

1 **Knee posture during gait and global functioning post-stroke: a theoretical ICF framework**
2 **using current measures in stroke rehabilitation.**

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40 **Implications for the rehabilitation**

41 - Identification of abnormal knee posture in gait can indicate potential assessment and treatment
42 priorities e.g. knee flexor strength is a major determinant of functioning in patients with abnormal knee
43 posture and should be assessed;

44 - The identification of an abnormal knee posture post-stroke seems relevant for planning patient's
45 long-term needs (e.g., amount of caregiver support);

46 - The interpretation of functional measures based on the ICF framework can enhance clinical-
47 reasoning in rehabilitation post-stroke.

49

50

51 **Abstract**

52 PURPOSE:

53 To characterise the global functioning post-stroke in patients with normal knee posture (NKP) and
54 abnormal knee posture (AKP) during loading-response.

55 METHODS:

56 35 people, 6 months post-stroke, with NKP and AKP were identified and assessed using clinical
57 measures classified into the corresponding International Classification of Functioning, Disability and
58 Health (ICF) domains: weight function (body mass index); muscle power (knee isometric strength);
59 muscle tone (Modified Ashworth Scale); voluntary motor control (Leg sub-score of Fugl-Meyer scale);
60 walking short distances (5-meter walk test; Timed-Up and Go test); walking on different surfaces
61 (Functional Ambulation Categories); moving around (Falls Efficacy Scale); moving using equipment
62 (walking aids) and global assessment of function (WHODAS II). Age, gender, marital status, current
63 occupation and caregivers support characterised personal factors.

64 RESULTS:

65 Patients with AKP had significantly lower knee flexor strength, higher knee flexor and extensor
66 spasticity, more difficulty in maintaining a standing position, walking short and long distances, used
67 walking aids more often and needed more caregiver support. Restriction in activities and participation
68 were correlated with knee flexor strength for AKP and with knee spasticity for NKP group.

69 CONCLUSIONS:

70 AKP restricts functioning and participation.

71 **Introduction**

72 Stroke is a major cause of disability worldwide that seriously affects walking ability[1, 2] and functional
73 independence [3]. One of the most important walking impairments post-stroke is the reduced capacity
74 to dynamically transfer body weight in the direction of the paretic lower limb [4]. The body weight
75 transference occurs during the double stance period of walking, and includes two different phases:
76 initial foot contact and the loading response [5]. During the loading response, the foot comes into full
77 contact with the floor and body weight is fully transferred onto the stance limb. A normal knee posture
78 is necessary to ensure an efficient loading-response mechanism and is therefore, a key determinant
79 of walking ability [4]. Hence, regaining a normal knee posture (NKP) during gait is a primary target of
80 stroke rehabilitation and depends on normal knee biomechanics during loading response [6, 7]. The
81 knee is normally maintained in between 8 to 15 degrees of flexion during loading[8]. However, in
82 patients with stroke, two abnormal knee postures are commonly seen: knee hypoflexion (flexion<8
83 degrees)[9-11] or knee hyperflexion (flexion > 15 degrees)[12].

84 Despite the potential importance of knee posture to walking ability, little is known about the
85 relationship between knee posture, gait impairments and other measures of global functioning post-
86 stroke [13]. The use of an internationally agreed approach to measuring function may enhance
87 understanding of the relationship between kinematics and function and provide guidance for the
88 assessment and management of people with impaired gait following stroke.

89 The International Classification of Functioning, Disability and Health (ICF) provides a multidimensional
90 framework for assessing global functioning[14]. According to the ICF, clinical measures of functioning
91 and disability can be classified into two primary levels of functioning:(i) impairments of body functions
92 and structures (which define a significant loss or deviation of anatomy or physiology); and (ii)
93 restrictions to activities and participation (which estimate the difficulties experienced by an individual
94 in completing a given activity, life situation or role) [13, 15]. In addition, the ICF accounts for the
95 influence of contextual factors, both environmental (social support, work, attitudes from others) and
96 personal factors (race, gender, age, life style) on functioning [16]. This approach may facilitate
97 understanding of the interactions between different functional components and is recommended to
98 guide the comprehensive assessment and management of patients [14, 17]. The ICF framework
99 might be used in people with stroke who have an abnormal knee posture (AKP) during loading stroke
100 for several purposes, including: (i) identify the most important health components to be assessed in

101 these patients; (ii) to characterize the contribution of AKP to global functioning and (iii) inform possible
102 strategies for rehabilitation. The aim of this study was to characterise global functioning post-stroke in
103 patients with normal and abnormal knee posture during loading-response, using current stroke
104 rehabilitation measures to construct one ICF theoretical framework. This paper therefore sought to
105 answer the following research questions:

106 - What are the functional abilities (body function, activity or participation and need for care) of people
107 with stroke with i) normal and ii) abnormal knee posture during the loading phase?

108 -What is the relationship between knee posture during the loading phase and
109 patient functioning?

110

111 **Methods**

112 **Design and Ethics**

113 An exploratory descriptive study was conducted in four hospitals (Hospital of Anadia, Ovar,
114 Cantanhede and Tocha). The study received full approval from the ethics committee of each
115 institution. .

116

117 **Participants**

118 People with stroke were included if they (i) had a first ischemic stroke within the previous 6 months;
119 (ii) were able to walk at least 5 meters without a walking device but with human assistance, if
120 required; (iii) had lower limb hemiparesis, identified by a sensory-motor impairment scoring <34 on
121 the Fugl-Meyer); and (iv) had no previous history of other severe cardiovascular disease. People were
122 excluded if they had (i) involvement of the brainstem or cerebellum, identified via computed
123 tomography and (ii) a score< 27 in the Mini-Mental State Examination, indicative of cognitive
124 impairment[19].

125 Potentially eligible participants were identified and approached by the physiotherapists in each
126 hospital. Those who expressed an interest were contacted by the researcher and an appointment was
127 scheduled. Detailed written information about the study was provided and written informed consent
128 obtained. Thirty-five people fulfilled the inclusion criteria and all agreed to participate in the study.

129

130 **Data collection**

131 The following clinical measures, which are commonly used to assess patients and/or predict stroke
132 recovery [20-29] were employed to describe and compare global functioning in participants with
133 normal and abnormal knee posture: Isometric muscle strength (knee extensors, knee flexors)[20],
134 Modified Ashworth Scale[21], Leg sub-score of Fugl Meyer [22], structure of brain affected, 5-meter
135 Walk at self-selected speed, 5-meter Walk at fast speed, Timed Up and Go test [23], Functional
136 Ambulatory Category [24], Falls Efficacy Scale [25], Use of walking aid [27] and World Health
137 Organization Disability Assessment Schedule[28] and Body Mass Index (BMI) [29]. Each clinical
138 measure was then linked to the relevant ICF categories (body functions and structures and activities
139 and participation using corresponding ICF codes published by Ustun et al. [29] and Mudge et al. [13]).
140 Relevant personal factors were also collected from the participant's clinical files. The list of clinical
141 measures and personal factors used within each domain are presented in Figure 1.

142

143 **[Figure 1]**

144

145 **Health Condition: knee posture during gait in people with stroke.**

146 **Clinical diagnosis**

147 The side of hemiparesis and time post-stroke when patients began to walk (even with some physical
148 assistance) were collected from medical notes. These are two good predictors of functioning post-
149 stroke [30] and relevant for general stroke diagnosis.

150

151 **Determining abnormal knee posture during gait**

152 An 8 meter walkway was mapped out in a hospital corridor with tape, with markers placed at 0m,
153 1.5m, 4m, 6.5m, 8m. The first and last 1.5m were used for acceleration and deceleration. A two
154 dimensional video camera (Sony HDR-PJ50VE, 640x360 pixels, 20Hz) was positioned perpendicular
155 to the corridor at the 4m mark. Each participant was videoed in the sagittal plane whilst walking at a
156 self-selected comfortable speed. Reflective markers were placed on the head of the fifth metatarsal,
157 posterior heel, lateral malleolus of the ankle, lateral epicondyle of the femur and greater trochanter of
158 the hip [31]. The video recording was manually checked and the last frame before the non-affected
159 foot lifted off the floor was selected as a standardised reference frame of the loading-response [32].

160 Static images of each of these reference frames were captured and printed. Two physiotherapists,
161 who had been trained to analyse these images, independently classified the posture of the stance
162 knee in this frame as being 1) normal (8-15 degrees of flexion); 2) hyperflexed (>15 degrees of
163 flexion) or 3) hypoflexed (<8 degrees of flexion) [32]. The inter-rater agreement was calculated using
164 the Intra-Class Correlation Coefficient (ICC_{2,k}) using standard classifications: ICC scores >0.4 = poor
165 agreement; ICC between 0.4 and 0.7 = fair to substantial agreement; ICC >0.7 = high agreement [33].
166 Any disagreements were resolved by discussion with a third independent physiotherapist. Patients
167 allocated to category 1 were considered participants with "NKP" and subjects allocated to categories
168 2 and 3 were considered participants with "AKP". This observational method for gait analysis has
169 been considered a low cost, simple and adequate measurement tool, particularly when undertaken by
170 well-trained therapists [34].

171

172 **Body Functions and Structures**

173 The following components of body function and structure, which were potentially relevant to knee
174 posture during gait were measured as described below.

175

176 *s110, structure of brain.* Computed tomography scans were classified according to the Bamford et al.
177 [35] classification which defines stroke sub-types according to the region of cerebral infarction.

178

179 *b530, weight maintenance function.* BMI, an estimate of body fat based on height and weight, is an
180 anthropometric predictor for walking recovery post-stroke [29].

181

182 *b730, muscle power function.* Maximal isometric muscle strength, a reliable measure of lower limb
183 strength in people with stroke [20], was assessed in knee flexors and extensors of the paretic lower
184 limb. Values were collected using a hand held dynamometer (Microfet, Hoogan Health Industries,
185 Draper, UT USA) following break-test standard procedures, i.e., the examiner applied resistance to
186 knee flexors (knee maintained in 90° flexion), then to the knee extensors (knee maintained in 90°
187 extension) while the participant was seated [36-39]. Three trials, which lasted 6 seconds each, were
188 performed for each muscle group with 30 to 60-seconds interval between each trial [40].

189

190 *b735, muscle tone.* The Modified Ashworth Scale (MAS) a reliable measure of lower limb muscle tone
191 after stroke [21] was used to assess knee flexor and extensor muscle tone of the paretic lower limb.
192 This scale consists of a 6-point rating scale of increasing muscle tone between 0 ("no increase in
193 tone") and 5 ("limb rigid in flexion or extension")[21].

194

195 *b750, motor reflex functions and b760, control of voluntary movement.* The leg sub-score of the Fugl-
196 Meyer scale (FM) was used to assess motor reflex functions and control of voluntary movement. This
197 scale is a feasible and efficient clinical method for measuring sensory-motor stroke recovery [22]. The
198 leg sub-score is a numerical scoring system for measurement of reflexes, joint range of motion,
199 coordination and speed. Each item is scored on a 3-point ordinal scale with a maximum score of 34
200 [41].

201

202 **Activities and Participation**

203 The following activity and participation measures, which were considered potentially related to knee
204 posture during loading-response, were performed:

205

206 *d450, walking short distances.* The 5-meter walk and the Timed-Up and Go-Test (TUG) were used to
207 asses this category. Self-selected and fast walking speed were assessed using the 5-meter walk test
208 (5mWT) [42] performed following standard procedures [23]. Patients walked three trials at their
209 comfortable speed, with a 5-minute interval between each trial and then repeated the same
210 procedures at their fastest speed. The TUG reliably assesses the ability to perform sequential motor
211 tasks involving walking and turning [43] by measuring the time needed to stand from an arm chair,
212 walk 3 meters, turn, return and sit down [44]. Participants performed three trials with a 5-minute
213 interval between each trial.

214

215 *d5502, walking on different surfaces (level/uneven/slopes).*The Functional Ambulation Category
216 (FAC) is a valid and reliable functional walking test that evaluates ambulation ability in people with
217 stroke [24] using a 6-point scale to determine the amount of human support needed when walking on
218 different surfaces, regardless of whether or not they use a personal assistive device [24]. Patients can
219 be rated on the following conditions: cannot walk or needs help from 2 or more people (score 0);

220 needs firm continuous support from 1 person who helps with weight bearing and balance (score 1);
221 needs continuous or intermittent support of one person to help with balance and coordination (score
222 2); requires supervision or stand-by help from one person but not physical contact (score 3); can walk
223 independently on level ground, but requires help on stairs, slopes or uneven surfaces (grade 4); can
224 walk independently anywhere (score 5). Participants classified with score 5 were considered as
225 having no impairment in ambulation.

226

227 *d455, moving around.* The Falls Efficacy Scale (FES) [45] which measures fear of falling while
228 performing common daily activities, was included to assess this domain. The FES, a valid and reliable
229 measure of self-perceived balance in people with stroke [25, 26], is a 10-item self-report questionnaire
230 describing common daily activities, each rated from 1 (no fear of falling) to 10 (fear of falling).

231

232 *d465, moving around using equipment.* Patients were classified into two categories, considering their
233 ability for walking indoors: "needs walking aid" or "doesn't need walking aid". For data analysis,
234 patients were assigned a 0 (doesn't need walking aid) or a 1 (need a walking aid), in a binary scale.

235

236 *Global Assessment of Function.* The World Health Organisation Disability Assessment Schedule 2.0
237 (WHODAS 2.0) is a multidimensional instrument that is conceptually based on the ICF and captures 6
238 major life domains: (1) cognition, (2) mobility, (3) self-care, (4) getting along with people, (5) life
239 activities and (6) participation [28]. A 5-point Likert scale is used to grade the difficulty experienced by
240 the participant while performing activities into each domain: 1-none; 2-mild; 3- moderate; 4-severe; 5-
241 extremely or cannot do. The following WHODAS domains were excluded in this study: (1) Cognition,
242 as cognitive impairment had been screened previously by the Mini-Mental State Examination; (5) Life
243 activities, questions about work and/or school attendance (d5.5-d5.8) and (6) Participation, questions
244 about human rights and emotional functions were considered irrelevant to be scored as all patients
245 had not returned to work/education at 6 months post-stroke.

246 The corresponding ICF categories in each WHODAS' domain included were:

247 (2) *Mobility Domain (5 questions):* (2.1) d4154, maintaining a standing position; (2.2) d4104, standing;
248 (2.3) d460, moving around within the home (moving by means other than walking, e.g. climbing); (2.4)

249 d4602, moving around outside the home and other buildings (less than 1 km); (2.5) d501, walking
250 long distances;
251 (3) *Self-care Domain* (4 questions): (3.1) d5101, washing whole body; (3.2) d540, dressing; (3.3) d550,
252 eating; (3.4) d510-d650, multiple self-care and domestic life tasks;
253 (4) *Getting along Domain* (5 questions): (4.1) d730, relating to strangers; (4.2) d750, informal
254 relationships with friends; (4.3) d760, family relationships and d770, intimate relationships and d750,
255 informal social relationships; (4.4) d7500 informal relationships with friends and d720, forming
256 relationships; (4.5) d7702, sexual relationships.
257 (5) *Life activities Domain* (4 questions from a total of 8 included): (5.1) d6, domestic life; (5.2-5.4)
258 d640, doing housework; d210, undertaking a single task; d220, undertaking a multiple task.
259 (6) *Participation Domain* (5 questions): (6.1) d910, community life; (6.2) d9, community, social and
260 civic life; (6.6) d870, personal economic resources;(6.8) d920, recreation and leisure items were
261 considered in this study.

262

263 **Personal factors**

264 Socio-demographic data (age, gender, marital status, current occupation, formal and informal
265 caregiver support) were collected as personal factors.

266

267 **Data Analysis**

268 Patients were stratified into two groups according to the physiotherapist's categorisation of knee
269 posture in the loading-response: normal (NKP) or abnormal (AKP). The inter-rater agreement for this
270 categorisation was measured using Cohen's kappa statistics. The following benchmarks were used
271 for interpreting kappa [46]: < 0- poor agreement; 0.0 – 0.20, means slight agreement; 0.21 – 0.40,
272 means fair agreement; 0.41 – 0.60, moderate agreement; 0.61 – 0.80, substantial agreement; 0.81 –
273 1.00, almost perfect agreement.

274 Descriptive statistics were used to characterise both groups and describe global functioning
275 according to the main categories in the ICF framework. Where three trials had been performed
276 (muscle strength, 5-meter walk test and TUG) the mean of these trials was calculated. To assess
277 significant differences between the NKP and AKP groups, Mann-Whitney tests were used for ordinal

278 data and Chi-Square tests for categorical data. From this analysis, discriminant ICF categories
279 between groups were identified. These discriminant categories were then entered into a logistic
280 regression model to determine any linear associations between body functions and structures,
281 activities and participation and personal factors in each group. This would contribute to understand
282 interactions between knee posture and functionality. Data analyses were performed using the
283 Statistical Package for Social Sciences (SPSS, Version 19). A significance level of 0.05 was used for
284 all statistical tests.

285

286 **Results**

287 Thirty five patients with stroke (mean age 69.3 ± 11.2 years; 22 males) participated in this study. Three
288 outliers (1 male, 2 female) were identified and removed from further analyses.

289 Fifteen (46.8%) participants were allocated to the AKP group and seventeen (53.1%) to the NKP
290 group. The inter-rater agreement for the categorisation of knee posture was moderate ($k=0.50$).

291

292 **Knee posture during loading-response and clinical diagnosis**

293 Clinical diagnosis is characterised in Table 1. During loading-response 73.3% (n=11) of the AKP
294 group presented a pattern of knee hypoflexion and 26.7% (n=4) a pattern of knee hyperflexion. No
295 significant differences for time post-stroke when participants began to walk or the side of hemiparesis
296 were seen between AKP and NKP groups (Table 1).

297

298 **[Table 1]**

299 **Body functions and structures**

300 Those in the AKP group had significantly lower knee flexors strength (b730) (5.26 ± 2.78 vs 7.35 ± 2.78
301 Kgf; $p=0.044$) and significantly higher spasticity (b735) for the knee extensors (0.73 ± 1.22 vs
302 0.06 ± 0.242 ; $p=0.033$) and knee flexors (0.93 ± 1.28 vs 0.12 ± 0.332 ; $p=0.029$). No significant differences
303 were found for BMI ($p=0.737$), motor reflexes or control of voluntary movement ($p=0.089$). No
304 significant differences were seen between groups for stroke sub-types (s110). ($p=0.803$). The
305 frequency and extent of impairments of body functions and structures in the AKP and NKP groups are
306 presented in Table 2.

307 [Table 2]

308

309 **Activities and participation**

310

311 Significant differences between groups were found in the categories of: walking short distances
312 (d450.0); moving around (d455); walking on different surfaces (d550.2); and moving around using
313 equipment (d465). Compared with the AKP group, the NKP group showed significantly better
314 performance in all of the clinical measures related to mobility such as 5MWT at self-speed (0.30 ± 0.15
315 m/s vs 0.44 ± 0.23 m/s, $p=0.040$) and fast-speed (0.50 ± 0.32 m/s vs 0.84 ± 0.33 m/s, $p=0.016$) and TUG
316 (28.6 ± 18.66 s. vs 16.16 ± 10.75 s., $p=0.024$). Participants in the AKP group showed statistically
317 significant higher fear of falling during daily task performance (FES, 46.20 ± 24.25 vs 25.12 ± 2.6 , $p =$
318 0.011), had worse mean scores for walking on different surfaces (FAC, 3.33 ± 1.29 vs 4.24 ± 1.03 ,
319 $p=0.040$) and were more likely to need a walking aid (11% vs 5%, $p=0.013$).

320 Participants in the AKP group also found maintaining a standing position (d4154 - 2.80 ± 1.15 vs
321 1.82 ± 0.95 , $p=0.014$), moving around within the home (d460 - 2.27 ± 1.10 vs 1.41 ± 0.71 , $p=0.012$) and
322 walking long distances (d501; 4.20 ± 0.90 vs 2.65 ± 1.41 , $p=0.002$), significantly more difficult. "Staying
323 by yourself for a few days" and "Doing most important household tasks well" were also significantly
324 more difficult for participants in AKP group (3.80 ± 0.86 vs 2.71 ± 1.05 ; $p=0.004$ and 4.53 ± 0.74 vs
325 3.71 ± 1.31 ; $p= 0.05$).

326 No significant differences were found across groups in the following ICF domains: (i) life activities,
327 (d6, domestic life, d640, doing housework, d210, undertaking a single task, d220, undertaking a
328 multiple task); (ii) getting along with people (d730, relating with strangers, d7500, informal
329 relationships, d760, family relationships, d770, intimate relationships, d720, forming relationships,
330 d7702, sexual relationships) and (iii) participation (d910, community life; d9 community, social and
331 civic life; b152, emotional functions; d870, personal economic resources; d920, recreation and
332 leisure).

333 Results of the frequency and extent of problems in the clinical measures assessing activity and
334 participation domains in the AKP and NKP groups are presented in table 3.

335

336 [Table 3]

337

338 **Personal Factors**

339 Participants' characteristics were generally similar in both AKP and NKP groups, regarding age
340 (71.01 ± 10.95 vs 69.80 ± 9.75 , $p=0.496$) and gender (66.7% vs 70.6% male, $p=0.811$). Most
341 participants in both groups were married and retired. The need for caregiver support was significantly
342 different between groups ($p=0.002$). In the AKP group all participants needed caregiver support for
343 daily living activities and about 73% ($n=11$) of these needed a formal caregiver. In the NKP group,
344 approximately 50% ($n=8$) also needed some caregiver support for daily living activities performance
345 (Table 4).

346

347 **[Table 4]**

348

349 **Regression Analysis**

350

351 For participants in the AKP group, knee flexor strength correlated significantly with performance in
352 TUG (sec.) ($r=-0.632$; $r^2=0.40$; $p=0.011$), fast walking speed (m/s) ($r=0.606$; $r^2=0.37$; $p=0.017$) and
353 FES ($r=-0.532$; $r^2=0.28$; $p=0.041$). Statistical significant associations between body functions and
354 structures, activities and participation and personal factors, for patients with AKP are presented in
355 Figure 2.

356

357 **[Figure 2]**

358

359 For participants in NKP group, knee extensor tone correlated significantly with performance in TUG
360 ($r=0.646$; $r^2=0.42$; $p=0.005$), standing ($r= 0.474$; $r^2=0.22$; $p=0.055$) and walking long distances
361 ($r=0.575$; $r^2=0.12$; $p=0.016$). High knee flexor tone correlated significantly with the need for caregiver
362 support ($r=0.454$; $r^2=0.21$; $p=0.067$). Statistically significant associations between body functions and
363 structures, activities and participation and personal factors, for patients with NKP are fully presented
364 in Figure 3.

365

366 **[Figure 3]**

367

368 **Discussion**

369 This exploratory study identified AKP during the loading-response at 6 months post-stroke as
370 a key indicator of impaired global functioning on the ICF. AKP assessed by experienced
371 physiotherapists using a simple visual assessment was significantly associated with gait impairments
372 such as walking speed, walking distances, ability to walk on different surfaces, the need for a walking
373 aid, fear of falling and the need for a carer. Our study also identified impairments of knee flexor
374 strength and increased tone in knee flexors and extensors as associated underlying impairments
375 linked to these gait deficits. Visual identification of AKP can therefore act as a simple clinical indicator
376 of the need to assess and, when appropriate, to treat, the body structure and activity related
377 parameters identified in this study. This is important, given the negative impact on personal
378 independence [3], risk of falls [29] and increased treatment and care costs [47] which appear to be
379 linked to AKP.

380

381 The findings of this study suggest that knee flexor weakness and spasticity in flexors and extensors
382 may contribute to abnormal knee posture in the loading-response. Previous literature, identified
383 weakness of knee extensors as the cause of excessive knee flexion and increased extensor tone as
384 the cause of excessive knee extension during loading-response [48-53]. Our results also confirm an
385 association between extensor hypertonicity and AKP but none with knee extensor weakness.
386 Moreover, our results indicate that impairments of knee flexor strength and tone are also associated
387 with abnormal knee posture. There has been relatively little exploration of the importance of knee
388 flexor weakness in post-stroke gait although recent studies have indicated isometric flexor weakness
389 to be greater than that of the extensors in those with hemiparesis [54, 55]. There are logical reasons
390 for the development of knee flexor weakness in patients with AKP. First, there is a tendency to
391 develop selective weakness in short muscles after stroke [56]; this is likely to occur in people who
392 walk on a hyperflexed knee. Alternatively, knee flexor weakness can be developed because of the
393 lack of minimum concentric work [57, 58]; this is likely to be present in people who walked on a
394 hypoflexed knee.

395 In people with AKP, restriction in activities and participation (moving around and walking
396 short/long distances) were associated with impairment in knee flexor strength; for those with NKP,

397 high knee extensor tone was associated with restrictions in standing, in walking short/ long distances
398 and the need of more caregiver support). It appears therefore, that the global functioning of those with
399 normal or abnormal knee posture is differentially affected by weakness or spasticity. Knee posture
400 could be therefore a good potential parameter to help researchers and clinicians define appropriately
401 tailored rehabilitation strategies post-stroke.

402 Our results demonstrated significantly different levels of spasticity and relationships to global
403 functioning between those with AKP and NKP. In particular, knee extensor spasticity appeared to
404 affect standing ability, gait speed and distance more in those with NKP. One previous study [59]
405 confirmed that the knee extensor spasticity often developed after stroke as a compensation to allow
406 weight bearing, with high extensor tone increasing stability but having consequent reduction in
407 walking efficiency (such as speed). Thus, spasticity can be a compensatory strategy promoting
408 functioning, albeit at reduced efficiency. However, a negative effect of knee flexor spasticity was
409 observed in NKP patients' dependence on caregivers for performing daily activities post-stroke.
410 Which probably explained this association is that high spasticity of knee flexors may inhibit adequate
411 voluntary activation of knee extensors, that is necessary to perform functional activities, such as
412 walking, stair climbing, and sitting down [60, 61]. The relationship between knee flexor spasticity and
413 carer dependency could provide therefore important societal and economic insights in the
414 rehabilitation post-stroke.

415 No differences between groups (AKP, NKP) were detected in motor reflex and motor control
416 using the Fugl-Meyer, yet, using the MAS, significantly higher spasticity was recorded for participants
417 with IKP. This is a surprising result, given that spasticity is, by definition an abnormality of reflex
418 activity. The MAS, although often used in clinical practice, does not reliably distinguish between the
419 resistance to passive stretch caused by the tonic stretch reflex or by possible intrinsic changes to the
420 viscoelastic properties of muscles [62]. Furthermore, post-stroke the increase in resistance to passive
421 stretch tends to be only associated with viscoelastic muscle changes and not with neural components
422 (tonic stretch reflex) [63]. Our findings further challenge the validity of the MAS as a measure of
423 spasticity.

424 Although the need for caregiver support was associated with other ICF components in people
425 with NKP, no significant correlations were found in people with AKP. This gap in our findings hinders
426 the earlier identification of rehabilitation priorities in this group. As the functional dependence is a

427 great social financial burden after stroke [47, 64, 65], future related studies should adopt other clinical
428 measures (in addition to strength, spasticity etc.) to further explore other possible associations with
429 the caregiver support requirement in people with AKP.

430 Our data showed at 6 months post stroke, all participants (independent of knee posture) had
431 severe difficulties in participation in community, social and civic life. Although relationships between
432 walking and reduced participation were identified in this study, it is clear that return to full and
433 meaningful community integration requires more than a focus on physical functioning, confirming
434 previous research [66, 67].

435

436 In summary, this study showed that: (i) impaired knee posture in loading-response is a very
437 disabling impairment, associated with severe restrictions in patients' functioning; (ii) patients with AKP
438 and NKP present different profiles using the ICF template, which might reflect different priorities and
439 long-term treatment needs; (iii) the ICF framework is a useful instrument to report, critique and
440 correlate stroke clinical measures using a standardised language.

441

442 **Limitations and recommendations for future research**

443 This study present potential limitations. Firstly, this is a cross-sectional descriptive study
444 which can only determine associations rather than cause/effect relationships. Nevertheless, our study
445 provided important clinical indicators suggesting differential patterns of functioning between those
446 presenting with normal and abnormal knee posture during loading. These findings may guide the
447 development of future related studies where gait intervention protocols are tailored to the underlying
448 impairments. Moreover, this study had a relatively small sample size (n= 32). In future studies, larger
449 samples would facilitate more detailed investigation of knee posture, for example, discriminating
450 between participants with knee hyperextension and those with knee hyperflexion. Finally, the clinical
451 measures currently used to assess spasticity (MAS) and motor control (Fugl-Meyer) did not allow
452 distinction between changes in muscle visco-elastic properties or by reflex changes [68]. Measures
453 which enable accurate distinction are needed for further studies.

454

455 **Conclusions**

456 A high percentage of patients presented with AKP in the first 6 months post-stroke. In general,
457 patients with AKP presented with significantly more severe impairments in body functions (weakness

458 of knee flexors; spasticity of knee flexors and extensors) activities and participation (walking short
459 distances, moving around, walking in different surfaces, maintaining a standing position, getting out of
460 home, needed a walking aid more frequently). Simple visual identification of abnormal knee posture
461 during loading may therefore, be an important clinical indicator of reduced global functioning post-
462 stroke prompting more detailed assessment from therapists.

463 Knee flexor strength and knee spasticity might be key determinants of activities and
464 participation for patients with AKP and NKP respectively. Moreover, knee posture seems to be an
465 important indicator of the amount of caregiver support needed post-stroke and could be used to
466 anticipate patient's needs.

467 The ICF framework proved to be a valuable tool to interpreting relationships between
468 impairments of body structures, and restrictions in activity and participation, facilitating better clinical-
469 reasoning in rehabilitation post-stroke.

470

471 **Declaration of Interests**

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474

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478

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