

Optical properties of dynamical axion backgrounds

Jamie I. McDonald^{1,*} and Luís B. Ventura^{2,†}

¹*Physik-Department, James-Frank-Straße, Technische Universität München, 85748 Garching, Germany*

²*Departamento de Física da Universidade de Aveiro and CIDMA, Campus de Santiago, 3810-183 Aveiro, Portugal*

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We discuss spectral distortions, time delays and refraction of light in an axion or axion-plasma background. This involves solving the full set of geodesic equations associated to the system of Hamiltonian optics, allowing us to self-consistently take into account the evolution of the frequency, momentum and position of photons. We support our arguments with analytic approximations and full numerical solutions. We also describe both non-integrated and integrated versions of these effects, the latter of which depends on the whole history of the photon trajectory through the axion background. Remarkably, the introduction of a plasma enhances the sensitivity to axion-induced optical phenomena, allowing chiral refraction, integrated time-delays and integrated frequency shifts to occur at first order in the axion-photon coupling. This suggests a general enhancement of many axion-induced dispersive effects when the background refractive index is different from one.

I. INTRODUCTION

Axions remain promising candidates for beyond the Standard Model physics. The QCD axion emerges as a solution to the strong-CP problem [1–3] whilst string theory extensions of the Standard Model predicate a plethora of axion-like particles (ALPs) [4, 5]. Axions could also solve the dark matter problem [6–10]. The masses of axions can span a large range of scales, with Compton wavelengths on the order of galaxies down to table-top sizes of mm and below. As a result, the axion is the subject of many current and proposed laboratory searches [11–19].

The aim of the present work is to explore the optical properties of an axion background due to its coupling to photons

$$\mathcal{L}_{a\gamma\gamma} = -\frac{g_{a\gamma\gamma}}{4} a F_{\mu\nu} \tilde{F}^{\mu\nu}, \quad (1)$$

where $g_{a\gamma\gamma}$ is the axion-photon coupling, a is the axion field and $F_{\mu\nu}$ and $\tilde{F}_{\mu\nu} \equiv \epsilon_{\mu\nu\rho\sigma} F^{\rho\sigma}/2$ are the photon field strength and its dual, respectively. This interaction leads to non-trivial dispersion in axion backgrounds and, since it violates parity, leads to birefringence. This can generate a relative phase velocity splitting between left and right polarised light, leading to Faraday-like rotation of linearly polarised light [20–23]. Modifications to the group velocity were considered in [24] where it was suggested this could lead to time-delays for axion profiles around pulsars. It was also proposed that axion backgrounds could lead to polarisation-dependent bending of light [25]. However a more systematic analysis of photon geodesics revealed this could not happen at $\mathcal{O}(g_{a\gamma\gamma})$ [26] for a pure axion background.

In fact, the authors of ref. [26] made a very important and general observation. Whilst there are many references which deal with the propagation of light through

an axion background, using a constant term $\partial_\mu a$ in the axion-Maxwell's equations $\partial_\mu F^{\mu\nu} = g_{a\gamma\gamma} \partial_\mu a \tilde{F}^{\mu\nu}$, e.g. [20, 27], piecewise constant within discrete regions [28]) or for which its spatial gradients are neglected [25, 29, 30]: in general this is not fully realistic since axion backgrounds are dynamical, with space and time dependence. As such, photons propagating through an axion field are sensitive to the spatial and temporal variation of profile. For example, in this work, we show that an axion-background localised along the line of sight with space and time dependence leads to integrated effects, dependent on the whole history of the trajectory, including frequency shifts, time-delays and refraction. This is reminiscent¹ of the integrated and non-integrated Sachs-Wolfe effects [31].

As the axion background is both time and space dependent, frequency and momentum are no longer conserved quantities and a proper discussion of dispersion must self-consistently take into account variations of these and the position along the ray path. This can be achieved by deriving a system of Hamiltonian optics equations [32] whose solutions give the photon geodesics and capture all the necessary details about group dispersion, frequency and momentum shifts, time delays and refraction. Furthermore, these equations allow one to study general axion backgrounds without the need to assume any hierarchy between temporal and spatial gradients as would happen for non-relativistic backgrounds.

The present paper is concerned with examining these key observables within the optics equations, extending the discussion of ref. [26] by accounting for higher order effects in $g_{a\gamma\gamma}$, modifying the background refraction index by the presence of a background plasma and studying a wider class of observable effects.

First, we point out the difference between leading and higher order corrections to the photon frequency/momentum. We show that, when plasma is

* jamie.mcdonald@tum.de

† lbventura@ua.pt

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present, one can have integrated frequency shifts even for localized axion profiles which vanish at the end points of the photon trajectory. By contrast, in the absence of the plasma, at first order in $g_{a\gamma\gamma}$, there is no integrated frequency shift, consistent with [26]. Instead, the asymptotic (integrated) frequency shift in the plasma free case occurs at order $g_{a\gamma\gamma}^2$.

Second, we demonstrate polarisation-dependent group dispersion at first order in $g_{a\gamma\gamma}$ for background refractive indices different from one, $n_0 \neq 1$, provided here by plasma. We show that this leads to polarisation-dependent time delays at $\mathcal{O}(g_{a\gamma\gamma})$. We also discuss higher order group velocity corrections at $\mathcal{O}(g_{a\gamma\gamma}^2)$ and discuss these in terms of group versus signal velocities, relevant for the speed of information transmission via pulses [33].

Third, we confirm that there is no asymptotic bending of light at $\mathcal{O}(g_{a\gamma\gamma})$ when the background refractive index is equal to one, $n_0 = 1$ [26], but show that birefringent refraction *does* happen at $\mathcal{O}(g_{a\gamma\gamma})$ when $n_0 \neq 1$.

The structure of the paper is as follows. In sec. II we derive the dispersion relations for photons in a general axion background with an additional plasma component. Here we set up the geodesic equations and draw an analogy with Hamiltonian perturbation theory as an interpretation of our perturbative expansion. In secs. III and IV we study a simple 1+1 dimensional axion background, which is sufficient to illustrate frequency shifts, group dispersion and time delays. Then in V we work in 2+1 dimensions, which allows us to demonstrate refraction within a plasma at $\mathcal{O}(g_{a\gamma\gamma})$ and without a plasma at $\mathcal{O}(g_{a\gamma\gamma}^2)$. Finally in sec. VI we summarise our results and speculate on some applications and proposals for future work. We have used natural Lorentz-Heaviside units $c, \epsilon_0, \mu_0 = 1$ in this work.

Physical Motivation. The work presented here can be used as a general toolkit which, when appropriately applied and extended, offers the potential to probe the existence of axion backgrounds in a variety of ways. There are, in particular, two noteworthy optical features of axion backgrounds - firstly, that they violate parity by generating polarisation-dependent dispersion and secondly, that the observed frequency shifts, varying arrival time and refraction will undergo periodic modulation due to the oscillating axion field.

1. *Axion profiles.* There are, at least, two interesting types of axion backgrounds to which our results might be applied. One is to postulate axion dark matter, either as a virialised QCD axion background of particles, or as an ultralight scalar whose Compton wavelength is of galactic sizes [10]. Both of these will lead to birefringent signals with a period multiple of $2\pi/m_a$. Another possibility is to use a superradiant black hole background [34–36], where the axion field values can be especially high. For this case, our discussion of optical properties of *distant* sources, which rely on integrated effects, are particularly relevant.

2. *Time-delays.* Time delays from a galactic/astrophysical axion background could possibly be probed via precision pulsar timing, see e.g. [37], although here they arise through a *direct* coupling of the axion field to the photon, rather than via geometric distortions. See also [38] and [24]. Equally, a local axion background could be studied in a terrestrial timing experiment, possibly via interferometry [22].

3. *Spectral shifts.* Our analysis of integrated spectral distortions from axion backgrounds along all or part of the line of sight suggests that, for a line signal from an astrophysical source, one would observe a periodic shift in the position of the peak frequency. For a linearly polarised signal, a double image in frequency space for each polarisation would be produced, with the two peaks experiencing periodic oscillation. This could be due – as with time delays – to a dark matter background. Another possibility is to observe the spectrum of a superradiant black hole accretion disc whose emission passes through the axion background. In both cases the spectrum would be shifted periodically, with lower frequencies experiencing a greater magnitude of shifting. One could also hope to observe the simpler plasma-free spectral distortions at $\mathcal{O}(g_{a\gamma\gamma})$ in e.g. a terrestrial experiment as suggested in ref. [26] where one measures the frequency changes between emission and detection.

4. *Refraction.* Our results show that birefringent refraction, *i.e.* light-bending, can happen at $\mathcal{O}(g_{a\gamma\gamma})$ when there is a non-trivial background refractive index n_0 , here supplied by a plasma. The scenario of polarisation-dependent refraction by a superradiant black hole was considered in [25], but ruled out at leading-order in $g_{a\gamma\gamma}$ by a more detailed analysis [26] of the optics equations. However, in light of our results, it could be interesting to re-run the analysis of ref. [25] with a plasma component from an accretion disc or interstellar medium and compare the refraction angles to resolutions of upcoming and current telescopes.

II. DISPERSION IN AXION BACKGROUNDS

The term (1) leads to the following modification of Maxwell's equations

$$\nabla \cdot \mathbf{E} = \rho - g_{a\gamma\gamma} \mathbf{B} \cdot \nabla a, \quad (2)$$

$$\nabla \times \mathbf{B} - \dot{\mathbf{E}} = \mathbf{J} + g_{a\gamma\gamma} \dot{a} \mathbf{B} + g_{a\gamma\gamma} \nabla a \times \mathbf{E}, \quad (3)$$

$$\nabla \cdot \mathbf{B} = 0, \quad (4)$$

$$\dot{\mathbf{B}} + \nabla \times \mathbf{E} = 0. \quad (5)$$

where \mathbf{E} and \mathbf{B} are the electric and magnetic fields, \mathbf{J} and ρ are current and charge densities, while the dots represent time derivatives. The electromagnetic fluctuations

are related to current fluctuations via

$$\mathbf{J} = \sigma \cdot \mathbf{E}, \quad (6)$$

where σ_{ij} is a conductivity tensor. Throughout we assume an isotropic and collisionless medium for which the conductivity at a given frequency takes the form $\sigma_{ij}(\omega) = i\delta_{ij}\omega_p^2/\omega$ where $\omega_p^2 = n_{cc}e^2/m_{cc}$ is the plasma frequency-squared and n_{cc} the number density of charge carriers. This could arise, for instance, from the interstellar medium, the companion star of a black hole or perhaps an accretion disc, though the following discussion remains general. One could also keep in mind the possibility of any medium which endows the photon with a non-trivial refractive index, which achieves the same end. This may be relevant in exploring extensions of the following analysis to laboratory setups.

With some straightforward manipulations of Maxwell's equations, we obtain

$$\square \mathbf{E} + \nabla(\nabla \cdot \mathbf{E}) + \sigma \dot{\mathbf{E}} + g_{a\gamma\gamma} \frac{d}{dt} [\dot{a}\mathbf{B} + \nabla a \times \mathbf{E}] = 0, \quad (7)$$

$$\square \mathbf{B} + \sigma \dot{\mathbf{B}} - g_{a\gamma\gamma} \nabla \times [\dot{a}\mathbf{B} + \nabla a \times \mathbf{E}] = 0. \quad (8)$$

The first equation is derived by taking the time-derivative of eq. (3) and inserting (5) to eliminate $\dot{\mathbf{B}}$. The second equation follows by taking the time derivative of (5) and using (3) to eliminate $\dot{\mathbf{E}}$. Next we use the geometrical optics approximation, defined by the requirement that the photon wavelength should be smaller than the gradient scales of the axion background. This amounts, schematically, to the limit $\partial_\mu a/a \ll \partial_\mu \mathbf{E}/\mathbf{E}, \partial_\mu \mathbf{B}/\mathbf{B}$. This re-

quirement allows us to consider solutions of the form

$$\mathbf{E} = \mathbf{E}_0 e^{iS}, \quad \mathbf{B} = \mathbf{B}_0 e^{iS}, \quad (9)$$

where frequency and momentum are identified as $\omega = -\dot{S}$ and $\mathbf{k} = \nabla S$. We derive dispersion relations by neglecting further derivatives of ω, \mathbf{k} and $\mathbf{E}_0, \mathbf{B}_0$. This is the standard eikonal approximation, which leads to local dispersion relations from which optics equations are derived. With this, we can use charge conservation $\dot{\rho} + \nabla \cdot \mathbf{J} = \dot{\rho} + \sigma \nabla \cdot \mathbf{E} = 0$ to express

$$\nabla \cdot \mathbf{E} = - \left(1 - \frac{\omega_p^2}{\omega^2}\right)^{-1} g_{a\gamma\gamma} \mathbf{B} \cdot \nabla a, \quad (10)$$

and use the latter to eliminate the $\nabla(\nabla \cdot \mathbf{E})$ term in (7)

$$\square \mathbf{E} + \omega_p^2 \mathbf{E} - g_{a\gamma\gamma} \frac{(\nabla \mathbf{B}) \cdot \nabla a}{1 - \omega_p^2/\omega^2} + g_{a\gamma\gamma} [\dot{a}\dot{\mathbf{B}} + \nabla a \times \dot{\mathbf{E}}] \simeq 0, \quad (11)$$

$$\square \mathbf{B} + \omega_p^2 \mathbf{B} - g_{a\gamma\gamma} [\dot{a}\nabla \times \mathbf{B} + \nabla a(\nabla \cdot \mathbf{E}) - (\nabla a \cdot \nabla)\mathbf{E}] \simeq 0, \quad (12)$$

where we have neglected second derivatives of the axion field in accordance with the geometric optics limit described above. Upon using (9), this system can then be written as [26, 32]

$$\mathbf{M}(\omega, \mathbf{k}) \cdot (\mathbf{E}, \mathbf{B})^T = 0, \quad (13)$$

where \mathbf{M} is a matrix whose structure can be read from eqs. (11), (12). The condition (13) is equivalent to demanding that an eigenvalue of \mathbf{M} must vanish, which gives the dispersion relation of a particular mode. Explicitly, the eigenvalues of (11)-(12) involving the axion-photon coupling are given by

$$D^\pm = k^2 - \omega_p^2 \pm \frac{1}{[\omega^2 - \omega_p^2]^{1/2}} \left[\omega^2 g_{a\gamma\gamma}^2 ((k \cdot \partial a)^2 - k^2 (\partial a)^2) + \omega_p^2 g_{a\gamma\gamma}^2 (\dot{a}^2 k^2 - 2\dot{a}\omega(k \cdot \partial a) + (\partial a)^2 \omega^2) \right]^{1/2}, \quad (14)$$

where $k^\mu = (\omega, \mathbf{k})$ and $k^2 = k_\mu k^\mu$ and $\partial a \equiv \partial_\mu a = (\dot{a}, \nabla a)$. In the plasma-free case, we recover the well-known dispersion relation (by setting $D^\pm = 0$) of refs. [20, 25]

$$\omega_p = 0: \quad k^2 = \pm g_{a\gamma\gamma} [(k \cdot \partial a)^2 - k^2 (\partial a)^2]^{1/2}. \quad (15)$$

This is the standard form of eigenvalues found for a Chern-Simons-like interaction considered in many works [27–29] and references therein. Note that, in the present work, we assume a sufficiently weak axion field value so that the photon never suffers a tachyonic instability [28]. However it is worth mentioning that, for sufficiently low momenta and high density axion fields, this could have

interesting physical implications [39]. We speculate more on these in the discussion.

In many of these references – especially for instance the classic one [20] – the vector $\partial_\mu a$ was treated as a constant background quantity in the spirit of Lorentz and CPT violation. However, recently the authors of ref. [26] made a very important observation, namely that when the axion field a is *dynamical*, the phase space trajectories themselves are altered by temporal and spatial gradients. As a result, it is necessary to self-consistently account the evolution of the frequency, momentum and position of the light ray throughout its trajectory, by solving the full set of Hamiltonian optics equations along the lines of ref. [32]. To set up the system of equations, one first

notes that to enforce dispersion relations along rays, the eigenvalues D^\pm must vanish everywhere along trajectories, which implies

$$\frac{dD^\pm}{d\tau} = \frac{\partial D^\pm}{\partial k^\mu} \frac{dk^\mu}{d\tau} + \frac{\partial D^\pm}{\partial x^\mu} \frac{dx^\mu}{d\tau} = 0, \quad (16)$$

where τ is an arbitrary worldline parameter. We then *define* trajectories according to [32]

$$\frac{dx^\mu}{d\tau} \equiv -\frac{\partial D^\pm}{\partial k_\mu}, \quad \frac{dk^\mu}{d\tau} \equiv \frac{\partial D^\pm}{\partial x_\mu}, \quad (17)$$

where $k^\mu = (\omega, \mathbf{k})$ and $k_\mu = (\omega, -\mathbf{k})$. These are simply generalisations of Hamilton's equations. One can then eliminate τ and instead use t as a worldline parameter to arrive at

$$\frac{d\mathbf{x}}{dt} = -\frac{\partial D^\pm/\partial \mathbf{k}}{\partial D^\pm/\partial \omega} = \frac{\partial \omega}{\partial \mathbf{k}}, \quad (18)$$

$$\frac{d\mathbf{k}}{dt} = \frac{\partial D^\pm/\partial \mathbf{x}}{\partial D^\pm/\partial \omega} = -\frac{\partial \omega}{\partial \mathbf{x}}, \quad (19)$$

$$\frac{d\omega}{dt} = -\frac{\partial D^\pm/\partial t}{\partial D^\pm/\partial \omega} = \frac{\partial \omega}{\partial t}. \quad (20)$$

In fact, one notes that all these equations take the form

$$\frac{df}{dt} = \{f, \omega\} + \partial_t f, \quad f = \omega, \mathbf{k}, \mathbf{x}, \quad (21)$$

where $\{A, B\} = \partial_{\mathbf{x}}A\partial_{\mathbf{k}}B - \partial_{\mathbf{k}}A\partial_{\mathbf{x}}B$ is the Poisson bracket. The interpretation of these equations becomes immediately transparent: they are precisely a system of Hamilton-Jacobi equations where $\omega = \omega(\mathbf{x}, \mathbf{k}; t)$ can be seen as a one-particle, time-dependent Hamiltonian yielding the particle energy, and \mathbf{x} and \mathbf{k} as the standard canonical position and momentum variables. We can think of $\omega_0(\mathbf{x}, \mathbf{k}, t) \equiv \sqrt{|\mathbf{k}|^2 + \omega_p^2}$ as the (unperturbed) Hamiltonian which is then modified by the axion interaction. This can be treated formally by using Hamilton-Jacobi perturbation theory, constructing a perturbative expansion (in powers of $g_{a\gamma\gamma}$) about the zeroth order solutions

$$\omega_0^2 = \mathbf{k}_0^2 + \omega_p^2, \quad (22)$$

$$\mathbf{x}_0(t) = \mathbf{v}_g^0 t + \mathbf{x}_i, \quad (23)$$

$$\mathbf{v}_g^0 = \mathbf{k}_0/\omega_0. \quad (24)$$

In ref. [26], the authors kept only terms of $\mathcal{O}(g_{a\gamma\gamma})$ in this expansion. In what follows, we discuss higher order corrections which reveal a number of interesting properties. Since the dispersion relation (14) is, in general, quite complicated and involves finding the roots of a 6th order polynomial, we will analyze some special cases where $\omega = \omega(\mathbf{k})$ takes a simpler form and, in the case of III, can be solved exactly.

III. SPECTRAL DISTORTIONS

One notable case for which the optics equations are easily tractable and the dispersion relation is simple, is a 1+1 dimensional background with

$$a = a(t, x), \quad \text{and} \quad \mathbf{k} \parallel \nabla a, \quad (25)$$

so the photon momentum \mathbf{k} is always parallel to the axion gradients ∇a . We can then choose coordinates such that

$$\mathbf{k}(t) = (k(t), 0, 0), \quad \mathbf{x}(t) = (x(t), 0, 0). \quad (26)$$

In this case D_\pm is

$$D^\pm(t, x) = \omega^2 - k^2 - \omega_p^2 \pm g_{a\gamma\gamma}(k\dot{a} + \omega a'), \quad (27)$$

where primes denote differentiation with respect to x . The *exact* dispersion relation is then straightforward:

$$\omega(k) = \left[k^2 + \omega_p^2 \mp g_{a\gamma\gamma}k\dot{a} + \frac{g_{a\gamma\gamma}^2 a'^2}{2} \right]^{1/2} \mp \frac{g_{a\gamma\gamma} a'}{2}. \quad (28)$$

This case is particularly interesting since it allows us to study the passage of light rays through an axion background localized in space. Furthermore, it is sufficient to study group dispersion, time delays and frequency/momentum shifts. Refraction cannot occur in this geometry and will be studied in Section V. The geodesic equations then read

$$\frac{dx}{dt} = \frac{2k \mp g_{a\gamma\gamma}\dot{a}}{2\omega \pm g_{a\gamma\gamma}a'}, \quad (29)$$

$$\frac{dk}{dt} = \pm g_{a\gamma\gamma} \frac{k\dot{a}' + \omega a''}{2\omega \pm g_{a\gamma\gamma}a'}, \quad (30)$$

$$\frac{d\omega}{dt} = \mp g_{a\gamma\gamma} \frac{k\ddot{a} + \omega\dot{a}'}{2\omega \pm g_{a\gamma\gamma}a'}. \quad (31)$$

We now discuss the possibility of detecting spectral shifts when there is *no axion profile* at the end points of the trajectory. By perturbing about a background solution (ω_0, k_0) , from eqs. (30)-(31), we obtain the following expression for the frequency evolution up to $\mathcal{O}(g_{a\gamma\gamma}^2)$

$$\frac{d\omega^\pm}{dt} = \mp \frac{g_{a\gamma\gamma}}{2} [n_0 \ddot{a} + \dot{a}'] - \frac{g_{a\gamma\gamma}^2 \partial_t (\partial a)^2}{4k_0} \pm \mathcal{O}(g_{a\gamma\gamma}^3), \quad (32)$$

where

$$n_0 = \frac{k_0}{\omega_0} = \frac{k_0}{[k_0^2 + \omega_p^2]^{1/2}}, \quad (33)$$

is the refractive index of the background plasma associated to the trajectory of an unperturbed ray. Note this is also equal to the unperturbed group velocity, $v_g^0 = dx_0/dt = k_0/\omega_0$, for a plasma background. Thus, by integration of (32), we have that

$$\omega^\pm(t) = \omega_i^\pm + \delta\omega^\pm(t), \quad (34)$$

where ω_i^\pm is the initial frequency and

$$\delta\omega^\pm(t) = \pm \Delta\omega_p + \Delta\omega_a \pm \mathcal{O}(g_{a\gamma\gamma}^3), \quad (35)$$

is the frequency variation, with

$$\Delta\omega_p = -\frac{g_{a\gamma\gamma}}{2} \int_0^t dt' [n_0 \ddot{a}[t', x_0(t')] + \dot{a}'[t, x_0(t')]], \quad (36)$$

$$\Delta\omega_a = -\frac{g_{a\gamma\gamma}^2}{4k_0} \int_0^t dt' \partial_t (\partial a)^2, \quad (37)$$

where $x_0(t)$ is the unperturbed photon trajectory - a straight line with constant phase and group velocity $v_g^0 = dx_0/dt = k_0/\omega_0 = n_0$,

$$x_0(t) = v_g^0 t + x_i. \quad (38)$$

Note these are *integrated* frequency shifts, dependent on the whole evolution along the trajectory.

In the *absence* of plasma, the background refraction index is $n_0 = 1 + \mathcal{O}(g_{a\gamma\gamma})$, so the first order effect (36) reduces to

$$\begin{aligned} \Delta\omega_p &\rightarrow -\frac{g_{a\gamma\gamma}}{2} \int_0^t dt' [\ddot{a}[t', x_0(t')] + \dot{a}'[t, x_0(t')]] \\ &= -\frac{g_{a\gamma\gamma}}{2} (\dot{a}(t, x_0(t)) - \dot{a}(0, x_0(0))), \end{aligned} \quad (39)$$

where we have used the definition of Eulerian derivative, $d/dt = \partial_t + (dx_0/dt)\partial_x = \partial_t + \partial_x$, to write the integrand as a total derivative, thereby obtaining Eq. (16b) of [26]: the $\mathcal{O}(g_{a\gamma\gamma})$ result then becomes *non-integrated*, depending only on the value of the axion field at the end points of the photon trajectory. Since we are investigating the case where there is no axion profile at the endpoints of the trajectory, the frequency shift (36) vanishes. In this case, the integrated effects occur instead at $\mathcal{O}(g_{a\gamma\gamma}^2)$, where it is given by (37).

Furthermore, from the form of eqs. (32), (36) and (37), it becomes apparent that one cannot simultaneously write both (36)-(37) as total derivatives when the background has both space and time-dependence. Therefore, a localized axion profile can still impart a spectral shift onto the photon, even if it vanishes at the end points of the photon trajectory.

This is also apparent from simple kinematic arguments by considering a photon with initial/final frequencies and momenta (ω_i, \mathbf{k}_i) and (ω_f, \mathbf{k}_f) , respectively, where the momentum transfer is provided by the axion background. If, asymptotically, the photon satisfies the axion-free mass-shell condition $\omega_{i,f}^2 = (k_{i,f}^2 + \omega_p^2)$, then an overall shift in frequency after passing through a local axion region must be accompanied by a shift in momentum, which requires both space and time translation symmetry to be broken. On the other hand, if the background has only one of space or time dependence, it is easy to see that, after a little algebra and using $x_0(t) = v_g^0 t + x_0$, the integrands in (36) and (37) can be written as a total derivatives, so $\Delta\omega_p$ and $\Delta\omega_a$ depend only on the end

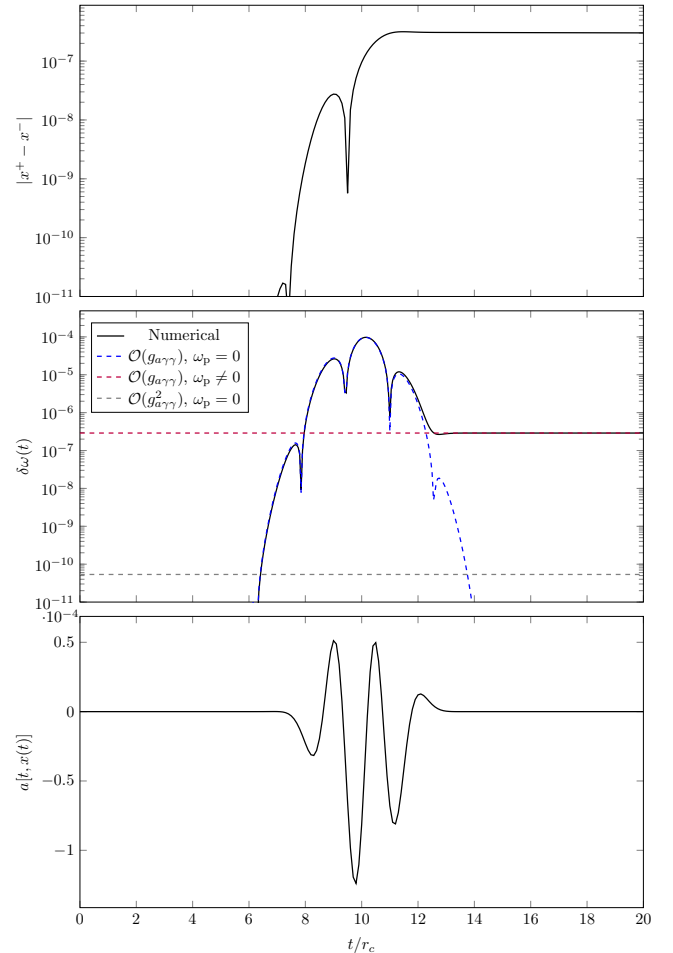


FIG. 1. *Top panel.* Relative position/time splitting between left-right polarised photons in the presence of plasma. *Middle panel.* Frequency shift for the left-polarised mode. We show the analytic results with and without plasma for comparison. The analytic results in the legend correspond to eq. (39) (blue-dashed) as well as the asymptotic frequency shifts from eqs. (36) and (37) shown as the dashed horizontal lines. *Bottom panel.* Axion profile evaluated along trajectory. We used the toy profile $a = a_0 \sin(m_a t) e^{-x^2/r_c^2}$ with $g_{a\gamma\gamma} a_0 = 10^{-4}$, $m_a r_c = 0.5$ and $k_0 r_c = 5$. The plasma density is $\omega_p/k_0 = 0.08$ and the frequency and position are given in units with $r_c = 1$.

points of the axion trajectory, preventing any asymptotic frequency shift for a localized axion background.

The former is a very important point. It means that a distant axion profile can impart integrated spectral shifts onto the photon, even if the profile vanishes at the asymptotic points of emission and detection. The different scenarios can be seen from the middle panel of fig. 1. One especially interesting feature is that the frequency shift as measured at detection will modulate with the oscillation phase of the axion background, as shown in fig. 2.

Clearly, it is also worth investigating whether any background which endows the photon with a non-trivial refractive index will lead to the same conclusions. This could have particular relevance for dielectric media in

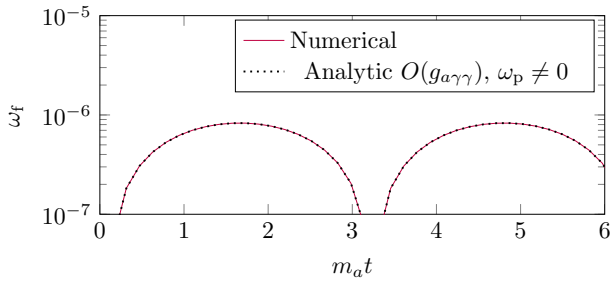


FIG. 2. Modulation of final frequency shift $|\omega_f| = |\omega(t) - \omega_0|$ at the point of detection for passage through a distant axion cloud which vanishes at the points of emission and detection. Other values are as for fig. 1.

laboratory settings.

IV. GROUP DISPERSION, BIREFRINGENCE AND TIME DELAYS

After extracting appropriate powers of $g_{a\gamma\gamma}$ from all quantities involved, including momentum, we obtain the following expression for the group velocity perturbed about the zeroth order trajectory

$$\begin{aligned} \frac{dx^\pm}{dt} = & 1 - \frac{\omega_p^2}{2k_0^2} \pm \frac{g_{a\gamma\gamma}\omega_p^2(a' - \dot{a})}{2k_0^3} + \frac{g_{a\gamma\gamma}^2(\partial a)^2}{8k_0^2} \\ & \pm \frac{g_{a\gamma\gamma}^3(\partial a)^2[\dot{a} - a']}{8k_0^3} + \mathcal{O}(g_{a\gamma\gamma}^4, \omega_p^2 g_{a\gamma\gamma}^2), \end{aligned} \quad (40)$$

Note that there *is* a polarisation-dependent group dispersion at $\mathcal{O}(g_{a\gamma\gamma})$ in the presence of plasma.

This can be seen in fig. 1 numerically with a localized profile which vanishes at $x \rightarrow \pm\infty$. Since the 1D example contains no transverse gradients, photons travel in straight lines but with varying group velocity. Thus the group arrival times are:

$$t = \int \frac{dt'}{v_g(t')}. \quad (41)$$

In the presence of a plasma, we find the following axion contribution to the time-delay

$$\Delta t_p = \mp \frac{g_{a\gamma\gamma}}{4k_0} \frac{\omega_p^2}{k_0^2} \int_0^{t_f} dt' [a' - \dot{a}]. \quad (42)$$

This corresponds to the leading-order group velocity

$$v_g = 1 - \frac{\omega_p^2}{k_0^2} \pm \frac{g_{a\gamma\gamma}}{4k_0} \frac{\omega_p^2}{k_0^2} [a' - \dot{a}] + \mathcal{O}(g_{a\gamma\gamma}^2). \quad (43)$$

The time-delay is similar to that in a magnetised plasma, where the background magnetic field provides the breaking of isotropy, giving a group velocity [40]

$$v_g = 1 - \frac{\omega_p^2}{k_0^2} \pm \frac{2\omega_p^2\omega_B}{k_0^3}, \quad \omega_B = e|\mathbf{B}|/m_e. \quad (44)$$

We note in passing, with an eye to future astrophysical applications, that the axion birefringent time-delays can be distinguished from the magnetic version via the modulation of the axion signal due to axion oscillations, even though the two effects both run as $\propto 1/k_0^3$.

Therefore, in the plasma case, $v_g < 1$, so it seems reasonable to assume that v_g can be treated as the speed of information transport, thus a meaningful observable for timing experiments. However, one should be cautious with identifying the group velocity with the speed of signal propagation in all instances, as we now discuss.

In the absence of plasma, one has $v_g(x, t) \simeq 1 + g_{a\gamma\gamma}^2(\partial a)^2/8k_0^2$. Thus for timelike field gradients, $(\partial_\mu a)^2 > 0$, we have $v_g > 1$, *i.e.* a superluminal group velocity. This is in fact not forbidden [33, 41]. However, in this case, the group velocity should not be interpreted as the speed at which information propagates [41, 42]. Instead the *signal speed*, rather than the group or phase velocity should be used for inferring the arrival of information [33, 43, 44]. The signal velocity derivation is more subtle and cannot be inferred in a simple way from the dispersion relation as for the phase and group velocity. Instead, it requires a more detailed treatment of the detection apparatus and the shape of the waveform to be detected [33]. We therefore leave such considerations for future work.

V. REFRACTION

We now discuss refraction of light rays passing through a localized axion profile. For this, it is sufficient to consider a 2+1 dimensional background. If the photon is to experience deflection after passing through a localized axion profile, the outgoing phase velocity must have a different orientation than the incoming one.

A. Refraction with plasma

The leading order correction to the momentum is

$$\frac{d\mathbf{k}}{dt} = \pm \frac{g_{a\gamma\gamma}}{2} \left[n_0 \nabla \dot{a} + (\hat{\mathbf{k}}_0 \cdot \nabla) \nabla a \right]. \quad (45)$$

where we have used unperturbed solutions for ω and \mathbf{x} . When $n_0 \neq 1$, the right-hand side is *not* a total derivative: upon integration, it is *not* simply given by the surface terms associated with the asymptotic field values at x_i and x_f . This allows momentum changes through a localized axion background and therefore refraction to occur at $\mathcal{O}(g_{a\gamma\gamma})$ for non-trivial refractive indices. Integrating the momentum along the trajectory, the transverse component of the outgoing wave vector gives the deflection angle

$$\sin \theta = \pm \frac{g_{a\gamma\gamma}}{2|\mathbf{k}_0|} \int_0^t dt' \left[n_0 \nabla_\perp \dot{a} + (\hat{\mathbf{k}}_0 \cdot \nabla) \nabla_\perp a \right], \quad (46)$$

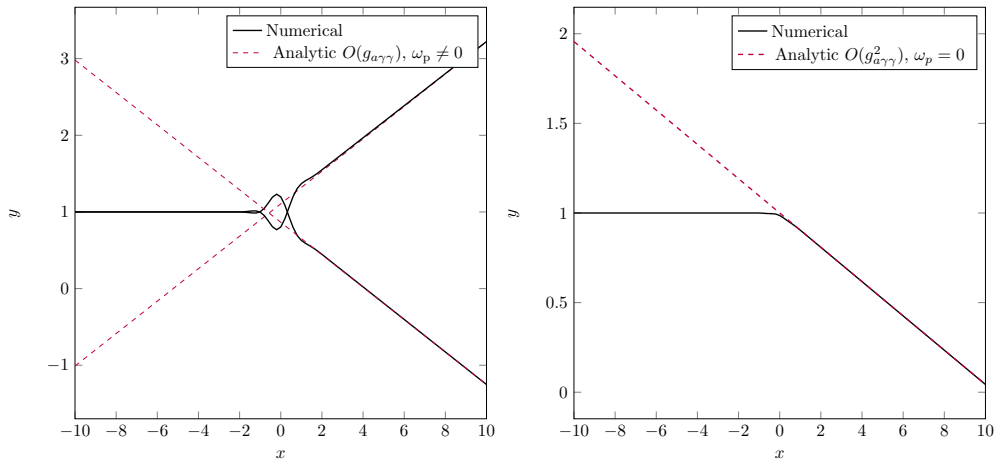


FIG. 3. *Left panel.* Refraction through an axion cloud of left/right modes (black lines) with plasma, compared to the asymptotic trajectory (red-dashed) predicted by eq. (46). The black lines show the rays $(x(t), y(t))$ given by solving the geodesic equations (18)-(20) numerically. The axes have been arbitrarily re-scaled for visibility. We chose an impact parameter $b = r_c$ and a localized Gaussian axion profile, $a = a_0 \sin(m_a t) \exp(-(x^2 + y^2)/r_c^2)$, for illustration purposes. With $g_{a\gamma\gamma} a_0 = 5 \times 10^{-6}$ and $m_a r_c = 0.3$, $k_0 r_c = 30$ and a plasma mass $\omega_p/|k_0| = 0.01$. *Right panel.* Refraction through an axion background without plasma, $\omega_p = 0$, with comparison to the asymptotic trajectory (red-dashed) predicted by eq. (48). The other values are the same. Rays propagate from the left to the right of the plot in both cases.

where ∇_\perp is the gradient normal to the direction of initial propagation. (46) shows that *the existence of a plasma can induce polarisation-dependent ray-bending at leading order $\mathcal{O}(g_{a\gamma\gamma})$* . Indeed this will hold whenever the medium endows the photon with constant refractive index different from one.

In the limit $\omega_p = 0$, one has $n_0 = 1$ and this integral becomes a total derivative, just as shown in (39). This reproduces the conclusions of ref. [26] that there is no chiral bending at $\mathcal{O}(g_{a\gamma\gamma})$ for a pure-axion background.

We verified the validity of this approximation by solving the full geodesic equations in 2+1 dimensions. The results of which are shown in fig. 3.

B. Refraction without plasma

When the refractive index is equal to one, the momentum and frequency evolution are total derivatives at $\mathcal{O}(g_{a\gamma\gamma})$. Therefore, the non-trivial asymptotic shift in momentum is given at next-to-leading order

$$\delta\mathbf{k}(t) = -\frac{g_{a\gamma\gamma}^2}{8|\mathbf{k}_0|} \int_0^t dt' \left(\mathbf{k}_0(\mathbf{k}_0 \cdot \nabla) + \hat{\mathbf{k}}_0^\perp \nabla_\perp \right) [(\partial a)^2]. \quad (47)$$

where $\hat{\mathbf{k}}_0^\perp$ is a unit vector normal to the initial vector. The angle of deflection is then given by

$$\sin \theta = -\frac{g_{a\gamma\gamma}^2}{8|\mathbf{k}_0|^2} \int_0^\infty dt' \nabla_\perp (\partial a)^2. \quad (48)$$

The analytic and numerical results in this case are compared in fig 3. We therefore conclude that bending of

light does occur in axion backgrounds, but to leading order in perturbation at $\mathcal{O}(g_{a\gamma\gamma}^2)$, which is non-chiral. Indeed, since the axion background is generically space and time dependent, there is no symmetry property to conserve group or phase velocities, and thus it is not only surprising, but indeed inevitable that the rays should be deflected at some order in perturbation theory, as these results show.

VI. DISCUSSION

In this work we have examined the dispersive properties of axion backgrounds which lead to spectral distortions, time-delays, and refraction of crossing light. We studied these effects by solving the geodesic equations of Hamiltonian optics, deriving both numerical and analytic results.

Building on the work of Blas *et al.* [26], we verified that i) there is no $\mathcal{O}(g_{a\gamma\gamma})$ asymptotic deflection of light for pure axion backgrounds, but that $\mathcal{O}(g_{a\gamma\gamma})$ birefringent deflection can occur for a *non-trivial refractive index* of the unperturbed background, induced here by the presence of plasma. ii) In the absence of a non-trivial background refractive index, we found that $\mathcal{O}(g_{a\gamma\gamma}^2)$ refraction does occur. It is unsurprising that refraction should occur at higher order, since there is no symmetry principle to prevent it as the background is both time- and space-dependent with an inhomogeneous refractive index.

Perhaps the former effect, induced by the plasma, can have some implications in terms of reviving the idea of ref. [25], where if a sufficiently dense plasma surrounds the black hole, the refraction generated by a non-trivial

axion profile could still be probed at first order in $g_{a\gamma\gamma}$. It is also worth considering the possibility of probing geodesic deviations from vacuum trajectories in laboratory settings as a means to probe local axion backgrounds.

iii) A non-trivial constant background refractive index, provided here by a homogeneous plasma, led to integrated frequency shifts in the photon, even for axion profiles localized along the line of sight - see (36)-(37) and Fig. 1. These results could have implications for timing-delays and spectral distortions of astrophysical sources, since the effect is present even when the axion field vanishes at the trajectory endpoints. This is especially interesting in light of the fact that plasmas are ubiquitous in astrophysical settings.

Another possibility that we have not considered here is the tachyonic instability for dense axion fields/low frequency photons [20, 28, 39, 45, 46] where, for one mode, the frequency becomes imaginary. This can lead in a

relative intensity shift between the two modes as one is amplified relative to the other - i.e. dichroism [9].

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