}_M + \mathcal{L}_{\text{Yuk}} \tag{C23}$$

For the mass terms we have

$$\begin{aligned} \mathcal{L}_M = & \sum_{A=L,R,F} \left[\frac{1}{2} m_{S_A} S_A S_A + \frac{1}{2} m_{T_A} T_A^i T_A^i \right] \\ & + \frac{1}{2} m_g g^a g^a + \sum_{A \neq A'} m_{AA'} S_A S_{A'} + \text{c.c.}, \end{aligned} \tag{C24}$$

with $A, A' = L, R, F$, while for the Yukawa ones we write for convenience,

$$\mathcal{L}_{\text{Yuk}} = \mathcal{L}_{3c} + \mathcal{L}_{2c} + \mathcal{L}_{1c} + \mathcal{L}_S + \mathcal{L}_T + \mathcal{L}_{\tilde{g}}, \tag{C25}$$

where the first three terms, which involve only the fields from the fundamental representations of the trinification group, denote three, two and one $SU(2)$ contractions, respectively, whereas the last ones describe the Yukawa interactions of the singlet S , triplet T and octet g fermions. Similarly to the scalar potential, whenever we have (L → R) the Yukawa couplings should be identified as $y_i \rightarrow \bar{y}_i$. The terms with three $SU(2)$ contractions are given by

$$\begin{aligned} \mathcal{L}_{3c} = & \varepsilon_{ff'} \left(y_1 q_R^{f'r} \tilde{\chi}_r^{3l} q_L^{f'l} \right. \\ & + \left[y_2 \tilde{q}_R^{3r} \chi_r^{f'l} q_L^{f'l} + y_3 \tilde{q}_R^{f'r} \chi_r^{3l} q_L^{f'l} \right. \\ & \left. \left. + y_4 q_R^{3r} \tilde{\chi}_r^{f'l} q_L^{f'l} + (\text{L} \rightarrow \text{R}) \right] + \text{c.c.} \right), \end{aligned} \tag{C26}$$

those with two $SU(2)$ contractions are written as

$$\begin{aligned} \mathcal{L}_{2c} = & \varepsilon_{ff'} \left[y_5 \tilde{D}_R^3 q_L^f \ell_L^{f'l} + y_6 \tilde{D}_R^f q_L^f \ell_L^{3l} + y_7 \tilde{D}_R^f q_L^3 \ell_L^{f'l} \right] \end{aligned}$$

Table 16 Scalar quartic couplings matching conditions with one or zero D-term interactions. The $\bar{\lambda}_i$ couplings refer to the (L → R) part of V_4

Matching value	Quartic coupling
$ \mathcal{Y}_1 ^2$	$\bar{\lambda}_{69}, -\bar{\lambda}_{70}, -\bar{\lambda}_{71}, \bar{\lambda}_{72}, -\bar{\lambda}_{104}, -\bar{\lambda}_{108}, \lambda_{170}, \lambda_{173}$
$ \mathcal{Y}_2 ^2$	$-\bar{\lambda}_{66}, -\bar{\lambda}_{68}, \lambda_{69}, -\lambda_{70}, -\lambda_{71}, \lambda_{72}, -\lambda_{80}, -\lambda_{84},$ $-\lambda_{96}, -\bar{\lambda}_{96}, -\lambda_{104}, -\lambda_{108}, -\bar{\lambda}_{168}, \bar{\lambda}_{170}, -\bar{\lambda}_{171}, \bar{\lambda}_{173}$ $-\bar{\lambda}_{174}, -\bar{\lambda}_{176}, -\bar{\lambda}_{177}, \lambda_{178}, \bar{\lambda}_{178}, -\bar{\lambda}_{179}, \lambda_{180}, \bar{\lambda}_{180}$
$\mathcal{Y}_1 \mathcal{Y}_2^*$	$\lambda_{66}, \lambda_{68}^*, -\lambda_{74}, -\bar{\lambda}_{74}^*, -\lambda_{162}, -\lambda_{163},$ $\lambda_{168}, \lambda_{171}^*, \lambda_{174}^*, \lambda_{176}^*, \lambda_{177}, \lambda_{179}$
$ \mathcal{Y}_1 ^2 - \frac{1}{4}g_C^2$	$\lambda_{10}, \lambda_{12}, \lambda_{20}, \bar{\lambda}_{22}$
$ \mathcal{Y}_2 ^2 - \frac{1}{4}g_C^2$	$\bar{\lambda}_{10}, \bar{\lambda}_{12}, \bar{\lambda}_{20}, \lambda_{22}, \lambda_{79}, \lambda_{83}, \lambda_{95}, \bar{\lambda}_{95}$
$ \mathcal{Y}_2 ^2 - \frac{1}{4}g_L^2$	$\lambda_{24}, \lambda_{28}, \lambda_{34}, \lambda_{36}, \lambda_{103}, \lambda_{107}$
$ \mathcal{Y}_1 ^2 - \frac{1}{4}g_R^2$	$\bar{\lambda}_{103}, \bar{\lambda}_{107}$
$ \mathcal{Y}_2 ^2 - \frac{1}{4}g_R^2$	$\bar{\lambda}_{24}, \bar{\lambda}_{28}, \bar{\lambda}_{34}, \bar{\lambda}_{36}$
$ \mathcal{Y}_1 ^2 - \frac{1}{4}g_F^2$	$-\lambda_{44}, -\bar{\lambda}_{48}$
$ \mathcal{Y}_2 ^2 - \frac{1}{4}g_F^2$	$-\bar{\lambda}_{44}, -\lambda_{48}$
$\frac{1}{4}g_C^2$	$-\lambda_2, -\lambda_4, \lambda_{14}, \bar{\lambda}_{14}, \lambda_{16}, \bar{\lambda}_{16}, -\lambda_{18}, -\bar{\lambda}_{18},$ $-\lambda_{26}, -\bar{\lambda}_{26}, \lambda_{58}, \bar{\lambda}_{58}, \lambda_{91}, \bar{\lambda}_{91}, \lambda_{98}, \bar{\lambda}_{98}, \lambda_{126}, \bar{\lambda}_{126}$
$\frac{1}{4}g_L^2$	$-\lambda_{30}, -\lambda_{38}, \lambda_{50}, \bar{\lambda}_{54}, \lambda_{56}, \lambda_{76}, \lambda_{86}, \lambda_{99},$ $\lambda_{110}, \lambda_{122}, \lambda_{127}$
$\frac{1}{4}g_R^2$	$-\bar{\lambda}_{30}, -\bar{\lambda}_{38}, \bar{\lambda}_{50}, \bar{\lambda}_{54}, \bar{\lambda}_{56}, \bar{\lambda}_{76}, \lambda_{87}, \bar{\lambda}_{99},$ $\bar{\lambda}_{110}, \lambda_{123}, \bar{\lambda}_{127}$
$\frac{1}{4}g_F^2$	$\lambda_6, \lambda_8, \lambda_{32}, \bar{\lambda}_{32}, \lambda_{40}, \bar{\lambda}_{40}, \lambda_{42}, \bar{\lambda}_{42},$ $\lambda_{46}, \bar{\lambda}_{46}, \lambda_{52}, \bar{\lambda}_{52}, \lambda_{60}, \bar{\lambda}_{60}, \lambda_{78}, \lambda_{82},$ $\lambda_{90}, \bar{\lambda}_{90}, \lambda_{94}, \bar{\lambda}_{94}, \lambda_{102}, \bar{\lambda}_{102}, \lambda_{106}, \bar{\lambda}_{106}, \lambda_{111}, \bar{\lambda}_{111},$ $\lambda_{124}, \lambda_{128}, \bar{\lambda}_{128}$
0	$\lambda_{61}, \lambda_{62}, \lambda_{63}, \bar{\lambda}_{63}, \lambda_{64}, \bar{\lambda}_{64}, \lambda_{65}, \bar{\lambda}_{65},$ $\lambda_{67}, \bar{\lambda}_{67}, \lambda_{73}, \bar{\lambda}_{73}, \lambda_{88}, \lambda_{92}, \bar{\lambda}_{92},$ $\lambda_{100}, \bar{\lambda}_{100}, \lambda_{112}, \bar{\lambda}_{112}, \lambda_{136}, \lambda_{137}, \lambda_{161}, \lambda_{164}, \bar{\lambda}_{164},$ $\lambda_{165}, \bar{\lambda}_{165}, \lambda_{166}, \bar{\lambda}_{166}, \lambda_{167}, \bar{\lambda}_{167}, \lambda_{169}, \bar{\lambda}_{169},$ $\lambda_{172}, \bar{\lambda}_{172}, \lambda_{175}, \bar{\lambda}_{175}, \lambda_{181}, \bar{\lambda}_{181}, \lambda_{182}, \bar{\lambda}_{182},$ $\lambda_{183}, \bar{\lambda}_{183}, \lambda_{184}, \bar{\lambda}_{184}$

Table 17 Yukawa couplings matching conditions. The \bar{y}_i couplings refer to the (L → R) part of $\mathcal{L}_{\text{fermi}}$

Matching value	Yukawa coupling
\mathcal{Y}_1	$\bar{y}_2, \bar{y}_5, y_7, \bar{y}_8, y_{10}, \bar{y}_{11}, y_{13}, y_{16}$
\mathcal{Y}_2	$y_1, -y_2, y_3, -y_4, -y_5, y_6, \bar{y}_6, -\bar{y}_7, -\bar{y}_8, y_9, \bar{y}_9, -\bar{y}_{10},$ $-y_{11}, y_{12}, \bar{y}_{12}, \bar{y}_{13}, y_{14}, -y_{15}, y_{17}, -y_{18}$
$\sqrt{2}g'_L$	$y_{19}^L, y_{20}^L, -\frac{1}{2}y_{21}^L, -\frac{1}{2}y_{22}^L, -y_{23}^L, \frac{1}{2}\bar{y}_{23}^L, -y_{24}^L, \frac{1}{2}\bar{y}_{24}^L, -y_{25}^L, -y_{26}^L, \frac{1}{2}y_{27}^L, \frac{1}{2}y_{28}^L$
$\sqrt{2}g'_R$	$-\bar{y}_{19}^R, -\bar{y}_{20}^R, \frac{1}{2}\bar{y}_{21}^R, \frac{1}{2}\bar{y}_{22}^R, \bar{y}_{23}^R, -\frac{1}{2}\bar{y}_{23}^R, \bar{y}_{24}^R, -\frac{1}{2}\bar{y}_{24}^R, y_{25}^R, y_{26}^R, -\frac{1}{2}\bar{y}_{27}^R, -\frac{1}{2}\bar{y}_{28}^R$
$\sqrt{2}g'_F$	$-y_{19}^F, \frac{1}{2}y_{20}^F, -y_{21}^F, \frac{1}{2}y_{22}^F, -y_{23}^F, \frac{1}{2}y_{24}^F, -y_{25}^F, \frac{1}{2}y_{26}^F, -y_{27}^F, \frac{1}{2}y_{28}^F$
$-\sqrt{2}g_L$	y_{29} to y_{34} ,
$-\sqrt{2}g_R$	y_{35} to y_{40} ,
$-\sqrt{2}g_F$	y_{41} to y_{48} ,
$-\sqrt{2}g_C$	y_{49} to y_{52} and \bar{y}_{49} to \bar{y}_{52}

$$\begin{aligned}
 &+ y_8 D_R^3 \tilde{q}_{Ll}^f \ell_L^{f'l} + y_9 D_R^f \tilde{q}_{Ll}^{f'} \ell_L^{3l} + y_{10} D_R^f \tilde{q}_{Ll}^3 \ell_L^{f'l} \\
 &+ y_{11} D_R^3 q_{Ll}^f \tilde{\ell}_L^{f'l} + y_{12} D_R^f q_{Ll}^{f'} \tilde{\ell}_L^{3l} \\
 &+ y_{13} D_R^f q_{Ll}^3 \tilde{\ell}_L^{f'l} + (\text{L} \rightarrow \text{R}) \Big] + \text{c.c.}, \tag{C27}
 \end{aligned}$$

and for those with one SU(2) contraction we have

$$\begin{aligned}
 \mathcal{L}_{1c} = & \varepsilon_{ff'} \left(y_{14} D_R^f \tilde{\phi}^3 D_L^{f'} \right. \\
 & + \left[y_{15} \tilde{D}_R^3 \phi^f D_L^{f'} + y_{16} \tilde{D}_R^f \phi^{f'} D_L^3 + y_{17} \tilde{D}_R^f \phi^3 D_L^{f'} \right. \\
 & \left. \left. + y_{18} D_R^3 \tilde{\phi}^f D_L^{f'} + (\text{L} \rightarrow \text{R}) \right] \right) + \text{c.c.} \tag{C28}
 \end{aligned}$$

The part of the Lagrangian involving the singlets $\mathcal{S}_{L,R,F}$ reads

$$\begin{aligned}
 \mathcal{L}_S = & \sum_{A=L,R,F} \left(\left[y_{19}^A \tilde{q}_{Ll}^{*l} \mathcal{S}_A q_{Ll}^f \right. \right. \\
 & + y_{20}^A \tilde{q}_{Ll}^{*3l} \mathcal{S}_A q_{Ll}^3 + y_{21}^A \tilde{D}_{Ll}^* \mathcal{S}_A D_L^f \\
 & + y_{22}^A \tilde{D}_{Ll}^{*3} \mathcal{S}_A D_L^3 + y_{23}^A \tilde{\ell}_{Ll}^{*f} \mathcal{S}_A \ell_L^{f'l} \\
 & \left. + y_{24}^A \tilde{\ell}_{Ll}^{*3} \mathcal{S}_A \ell_L^{3l} + (\text{L} \rightarrow \text{R}) \right] \\
 & + y_{25}^A \tilde{\chi}_{fl}^{*r} \mathcal{S}_A \chi_r^{f'l} + y_{26}^A \tilde{\chi}_l^{*3r} \mathcal{S}_A \chi_r^{3l} \\
 & \left. + y_{27}^A \tilde{\phi}_f^* \mathcal{S}_A \phi^f + y_{28}^A \tilde{\phi}^{*3} \mathcal{S}_A \phi^3 \right) + \text{c.c.}, \tag{C29}
 \end{aligned}$$

where $(\text{L} \rightarrow \text{R})$ is only acting on the components of the fundamental tri-triplet superfields. For interactions involving the triplets $\mathcal{T}_{L,R,F}^i$ we have

$$\begin{aligned}
 \mathcal{L}_T = & \left(\sigma_L^i \right)_l \left(y_{29} \tilde{q}_{Ll}^{*l} \mathcal{T}_L^i q_{Ll}^f + y_{30} \tilde{q}_{Ll}^{*3l} \mathcal{T}_L^i q_{Ll}^3 \right. \\
 & + y_{31} \tilde{\chi}_{fl}^{*r} \mathcal{T}_L^i \chi_r^{f'l} + y_{32} \tilde{\chi}_l^{*3r} \mathcal{T}_L^i \chi_r^{3l} \\
 & \left. + y_{33} \tilde{\ell}_{Ll}^{*f} \mathcal{T}_L^i \ell_L^{f'l} + y_{34} \tilde{\ell}_{Ll}^{*3} \mathcal{T}_L^i \ell_L^{3l} \right) \\
 & + \left(\sigma_R^i \right)_r \left(y_{35} \tilde{q}_{Rr}^{*f} \mathcal{T}_R^i q_{Rr}^f + y_{36} \tilde{q}_{Rr}^{*3} \mathcal{T}_R^i q_{Rr}^3 \right. \\
 & + y_{37} \tilde{\chi}_{fl}^{*r} \mathcal{T}_R^i \chi_r^{f'l} + y_{38} \tilde{\chi}_l^{*3r} \mathcal{T}_R^i \chi_r^{3l} \\
 & \left. + y_{39} \tilde{\ell}_{Rr}^{*f} \mathcal{T}_R^i \ell_{Rr}^f + y_{40} \tilde{\ell}_{Rr}^{*3} \mathcal{T}_R^i \ell_{Rr}^3 \right) \\
 & + \left(\sigma_F^i \right)_{f'} \left(y_{41} \tilde{q}_{Ll}^{*l} \mathcal{T}_F^i q_{Ll}^{f'} + y_{42} \tilde{q}_{Rr}^{*f} \mathcal{T}_F^i q_{Rr}^{f'} \right. \\
 & + y_{43} \tilde{\chi}_{fl}^{*r} \mathcal{T}_F^i \chi_r^{f'l} + y_{44} \tilde{\ell}_{Ll}^{*f} \mathcal{T}_F^i \ell_L^{f'l} \\
 & + y_{45} \tilde{\ell}_{Rr}^{*f} \mathcal{T}_F^i \ell_{Rr}^f + y_{46} \tilde{D}_{Ll}^* \mathcal{T}_F^i D_L^{f'} \\
 & \left. + y_{47} \tilde{D}_{Rr}^* \mathcal{T}_F^i D_R^{f'} + y_{48} \tilde{\phi}_f^* \mathcal{T}_F^i \phi^{f'} \right) + \text{c.c.}, \tag{C30}
 \end{aligned}$$

with $\sigma_{L,R,F}^i$ the generators of the $SU(2)_{L,R,F}$ interactions and where summation over the adjoint index i is implicit. Finally, the Yukawa interactions involving gluinos are given by

$$\mathcal{L}_{\tilde{g}} = y_{49} \tilde{q}_{Ll}^{*l} \mathbf{T}^a g^a q_{Ll}^f$$

$$\begin{aligned}
 &+ y_{50} \tilde{q}_{Ll}^{*3l} \mathbf{T}^a g^a q_{Ll}^3 + y_{51} \tilde{D}_{Ll}^* \mathbf{T}^a g^a D_L^f \\
 &+ y_{52} \tilde{D}_{Ll}^{*3} \mathbf{T}^a g^a D_L^3 + (\text{L} \rightarrow \text{R}) + \text{c.c.} \tag{C31}
 \end{aligned}$$

The tree-level matching conditions for the fermion sector are summarized in Table 17.

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