

# How Density Functional Theory Surface Energies May Explain the Morphology of Particles, Nanosheets, and Conversion Films Based on Layered Double Hydroxides

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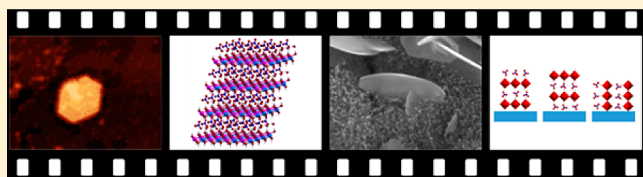
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**S** Supporting Information

**ABSTRACT:** Conversion films based on layered double hydroxides constitute an important and environmentally friendly technology for the corrosion protection of aeronautical structures. Unfortunately, the morphology of layered double hydroxide (LDH) conversion films is still not well understood. In the present work, the structure and driving forces behind the morphology of zinc–aluminum LDH conversion films on aluminum alloy 2024 (AA2024) are explained from the perspective of molecular modeling. Since LDH particles are the core structures of LDH conversion films, the first step in this work was to understand the relation between structure and morphology of the particles themselves and the single-layer nanosheets that constitute them. Results regarding LDH's crystallites, particles, and conversion films obtained using X-ray diffraction (XRD), dynamic light scattering (DLS), scanning electron microscopy (SEM), and atomic force microscopy (AFM) are interpreted using periodic model density functional theory (DFT) calculations. On the basis of the understanding of the formation of LDH particles and their exfoliation to obtain single-layer nanosheets, for the first time, LDH conversion films have been modeled using periodic model DFT. The results point to a preferential orientation of the cationic layers perpendicular to the surface, thus explaining the film morphology (SEM and AFM) and providing a rational for their crystallization process.



## 1. INTRODUCTION

In this work, we explore the structural features that are responsible for the morphology of conversion films based on layered double hydroxides (LDHs) grown on an aluminum substrate. LDH particles are basic building blocks of LDH conversion films.<sup>1</sup> Therefore, to understand the nanostructured films, it is necessary to understand the relation between structure and morphology of the particles themselves and the single-layer nanosheets that constitute them.

Understanding the formation of LDHs is fundamental to optimize their structure, size, and morphology for numerous applications such as catalysis,<sup>2</sup> batteries,<sup>3</sup> extraction,<sup>4</sup> or pharmaceuticals.<sup>5</sup> LDHs are also explored as additives for self-healing protective coatings<sup>6</sup> because of their ability to simultaneously release corrosion inhibitors<sup>7</sup> while absorbing corrosive anionic species.<sup>8</sup> As the need for large-scale production of LDHs increases, their synthetic process and formation mechanism as particles,<sup>9–13</sup> nanoclusters,<sup>14</sup> or nanoscrolls,<sup>15</sup> on surfaces<sup>16</sup> or into colloids,<sup>17</sup> also gains more interest.

First, we investigated the formation of LDH particles and their exfoliation<sup>18–23</sup> into single-layer nanosheets to increase

the surface area.<sup>19</sup> The exfoliation of LDHs enhances their catalytic activity for oxygen evolution reaction (OER) involved in energy storage<sup>18,23</sup> and is useful to prepare LDHs for subsequent modification for biocompatible drug delivery.<sup>24</sup> Atomic force microscopy (AFM), X-ray diffraction (XRD),<sup>25</sup> and dynamic light scattering (DLS)<sup>25</sup> results were elucidated in terms of the energies of different exposed surfaces of LDH particles, obtained computationally using periodic model density functional theory (DFT) calculations. These conclusions were used to comprehend the molecular interactions ruling the growth pattern of LDH conversion films onto aluminum substrates.<sup>1,26</sup>

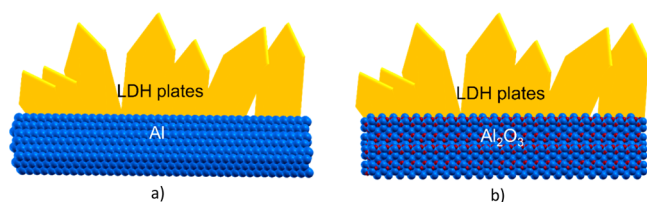
Generally, conversion films consist in a first coating layer grown directly on top of a metallic substrate to provide corrosion protection by barrier effect and to promote adhesion between the metal and the following layers of a coating system.<sup>27</sup> In a previous study, Tedim et al.<sup>1</sup> developed a methodology to grow in situ a nanostructured film of Zn–Al

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LDHs directly onto the surface of aluminum alloys, taking advantage of the dissolution of  $\text{Al}^{3+}$  from the surface.<sup>26,28</sup> The second two-valent cation ( $\text{Zn}^{2+}$ ) is supplied from the treatment bath. This approach<sup>1</sup> makes possible to intercalate corrosion inhibitors by a subsequent step of ion-exchange providing the LDH conversion films with the same active protective functionality as LDH particles<sup>7</sup> but with the advantage of being near the metallic surface. LDH particles typically exhibit a platelike morphology.<sup>25</sup> When LDHs are grown directly onto aluminum alloys, these plates are assembled perpendicularly to the surface<sup>1,26,29,30</sup> as illustrated in Figure 1.



**Figure 1.** Illustration of the perpendicular assembling of LDH plates on the (a) bare aluminum and (b) aluminum oxide surfaces (sphere color code: Al, light blue; O, red). Figure not drawn to scale.

Herein, the formation and growth of LDH particles is investigated by means of AFM and scanning electron microscopy (SEM) complemented with additional information about the energies of different exposed surfaces from DFT calculations using periodic structural models of LDH clusters interacting with aluminum surfaces.

The material investigated in this work was zinc–aluminum LDH with a Zn:Al ratio of 2:1 and the nitrate anion intercalated ( $\text{Zn}(2)\text{Al}-\text{NO}_3$ ), since it can be easily synthesized as individual particles<sup>25</sup> and as conversion films onto aluminum substrates.<sup>1</sup> It is also a functional material on its own.<sup>8</sup> Moreover, the nitrate anion can easily allow us to obtain single-layer nanosheets,<sup>18–22</sup> and it can also be easily substituted by other functional anions,<sup>7</sup> which makes it a precursor for many applications.<sup>7,18–22</sup> Our aim was to determine the direction of the LDH plates on the metallic surface in terms of morphology and structure. For the aluminum surfaces, two different periodic models were examined: Al(111) and hydroxylated aluminum terminated  $\alpha$ - $\text{Al}_2\text{O}_3$ (0001).

## 2. EXPERIMENTAL SECTION

**Materials.**  $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  (99%),  $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  (98.5%),  $\text{NaNO}_3$  (99.5%),  $\text{NaOH}$  (98%), and  $\text{NH}_4\text{OH}$  (25%) were obtained from Sigma-Aldrich and were used without any further purification.

**$\text{Zn}(2)\text{Al}-\text{NO}_3$  Particles.** The samples of LDH particles used in this work for AFM analysis were those synthesized in a previous work<sup>25</sup> by coprecipitation at constant pH followed by the growth of the crystals by hydrothermal treatment. During the coprecipitation step, a 50 mL mixture of 0.5 M  $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  and 0.25 M  $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  solution was added dropwise to a 100 mL solution of 1.5 M  $\text{NaNO}_3$  under vigorous stirring at room temperature. During this step, the pH was controlled using a pH meter and was kept nearly constant ( $\pm 0.5$ ) by simultaneous addition of 2 M  $\text{NaOH}$  ( $\sim 50$  mL). Afterward, the obtained product was subjected to hydrothermal treatment using different combinations of time and temperature, and finally, it was centrifuged and washed four times with

boiled and nitrogen-saturated distilled water (decarbonated water).

Different combinations of coprecipitation pH and time and temperature of hydrothermal treatment were tested [(pH = 10,  $T = 325$  K,  $t = 4$  h), (pH = 10,  $T = 373$  K,  $t = 2$  h), (pH = 10,  $T = 373$  K,  $t = 4$  h), and (pH = 8.5,  $T = 372$  K,  $t = 4$  h)] since they affect the LDH crystallite and particle sizes.<sup>25</sup> The obtained samples were used for AFM characterization.

**Preparation of Aluminum Substrates.** Aluminum alloy 2024 (AA2024) plates were rinsed successively with deionized water, followed by acetone for removal of particulates and degreasing. Then, AA2024 plates were surface treated using an industrial/commercial procedure. Briefly, the plates were immersed twice in a 2.5% Gardacid solution for 45 s followed by acid etching through immersion of the plates in Turco Liquid Smutgo NC for 7 min. Each step was followed by washing with deionized water. Finally, the AA2024 plates were dried in air.

**Synthesis of  $\text{Zn}(2)\text{Al}-\text{NO}_3$  LDH Conversion Films.** Following a procedure described in previous studies,<sup>1,26,29</sup> the treated AA2024 plates were immersed in a  $\text{Zn}(\text{NO}_3)_2$  solution (5 mM) under near neutral pH and a hydrothermal treatment of 373 K for 4 h. Subsequently, the plates were washed with ultrapure water and ethanol and were dried in air. The obtained samples were used for AFM and SEM characterization.

**Characterization of Materials.** The morphology of the LDH particles and conversion films was characterized by AFM and SEM.

The AFM was performed using a commercial AFM system (Veeco multimode with nanoscope III controller) under ambient conditions. The experiments were carried out with silicon cantilevers (NCH probes from Nanoworld) with a resonant frequency ( $f_0$ ) of approximately 320 kHz and a nominal spring constant of 42 N  $\text{m}^{-1}$ . The samples of LDH particles were prepared by depositing 200  $\mu\text{L}$  of LDH aqueous solution (50 mg  $\text{L}^{-1}$ ) on poly-L-lysine coated glass slides and carefully dried before analysis. The samples of LDH conversion films were analyzed as obtained after the synthesis without any further treatment. Images were collected in tapping mode and were analyzed using the Gwyddion software (<http://gwyddion.net/>).

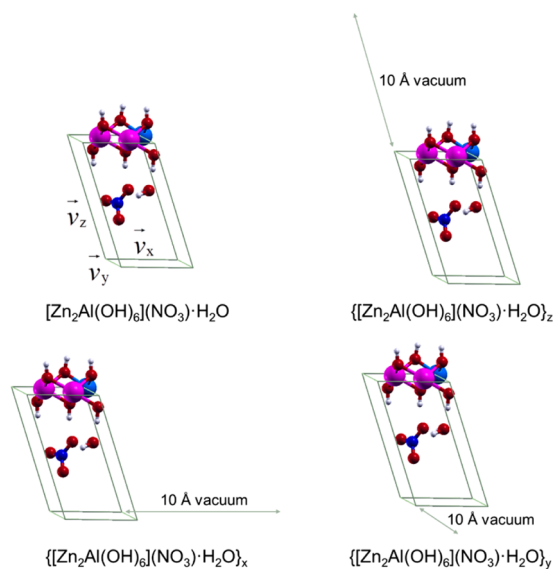
The SEM experiments were performed with a Hitachi S-4100 operating at an acceleration voltage of 25 kV.

## 3. COMPUTATIONAL SECTION

Periodic model DFT calculations were performed with the Quantum ESPRESSO (QE)<sup>31</sup> and the Perdew–Burke–Ernzerhof (PBE)<sup>32</sup> exchange–correlation functional. The computational approach followed in this study was successfully applied by other authors<sup>33</sup> and in a previous study from our research group<sup>25</sup> in the study of LDHs. The nuclei and core electrons were described by ultrasoft pseudopotentials<sup>34</sup> available for PBE in the QE Web site (<http://www.quantum-espresso.org/pseudopotentials/>). The Kohn–Sham orbitals were expanded using planewave basis sets with 40 Ry cutoff for kinetic energy and 240 Ry cutoff for charge density. The first Brillouin zone integrations were performed with the Marzari–Vanderbilt smearing method<sup>35</sup> using a smearing parameter of 0.02 and a  $2 \times 2 \times 1$  k-point mesh.<sup>36</sup> The optimization procedure was stopped after the forces acting on all atoms in a structure were lower than  $10^{-2}$  Ry.

The periodic structure of  $\text{Zn}(2)\text{Al}-\text{NO}_3$  was modeled by a  $(\sqrt{3} \times \sqrt{3})R30^\circ$  supercell, as described by other authors.<sup>33,37</sup>

175 The  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}$  supercell (Figure 2) contains  
 176 one  $[\text{Zn}_2\text{Al}(\text{OH})_6]^+$  cationic layer counterbalanced in the



**Figure 2.** Representation of the  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}$ ,  $\{[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}\}_x$ ,  $\{[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}\}_y$ , and  $\{[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}\}_z$  supercells (spheres color code: Zn, pink; Al, light blue; O, red; N, blue; H, white).

177 interlayer by one nitrate anion solvated with a single water  
 178 molecule. The number of solvating water molecules was chosen  
 179 according to thermogravimetric measurements performed in a  
 180 previous study.<sup>38</sup>

181 The supercell corresponds to the  $R3c$  space group and is  
 182 described by two lattice parameters,  $a$  and  $c$ , which define the  
 183 lattice vectors  $\vec{v}_x(2\sqrt{3}a, 0, 0)$ ,  $\vec{v}_y(-\sqrt{3}a, 3a, 0)$ , and  $\vec{v}_z(a/2,$   
 184  $\sqrt{3}a/2, c/3)$ .<sup>33,37</sup> The size and ratio of metal cations in the  
 185 LDH cationic layers define the lattice parameter  $a$ , which was  
 186 calculated from the position of the (110) reflection, according  
 187 to  $a = 2\cdot d_{(110)}$ , obtained in a previous work.<sup>25</sup> The interlayer  
 188 distance occupied by the  $\text{NO}_3^-$  anion defines the  $c$  lattice  
 189 parameter and was optimized in this work. The relative position  
 190 of the  $\text{NO}_3^-$  anion to the cationic layers was examined in more  
 191 detail in a previous work.<sup>25</sup>

192 To calculate the relative energies of different LDH exposed  
 193 surfaces ( $E_x$ ,  $E_y$ , and  $E_z$ ) after their optimization, a vacuum  
 194 region of 10 Å was defined for one of the three directions  
 195 defined by each axis that characterizes the repetition of the  
 196 supercell, according to Figure 2, while keeping the periodicity  
 197 of the other two directions unaltered.

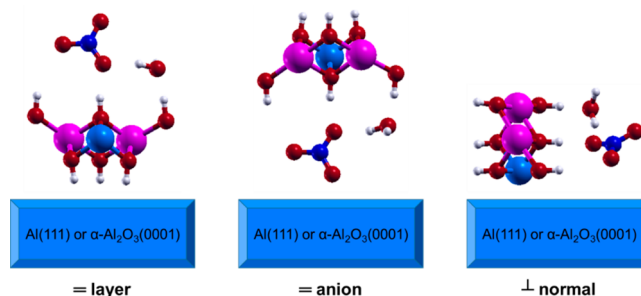
198 To better illustrate the energetic effects of forming LDH  
 199 particles, the interaction energy between the respective edges of  
 200 each surface was calculated taking the energy of the fully  
 201 periodic  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}$  supercell and the energy of  
 202 each surface  $\{[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}\}_x$ ,  $\{[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}\}_y$ ,  
 203  $\{[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}\}_z$ , and  $\{[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}\}_z$  according to  
 204 eq 1.

$$\begin{aligned} & \{[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}\}_A \\ & \rightarrow [\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O} \quad A = x, y, \text{ or } z \end{aligned} \quad (1)$$

206 The effect of substituting water in the interlayer of the  
 207 LDH- $\text{NO}_3$  structure by formamide, which is known to favor  
 208 the exfoliation of LDHs,<sup>19</sup> was investigated. The water

209 molecule solvating the nitrate was replaced by a formamide  
 210 molecule, and the  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{NH}_2\text{COH}$  supercell  
 211 was used for the optimization. The optimization of the  
 212 structure was also performed for two and three solvent  
 213 molecules of water and formamide per anion.

214 To understand the orientation of LDH plates on the surface  
 215 of the metallic substrate, the interaction between one  
 216  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}$  cluster per supercell and two  
 217 aluminum surfaces  $[\text{Al}(111)]$  and hydroxylated aluminum  
 218 terminated  $\alpha\text{-Al}_2\text{O}_3(0001)$  was analyzed. Three coordination  
 219 modes were analyzed in this work, as represented in Figure 3:

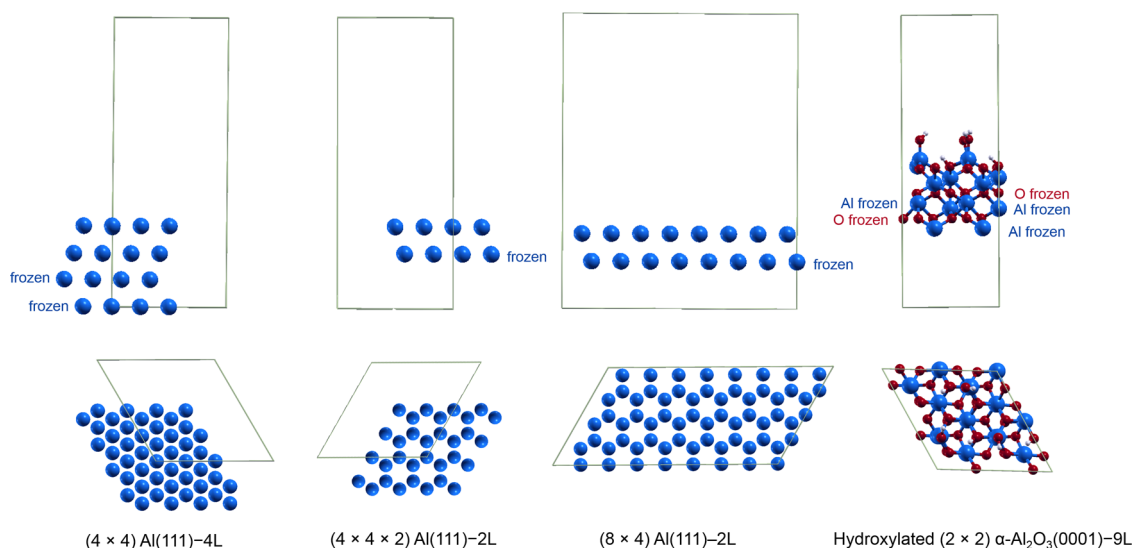


**Figure 3.** Types of interaction between one  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}$  unit (spheres color code: Zn, pink; Al, light blue; O, red; N, blue; H, white) and the aluminum surfaces: = layer (electrostatic interaction), = anion (electrostatic interaction), and  $\perp$  normal (metal–oxygen coordination).

220 through the cationic layer parallel to the surface (= layer),  
 221 through the interlayer contents with the cationic layer also  
 222 parallel to the surface (= anion), and through the hydroxide  
 223 groups of the cationic layer normal to the surface ( $\perp$  normal).

224 Three models for the Al(111) slabs were used (Figure 4), all  
 225 derived from a bulk aluminum structure with a lattice parameter  
 226 of 4.046 Å: the first was a  $(4 \times 4)$  slab with 4 layers, where the  
 227 two bottom layers of the slab were kept frozen, while the other  
 228 two were relaxed; the second was a  $(4 \times 4)$  slab with 2 layers,  
 229 where the bottom layer of the slab was kept frozen, while the  
 230 other was relaxed; and the third was an  $(8 \times 4)$  slab with 2  
 231 layers, where the bottom layer of the slab was kept frozen, while  
 232 the other was relaxed. These three systems are labeled from  
 233 now on  $(4 \times 4)\text{-Al}(111)\text{-}4\text{L}$ ,  $(4 \times 4)\text{-Al}(111)\text{-}2\text{L}$  and  $(8 \times 4)\text{-}$   
 234  $\text{Al}(111)\text{-}2\text{L}$ , respectively. The  $(8 \times 4)\text{-Al}(111)\text{-}2\text{L}$  slab model  
 235 was used to study the interaction with a larger LDH- $\text{NO}_3$  unit,  
 236  $[\text{Zn}_8\text{Al}_4(\text{OH})_{24}](\text{NO}_3)_4\cdot 4\text{H}_2\text{O}$ , whereas the  $(4 \times 4)\text{-Al}(111)\text{-}$   
 237  $2\text{L}$  slab model was used to analyze the reduction of the number  
 238 of layers on the final results. For the optimization of the larger  
 239 LDH- $\text{NO}_3$  unit, the metallic atoms of the cationic LDH layer  
 240 were kept frozen in order to save computational time. This  
 241 solution was also employed because in the present work, we are  
 242 only interested in finding the most favorable type of interaction  
 243 (with the most stable electronic energy) between the LDHs  
 244 and the metallic surfaces.

245 The  $\alpha\text{-Al}_2\text{O}_3(0001)$  slab consisted of an aluminum-  
 246 terminated model with nine layers (Al O Al Al O Al Al O  
 247 Al). The model was described as a  $(2 \times 2)$  hexagonal cell,  
 248 containing 12  $\text{Al}_2\text{O}_3$  units with a lattice parameter of  $a = 4.76$   
 249 Å.<sup>39</sup> The five bottom layers were kept frozen, while the upper  
 250 four were relaxed (Figure 4). The hydroxylation of the surface  
 251 in the presence of water was also considered, according to the  
 252 conclusions obtained by other authors.<sup>40</sup> The degree of  
 253 hydroxylation was 75% (three hydroxyl groups per  $(2 \times 2)$ )



**Figure 4.** Representation of the supercells of  $(4 \times 4)$ -Al(111)-4L, of  $(4 \times 4)$ -Al(111)-2L, of  $(8 \times 4)$ -Al(111)-2L, and 75%<sup>40</sup> hydroxylated aluminum terminated  $(2 \times 2)$   $\alpha$ -Al<sub>2</sub>O<sub>3</sub>(0001)-9L model surfaces (spheres color code: Al, light blue; O, red; H, white).

254 supercell),<sup>40</sup> where each hydroxyl group binds to a surface  
255 aluminum atom and each proton binds to one of the three  
256 equivalent surface oxygens.<sup>40</sup>

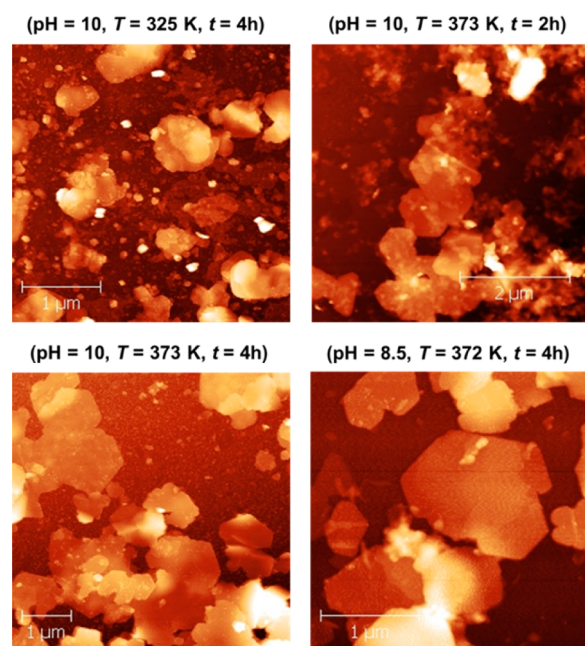
257 The four systems examined in this work,  $(4 \times 4)$ -  
258 Al(111)-4L·[Zn<sub>2</sub>Al(OH)<sub>6</sub>](NO<sub>3</sub>)·H<sub>2</sub>O,  $(4 \times 4)$ Al(111)-2L·  
259 [Zn<sub>2</sub>Al(OH)<sub>6</sub>](NO<sub>3</sub>)·H<sub>2</sub>O,  $(8 \times 4)$ Al(111)-2L·  
260 [Zn<sub>8</sub>Al<sub>4</sub>(OH)<sub>24</sub>](NO<sub>3</sub>)<sub>4</sub>·4H<sub>2</sub>O, and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>(0001)-9L·  
261 [Zn<sub>2</sub>Al(OH)<sub>6</sub>](NO<sub>3</sub>)·H<sub>2</sub>O, consisted of 86, 54, 152, and 91  
262 atoms per supercell, respectively. In all cases, a vacuum region  
263 of at least 10 Å was kept between the top of the adsorbed  
264 molecule and the adjacent slab, and the artificial electric field  
265 perpendicular to the surface was corrected using the Bengtsson  
266 method.<sup>41</sup>

#### 4. RESULTS AND DISCUSSION

267 **Particles.** Zn(2)Al-NO<sub>3</sub> conversion films are synthesized  
268 using similar conditions as Zn(2)Al-NO<sub>3</sub> particles except for  
269 the source of aluminum, which is provided from the dissolution  
270 of the aluminum alloy. For this reason, the relation between  
271 structure and morphology of LDH particles and single-layer  
272 nanosheets is first explored in this work.

273 To understand how crystallites constitute polycrystalline  
274 particles, four samples of LDH-NO<sub>3</sub>, synthesized according to  
275 a previous work,<sup>25</sup> with different crystallite and particle sizes,  
276 were characterized by AFM (Figure 5 and Figures S1–S4 of the  
277 Supporting Information), and the plate height was measured  
278 (Table 1 and Supporting Information). The AFM results show  
279 LDH particles with typical hexagonal plate morphology. In  
280 samples (pH = 10,  $T = 325$  K,  $t = 4$  h) and (pH = 10,  $T = 373$   
281 K,  $t = 2$  h), synthesized using lower temperatures or shorter  
282 times of hydrothermal treatment in comparison with samples  
283 (pH = 10,  $T = 373$  K,  $t = 4$  h) and (pH = 8.5,  $T = 372$  K,  $t = 4$   
284 h), it is possible to verify much more material with smaller size  
285 and less typical morphology surrounding the LDH hexagonal  
286 plates. This is consistent with the lower crystallite and particle  
287 sizes obtained for these samples (Table 1).

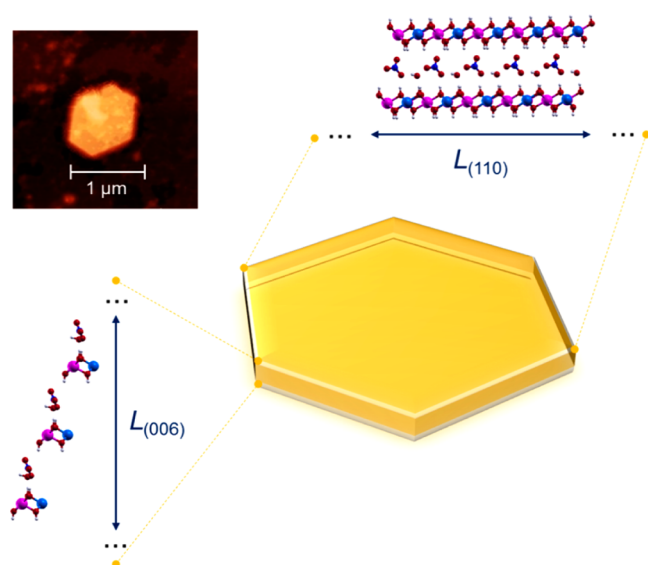
288 According to the results presented in Table 1, the particle  
289 size is larger than the plate height. As presented in Figure 6, one  
290 possible view of the relation between structure and morphology  
291 of LDH polycrystalline particles is that a few crystallites are  
292 assembled in the direction defined by the (006) reflection



**Figure 5.** AFM results of LDH samples synthesized using different conditions.

**Table 1.** Average Crystallite Size in the Directions Perpendicular ( $L_{(006)}$ ) and Parallel ( $L_{(110)}$ ) to the Cationic Layers, Hydrodynamic Particle Size Defined by Its Length and the AFM Particle Plate Height, Together with Number of Particles Measured ( $n$ ), for Different LDH Samples

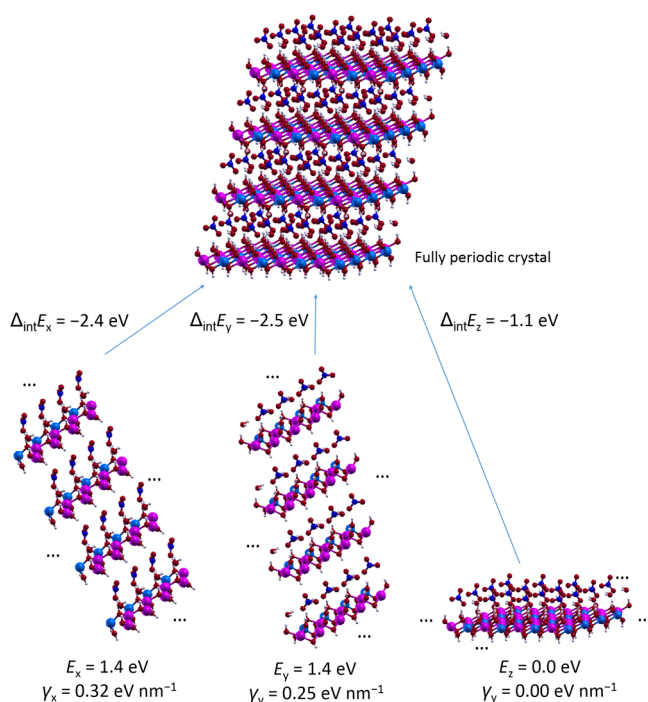
sample	$L_{(006)}$ <sup>25</sup> / nm	plate height ( $n$ )/nm	$L_{(110)}$ <sup>25</sup> / nm	particle length <sup>25</sup> /nm
(pH = 10, $T = 325$ K, $t = 4$ h)	19 ± 2	25 ± 4 (30)	30 ± 3	341 ± 21
(pH = 10, $T = 373$ K, $t = 2$ h)	15 ± 2	44 ± 7 (16)	34 ± 2	333 ± 8
(pH = 10, $T = 373$ K, $t = 4$ h)	26 ± 2	26 ± 4 (31)	49 ± 3	400 ± 11
(pH = 8.5, $T = 372$ K, $t = 4$ h)	30 ± 2	22 ± 4 (39)	48 ± 3	445 ± 10



**Figure 6.** Example of an LDH particle obtained by AFM and molecular representation of the crystallite sizes obtained from the (006) and (110) reflections (spheres color code: Zn, pink; Al, light blue; O, red; N, blue; H, white) indicating their role in an LDH hexagonal plate.

293 contributing to the plate height, while a considerable number of  
294 crystallites are assembled in the direction defined by the (110)  
295 reflection resulting in the particle length.

296 By examining the relative surface energies presented in  
297 Figure 7, it is possible to verify that the surface energies  $E_x$  and  
298  $E_y$  are higher than  $E_z$ , meaning that the exposed surfaces  $x$  and  
299  $y$  are less stable than the  $z$  surface. In the light of the structure of



**Figure 7.** Relative surface energies ( $E_x$ ,  $E_y$ , and  $E_z$ ), relative surface energies per area ( $\gamma_x$ ,  $\gamma_y$ , and  $\gamma_z$ ), and interaction energies ( $\Delta_{\text{int}}E$ ) between adjacent parts of the surfaces to form a fully periodic LDH crystal (spheres color code: Zn, pink; Al, light blue; O, red; N, blue; H, white). Equations are unbalanced on purpose.

LDH particles described earlier, these results explain why the  
301 particle length is much larger than the plate height, resulting in  
302 the alignment of cationic layers of several crystallites in the  
303 direction parallel to the well-known LDH plates (Figure 6).

304 Otherwise, if LDH particles were constituted by cationic  
305 layers of crystallites aligned perpendicularly to the hexagonal  
306 plates of the particles, the relative surface energy  $E_z$  should be  
307 higher than  $E_x$  and  $E_y$  (Figure 7).

308 Another way to look at the surface energies is that the lower  
309 stability of the surfaces resulting from interrupting the  
310 periodicity of the crystal defined by vectors  $x$  and  $y$  results in  
311 a more favorable interaction energy between the ends of these  
312 surfaces to form a fully periodic crystal (Figure 7).  
313 Consequently, considering the molecular view of the LDH  
314 plates depicted in Figure 6, this results in larger dimensions of  
315 the particles in the direction defined by the planes of the  
316 cationic layers.

317 In terms of chemical interactions, these results show that it is  
318 more favorable to form metal–oxygen bonds in the direction  
319 parallel to the layer than electrostatic interactions between the  
320 cationic layers and the interlayer contents (anions and water).

321 From the combination of surface and interaction energies  
322 with morphological results, it is demonstrated that LDH  
323 polycrystalline particles are formed, as illustrated in Figure 6,  
324 with the cationic layers aligned parallel to the observed plates  
325 defining their lateral size. The plate height resulting from the  
326 assembling of cationic layers separated by the interlayer anionic  
327 and solvent molecules is limited by the lower stability of the  
328 surface that defines this height. Therefore, it is possible to  
329 compare the values of  $L(006)$  with the plate height and of  
330  $L(110)$  with the particle length (Table 1) and to determine that  
331 the particle height is defined by approximately one to three  
332 crystallites and that the particle length is defined by 8 to 11  
333 crystallites, as represented in Figure 8. The present computa-  
334 tional study was performed considering a 2:1 ZnAl LDH  
335 metallic ratio. Nevertheless, because of the similar nature of the  
336 interactions involved in other LDH phases (metal–oxygen  
337 bonds in the direction parallel to the cationic layers and  
338 electrostatic interactions in the direction normal to the cationic  
339 layers), our conclusions may, in principle, also be valid to other  
340 ZnAl metallic ratios, which result in particles with similar  
341 morphologies (hexagonal plates).

342 **Nanosheets.** The interaction energies reported in Figure 7  
343 explain another important phenomenon involving LDHs:  
344 exfoliation. After successful exfoliation of LDH particles, several  
345 authors<sup>18–22</sup> have obtained plate height values of  $\sim 0.8 \text{ nm}$ .  
346 According to the optimized  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}$  super-  
347 cell with a vacuum region above the surface, it is possible to  
348 conclude that the plate height is defined by approximately one  
349 brucite layer and also one layer of counterbalancing anions. The  
350 presence of the brucite layer along the nanosheets is supported  
351 by selected area electron diffraction (SAED) results<sup>18</sup>  
352 confirming that the (110) reflection plane is obtained after  
353 exfoliation. The nanosheets usually maintain the shapes and  
354 approximate lateral plate sizes of the original particles.<sup>18–22</sup>  
355 This is in agreement with the energy necessary to separate the  
356 cationic layers ( $-\Delta_{\text{int}}E_z$ , Figure 7), which is lower than the  
357 energy necessary to fragment them ( $-\Delta_{\text{int}}E_x$  and  $-\Delta_{\text{int}}E_y$ ,  
358 Figure 7).

359 The most consistent methodology to achieve the delamina-  
360 tion of LDHs resorts to their dispersion in formamide.<sup>18–22</sup>  
361 Using formamide as the exfoliating agent allows LDH  
362 nanosheets to be obtained spontaneously, without the need

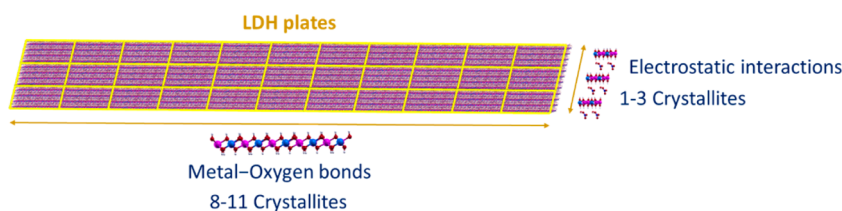


Figure 8. Number of crystallites and type of bonds responsible for the morphology of LDH particles.

363 of thermal treatment.<sup>19</sup> Nevertheless, this feature of LDHs and  
 364 the role of the interlayer solvent is still not well under-  
 365 stood.<sup>18–22</sup> In this work, the interlayer water molecule in  
 366 Zn(2)Al–NO<sub>3</sub> was substituted by one formamide molecule,  
 367 and the [Zn<sub>2</sub>Al(OH)<sub>6</sub>](NO<sub>3</sub>)·NH<sub>2</sub>COH structure was opti-  
 368 mized.

369 As depicted in Figure 9, formamide holds the ability to form  
 370 a network of hydrogen bonds similar to water. Each N–H in

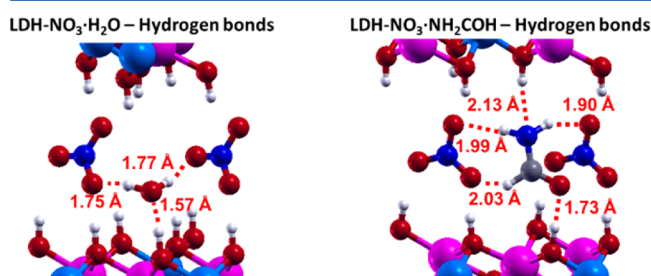


Figure 9. Hydrogen bonds and intermolecular distances for LDH–NO<sub>3</sub> with one water (left) and one formamide (right) molecule per anion (spheres color code: Zn, pink; Al, light blue; O, red; N, blue; H, white; C, gray).

371 the terminal NH<sub>2</sub> group of formamide (NH<sub>2</sub>C(=O)H) can  
 372 establish a hydrogen bond with one nitrate anion as it happens  
 373 with each O–H of the water molecules. Moreover, formamide  
 374 can also act as a hydrogen bond acceptor through its C=O  
 375 group; the latter can interact with hydrogen atoms from the

hydroxyl groups of the cationic layer similarly to the oxygen  
 376 atom of a water molecule. Moreover, in the case of formamide,  
 377 the hydrogen atom attached to the carbonyl group can also  
 378 establish C–H···O hydrogen bonds with nitrate anions while  
 379 the nitrogen atom of the amino group can form N···H–O  
 380 hydrogen bonds with hydroxyl groups of the cationic layer.  
 381 Therefore, the replacement of water by formamide molecules in  
 382 the interlayer regions is expected to benefit from the  
 383 conservation or even from the increase of the network of  
 384 hydrogen bonds in the case of formamide.

The calculations show that the substitution of one water  
 386 molecule by just one formamide molecule leads to the increase  
 387 of the basal *d*-space from 9.1 to 9.5 Å (Figure 10). The basal *d*-  
 388 space obtained for the solvation of the nitrate anion by one  
 389 water molecule is in agreement with the experimental  
 390 results.<sup>25,38</sup> As more solvent molecules enter the interlayer  
 391 (Figure 10 and Figure S5), the increase in basal *d*-space is  
 392 higher for formamide than for water. Accompanying this  
 393 increase of the basal *d*-space as the number of solvent  
 394 molecules per anion increase in the interlayer, there is a slight  
 395 decrease of the layer separation energy for formamide and an  
 396 increase for water, according to the energies obtained from  
 397 DFT calculations (cf. values in Figure 10). These energetic and  
 398 structural tendencies reflect the ability of formamide to  
 399 delaminate LDHs in comparison to water.

The exfoliation of LDHs<sup>18–22</sup> can be an important process to  
 401 enhance their catalytic activity<sup>18,23</sup> or to prepare LDHs for  
 402 modification toward biocompatible drug delivery.<sup>24</sup> By the  
 403

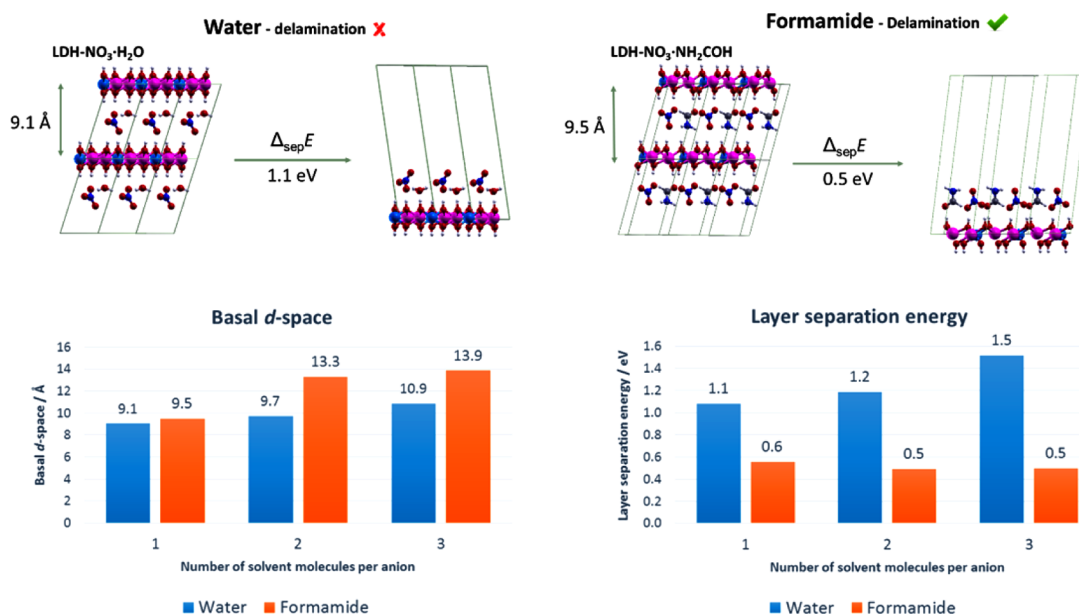


Figure 10. Basal *d*-space and layer separation energies ( $\Delta_{sep}E$ ) for LDH–NO<sub>3</sub> with water and formamide as interlayer solvents (spheres color code: Zn, pink; Al, light blue; O, red; N, blue; H, white; C, gray). Equations are unbalanced on purpose.

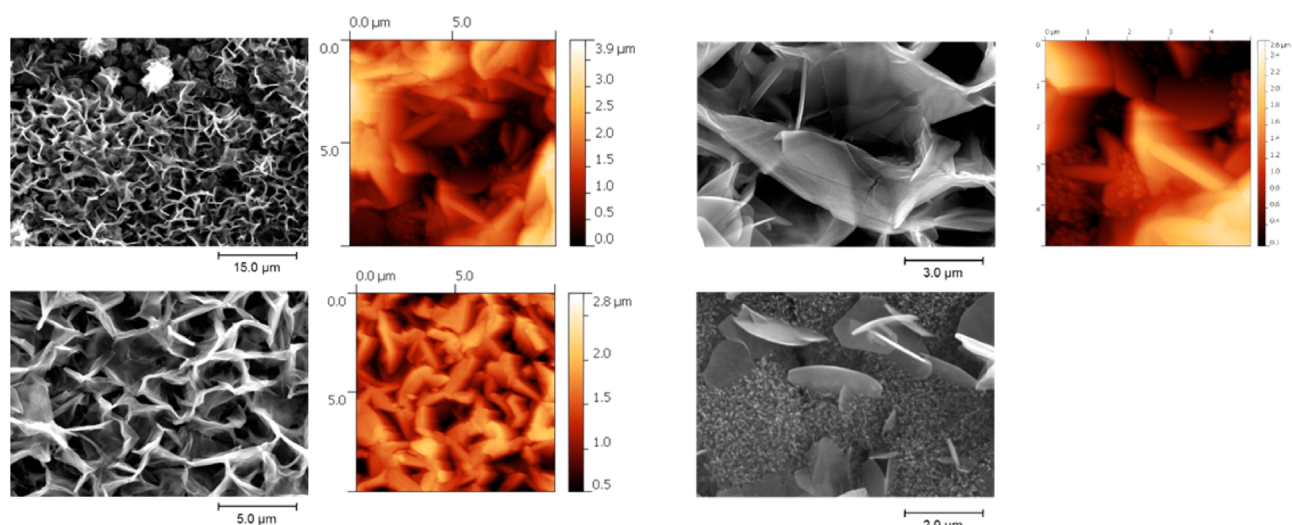


Figure 11. SEM (left) and AFM (right) images of  $\text{Zn}(2)\text{Al}-\text{NO}_3$  conversion films on AA2024.

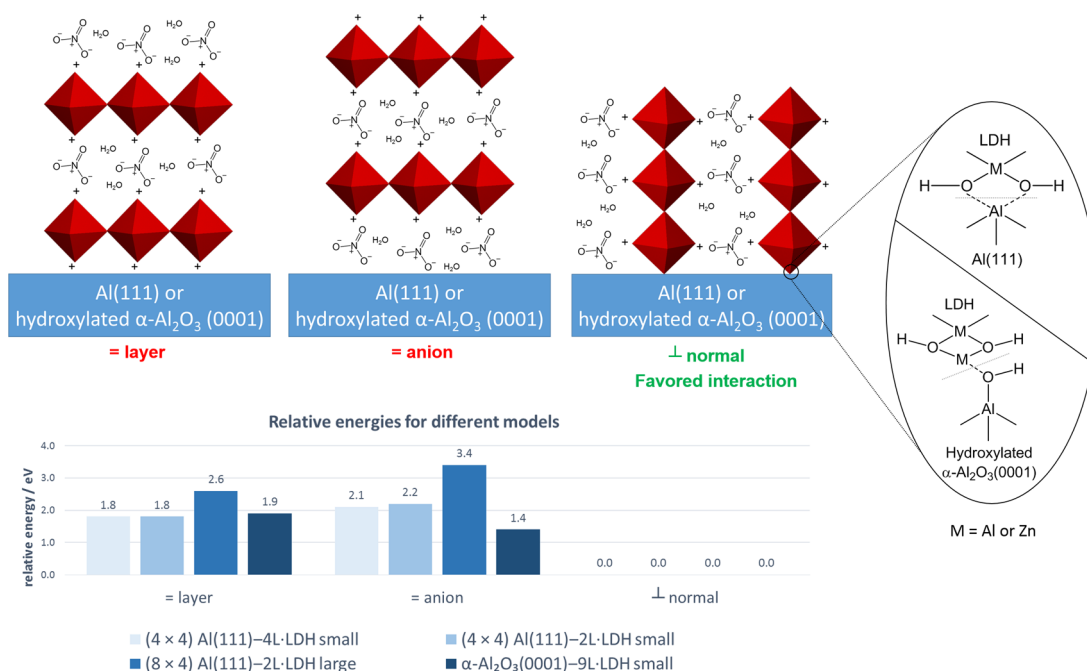


Figure 12. Results of the interaction between  $\text{Zn}_2\text{Al}$  clusters and periodic models of aluminum surfaces.

404 stability of the surface energies and the fact that it is the cationic  
 405 layers' separation energy in the direction normal to the surface  
 406 that is able to unveil the role of water and formamide in the  
 407 exfoliation process, it is possible to support the role of  
 408 nanosheets in defining the hexagonal plate morphology (Figure  
 409 6). Therefore, it is possible to infer about the position of the  
 410 cationic layers in the morphology of conversion films.

411 **Conversion Films.** In Figure 11, it is well noticed the  
 412 preference for LDH plates of conversion films to grow  
 413 perpendicularly to the aluminum substrate, according to SEM  
 414 and AFM images, rather than lying flat on top of the substrates  
 415 and growing in height. More extensive characterization of  
 416  $\text{Zn}(2)\text{Al}-\text{NO}_3$  conversion films grown on top of AA2024  
 417 substrates using the same methodology can be found in  
 418 previous studies.<sup>1,26,29</sup>

419 Taking into account the structure of LDH plates illustrated  
 420 in Figure 6 and supported by the analysis of surface energies

presented in Figure 7, it is possible to conclude that LDH plates  
 of conversion films (Figure 11) have the cationic layers  
 perpendicular to the aluminum substrate.

The interface between  $\text{Zn}(2)\text{Al}-\text{NO}_3$  conversion films and  
 the aluminum surface was studied considering two extreme  
 cases of the surface: the completely bare metal and the  
 hydroxylated aluminum oxide. The LDH plates may interact  
 with the aluminum oxide layer formed on top of aluminum  
 alloys,<sup>42</sup> modeled by a  $\alpha\text{-Al}_2\text{O}_3(0001)$  surface, or directly with  
 the bare metal through defects on the oxide layer,<sup>43</sup> modeled by  
 a Al(111) surface.

According to the results presented in Figure 12 and Figure  
 S6, on both surfaces, the most favorable interaction of the LDH  
 clusters is with the cationic layers perpendicular to the  
 aluminum surfaces. The results are consistent across the four  
 models examined in this study: a small  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3) \cdot$   
 $\text{H}_2\text{O}$  unit interacting with a  $(4 \times 4)\text{Al}(111)-4\text{L}$  slab, a small

438  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}$  unit interacting with a  $(4 \times$   
439  $4)\text{Al}(111)-2\text{L}$  slab, a larger  $[\text{Zn}_8\text{Al}_4(\text{OH})_{24}](\text{NO}_3)_4\cdot 4\text{H}_2\text{O}$   
440 unit interacting with a  $(8 \times 4)\text{Al}(111)-2\text{L}$  slab, and a small  
441  $[\text{Zn}_2\text{Al}(\text{OH})_6](\text{NO}_3)\cdot\text{H}_2\text{O}$  unit interacting with a 75%  
442 hydroxylated<sup>40</sup>  $\alpha\text{-Al}_2\text{O}_3(0001)-9\text{L}$  surface. For  $\text{Al}(111)$ , the  
443 hydroxyl groups of the cationic layer coordinate with the  
444 aluminum atoms of the surface. In the case of  $\alpha\text{-Al}_2\text{O}_3(0001)$   
445 instead, the metals of the LDH cationic layer coordinate with  
446 the hydroxyl groups resulting from the hydroxylation of the  
447 oxide surface in water.<sup>40</sup>

448 These results also elucidate the crystallization mechanism of  
449 LDH films. The mechanism has been rationalized by other  
450 authors<sup>30,44</sup> in terms of an initial random growth of crystal  
451 seeds. The DFT results point to a preferential orientation of  
452 seeds with the cationic layers normal to the surface even during  
453 early stages of crystal growth. After this early stage, even if seeds  
454 crystallize away from the surface in other directions, these  
455 eventually meet crystals growing with the cationic layers normal  
456 to the surface preventing the former from continuing the  
457 growing process.<sup>30,44</sup> The end result is the morphology  
458 observed in Figure 11.

459 To support the role of preferential orientation, we refer to a  
460 work relating to the growing of LDH films with different  
461 orientations on two sides of a glass substrate:<sup>45</sup> one bare, the  
462 other modified with poly(vinyl alcohol) (PVA). The synthetic  
463 approach used in that study also involved crystallization and  
464 hydrothermal treatment, such as the methodology employed in  
465 the conversion films analyzed herein. On the unmodified glass  
466 side, the particles were perpendicular to the surface similarly to  
467 the present study, whereas on the PVA modified glass side, the  
468 particles obtained were parallel to the surface possibly because  
469 of hydrogen bonding between the LDHs and PVA as pointed  
470 by Guo et al.<sup>45</sup> If there is a preferential interaction between  
471 LDH seeds and the PVA modified side,<sup>45</sup> it should also be true  
472 for cases where particles grow perpendicularly to the surface as  
473 shown in the present study.

474 The interaction between LDH clusters and metallic surfaces  
475 investigated by the present theoretical approach can be  
476 extended to other combinations of LDHs and surfaces. This  
477 approach can be used to understand the formation of LDH  
478 films in other fields beyond corrosion protection, such as OER  
479 catalysis<sup>46</sup> and in optical, electrical, and magnetic devices.<sup>47</sup>

## 5. CONCLUSIONS

480 It was shown from DFT surface energies that typical LDH  
481 particles have the cationic layers aligned parallel to the observed  
482 plate morphology defining their lateral size.

483 The stability of the exposed surfaces explains why LDH  
484 nanosheets obtained after exfoliation maintain approximately  
485 the same lengths and shapes of the original particles. The  
486 separation energies defined in this work together with the  
487 methodology used to comprehend the role of solvent can be  
488 useful to understand the formation of other LDH nanosheets  
489 applied in the catalysis of oxygen reduction reaction<sup>18,23</sup> or in  
490 biocompatible drug delivery.<sup>24</sup>

491 Combining DFT, SEM, and AFM analysis, it was established  
492 that  $\text{Zn}(2)\text{Al}-\text{NO}_3$  conversion films formed on top of AA2024  
493 have a preference to grow with the cationic layers perpendicular  
494 to the surface. The interface between the particles and the  
495 metallic surfaces was investigated by adsorbing  $\text{Zn}(2)\text{Al}-\text{NO}_3$   
496 clusters onto  $\text{Al}(111)$  and hydroxylated  $\alpha\text{-Al}_2\text{O}_3(0001)$   
497 surfaces. It was verified for both surfaces that the most  
498 favorable interaction is with the cationic layer perpendicular to

the surface, thus explaining the morphology of the conversion  
films and their crystallization process. The models used herein  
can be adapted to other LDH systems with different metals and  
anions, as well as to other metallic surfaces, and to describe  
systems used in corrosion protection,<sup>1</sup> OER catalysis,<sup>46</sup> and in  
optical, electrical, and magnetic devices.<sup>47</sup>

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the  
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AFM images, DFT energies, and Cartesian coordinates  
(PDF)

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### Notes

The authors declare no competing financial interest.

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