

TWO NONTRIVIAL SOLUTIONS OF A CLASS OF ELLIPTIC EQUATIONS WITH SINGULAR TERM

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ABSTRACT. We consider the existence of nontrivial solutions of the equation

$$-\Delta u - \frac{\lambda}{|x|^2}u = |u|^{2^*-2}u + \mu|x|^{\alpha-2}u + f(x)|u|^\gamma, \quad x \in \Omega \setminus \{0\}, \quad u \in H_0^1(\Omega),$$

where $0 \in \Omega$ is a smooth bounded domain in \mathbb{R}^N ($N \geq 3$). By variational methods and Nehari set techniques, we show that this equation has at least two nontrivial solutions in $H_0^1(\Omega)$, under some additional hypotheses on $\lambda > 0$, $\mu > 0$, $\alpha > 0$, $0 \leq \gamma < 1$ and $f \in L^\infty(\Omega)$, which may be sign-changing. If $f > 0$ then the solutions are positive.

1. Introduction. Let $0 \in \Omega \subset \mathbb{R}^N$ ($N \geq 3$) be a bounded domain with smooth boundary, and $2^* \doteq 2N/(N-2)$ denote the critical Sobolev exponent. Here, we study the existence of nontrivial $u \in H_0^1(\Omega)$ that satisfies the following problem

$$\begin{cases} -\Delta u(x) - \frac{\lambda}{|x|^2}u(x) &= |u(x)|^{2^*-2}u(x) + \mu|x|^{\alpha-2}u(x) + f(x)|u(x)|^\gamma & \text{in } \Omega \setminus \{0\}, \\ u(x) &= 0 & \text{on } \partial\Omega, \end{cases} \quad (1)$$

and we prove that there are (at least) two solutions both positive for suitable values of $\lambda, \alpha, \mu, \gamma$ and hypotheses on the nonlinearity f .

Classes of elliptic equations which include Eq.(1) has a lost of compactness phenomena, since the nonlinearity has a critical growth imposed by the critical exponent 2^* of the Sobolev embedding $H_0^1(\Omega)$ into $L^{2^*}(\Omega)$. This means that we could not use standard variational methods. On the other hand, due to the presence of the singular term $\frac{\lambda}{|x|^2}$, the problem has a strong singularity at $0 \in \Omega$.

Elliptic equations with critical exponent have been studied by many authors (e.g., see [9, 2]). For $\lambda = 0$, $\mu = 0$, and odd nonlinearity, Li-Zou [10] obtained infinitely many solutions of Eq.(1). For more related results, we refer the interested readers to [6, 11, 12].

Elliptic equations containing simultaneously the critical exponent and a singular term ($\lambda \neq 0$), which are particular cases of Eq.(1), were considered in the literature as Ferrero-Gazzola [7], which established the existence of solutions whenever $\alpha = 2$, $f \equiv 0$, μ belongs to a left neighborhood constant width of any eigenvalue, and

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suitable restrictions on the spatial dimension N exists. Note that in our case, the presence of suitable unbounded coefficients $|x|^\alpha$ will allow us to release the restriction on the spatial dimension N . Other relevant studies are the works of He-Zou [8], for $\mu = 0$ and under some conditions on $f(x, u)$, Tarantello [13] and Chen [3], for $\alpha = 2$ and $f \equiv 0$. For $\alpha = 2, \lambda = 0$ and $\gamma = 0$, Tarantello [14] proved the Eq.(1) with Neumann condition has three solutions, one of which necessarily changes sign. When $\alpha = 2, \gamma = 0$ and $N \geq 7$, Kang-Deng [9] proved the existence of two nontrivial solutions of Eq.(1) provided f satisfies some additional conditions. However, to our knowledge, there are no results containing both singular term and critical Sobolev exponent for the nonlinearity $f(x)|u|^\gamma$, with $\mu \neq 0$ and $\alpha \neq 2$. However, for $\gamma = 0$, Chen-Rocha [5] recently showed the existence of four solutions for Eq.(1), one of which changes sign.

In the present paper, motivated by overcoming the difficulties above, and the results of Chen-Rocha [5], we will show using variational methods and Nehari set techniques that Eq.(1) has at least two nontrivial solutions in the Sobolev space $H_0^1(\Omega)$, which are positive when $f > 0$.

Let us introduce some notation and remarks. Define the best constant in the Hardy inequality by $\Lambda \doteq (N - 2)^2/4$, and, for convenience of presentation, define the functionals

$$T(u) \doteq \int_{\Omega} |\nabla u|^2 - \left(\frac{\lambda}{|x|^2} + \mu|x|^{\alpha-2} \right) |u|^2 dx, \quad U(u) \doteq \|u\|_{2^*}^2, \quad F(u) \doteq \int_{\Omega} f|u|^\gamma u dx.$$

Since Eq.(1) is variational, mainly because of the Hardy inequality, we say that $u \in H_0^1(\Omega)$ is a (weak) solution of Eq.(1) if and only if u is a critical point of the Euler functional

$$I(u) \doteq \frac{1}{2}T(u) - \frac{1}{2^*}U(u) - \frac{1}{\gamma + 1}F(u),$$

i.e. for any $v \in H_0^1(\Omega)$ there holds

$$\int_{\Omega} (\nabla u \nabla v - \frac{\lambda}{|x|^2} uv - \mu|x|^{\alpha-2} uv - |u|^{2^*-2} uv - f|u|^\gamma v) dx = 0.$$

We also define the functionals (derivatives of I)

$$Q(u) \doteq T(u) - U(u) - F(u) \quad \text{and} \quad J(u) \doteq 2T(u) - 2^*U(u) - (\gamma + 1)F(u).$$

So, Q and J are well defined C^1 -functionals on $H_0^1(\Omega)$. Define the Nehari set

$$M \doteq \{u \in H_0^1(\Omega) \setminus \{0\} : Q(u) = 0\}.$$

and the subsets of M defined by the sign of J (second derivative of I)

$$M^+ \doteq \{u \in M : J(u) > 0\}, \quad M^0 \doteq \{u \in M : J(u) = 0\}, \quad M^- \doteq \{u \in M : J(u) < 0\}.$$

From the work of Chaudhuri-Ramaswamy [2], we know that

$$\mu_1 \doteq \inf \left\{ \int_{\Omega} \left(|\nabla u|^2 - \frac{\lambda}{|x|^2} |u|^2 \right) dx : \int_{\Omega} |x|^{\alpha-2} |u|^2 dx = 1 \right\} > 0.$$

Define the value

$$\mathbf{S} \doteq \inf \left\{ \left(T(u) \right)^{\frac{1}{2}} : \int_{\Omega} |u|^{2^*} dx = 1 \right\}.$$

Remark 1. If $0 \leq \lambda < \Lambda$, and $0 < \mu < \mu_1$. Note that, using Hardy inequality and Sobolev embedding, we have $\mathbf{S} > 0, T(u) > 0$ for all $u \in H_0^1(\Omega) \setminus \{0\}$ and $T(0) = 0$.

For any $u \in H_0^1(\Omega) \setminus \{0\}$, define the positive value

$$t_{\max} \equiv t_{\max}(u) \doteq \left(\frac{1-\gamma}{2^*-\gamma-1} T(u) U(u)^{-1} \right)^{\frac{N-2}{4}}$$

and the functional $\Phi_* : H_0^1(\Omega) \setminus \{0\} \rightarrow \mathbb{R}$ by

$$\Phi_*(u) \doteq t_{\max}(u)^{1-\gamma} T(u) - t_{\max}(u)^{2^*-\gamma-1} U(u) = C_{(\gamma,N)} T(u)^{\frac{2^*-\gamma-1}{2^*-2}} U(u)^{-\frac{1-\gamma}{2^*-2}},$$

where $C_{(\gamma,N)} \doteq \left(\frac{1-\gamma}{2^*-\gamma-1} \right)^{\frac{1-\gamma}{2^*-2}} \left(\frac{2^*-2}{2^*-\gamma-1} \right)$. Let $B_\epsilon \doteq \{w \in H_0^1(\Omega) : \|w\| < \epsilon\}$, $\tilde{\mu}_f \doteq \inf_{u \in H_0^1(\Omega)} \{\Phi_*(u) - |F(u)|\}$ and the infimum introduced by Tarantello [14]

$$\mu_f \doteq \inf_{U(u)=1} \left\{ C_{(\gamma,N)} T(u)^{\frac{2^*-\gamma-1}{2^*-2}} - F(u) \right\}.$$

Remark 2. If $\tilde{\mu}_f > 0$ then $\mu_f > 0$.

In what follows, we state the main result (Theorem 1.1), for such we consider the following hypotheses:

- (H₀) $0 \leq \lambda < \Lambda, 0 < \mu < \mu_1, 0 < \alpha < \sqrt{\Lambda - \lambda}, f \in L^\infty(\Omega)$, and $\tilde{\mu}_f > 0$;
- (H₁) $\frac{N-\sqrt{\Lambda}}{\sqrt{\Lambda}+\sqrt{\Lambda-\lambda}} < \gamma < 1$, f is continuous at $0 \in \Omega$ and $f(0) > 0$;
- (H₂) $0 \leq \gamma < 1$ and $f > 0$.

We say that hypotheses (H) hold if hypotheses (H₀) hold and one of the hypotheses (H₁) or (H₂) hold.

We will prove the following result:

Theorem 1.1. *Suppose hypotheses (H) hold, then Eq.(1) has two nontrivial solutions in $H_0^1(\Omega)$. Moreover, if (H₂) then both solutions are positive.*

Notation. In what follows, we denote the norm in $H_0^1(\Omega)$ by $\|\cdot\|$, the integral $\int_\Omega \cdot dx$ by $\int \cdot$. We use \doteq to emphasize a new definition. Additionally, $O(\varepsilon^\beta)$ means that $|O(\varepsilon^\beta)\varepsilon^{-\beta}| \leq K$ for some constant $K > 0$, $o(\varepsilon^\beta)$ means $|o(\varepsilon^\beta)\varepsilon^{-\beta}| \rightarrow 0$ as $\varepsilon \rightarrow 0$, $o(1)$ is just an infinitesimal value, and \rightarrow (respectively, \rightharpoonup) will denote strongly (respectively, weakly) convergence.

2. Preliminaries results. In this section, we give some preliminaries which play an important role in the variational methods used to study Eq.(1).

Proposition 1 (see [1]). *For $0 < \lambda < \Lambda \doteq (\frac{N-2}{2})^2$, the problem*

$$-\Delta u - \frac{\lambda}{|x|^2} u = |u|^{2^*-2} u \quad x \in \mathbb{R}^N \setminus \{0\}, \quad u(x) \rightarrow 0 \text{ as } |x| \rightarrow +\infty, \quad (2)$$

has a family of solutions

$$U_\varepsilon(x) = \frac{[4\varepsilon(\Lambda-\lambda)N/(N-2)]^{\frac{N-2}{4}}}{[\varepsilon|x|^{\gamma_1/\sqrt{\Lambda}}+|x|^{\gamma_2/\sqrt{\Lambda}}]^{\frac{N-2}{2}}} \quad \text{for } \varepsilon > 0,$$

where $\gamma_1 = \sqrt{\Lambda} - \sqrt{\Lambda - \lambda}$, $\gamma_2 = \sqrt{\Lambda} + \sqrt{\Lambda - \lambda}$. Moreover, U_ε is the extremal function of the minimization problem

$$S_\lambda = \inf \left\{ \int_{\mathbb{R}^N} \left(|\nabla u|^2 - \frac{\lambda}{|x|^2} u^2 \right) dx : u \in \mathcal{D}^{1,2}(\mathbb{R}^N), \int_{\mathbb{R}^N} |u|^{2^*} dx = 1 \right\}.$$

Clearly,

$$\int_{\mathbb{R}^N} |U_\varepsilon(x)|^{2^*} dx = \int_{\mathbb{R}^N} \left(|\nabla U_\varepsilon|^2 - \frac{\lambda}{|x|^2} U_\varepsilon^2 \right) dx = S_\lambda^{\frac{N}{2}}.$$

The following integral estimates are also relevant. Define a cut-off function $\phi(x) = 1$ if $|x| \leq \delta$, $\phi(x) = 0$ if $|x| \geq 2\delta$, $\phi(x) \in C_0^1(\Omega)$ and $|\phi(x)| \leq 1$, $|\nabla\phi(x)| \leq C$. Let $v_\varepsilon(x) = \phi(x)U_\varepsilon(x)$.

Proposition 2 (see [5]). *Let $0 \leq \lambda < \Lambda$ and $w \in H_0^1(\Omega)$ be a solution of Eq.(1). Then for $\varepsilon > 0$ small enough we have that*

- (i) $\int w^{2^*-1}v_\varepsilon = O(\varepsilon^{\frac{N-2}{4}})$ and $\int wv_\varepsilon^{2^*-1}dx = O(\varepsilon^{\frac{N-2}{4}})$;
- (ii) $\int (|\nabla v_\varepsilon|^2 - \frac{\lambda}{|x|^2}v_\varepsilon^2) = S_\lambda^{\frac{N}{2}} + O(\varepsilon^{\frac{N}{2}}) + O(\varepsilon^{\frac{N-2}{2}})$;
- (iii) $\int v_\varepsilon^{2^*} = S_\lambda^{\frac{N}{2}} - O(\varepsilon^{\frac{N}{2}})$;
- (iv) $\int |x|^{\alpha-2}v_\varepsilon^2 = O(\varepsilon^{\frac{\alpha\sqrt{\Lambda}}{2\sqrt{\Lambda}-\lambda}})$, when $0 < \alpha < 2\sqrt{\Lambda} - \lambda$.

Note that, for all $u \in H_0^1(\Omega)$,

$$F(u) \leq \left| \int f|u|^\gamma u \right| \leq \|f\|_\infty \|u\|_{\gamma+1}^{\gamma+1} \leq (\|f\|_\infty K_{\gamma+1}) \|u\|^{\gamma+1} \doteq K^T \|u\|^{\gamma+1}, \quad (3)$$

since $f \in L^\infty$, using Hölder inequality and the Sobolev embedding of $H_0^1(\Omega)$ in $L^{\gamma+1}(\Omega)$ with constant $K_{\gamma+1} > 0$.

For $u \in M$, the functionals I and J , can be rewritten as

$$I_M(u) = -\frac{1-\gamma}{2(\gamma+1)}T(u) + \frac{2^*-\gamma-1}{2^*(\gamma+1)}U(u),$$

$$J_M(u) = (1-\gamma)T(u) - (2^*-\gamma-1)U(u),$$

where we have denoted the restrictions of I and J , to the set M , by I_M and J_M , respectively.

Remark 3. (a) $I_M(u)$ is bounded from below in M ; (b) For any $u \in H_0^1(\Omega) \setminus \{0\}$, we have $I(tu) \rightarrow -\infty$ as $|t| \rightarrow \infty$.

The following Lemma is a generalization of Lemma 2.1 of Tarantello [14]:

Lemma 2.1. *Suppose the hypotheses (H_0) hold and $0 \leq \gamma < 1$. For any $u \in H_0^1(\Omega) \setminus \{0\}$,*

define $s_f \equiv s_f(u) \doteq \text{sign}F(u) \in \{-1, +1\}$. Then there exist three unique values

$t_0 \equiv t_0(u), t_- \equiv t_-(u), t_+ \equiv t_+(u) \in \mathbb{R}$ such that:

- (i) $s_f t_+ > 0, t_+ u \in M^-, s_f t_+ > t_{\max}$ and $I(t_+ u) = \max_{s_f t \geq -t_{\max}} I(tu)$;
- (ii) $s_f t_- > 0, t_- u \in M^+, 0 < s_f t_- < t_{\max}$ and $I(t_- u) = \min_{-t_{\max} \leq t \leq t_{\max}} I(tu)$;
- (iii) $s_f t_0 < 0, t_0 u \in M^-, s_f t_0 < -t_{\max}$ and $I(t_0 u) = \max_{s_f t \leq t_{\max}} I(tu)$.

Proof. Let $t \in \mathbb{R}$. Define $\phi_u(t) \doteq |t|^{-\gamma} \langle I'(tu), u \rangle + F(u)$, i.e.

$$\phi_u(t) = t|t|^{-\gamma} T(u) - t|t|^{2^*-\gamma-2} U(u). \quad (4)$$

From the definition of ϕ_u , we have $\phi_u(0) = \lim_{t \rightarrow 0^\pm} \phi_u(t) = 0$, $\lim_{t \rightarrow +\infty} \phi_u(t) = -\infty$, $\phi_u(-t) = -\phi_u(t)$ for all $t > 0$, and $\phi_u''(t) < 0$ for all $t > 0$, so ϕ_u (restricted to $t > 0$) is a concave function which attains its maximum at t_{\max} and $\phi_u(t_{\max}) = \Phi_*(u) > 0$. For simplicity of presentation, we first assume $s_f = +1$. (i) Since $\phi_u(t > 0)$ is a concave and continuous function and $0 < F(u) < \phi_u(t_{\max})$, there exists a unique $t_+ > t_{\max}$ such that $\phi_u(t_+) = F(u) > 0$. This implies, from the definition of ϕ_u , that $|t_+|^{-\gamma} \langle I'(t_+ u), u \rangle = 0$ so $Q(t_+ u) = 0$ and $t_+ u \in M$. Moreover, from $\phi_u'(t_+) < 0$ i.e. $T(u) < (2^* - \gamma - 1)(1 - \gamma)^{-1} |t_+|^{2^*-2} U(u)$, we have $J_M(t_+ u) < 0$; thus $t_+ u \in M^-$ and $I(t_+ u) \geq I(tu)$ for all $t \geq t_{\max}$. The last statement is true because, if we set $r(t) = I(tu)$, then $r'(t) = t^{-1}Q(tu)$ so $r'(t_+) = 0$, and from $r'(t) = |t|^\gamma(\phi_u(t) - \phi_u(t_+))$ we have $r'(t) > 0$, when $t_{\max} \leq t < t_+$, and

$r'(t) < 0$, when $t > t_+$.

(ii) By similar arguments to the ones used in (i), there exists an unique $t_- > 0$ such that $-t_{\max} < 0 < t_- < t_{\max}$ and $\phi_u(t_-) = F(u) > 0$ so $t_-u \in M$ and, from $\phi'_u(t_-) > 0$, $t_-u \in M^+$. From $r'(t) = t^\gamma(\phi_u(t) - \phi_u(t_-))$, we have $r'(t) > 0$, when $t_- < t \leq t_{\max}$, and $r'(t) < 0$, when $-t_{\max} \leq t < t_-$. Therefore, at least, $I(t_-u) \leq I(tu)$ for all $-t_{\max} \leq t \leq t_{\max}$.

(iii) Note that $\lim_{t \rightarrow -\infty} \phi_u(t) = +\infty$, $\phi_u(-t_{\max}) = -\Phi_*(u) < 0$, $\phi'_u(t) < 0$ for all $t < -t_{\max}$, and $\phi''_u(t) > 0$ for all $t < 0$, hence there exists an unique $t_0 < -t_{\max} < 0$ such that $\phi_u(t_0) = F(u) > 0$ so $t_0u \in M$ and, from $\phi'_u(t_0) < 0$, $t_0u \in M^-$. From $r'(t) = t^\gamma(\phi_u(t) - \phi_u(t_0))$, we have $r'(t) > 0$, when $t < t_0$, and $r'(t) < 0$, when $t_0 < t < -t_{\max}$. Therefore, $I(t_0u) \geq I(tu)$ for all $t < -t_{\max}$.

For the general situation $s_f \in \{-1, +1\}$, it is enough to observe that $(s_f)^{-1} = s_f$, $(s_f)^2 = 1$, $\phi_u(s_f t) = s_f \phi_u(t)$ for $t \in \mathbb{R}$, $F(s_f u) = s_f F(u)$, $J_M(s_f u) = J_M(u)$, and $r'(s_f t) = s_f r'(t)$ for $t \in \mathbb{R}$. \square

Remark 4. The above Lemma can be further improved. In fact, $\phi'_u(\pm t_{\max}) = 0$, $\phi'_u(t) > 0$ when $-t_{\max} < t < t_{\max}$ and $\phi'_u(t) < 0$ otherwise. So, at least, we can say that: (i) $I(t_+u) = \max_{s_f t \geq t_-} I(tu)$; (ii) $I(t_-u) = \min_{t_0 \leq s_f t \leq t_+} I(tu)$; (iii) $I(t_0u) = \max_{s_f t \leq t_-} I(tu)$.

3. Proof of Theorem 1.1. We now introduce some auxiliar results which are relevant to proof the main result of this work. Set

$$c_+ \doteq \inf_{u \in M^+} I(u) \quad \text{and} \quad c_- \doteq \inf_{u \in M^-} I(u).$$

Recall $M \neq \emptyset$ (since $M^- \neq \emptyset$; see Lemma 2.1), M is a manifold, and I is continuous and bounded from below on M . Ekeland's variational principle applied to the optimization problem

$$c_0 \doteq \inf_{u \in M} I(u) \tag{5}$$

gives a bounded minimizing sequence $(u_n)_{n \in \mathbb{N}} \subset M$ satisfying:

- (E_a) $c_0 \leq I(u_n) < c_0 + \frac{1}{n}$;
- (E_b) $I(u) \geq I(u_n) - \frac{1}{n} \|u - u_n\|$ for all $u \in M$.

The following result will be used below, in a contradiction argument, to show that the minimizing sequence converges strongly in $H_0^1(\Omega)$.

Proposition 3. *Assume hypotheses (H_0) hold and $0 \leq \gamma < 1$. Let $u \in H_0^1(\Omega)$, $(u_n)_{n \in \mathbb{N}} \subset M^-$ be such that $u_n \rightharpoonup u$ weakly in $H_0^1(\Omega)$ and $I(u_n) \rightarrow c \in \mathbb{R}$ but u_n does not converge strongly to u in $H_0^1(\Omega)$. Recall the definitions of $s_f \equiv s_f(u)$, $t_+ \equiv t_+(u)$ and $t_- \equiv t_-(u)$ in Lemma 2.1. Then the following holds:*

- (1) *If $u \neq 0$ and $s_f t_+ \leq 1$, then $c > I(s_f t_+ u)$;*
- (2) *If $u \neq 0$ and $s_f t_+ > 1$, then $c \geq I(s_f t_- u) + \frac{1}{N} S_\lambda^{\frac{N}{2}}$;*
- (3) *If $u \equiv 0$, then $c \geq \frac{1}{N} S_\lambda^{\frac{N}{2}}$.*

Proof. Note that $u_n \rightharpoonup u$ (see Chen-Li-Li [4], Lemma 2.6), and $\int |x|^{\alpha-2} |u_n - u|^2 \rightarrow 0$ as $n \rightarrow \infty$. We may assume that exist $a, b \geq 0$ such that $T(u_n - u) = \int (|\nabla u_n - \nabla u|^2 - \frac{\lambda}{|x|^2} |u_n - u|^2) + o(1) \rightarrow a^2$, and $\int |u_n - u|^{2^*} \rightarrow b^{2^*}$. Note that, since u_n does not converge strongly to u , we have $a \neq 0$. On the other hand, from $f \in L^\infty$ and the compactness of the Sobolev embedding, we have $\int f |u_n - u|^\gamma (u_n - u) \rightarrow 0$. For $t \in \mathbb{R}$, we set $r(t) = I(tu)$, $\beta(t) = \frac{a^2}{2} t^2 - \frac{b^{2^*}}{2^*} |t|^{2^*}$ and $\theta(t) = r(t) + \beta(t)$. We have $r'(t) = \langle I'(tu), u \rangle = |t|^\gamma (\phi_u(t) - \phi_u(t_+))$. From

$$|I(tu_n) - \theta(t)| \leq \left| \frac{1}{2}t^2T(u_n - u) - \frac{|t|^{2^*}}{2^*} \|u_n - u\|_{2^*}^{2^*} - \beta(t) \right|$$

we see that $I(tu_n) \rightarrow \theta(t)$ as $n \rightarrow +\infty$. Now the proof of the three statements follow the scheme of the proof of Proposition 3.3 in [5]. \square

Lemma 3.1. *Suppose hypotheses (H_0) hold and $0 \leq \gamma < 1$, then*

- (i) *For every $u \in M$, $J_M(u) \doteq (1 - \gamma)T(u) - (2^* - \gamma - 1)U(u) \neq 0$, i.e. $M^0 = \emptyset$;*
- (ii) *For any sequence $(u_n)_{n \in \mathbb{N}} \subset M$, we have*

$$\lim_{n \rightarrow +\infty} J_M(u_n) = 0 \quad \Rightarrow \quad \liminf_{n \rightarrow +\infty} \|u_n\| = 0;$$

(iii) *Given $u \in M$, there exist $\varepsilon > 0$ and a differentiable function $t : H_0^1(\Omega) \rightarrow \mathbb{R}$, satisfying $t(w) > 0$ for all $w \in B_\varepsilon$, $t(0) = 1$, $t(w)(u - w) \in M$ for all $w \in B_\varepsilon$ and*

$$\langle t'(0), w \rangle = \frac{\int \left(2\nabla u \nabla w - 2\frac{\lambda}{|x|^2}uw - 2\mu|x|^{\alpha-2}uw - 2^*|u|^{2^*-2}uw - (1 + \gamma)f|u|^\gamma w \right)}{J_M(u)}. \tag{6}$$

Proof. (i) Assume, by contradiction, that $(1 - \gamma)T(\bar{u}) - (2^* - \gamma - 1)U(\bar{u}) = 0$ for some $\bar{u} \in M$, then we have $s_{\bar{u}} \doteq U(\bar{u})^{\frac{1}{2^*}} \geq \left(\frac{1-\gamma}{2^*-\gamma-1} C \right)^{\frac{1}{2^*-2}} > 0$ for some constant $C > 0$, by using the Gagliardo-Nirenberg-Sobolev inequality. On the other hand, since $\bar{u} \in M$, we have $F(\bar{u}) = \frac{2^*-2}{1-\gamma}U(\bar{u})$. Recall the definition of Φ_* in Lemma 2.1, and define $\Psi_*(u) \doteq \Phi_*(u) - F(u)$ for all $u \in M$. Hence, $\Psi_*(su) = s^{1+\gamma}\Psi_*(u)$, for any $s > 0$ and $u \in M$, and

$$\Psi_*(\bar{u}) \geq \inf_{U(u)^{1/2^*} = s_{\bar{u}}} \Psi_*(u) = s_{\bar{u}}^{1+\gamma} \left(\inf_{U(v)^{1/2^*} = 1} \Psi_*(v) \right) \geq s_{\bar{u}}^{1+\gamma} \mu_f.$$

Let $K \doteq \frac{2^*-\gamma-1}{1-\gamma}$. Thus, from $\mu_f > 0$, we have

$$0 < s_{\bar{u}}^{1+\gamma} \mu_f \leq \Psi_*(\bar{u}) \leq \left[K^{-\frac{1-\gamma}{2^*-2}} (1 - K) K^{\frac{2^*-\gamma-1}{2^*-2}} - (K - 1) \right] U(\bar{u}) < 0.$$

This is a contradiction. Therefore $(1 - \gamma)T(u) - (2^* - \gamma - 1)U(u) \neq 0$ for all $u \in M$.

(ii) Arguing by contradiction again, assume there exists a subsequence $(u_n)_{n \in \mathbb{N}} \subset M$ such that $(1 - \gamma)T(u_n) - (2^* - \gamma - 1)U(u_n) = o(1)$ and $\|u_n\| > s$ for all $n \in \mathbb{N}$ and some $s > 0$. Hence, $s_{u_n} \doteq U(u_n)^{\frac{1}{2^*}} > 0$ for all $n \in \mathbb{N}$. Since $u_n \in M$, we get

$$F(u_n) = T(u_n) - U(u_n) = [(2^* - 2)/(1 - \gamma)] U(u_n) + o(1).$$

These together with $\mu_f > 0$ and $\Psi_*(u_n) \geq \inf_{U(u)^{1/2^*} = s_{u_n}} \Psi_*(u) \geq s_{u_n}^{1+\gamma} \mu_f$ implies

$$0 < s_{u_n}^{1+\gamma} \mu_f \leq \Psi_*(u_n) \leq (1 - K^2) U(u_n) + o(1) < 0$$

which is a contradiction, so $(1 - \gamma)T(u_n) - (2^* - \gamma - 1)U(u_n) = o(1)$ and $\|u_n\| = o(1)$.

(iii) Let $u \in M$ and $\phi : \mathbb{R} \times H_0^1(\Omega) \rightarrow \mathbb{R}$ be defined by

$$\phi(t, w) \doteq t|t|^{-\gamma}T(u - w) - t|t|^{2^*-\gamma-2}U(u - w) - F(u - w).$$

Note that $\frac{\partial}{\partial t}\phi(1, 0) = J_M(u) \neq 0$ (by (i)) and $\phi(1, 0) = Q(u) = 0$. Hence applying the implicit function theorem at the point $(1, 0)$, we have that there exists a function $t \equiv t(w)$ with $t(0) = 1$ and $\langle t'(0), w \rangle = -\frac{\partial}{\partial w}\phi(1, 0) \left(\frac{\partial}{\partial t}\phi(1, 0) \right)^{-1}$. \square

Proposition 4. *Suppose hypotheses (H_0) hold and $0 \leq \gamma < 1$. We have $c_0 < 0$, there is a critical point $w_0 \in M^+$ of I such that $I(w_0) = c_0$, and w_0 is a local minimizer for I . Moreover, $w_0 > 0$ whenever hypotheses (H_2) hold.*

Proof. Let $u \in M^+ \neq \emptyset$ (see Lemma 2.1). From $J(u) > 0$, we have $U(u) < \frac{1-\gamma}{2^*-\gamma-1}T(u)$ thus $I_M(u) < 0$ and $c_+ < 0$. So, $c_0 \doteq \inf_{u \in M} I(u) \leq \inf_{u \in M^+} I(u) < 0$. From Ekeland’s variational principle there exists a bounded minimization sequence $(u_n)_{n \in \mathbb{N}} \subset M$. We need to show that $\|I'(u_n)\| \rightarrow 0$ as $n \rightarrow \infty$.

Choosing n where $I'(u_n) \neq 0$ and applying Lemma 3.1(iii), for $\delta > 0$ sufficiently small and setting $u \equiv u_n$ and $w \equiv \delta \frac{I'(u_n)}{\|I'(u_n)\|}$, we have that exists $t_n(\delta) \doteq t\left(\delta \frac{I'(u_n)}{\|I'(u_n)\|}\right)$ such that $w_\delta \doteq t_n(\delta) \left(u_n - \delta \frac{I'(u_n)}{\|I'(u_n)\|}\right) \in M$. On the other hand, by (E_b) and the Taylor expansion of I , we have

$$\begin{aligned} \frac{1}{n} \|w_\delta - u_n\| &\geq \langle I'(w_\delta), u_n - w_\delta \rangle + o(\|u_n - w_\delta\|) \\ &= \langle I'(w_\delta), u_n (1 - t_n(\delta)) \rangle + \left\langle I'(w_\delta), t_n(\delta) \delta \frac{I'(u_n)}{\|I'(u_n)\|} \right\rangle \\ &\quad + o\left(\left\|u_n - t_n(\delta) u_n + \delta \frac{I'(u_n)}{\|I'(u_n)\|} u_n\right\|\right). \end{aligned}$$

Hence

$$\frac{1}{n} \|w_\delta - u_n\| \geq (1 - t_n(\delta)) \langle I'(w_\delta), u_n \rangle + \delta t_n(\delta) \left\langle I'(w_\delta), \frac{I'(u_n)}{\|I'(u_n)\|} \right\rangle + o(\delta). \tag{7}$$

Dividing (7) by $\delta > 0$ and passing to the limit as $\delta \rightarrow 0$, we have

$$\frac{1}{n} (1 + \|u_n\| \|t'_n(0)\|) \geq \left\langle I'(u_n), \frac{I'(u_n)}{\|I'(u_n)\|} \right\rangle = \|I'(u_n)\|.$$

Since (u_n) is a bounded sequence, $\|I'(u_n)\| \leq \frac{1}{n} (1 + \|u_n\| \|t'_n(0)\|) \leq \frac{C}{n} (1 + \|t'_n(0)\|)$ for a suitable positive constant $C > 0$. Note that $t'_n(0) = \left\langle t'(0), \frac{I'(u_n)}{\|I'(u_n)\|} \right\rangle$. Then by (6), since (u_n) is bounded sequence and $\|w\| = \delta$, we have that

$$|t'_n(0)| \leq \frac{C_1}{|(1 - \gamma)T(u_n) - (2^* - \gamma - 1)U(u_n)|}$$

for a suitable positive constant C_1 . From Lemma 3.1, we have

$$\liminf_{n \rightarrow +\infty} [(1 - \gamma)T(u_n) - (2^* - \gamma - 1)U(u_n)] > 0.$$

Thus $|t'_n(0)| \leq K_1$, for a suitable constant $K_1 > 0$ and therefore $\|I'(u_n)\|_{H_1^0(\Omega)} \rightarrow 0$ as $n \rightarrow \infty$.

Let w_0 be the weak limit in $H_0^1(\Omega)$ of (a subsequence of) the minimizing sequence u_n . Then $w_0 \in M^+$. Indeed, suppose that $w_0 \in M^-$ (since $M^0 = \emptyset$), from Lemma 2.1 there exists $t_+ \equiv t_+(w_0)$ such that $s_f t_+ > 0$ and $t_+ w_0 \in M^-$. But $w_0 \in M^-$ implies $t_+ = 1$. If $s_f = -1$ then $t_+ = 1$ and $s_f t_+ > 0$ are a contradiction (we are done). So, suppose $s_f = 1$. In this case, there exists $t_- \equiv t_-(w_0) > 0$ such that $t_- < t_+ = 1$. Thus, we have $\frac{d}{dt} I(tw_0)|_{t=t_-} = \langle I'(t_-w_0), w_0 \rangle = (t_-)^{-1} Q(t_-w_0) = 0$, and

$$\begin{aligned} \frac{d^2}{dt^2} I(tw_0) \Big|_{t=t_-} &= \frac{d}{dt} (t^\gamma [\phi_u(t) - F(u)]) \Big|_{t=t_-} = \frac{d}{dt} (t^\gamma [\phi_u(t) - \phi_u(t_-)]) \Big|_{t=t_-} \\ &= \gamma t_-^{\gamma-1} [\phi_u(t_-) - \phi_u(t_-)] + t_-^\gamma \phi'_u(t_-) = t_-^\gamma \phi'_u(t_-) > 0. \end{aligned}$$

Hence, there exists $\bar{t} > 0$ such that $t_+ > \bar{t} > t_- > t_{\max}$ and $I(\bar{t}w_0) > I(t_-w_0)$. From Lemma 2.1, $I(t_-w_0) < I(\bar{t}w_0) < I(t_+w_0) = I(w_0) = c_0$. This is a contradiction. Therefore $w_0 \in M^+$. This implies that $F(w_0) > \frac{2^*-2}{1-\gamma}U(w_0) > 0$.

We have that w_0 is a weak solution of problem, since $I(u_n) \rightarrow 0$ as $n \rightarrow \infty$, we have that $\langle I(w_0), w \rangle = 0$, for all $w \in H_0^1(\Omega)$. Therefore $c_0 \leq I(w_0) \leq \lim_{n \rightarrow \infty} I(u_n) = c_0$. Then $u_n \rightarrow w_0$ (converges strongly) em $H_0^1(\Omega)$ and $I(w_0) = c_0 = \inf_{u \in M} I(u)$.

We now show that w_0 is a local minimum for I . From Lemma 2.1, we have that $I(t_-u) \leq I(gu)$, for all $0 < g < h(u) \doteq \left[(1-\gamma)T(u)(2^* - \gamma - 1)^{-1}U(u)^{-1} \right]^{\frac{1}{2^*-2}}$. From $w_0 \in M^+$, we have $-(2^* - \gamma - 1)\int |w_0|^{2^*} + (1-\gamma)T(w_0) > 0$ and

$$h(w_0) > t^{-1}. \tag{8}$$

Notice again that, for all $u \in M$, there exist $t_- = t_-(u) > 0$ such that $t_-(u)u \in M^+$. Thus if $w_0 \in M^+$, then $t^{-1} = 1$.

Let $\varepsilon > 0$ sufficiently small such that $1 < h(w_0)$ for $\|w\| < \varepsilon$ and set $t(w) > 0$ a function such that $t(0) = 1$ and $t(w)(w_0 - w) \in M$ for all $\|w\| < \varepsilon$, (see item (iii) of Lemma 3.1). Since $t(w) \rightarrow 1$ as $\|w\| \rightarrow 0$, we can always assume that $t(w) < h(w_0)$, for all w with $\|w\| < \varepsilon$.

Note that $t(w)(w_0 - w) \in M^+$ and for $0 < g < h(w_0)$ we have, $I(g(w_0 - w)) \geq I(t(w)(w_0 - w)) \geq I(w_0)$. From (8) we can take $g = 1$ and conclude that $I(w_0 - w) \geq I(w_0)$, for all $w \in H_0^1(\Omega)$ with $\|w\| < \varepsilon$.

Therefore w_0 is a local minimum for I .

From Lemma 2.1, there exist $t_-(u) \in \mathbb{R}$, such that $s_f t_-(|w_0|) > 0$, $t_-(|w_0|)|w_0| \in M^+$, $s_f t_-(|w_0|) < t_{\max}(|w_0|) = t_{\max}(w_0)$ and $I(t_-(|w_0|)|w_0|) = \min_{-t_{\max} \leq t \leq t_{\max}} I(t(|w_0|)|w_0|)$. Since $w_0 \in M^+$, then $t_-(w_0) = 1$. Thus $c_0 \leq I(t_-(w_0)w_0) = \min_{-t_{\max} \leq t \leq t_{\max}} I(tw_0) \leq I(t_-(|w_0|)w_0)$. Note that, since $f > 0$, we have that $I(t_-(|w_0|)|w_0|) \leq I(t_-(|w_0|)w_0) \leq c_0$. Therefore $I(t_-(w_0)w_0) = c_0$ and we can always take $w_0 > 0$. \square

Lemma 3.2. *If hypotheses (H) hold, then $c_- < c_0 + \frac{1}{N}S_\lambda^{\frac{N}{2}}$.*

Proof. We know that there is $s_0 > 0$ and $\varepsilon > 0$ sufficiently small such that $w_0 + s_0v_\varepsilon \in M^-$, by using the arguments in [14, Proposition 2.2]. To prove $c_- < c_0 + \frac{1}{N}S_\lambda^{\frac{N}{2}}$, we only need to prove that $\sup_{s>0} I(w_0 + sv_\varepsilon) < c_0 + \frac{1}{N}S_\lambda^{\frac{N}{2}}$, since $c_- = \inf_{u \in M^-} I(u) \leq I(w_0 + s_0v_\varepsilon) \leq \sup_{s>0} I(w_0 + sv_\varepsilon)$. Moreover, we only need to consider bounded values for s , since, $I(w_0 + sv_\varepsilon) \rightarrow -\infty$ as $s \rightarrow +\infty$ implies that there is $s_0 > 0$ such that

$$\sup_{s>0} I(w_0 + sv_\varepsilon) \leq \sup_{0 < s < s_0} I(w_0 + sv_\varepsilon).$$

Firstly, since w_0 is a solution of Eq.(1), we get from direct computations that

$$\begin{aligned} I(w_0 + sv_\varepsilon) &= \frac{1}{2}T(w_0 + sv_\varepsilon) - \frac{1}{2^*}U(w_0 + sv_\varepsilon) - \frac{1}{\gamma + 1}F(w_0 + sv_\varepsilon) \\ &= I(w_0) + I(sv_\varepsilon) + \int |w_0|^{2^*-2}w_0(sv_\varepsilon) + \int f(x)|w_0|^\gamma(sv_\varepsilon) \\ &\quad - \frac{1}{2^*}[U(w_0 + sv_\varepsilon) - U(w_0) - U(sv_\varepsilon)] \\ &\quad - \frac{1}{\gamma + 1}[F(w_0 + sv_\varepsilon) - F(w_0) - F(sv_\varepsilon)]. \end{aligned}$$

Suppose hypotheses (H_1) hold. Using the elementary inequality

$$\|a + b\|^q - \|a\|^q - \|b\|^q \leq d_1 \left[\|a\|^{q-1} \|b\| + \|a\| \|b\|^{q-1} \right]$$

for $a, b \in \mathbb{R}$ and $q > 1$, we obtain that

$$\begin{aligned} I(w_0 + sv_\varepsilon) &\leq I(w_0) + I(sv_\varepsilon) + \int |w_0|^{2^*-1}(sv_\varepsilon) + |f|_{L^\infty(\Omega)} \int |w_0|^\gamma(sv_\varepsilon) \\ &\quad + d_2 \int |w_0|^{2^*-1}|sv_\varepsilon| + d_3 \int |w_0||sv_\varepsilon|^{2^*-1} \\ &\quad + d_4 \int |w_0|^\gamma|sv_\varepsilon| + d_5 \int |w_0||sv_\varepsilon|^\gamma, \end{aligned}$$

where, here and below, d_j for $j \in \mathbb{N}$ denote positive constants.

Secondly, since f is continuous at 0 and $f(0) > 0$, there exist $d_6 > 0$ and $\delta_0 > 0$ such that $f(x) \geq d_6$ for any $x \in B_{\delta_0}(0)$, the ball with center at 0 and radius δ_0 . Hence, we have

$$\begin{aligned} \sup_{s>0} I(w_0 + sv_\varepsilon) &\leq I(w_0) + \sup_{s>0} \left[\frac{1}{2}T(sv_\varepsilon) - \frac{1}{2^*}U(sv_\varepsilon) \right] + d_9 \int |w_0|^{2^*-1}v_\varepsilon \\ &\quad + d_{10} \int |w_0||v_\varepsilon|^{2^*-1} + d_{11} \int |w_0|^\gamma v_\varepsilon + d_{12} \int |w_0|v_\varepsilon^\gamma \\ &\quad - d_7 \int_{B_{\delta_0}(0)} v_\varepsilon^{\gamma+1} + d_8 \int_{\Omega \setminus B_{\delta_0}(0)} v_\varepsilon^{\gamma+1}. \end{aligned}$$

Note that for ε small enough, $\int |w_0|^\gamma v_\varepsilon = O(\varepsilon^{\frac{N-2}{4}})$, $\int_{\Omega \setminus B_{\delta_0}(0)} v_\varepsilon^{\gamma+1} = O(\varepsilon^{\frac{N-2}{4}(\gamma+1)})$, $\int |w_0|v_\varepsilon^\gamma = O(\varepsilon^{\frac{N-2}{4}\gamma})$ and $\int_{B_{\delta_0}(0)} v_\varepsilon^{\gamma+1} = O\left(\varepsilon^{\frac{[N-(\gamma+1)\sqrt{\Lambda}]\sqrt{\Lambda}}{2\sqrt{\Lambda-\lambda}}}\right)$. We obtain from the assumption $\frac{N-\sqrt{\Lambda}}{\sqrt{\Lambda}+\sqrt{\Lambda-\lambda}} < \gamma < 1$ and Proposition 2 that

$$\sup_{s>0} I(w_0 + sv_\varepsilon) < I(w_0) + \frac{1}{N}S_\lambda^{\frac{N}{2}} = c_0 + \frac{1}{N}S_\lambda^{\frac{N}{2}}.$$

When hypotheses (H_2) hold, instead of (H_1) , the proof is similar so we omit the details. □

Proposition 5. *If hypotheses (H) hold, then there is a critical point $w_1 \in M^-$ of I such that $I(w_1) = c_-$. Moreover, if hypotheses (H_2) hold then $w_1 > 0$.*

Proof. First for to show that there is $w_1 \in M^-$ such that $I(w_1) = c_-$ and w_1 is a solution of Eq.(1), we use the item (1) and (2) of Proposition 3, and the same idea of the proof the Proposition 3.7 in Chen-Rocha [5]. We omit the details here.

Next we will show that $w_1 > 0$, if $f > 0$. From Lemma 2.1, there exist $t_+(u) \in \mathbb{R}$, such that $s_f t_+(|w_1|) > 0$, $t_+(|w_1|)|w_1| \in M^-$, $s_f t_+(|w_1|) > t_{\max}(|w_1|) = t_{\max}(w_1)$ and $I(t_+(|w_1|)|w_1|) = \max_{s_f t \geq 0} I(t(|w_1|)|w_1|)$. Since $w_1 \in M^-$, then $t_+(w_1) = 1$. Thus $I(t_+(w_1)w_1) = I(w_1) = \max_{s_f t \geq 0} I(tw_1) \geq I(t_+(|w_1|)w_1)$. Note that, since $f > 0$, we have that $I(t_+(|w_1|)w_1) \geq I(t_+(|w_1|)|w_1|) \geq c_-$. Therefore $I(t_+(w_1)w_1) = c_-$ and we can always take $w_1 > 0$. □

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