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Carbohydrates as targeting compounds to produce infusions

resembling espresso coffee brews using quality by design approach

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Highlights

- Espresso coffee (EC) carbohydrates are target compounds for infusion optimization.
- Infusion extracts can be chemically similar to EC and different from instant coffee.
- Freeze-drying is better than spray-drying to prepare instantly soluble powders.
- Infusion extracts differ from EC in lower lipids content.
- Volatile compounds of infusion extracts exhibit an aroma profile typical of an EC.

Graphical Abstract



1 Abstract

2 All coffee brews are prepared with roasted coffee and water, giving origin to espresso, 3 instant, or filtered coffee, exhibiting distinct physicochemical properties, depending on 4 the extraction conditions. The different relative content of compounds in the brews 5 modulates coffee body, aroma, and colour. In this study it was hypothesized that a coffee 6 infusion allows to obtain extracts that resemble espresso coffee physicochemical 7 properties. Carbohydrates content and composition were the target compounds as they 8 are organoleptically important for EC due to their association to foam stability and 9 viscosity. The freeze-drying of the extracts allowed better dissolution properties than 10 spray-drying. Instant coffee powders were obtained with chemical overall composition 11 resembling espresso, although with lower lipids content. The extracts were able to 12 produce the characteristic foam though CO₂ injection or salts addition. Their redissolution 13 at espresso concentration allowed a viscosity, foamability and volatile profile 14 representative of an espresso coffee, opening new exploitation possibilities.

Keywords: foamability; galactomannans; infusion coffee; instant coffee; response surface methodology; volatile compounds

15 **1. Introduction**

16 Espresso coffee (EC) is defined as a coffee brew of reduced volume and distinct 17 sensorial properties such as body, aroma, taste, and colour, with a characteristic persistent 18 foam that covers the liquid (Illy & Viani, 2005; Nunes, Coimbra, Duarte, & Delgadillo, 19 1997). EC preparation supposes that hot water passes through compacted roasted coffee 20 under pressure during a short extraction time, originating a concentrated brew (Illy et al., 21 2005). Coffee brew composition has been shown to depend on the preparation method, 22 as EC, filter, instant, or moka (Angeloni et al., 2019; Caporaso, Genovese, Canela, 23 Civitella, & Sacchi, 2014; Cordoba, Fernandez-Alduenda, Moreno, & Ruiz, 2020; Gloess 24 et al., 2013). Nonetheless, for all methods of coffee preparation, coffee and water are the 25 crucial starting materials, as all coffee brews are composed by hot water soluble 26 carbohydrates, caffeine, chlorogenic acids, protein, lipids, and melanoidins. There is not 27 a restricted composition range for each type of coffee brew. Even within the same 28 extraction procedure, the range of values found for the number and concentration of 29 compounds in a coffee brew has a wide variation. However, there are some distinctive 30 features for certain coffee brews, as the lower amount of lipids in filtered brews (Gloess 31 et al., 2013; Moeenfard, Silva, Borges, Santos, & Alves, 2015; Silva, Borges, Santos, & 32 Alves, 2012; Speer & Kölling-Speer, 2006), or an overall higher carbohydrate content in 33 instant coffee promoted by the severe extraction conditions used (Blanc, Davis, Parchet, 34 & Viani, 1989; Capek, Paulovičová, Matulová, Mislovičová, Navarini, & Suggi-Liverani, 35 2014; Leloup, 2006; Lopes, Passos, Rodrigues, Teixeira, & Coimbra, 2020).

For extraction studies, the use of the same coffee product avoids variations related to features as coffee species, geographical origin, or roasting degree that affect the composition of the roasted beans and the properties of coffee brews. On the other hand, several variables as time, extraction temperature, weight/volume ratio or grinding degree

40 affect coffee extraction processes, from espresso to infusion or filtered ones (Andueza, 41 Paz de Peña, & Cid, 2003; Andueza, Vila, Paz de Peña, & Cid, 2007; Angeloni et al., 42 2019; Cordoba, Pataquiva, Osorio, Moreno, & Ruiz, 2019; Lopes, Passos, Rodrigues, 43 Teixeira, & Coimbra, 2019; Ludwig et al., 2014). This opens the possibility of modulating the extraction conditions to obtain coffee brews with pre-desired characteristics, even 44 45 when they are usually associated to other extraction processes. As a major coffee brew 46 component, representing 12-24% of espresso coffee brew material (Lopes et al., 2016; 47 Nunes et al., 1997) and with crucial impact on espresso properties as viscosity and foam 48 stability, carbohydrates should be chosen as target compounds for developing extracts with EC characteristics. 49

50 Carbohydrates are the major group of compounds in green and roasted powder, as 51 well as in coffee brews, having a considerable impact on brew properties. 52 Galactomannans (GM) and arabinogalactans (AG) are the main carbohydrates in coffee 53 brews (Moreira, Nunes, Domingues, & Coimbra, 2015). GM, a linear polysaccharide 54 composed mainly by mannose residues branched with single residues of galactose, are 55 related to the viscosity verified in coffee brews, and the amount of carbohydrates is 56 associated to EC foam stability (Nunes et al., 1997), evidencing their importance in EC. 57 In instant coffee, AG assume a preponderant abundance due to the extreme extraction 58 conditions applied, which consequently lead to a relative decrease in the content of other 59 compounds, such as caffeine and chlorogenic acids (Blanc et al., 1989; Leloup, 2006; Lopes et al., 2020; Villalón-López, Serrano-Contreras, Téllez-Medina, & Gerardo 60 61 Zepeda, 2018).

In this study, it was hypothesized that the modulation of an infusion process having as target the carbohydrate content and composition of an EC allows to obtain extracts whose composition resemble EC. To verify the hypothesis, several steps were

65 set: (a) establishment of the experimental guidelines to be replicated through a quality by design approach of the infusion process with the definition of a relative composition of 66 coffee compounds in an EC cup; (b) preparation of coffee infusions resembling EC 67 68 according to the optimized conditions of extraction; (c) comprehensive comparison of EC and infusion extracts composition testing the influence of freeze- and spray-drying 69 70 processing; (d) evaluation of the capacity of the coffee extracts for producing foam, the 71 most distinguishable EC property, through CO₂ injection and the addition of compounds 72 able to release CO₂ when dissolved in water; (e) analysis of the volatile profile of the 73 brews prepared with the coffee extracts; and (f) holistic comparison of extracts with other EC samples and commercial instant coffee samples, including one labelled as "espresso", 74 75 to check their resemblance with the infusion samples prepared.

76 2. Material and methods

77 2.1. Chemicals and materials

For sugars analysis were used 1-methylimidazole (C₄H₆N₂, ≥99%, Sigma-78 79 Aldrich), 2-deoxy-D-glucose ($C_6H_{12}O_5$, $\geq 99\%$, Sigma-Aldrich), ammonium hydroxide 80 solution (NH₄OH, 25%, Sigma-Aldrich), acetic anhydride (C₄H₆O₃, \geq 99%, Carlo Erba 81 Reagents), acetic acid glacial ($C_2H_4O_2$, $\geq 99\%$, Carlo Erba Reagents), dichloromethane 82 (CH₂Cl₂, 99.8%, Fischer Scientific), dimethyl sulfoxide ((CH₃)₂SO, 99.7%, Fischer 83 Scientific), hydrochloric acid (HCl, 37%, Sigma-Aldrich) iodomethane (CH₃I, \geq 99%, 84 Sigma-Aldrich), sodium borodeuteride (NaBD₄, >90%, Sigma-Aldrich), sodium 85 borohydride (NaBH₄, >95%, Fischer Scientific), sodium hydroxide (NaOH, 98%, José 86 Manuel Gomes dos Santos), sulfuric acid (H₂SO₄, 98%, Biochem Chemopharma) and 87 trifluoroacetic acid (C₂HF₃O₂, 99%, Alfa Aeasar). For lipids analysis was used *n*-hexane 88 (C₆H₁₄, 95%, Fischer Scientific). For caffeine/5-CQA determinations Milli-Q water,

formic acid (Honeywell) and methanol (Fischer Scientific) were HPLC- grade reagents
and as standards were used 5-CQA (C₁₆H₁₈O₉, ≥95%, Sigma-Aldrich) and caffeine
(C₈H₁₀N₄O₂, ≥99%, Sigma-Aldrich). For foam properties experiments were used citric
acid (C₆H₈O₇, 99.5%, Honeywell Fluka) and sodium bicarbonate (NaHCO₃, ≥99.7%,
Sigma-Aldrich).

94 2.2. Coffee samples

95 A commercial blend of roasted coffee Delta® Lote Chávena was used to perform the coffee infusion extraction experiments, and a coffee grinder (Flama, 1231 FL) was 96 97 used to grind the roasted coffee beans, as described in Lopes et al. (2019). The particle 98 profile is shown as Supplementary Material (Figure S1). The same roasted coffee was 99 used to prepare the espresso coffee (6.0 g, 40 ± 2 mL) that after freeze-drying was used as 100 reference (EC1). Distilled water and a home brewing device (Flama, Sigma 10 - 1226FL) 101 were used. Further commercial single-dose coffee capsules (6.0 g) were prepared on a 102 Delta Q® QOSMO machine. Different blends were used: EC2 (labelled intensity 5), EC3 103 (labelled intensity 10), and two equal coffee blends with different roasting degrees: EC4 104 (light) and EC5 (dark). After extraction, EC samples were frozen, freeze-dried, and stored 105 until characterisation. A 100% instant coffee sample (IC1) was also analysed, as well as a commercial instant coffee powder, referred as "espresso" in the label (IC2). The 106 107 significant differences were assessed by analysis of variance (ANOVA) through Tukey's 108 range test (α =0.05) using Minitab and GraphPad Prism 5.00.

109 2.3. Infusion preparations

The infusion preparations were performed in 100 mL Erlenmeyer flasks as described in Lopes et al. (2019) with freshly grounded coffee (grinding level 1-3) and distilled water (30 mL). The experiments were settled according to a central composite

113 design (CCD) with four factors and three levels (time (X_1) - 10, 185, and 360 min, 114 temperature (X_2) - 20, 50, and 80 °C, w/v ratio (X_3) - 0.03, 0.12, and 0.20 g mL⁻¹, and 115 grinding level (X_4) - level 1, 2, and 3, Table S1). The data obtained were fitted to second-116 order polynomial models described by Eq. 1:

$$Y = \beta_0 + \sum_{i=1}^k \beta_i x_i + \sum_{i=1}^k \beta_{ii} x_i^2 + \sum_{i=1}^k \sum_{j=i+1}^k \beta_{ij} x_i x_j$$
(1)

118 where Y represents the response observed for the dependent variable of interest, and β_0 , 119 β_i , β_{ii} , and β_{ij} represent the constant, linear, quadratic, and two-factor interaction 120 regression coefficients, respectively, while x_i represents the factors studied in a 121 dimensionless coded form. The extraction yields (%, w/wpowder) of the different 122 carbohydrate residues and the composition of the coffee extracts (mol%) were studied as 123 the responses. Experimental data were analysed with Statistica v12 and Minitab v17, with 124 analysis of variance (ANOVA) at 95% significance level (*p*-value).

125 The condition that better resembled EC composition was performed at a larger 126 scale (1.5 L) in the conditions established (10 min, 50 °C, 0.12 g mL⁻¹, grinding level 3), 127 using the same coffee product (3 independent extractions). The infusion was filtrated and 128 frozen. Then, half of the filtrate was freeze-dried (FD) and the remaining filtrate 129 processed by spray-drying (SD), using, in both cases, a low solids content solution (0.03 130 g mL⁻¹). The spray-drying process conditions were settled as follows: inlet temperature (150 °C), outlet temperature (80 °C), spray-gas flow (6 mL min⁻¹), pump (20%), and 131 132 aspirator (95%).

133 2.4. Lipids

134 A Soxhlet methodology with glass fibre cartridges (4 h, *n*-hexane, 80 °C) was used 135 to extract the total lipids (n=3) from 1 g of coffee extracts (EC1, IC1, FD, SD) and initial 136 roasted coffee. The hexane extract was rotary evaporated (<40 °C) to dryness. A clean-137 up step was performed for elimination of co-extracted compounds (e.g. caffeine) with

liquid-liquid extractions (5 mL) with hexane/water (1:1) with the amount of lipidsquantified by weight after hexane fraction evaporation under a gentle nitrogen stream.

140 2.5. Fractionation of coffee extracts

141 Defatted coffee samples (EC1, IC1, FD, 3 replicates each) were dissolved in 142 distilled water and dialysed (MW cut off 12-14 kDa, Visking size 8, Medicell International Ltd., London, UK) against distilled water (4 °C) with constant stirring 143 144 (Lopes et al., 2016). After dialysis, the volume inside the dialysis bag volume was 145 adjusted to 30 mL with distilled water and a fraction (1 mL) was frozen and freeze-dried, 146 for the estimation of the high molecular weight material (HMWM). Then, the retentate 147 was centrifuged (24,400 g, 15 min) and the precipitate and supernatant obtained were 148 frozen and freeze-dried, giving the high molecular weight material soluble (HMWM_{sol}) and insoluble (HMWM_{ins}) in cold water, respectively. 149

150 2.6. Characterisation of coffee extracts

151 2.6.1. Carbohydrate analysis

The coffee extracts and the initial ground roasted coffee were evaluated for their carbohydrate content and composition after acid hydrolysis (2 M H₂SO₄, 1 h, 120 °C) and derivatization of sugar residues to alditol acetates (Lopes et al., 2016). The main sugars present (Rha - rhamnose; Ara - arabinose; Man - mannose; Gal - galactose; Glc - glucose) were quantified as equivalents of 2-deoxyglucose used as internal standard for quantification.

The glycosidic-linkages of carbohydrates were determined through a methylation procedure. The coffee extracts (FD and EC, 2 mg) were dissolved in anhydrous dimethyl sulfoxide (1 mL, 24 h). Powdered NaOH (40 mg) was added under an argon atmosphere and the samples were methylated with CH_3I (80 µL) during 20 min with stirring. Then,

162 distilled water was added (2 mL) and the solution neutralized with 1 M HCl. 163 Dichloromethane was added (3 mL) and the organic phase was collected and washed 164 twice with distilled water (2 mL). After evaporation to dryness, the sample was 165 remethylated as described previously. Then, the samples were hydrolysed (2 M TFA, 1 166 h, 121 °C), and the resultant monosaccharides reduced (NaBD₄) and acetylated as 167 described for neutral sugars (Lopes et al., 2016). The partially methylated alditol acetates (PMAA) were analysed and identified by gas chromatography-mass spectrometry (GC-168 169 gMS, Shimadzu GCMS-QP2010 Ultra), equipped with a capillary column DB-1 (30 m 170 length, 0.25 mm of internal diameter and 0.10 µm of film thickness J&W Scientific, 171 Folsom, CA, USA), following chromatography conditions described by Oliveira et al. 172 (2017). The peak area was used to determine each PMAA relative amount. Three 173 independent extracts were analysed for each coffee sample (n=3).

174 2.6.2. Caffeine and 5-CQA analysis

175 For caffeine and 5-caffeoylquinic acid (5-CQA) determination, aliquots (10 mg mL⁻¹ in Milli-O water) were filtered (0.22 µm) prior to HPLC injection. The runs were 176 177 performed on a HPLC-DAD apparatus equipped with a C18 column (Waters Sherisorb 178 S10 ODS2, 4.6 mm x 250 mm, 10 µm) equilibrated with 5% formic acid (eluent A) and 179 eluted also with methanol (eluent B), based on the method of Nunes, Cruz, and Coimbra 180 (2012). The caffeine was detected at 280 nm and 5-CQA at 325 nm, and for quantification purposes, calibration curves of caffeine ($R^2 = 0.997$) and 5-CQA ($R^2 = 0.993$) were 181 182 prepared.

183 2.6.3. Protein content

184 The polymeric fractions (HMWM, HMWM_{sol} and HMWM_{ins}) were used to 185 determine the nitrogen content by elemental analysis in a Truspec 630-200-200 elemental analyser with a TDC detector. The nitrogen content was converted to protein content (%,

- 187 w/wextract) using the 5.5 factor (Bekedam, Schols, van Boekel, & Smit, 2006).
- 188 2.6.4. Colour measurements

Samples colour (solid state and in aqueous solution - 30 mg mL⁻¹) was assessed 189 190 with Konica Minolta CM 2300d spectrophotometer and computed through SpectraMagicTM NX software, obtaining the CIELab coordinates: L^* (lightness), a^* 191 (red/green), and b^* (yellow/blue). Chroma (C*) was calculated through $C^* = (a^{*2} + a^{*2})^2$ 192 b^{*2} ^{1/2} and hue angle (h_{ab}) as $h_{ab} = \tan^{-1} (b^{*}/a^{*})$. Extracts brown colour was also 193 194 spectrophotometrically evaluated through the specific extinction coefficient at 405 nm $(K_{mix,405nm})$ determined in a microplate reader using several dilutions of the coffee extracts 195 (0-1 mg mL⁻¹ in distilled water) (Bekedam et al., 2006; Lopes et al., 2016). 196 197 Simultaneously, the measure was performed at 280 nm and 325 nm allowing to determine 198 the $K_{mix,280\text{nm}}$ and $K_{mix,325\text{nm}}$.

199 2.6.5. Density, viscosity, pH and electrical conductivity measurements

The density of coffee solutions (FD, SD, EC1, and IC1) at 30 mg mL⁻¹ was 200 201 determined by weighing the solution at 20 °C (n=6). A Cannon-Fenske routine viscometer (Size 50) was used to perform viscosity measurements (30 mg mL^{-1} in distilled water), in 202 203 a thermostatic water bath at 25 °C. It was recorded the efflux time (n=3) for each 204 independent extraction with an electronic digital stopwatch. For kinematic viscosity 205 determination, the efflux time was multiplied by the constant provided by the 206 manufacturer. The samples were then used to determine pH and electrical conductivity 207 with a Crison pH-meter at 25 °C (*n*=3).

208 2.6.6. Foam analysis

209 Foamability of coffee extracts was tested using an adaptation of the Bikerman 210 method (Mosalux device), as described in Coelho, Rocha, and Coimbra (2011). CO₂ of 211 analytical grade from a cylinder was injected through the bottom of a column equipped 212 with a glass-frit fitted where the coffee solution (7 mL, 30 mg mL⁻¹) was placed. The CO₂ 213 flow rate (1.2 L h⁻¹) and pressure (1 bar) were maintained constant for 50 s and then 214 detached. Foamability was evaluated by measuring the foam height increase on the top of 215 coffee solution (in cm) and then converted to mL using a calibration curve. Foamability 216 was also evaluated with an effervescent formulation approach: sodium bicarbonate (72 217 mg), citric acid (60 mg) and extracts (1.2 g, EC1, FD, SD1, IC1, 3 replicates) were 218 weighed and mixed before the addition of water at 70 °C, after preliminary tests with 219 different quantities of the compounds. The foamability was evaluated measuring the foam 220 volume in the cup (height increase converted in mL). The foam stability was measured as 221 the time required for appearance of the halo beneath the foam of the coffee solution. The 222 variation in pH after salts addition was evaluated with a Crison pH-meter when the solution cooled down to 25 °C. 223

224 2.6.7. FTIR analysis

225 Fourier-transform infrared spectroscopy (FTIR) analysis was performed in an 226 infrared spectrometer (Bruker Alpha Platinum-ATR) in the mid-infrared region (4000-400 cm⁻¹) with a resolution of 4 cm⁻¹ and 32 scans, operated in a room with controlled 227 temperature (25 °C) and humidity (35%). Samples were placed on the crystal of the 228 229 attenuated total reflectance accessory (ATR) and cleaned with aqueous ethanol (70%) 230 between measurements. Five replicates spectra were obtained for each sample in a 231 random order. The FTIR spectra were baseline and SNV (standard normal deviate) corrected before principal component analysis (PCA) performed using MetaboAnalyst 232

4.0 (web interface - <u>https://www.metaboanalyst.ca/</u>). Graphs were performed using
GraphPad Prism 5.00 and MS Excel software.

235 2.6.8. Volatile profile analysis

236 A headspace solid phase microextraction (HS-SPME) followed by gas 237 chromatography coupled to quadrupole mass spectrometry detection (GC-qMS) 238 methodology was used to study the volatile composition of coffee samples. A short 239 extraction time was used (3 min) to simulate the consumer's perception during fresh 240 coffee brew consumption (Akiyama et al., 2008). All details related with the GC analysis 241 and the identification strategy are presented in Supplementary Material (volatile analysis 242 section). For each HS-SPME assay, 1.2 g of coffee extract was dissolved in 40 mL of 243 distilled water, kept at 70 °C, and placed into a 120 mL glass vial $(1/\beta = 0.5, n=3)$. Each glass vial was previously placed during 5 min at 60.0±0.1°C in a thermostatic bath. The 244 245 sample was introduced in the vial, which was capped. The SPME fibre was manually 246 inserted into the sample headspace vial for 3 min, at constant stirring (400 rpm). The 247 SPME fibre (50/30 µm DVB/CAR/PDMS) was manually inserted into the GC injection 248 port at 250 °C and kept 3 min for desorption. The HS-SPME analysis allowed to putatively 249 identify 71 compounds in the vapour phase of the liquid coffee samples through 250 comparison of mass spectra with software-included library and comparison of retention 251 indexes with those reported in literature. The data (GC peak areas, expressed as arbitrary 252 units, a.u.) were handled using MetaboAnalyst 4.0 (web interface). Heatmap 253 representations were created using the GC peak areas of the samples analysed, with a data 254 scaling to attribute equal importance to each compound. Such representations highlight 255 samples differences through a chromatic scale, from a dark blue (lower) to a dark red 256 (higher) scale.

257 **3. Results and discussion**

258 3.1. Characteristics of the espresso coffee used as reference

259 To define a composition profile able to be used as reference to prepare coffee 260 infusions resembling espresso coffee (EC), a freeze-dried EC sample (EC1) was obtained 261 using a conventional espresso machine and two distinct grinding levels. The EC brews 262 contained 1.3±0.1 g of total solids per cup of 40 mL (Table S2), a content similar to those 263 reported in literature (0.9-1.3 g) using equal amount of coffee powder (6 g) and water (40 264 mL) (Lopes et al., 2016; Nunes et al., 1997). Thus, the reference used contained $21\pm2\%$ 265 of coffee compounds extracted. Carbohydrates represented up to 3.4±0.4% (w/w_{powder}), 266 constituting $16\pm1\%$ (w/w_{extract}) of EC1, which was within the literature range for this type 267 of coffee brews (12-24%) (Lopes et al., 2016; Nunes et al., 1997), but significantly lower 268 than the relative amount present in instant coffee (IC) brews (35-39%, w/wextract) (Blanc 269 et al., 1989; Capek et al., 2014; Leloup, 2006).

270 EC1 exhibited mannose as major sugar residue (48 mol%), followed by galactose 271 (30 mol%) and arabinose (14 mol%) (Figure 1a). EC1 Man/Gal ratio was 1.6, 272 representing mannose and galactose 8% (w/wextract) and 5% (w/wextract) of brew solids 273 content, respectively, within the ranges defined in literature (4-14%, w/wextract for 274 mannose and 1-8%, w/wextract for galactose) (Nunes et al., 1997). Recently, it was shown 275 that the modulation of operational parameters of the infusion process allows to obtain 276 coffee extracts with Man/Gal ratio within the range of 0.9-2.4, depending on the 277 extraction conditions, with impact in coffee properties as viscosity, for instance (Lopes et 278 al., 2019). For instance, in the present study, a finer grinding was associated to an EC 279 with higher Man/Gal ratio and higher viscosity (Table S2). Thus, it should be possible to 280 modulate the infusion process to obtain an extract with a Man/Gal ratio, carbohydrate 281 content, and viscosity similar to EC. To fulfil this hypothesis, a comprehensive study of

282 the coffee infusion process was established according to a central composite design 283 (CCD, Table S1). To eliminate the variability that could occur using different blends due 284 to distinct coffee species and/or roasting degree, the starting material used for the 285 reference (EC1) and infusion experiments was the same. The following conditions were 286 studied: time (10, 185, and 360 min), temperature (20, 50, and 80°C), w/v ratio (0.03, 287 0.12, and 0.20 g mL⁻¹), and grinding level (1-3). The espresso carbohydrate composition, 288 as the major class of compounds of EC brew and exhibiting important organoleptic 289 properties, was chosen as target to define the operational extraction conditions. It was 290 considered the extraction of the main sugar residues (%, w/wpowder) and the proportion of 291 these residues in the coffee extract obtained (mol%). From the models developed, after 292 backward elimination (α =0.1), they were considered the significant ones (p<0.0001) with high determination coefficients ($R^2 > 80\%$ - 86-95% (Figure S2 and Table S3). Figure 1b 293 294 illustrates the optimization strategy applied through a desirability approach, where the 295 desired values (those from EC1) were established as goals. The operational conditions 296 that resemble EC1 composition with an overall desirability of 0.86 were an extraction 297 time of 360 min, at 50 °C, with 0.12 g of coffee powder per mL of water, using coarser 298 particles (level 3). The major variations were observed, in decreasing order, for 299 temperature (X_2) , ratio of coffee powder/water (X_3) , and coffee particles size (X_4) . As the 300 effect of time (X_1) was very low, to minimize energy consumption, 10 min was defined 301 as the optimum time for extraction, maintaining all other parameters. This decision 302 slightly decreased the desirability value (D=0.82), allowing to predict an overall 303 composition of the extract still quite similar to EC1. Figure 1b allows to verify that the 304 trend for molar composition of arabinose and galactose is similar, evidencing the presence 305 of arabinogalactans (AG), structures easily extracted compared to galactomannans (GM), 306 composed mostly by mannose, whose extraction is more dependent on extraction

conditions, mainly temperature (Lopes et al. 2019). The extraction of GM is favored with
increasing temperatures (at atmospheric pressure, <100 °C), but the increase in the
weight/water ratio applying prolonged extraction times would result in a predominance
of arabinogalactans in the brew, which is not usually verified in EC brews (Lopes et al.,
2016; Nunes et al., 1997).

312

3.2. Physicochemical characterisation of infusions with EC-like sugars composition

The defined operational conditions to prepare infusions with EC-like sugars composition were scaled-up in a 50 times larger extraction experiment using 1.5 L of water in three independent extractions. Table 1 shows the overall characterisation of infusion coffee extracts processed via freeze-drying (FD) and spray-drying (SD).

317 The scale-up experiment was performed using the same coffee sample, although 318 from a different lot than the one used for CCD experiments. To compare the extracts 319 obtained with the EC reference, additional EC1 samples were prepared with the new lot 320 of coffee (Table 1). The optimized infusion process extracted 20% of coffee compounds, 321 a value similar to EC1 21% (w/w_{powder}), and in line with EC brews described in literature 322 for related extraction conditions (6 g, 40 mL, 19-21%) (Lopes et al., 2016). This suggests 323 that the quantity of compounds extracted, in absolute values, was equivalent by the two 324 methods.

Concerning the dehydration step, while the freeze-drying method enables the recovery of all coffee material, under the conditions used, nearly half of the content was lost during the processing of the sample via spray-drying, stuck in the drying chamber of the apparatus. This problem would decrease the overall extraction yield to 11% (w/w_{powder}) , although not directly related to the extraction process. Furthermore, the appearance of the samples was distinct: the freeze-dried ones were fluffy brown, while spray-dried samples were yellowish powders (Table 1 and Figure S3). This was supported

332 by the variation in powder colour parameters (*Cielab* coordinates) with higher L^* 333 (lightness) and b^* (shifting in the vellower coordinate) associated to SD samples, in 334 accordance with literature (Padma Ishwarya & Anandharamakrishnan, 2015). This 335 distinction was not so evident when the powder was dissolved in water (brew) at EC concentration (30 mg mL⁻¹), as both FD and SD showed a similar brown colour not 336 337 perceived by naked eye, with similar L^* and b^* values. The dissolution of FD and SD 338 extracts produced more translucid solutions when compared to EC and IC (foggy/cloudy 339 coffee). In addition, although the freeze-dried extracts (both EC and infusion) dissolved 340 almost instantaneously, the spray-dried extract did not (Figure S3). The SD extract seem 341 to act as a more hydrophobic material, suggesting a different organization of the 342 molecules during the drying process. SD processing usually confers smaller particles 343 compared to FD, with smaller spaces between the particles. Thus, as SD was a more 344 compacted structure, it could hinder the penetration of water inside the powder, while the 345 more disorganized FD structure allowed an easier contact with water. According to 346 literature, the SD process leads to air trapping inside the particles, which could result in 347 lowering of density that may cause particles floating, preventing their dissolution in water 348 (Burmester, Pietsch, & Eggers, 2011).

349 Table 1 shows that SD had slightly lower content of total sugars in the extract, 350 possibly caused by a preferential interaction/retention of carbohydrates in the drying 351 chamber. Overall, the sugars composition of FD and SD were statistically similar between 352 them and with EC1 (Table 1), suggesting similar sugars composition of infusion and EC 353 solids. On the other hand, sample IC1 exhibited a substantially higher content of 354 carbohydrates (34.5%, w/wextract) and a distinct composition, with galactose as the main 355 sugar residue (52.1 mol%, 18.3%, w/wextract), followed by mannose (33.9 mol%, 11.9%, w/wextract), in accordance with literature for IC samples (10.2-19.7%, w/wextract for 356

mannose and 13.0-24.7%, w/w_{extract} for galactose) (Blanc et al., 1989; Capek et al., 2014;
Leloup, 2006). While in EC1, FD and SD the Man/Gal ratio was 1.4-1.5, it lowered to
0.7 in IC1. Arabinose was also relatively abundant in all type of samples analysed (2.3%,
w/w_{extract} in EC1; 2.5%, w/w_{extract} in FD; 2.1%, w/w_{extract} in SD; and 2.7%, w/w_{extract} in
IC1), although with a lower relative molar ratio in IC1 (9.4 mol%) than in the other ones
(15.0-15.7 mol%).

A further in-depth sugar analysis was performed using FD sample, as it was easily 363 364 dissolved than SD, a decisive advantage for product development. Generally, glycosidic 365 linkage analysis performed to EC1 and FD (Table S4) did not show significant differences between the two groups of samples, suggesting similar carbohydrate structures in EC1 366 367 and FD extracts. The estimation of galactomannans (GM) through the sum of mannosyl 368 residues and the contribution of T-Galp, assessed as the amount of the 4,6-Manp 369 (Gniechwitz, Brueckel, Reichardt, Blaut, Steinhart, & Bunzel, 2007; Passos, 370 Rudnitskaya, Neves, Lopes, Evtuguin, & Coimbra, 2019), indicated that EC1 and the 371 infusion had 49.4±1.1% and 49.3±2.7% of GM, respectively. For arabinogalactans (AG) 372 estimation, it was accounted the arabinosyl and galactosyl residues, subtracting the 373 amount of T-Galp in GM. EC1 and the infusion present 38.0±1.1% and 38.5±2.8% of 374 AG, respectively (Table S4). Thus, the ratio of GM/AG for the two methods was similar 375 (1.3). This ratio is reported to vary from 0.9 to 2.8 in different coffee brews, including 376 infusions, drip brew, or espresso, for instance (Gniechwitz et al., 2007; Nunes & Coimbra, 377 2001, 2002). Indeed, the extraction conditions may be modulated to obtain similar 378 proportions even with different methods. In the case of instant coffee, literature shows a 379 lower GM/AG ratio (0.4), in line with the molar composition obtained for sample IC1 380 (Table 1). Moreover, the estimation of the branching degree of GM showed similar values 381 for both extraction methodologies, approximately 5% for EC1 and FD, in accordance with

other infusion processes (4-5%) (Nunes et al., 2001, 2002), other extraction methods (drip
brew, instant espresso, coffee pods; 3.1-4.0%), IC samples (4.4%), or extracts obtained
from spent coffee grounds (2-7%) (Gniechwitz et al., 2007; Passos, Rudnitskaya, Neves,
Lopes, & Coimbra, 2019).

386 A dialysis step was employed to obtain the polymeric material of the samples and 387 evaluate the similarities between EC1 and FD. The IC1 sample was also tested for 388 comparison purposes using the same amount of starting material. Despite the higher 389 carbohydrates of IC1 when compared to EC1 and FD (Table 1), the polymeric material 390 did not reflect a significant difference, with all samples ranging from 19.7 to 25.2%. This 391 suggests that in IC1 a considerable fraction of low molecular weight carbohydrates 392 diffused through the dialysis membrane (<12-14 kDa). The predominance of low 393 molecular weight compounds in instant coffees agrees with literature (<1 kDa compounds 394 accounting for nearly 40%) (Ferreira et al., 2018; Passos et al., 2014).

395 The carbohydrate composition of the polymeric material showed that EC1 and FD 396 exhibited great similarity, richer in mannose, while IC1 sample was richer in galactose 397 and poorer in mannose and arabinose. Such differences were also observed in the soluble 398 high molecular weight material (HMWM) fraction that represented at least 78% of the 399 HMWM material of the samples (Table 2). On the other hand, higher amount of cold-400 water insoluble fraction (HMWM_{Insol}) was found in EC1 and IC1 (4.8 and 4.4%, w/wextract, 401 respectively), when compared to FD (0.8%, $w/w_{extract}$). The higher proportion of insoluble 402 compounds in EC1 sample may be due to the presence of small roasted coffee particles 403 directly extracted to the brew, not found in FD due to the filtration step. This hypothesis 404 is reinforced by the higher glucose content in EC1, as well as by the similarity of the 405 carbohydrate composition with the roasted coffee powder (Table S1).

406 Protein has been associated to foamability in EC (Nunes et al., 1997). Table 2 407 shows that EC1 sample exhibited higher relative protein content in HMWM (16.8%) 408 when compared to FD (13.4%), with IC1 presenting an intermediate content (15.5%). 409 Literature values for infusions were comparable to those obtained for FD (9-12%) 410 (Bekedam, Roos, Schols, Van Boekel, & Smit, 2008; Nunes et al., 2001). The major 411 polymeric fraction revealed similar percentages in EC1 (12.6%, w/w_{HWMWSol}) and FD 412 (12.5%, w/w_{HWMWSol}) and agrees with literature for EC samples when applying the same 413 procedure of analysis (Lopes et al., 2016). Considering the mass of compounds, the results 414 showed that EC1 contained 38 mg of protein per g of brew solids, while FD and IC1 415 exhibited 26 mg and 31 mg, respectively. The distinction came from the insoluble fraction 416 (EC1: 17 mg; FD: 2 mg; IC1: 7 mg), as the soluble one showed similar values among the 417 samples (EC1: 22 mg; FD: 24 mg; IC1: 24 mg), values comparable with literature reports 418 for EC (Lopes et al., 2016).

419 Melanoidins are brown nitrogen-containing polymeric material, whose estimation 420 is usually performed by the difference between the total polymeric material and the one 421 determined as protein and carbohydrates (Lopes et al., 2016). Table 2 shows that EC1 and 422 FD had similar content of melanoidins, and higher than IC1. The estimation of the amount 423 per brew (1.2 g of solids) shows that the EC1 analysed had nearly 71 mg per brew, and 424 FD extract exhibited 65 mg, in accordance with literature reports for EC brews 425 (Vitaglione, Fogliano, & Pellegrini, 2012). The brown characteristic colour of 426 melanoidins was measured through the specific extinction coefficient at 405 nm 427 $(K_{mix,405nm})$. Table 2 shows a resemblance between $K_{mix,405nm}$ values for EC1 (1.1) and FD 428 (1.2), suggesting a similar brown colour of these extracts.

The lipids content in EC1 (0.92%, w/w_{extract}, Table 1) was significantly higher than
IC1, FD, and SD (0.05, 0.10, and 0.10%, w/w_{extract}, respectively). Moreover, roasted

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431 powder contained 11.1% (w/w_{powder}) of lipids, showing that EC procedure may extract 432 nearly 2% of the coffee lipids present in the coffee powder. It was reported that pressure 433 favours lipids extraction, while filtration steps, as performed after the infusion process, 434 hinder the passage of these compounds to the brew. On the other hand, the amount of 435 caffeine and the major chlorogenic acid (5-CQA) in EC1 and FD/SD extracts was similar, 436 while the amount in IC1 was significantly lower, due to the higher relative abundance of 437 other compounds as carbohydrates.

438 The dissolution of the extracts at a concentration of EC brews (30 mg mL⁻¹) 439 showed that EC1, FD, and SD extracts exhibited similar kinematic viscosity, while the 440 IC sample had lower values, probably due to the different Man/Gal ratio verified in these 441 samples. Under the same conditions, EC1, FD, and SD exhibited similar electrical 442 conductivity, which could be an indication of comparable amount of ions present, with a 443 lower value observed in IC1. Concerning pH, the dissolution of EC1, FD, and SD extracts, 444 at the same conditions, originated solutions with pH 5.7-6.0 (Table 1), in line with values 445 for EC brews (5.4-5.9) (Andueza et al., 2007; Caporaso et al., 2014), while the IC1 sample 446 had pH 5.2, thus more acidic, in accordance with values for these brews (4.9-5.2) (da 447 Silveira, Tavares, & Glória, 2007; Welna, Szymczycha-Madeja, & Zyrnicki, 2013).

448 3.3. Foam experiments

The dried coffee samples (EC1, FD, and SD) foamability and foam stability was evaluated through the injection of CO₂ using brews prepared at EC concentration (30 mg mL⁻¹, Figure S4). This methodology was already applied in the study of wine compounds foamability and foam stability (Coelho et al., 2011). The EC1 sample, when dissolved in water (25 °C), was able to produce a foam index of 10.2% in the column, with 10% as the indicated acceptable value for a good EC (Illy et al., 2005). Moreover, the foam was stable for approximately 9.9 min. The application of the same procedure to FD extract showed

456 a foam index of 12.3%, with a foam stability of 13.3 min. These results show that the 457 coffee extracts can produce consistent foam. As the goal was to generate CO₂ in situ and 458 evaluate the foamability of the coffee products, series of experiments were conducted 459 with effervescent formulations using the effervescent properties of sodium 460 bicarbonate/citric acid mixtures. IC1 sample was used to determine the quantity of 461 reagents needed to attain the desired level of foam-index (at least 10%) with the addition 462 of water at 70 °C to the coffee formulation. The best formulation tested consisted of 1:9 463 of effervescent mixture of 1.2:1.0% (w/w) sodium bicarbonate:citric acid and coffee 464 extract (1.2 g) (Figure S5). The dissolution of the EC1, IC1, and FD formulations with hot water readily formed a foam layer in the top of the brew that was stable for at least 465 466 one minute for all samples (Table 1). On the other hand, the lower instant solubility of 467 SD sample hindered the formation of the foam layer. Thus, SD sample was not considered in further experiments. The addition of the salts led to a variation in the pH of coffee 468 469 solutions, with a decreasing of 0.14 pH units with FD sample, maintaining the pH values 470 for EC1, and increasing the pH for IC1 (approximately 0.35 pH units) due to the buffering 471 effect of the bicarbonate/citrate effervescent mixture. Indeed, the addition of these pH-472 regulator compounds to coffee has been reported to extend the shelf life of coffee brews, 473 keeping longer their cup quality and even increasing antioxidant activity (Pérez-Martínez, 474 Caemmerer, De Peña, Cid, & Kroh, 2010).

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3.4. Volatile profile analysis

The volatile profile of each coffee was studied after the dissolution of the samples (EC1 and FD, 1.2 g) in hot water (70 °C, 40 mL), analysing the vapour phase above the coffee brews. As the intent was to study the aroma perceived while drinking a coffee brew, a short extraction time (3 min) was selected to simulate the consumers' perception. For comparison, EC were extracted right before the analysis with a conventional coffee

481 machine (EC Machine), using the same coffee blend used to produce EC1 and FD 482 samples. Moreover, two instant coffee samples (IC1 - instant coffee and IC2 - instant 483 coffee labelled "espresso" by the manufacturer) were studied for comparison purposes. 484 As SD sample presented dissolution problems, it was discarded from this analysis. The 485 HS-SPME/GC-qMS analysis (chromatograms in Figure S6) allowed to putatively 486 identify 71 compounds in the headspace of the coffee samples studied (Table S5). 487 Globally, similar volatile profiles were observed for the coffee brews analysed under the 488 HS-SPME conditions used. The fresh espresso coffee (EC Machine) brew exhibited 489 higher GC peak intensities than the extracts, whose previous concentration step (freeze 490 drying process) explain the general intensity loss of the volatile compounds. EC1 and FD 491 samples showed higher total GC peak intensities than the instant coffee samples (IC1 and 492 IC2). In fact, the lower volatiles in instant coffees compared to other brews is in 493 accordance with literature (Sanz, Czerny, Cid, & Schieberle, 2002; Semmelroch & 494 Grosch, 1995). According to their chemical nature, the compounds were grouped in the 495 most relevant coffee chemical families, as aldehydes, furans, indole compounds, volatile 496 phenols, pyrazines, pyridines, pyrazines, and pyrroles. The compounds not included in 497 any of the previous chemical families were classified as "others". Figure 2a shows the 498 total GC peak area for the samples analysed grouped by their chemical family and the 499 contribution of each peak to the overall intensity.

500 Furans were the chemical family with higher number of compounds determined 501 in all samples and with a predominant contribution of their GC peak areas in the EC 502 machine sample (45%), EC1 (37%), FD (36%), and IC2 (48%). For IC1, pyrroles were 503 the preponderant chemical family (30% of total GC peak area). The predominance of 504 furans over other compounds was already described in literature for different coffee 505 brews, as the principal contributors for characteristic coffee brew aroma (Caporaso et al.,

506 2014). Pyrazines represent the following predominant chemical family in the coffee 507 samples studied (except in IC1 which is furans): 22% (EC Machine and IC2), 23% (EC1), 508 and 29% (FD) (Figure 2a). These compounds are key aroma compounds, namely the 509 alkylpyrazines, as they confer hazelnut, nutty, and roasted notes to coffee (Caporaso et 510 al., 2014; Flament, 2001) (Table S5). Volatile phenolic compounds also greatly contribute 511 to the total GC peak area, mainly in the EC Machine, EC1, and FD (13-16%) comparing 512 to instant samples (6-11%) (Figure 2a). These compounds are associated to smoky, 513 roasted, and spicy notes (Table S5), contributing to the typical coffee aroma associated to 514 coffee brews.

515 Furfuryl acetate was the major compound detected in coffee samples, representing 516 13.1-14.7% of the overall GC peak intensities, in line with literature for espresso coffee 517 (10.5-13.6%) (Petisca, Pérez-Palacios, Farah, Pinho, & Ferreira, 2013) and other freshly 518 brews (American, Neopalitan, and Moka) (Akiyama et al., 2009; Caporaso et al., 2014). 519 This was not observed for IC1 that exhibited a lower level of furfuryl acetate (0.6%). In 520 IC1, acetic acid was predominant (10.1% of total peak area), in accordance with results 521 for agglomerated instant coffee (powder), composed by 6-7% of acetic acid and where 522 furfuryl acetate does not exceed 0.1% (Leobet et al., 2019). Furthermore, the compounds 523 with major contribution for the total GC peak area (more than 5%) were the same and in 524 the same order for EC machine, EC1, and FD: furfuryl acetate, furfuryl alcohol (8.5-525 9.3%), 4-vinyl-2-methoxyphenol (6.2-7.9%), and pyridine (5.3-5.5%). Indeed, the higher 526 preponderance of furfuryl acetate in espresso coffee has been highlighted as diagnostic 527 between different coffee brews (Caporaso et al., 2014). Although EC Machine exhibited 528 the highest GC peak areas for almost all compounds (67 out of 71), there were some 529 exceptions as 5-hydroxymethylfurfural, whose presence was only observed in instant 530 coffee samples. This compound is one of the major volatile compounds (18-22%) in agglomerated instant coffee powder (Leobet et al., 2019), probably due to the thermalextraction processing.

533 To explore the similarities/differences between the extracts (FD, EC1, IC1, and 534 IC2), masked by the substantial higher peak abundance of fresh sample, the data was re-535 analysed excluding EC machine sample. The heatmap (Figure S7a) highlights the higher 536 overall intensity associated to EC1 and FD samples, where some compounds were more 537 intense in IC2 while the poorer global intensity was observed for IC1. The differentiation 538 of FD and EC1 when compared to instant samples (IC1 and IC2) was evidenced by the 539 dendrogram and PCA (Figure S7). PC1, representing 65.0% of samples variability, 540 separated instant coffees, mainly IC1, from EC1 and FD due to higher GC peak areas 541 determined in most compounds of the latter ones.

542 Although 56 of the compounds identified in the coffee samples have associated 543 aroma descriptors (Table S5), only 19 (Figure 2b) were already described as important 544 aroma contributors for coffee brews (Caprioli et al., 2012). The PCA of GC peak areas of 545 the 19 coffee aroma contributors without EC Machine showed a similarity between EC1 546 and FD extracts (Figure 2b and 2c), and their difference from IC1. PC1, that explained 547 67.2% of samples variability, separated FD and EC1 (negative PC1) from IC1 and IC2 548 (positive PC1). PC2, that explained 14.5% of samples variability, separated IC2 from the 549 remaining samples, which was associated, for instance, to the higher level of furfuryl 550 methyl sulphide.

The results for EC1 (10.3% of GC peak areas) and FD (12.5%) were of the same magnitude (7.0-11.9%) as studies regarding the key odorants for EC aroma (Andueza et al., 2003; Andueza et al., 2007; Maeztu, Sanz, Andueza, Paz De Peña, Bello, & Cid, 2001). On the other hand, the GC peak areas for EC1 and FD samples were not statistically different, except for 2,5-dimethylfuran (p<0.05) and 4-vinyl-2-

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556 methoxyphenol (p < 0.01). The identical volatile pattern observed suggested that the aroma 557 created when dissolving the samples in hot water was similar. These samples have the 558 same coffee blend origin and were freeze-dried after extraction (espresso and infusion). 559 The compound 4-vinyl-2-methoxyphenol is absent or clearly diminished in instant coffee 560 (Sanz et al., 2002; Semmelroch et al., 1995). In the present study, the GC peak areas in EC1 (2.1x10⁷) and FD (1.3x10⁷) was much higher than the peak areas found in IC1 561 562 (1.3×10^6) and IC2 (4.6×10^6) . The same trend was observed for other volatile phenolic 563 compounds, as 4-ethyl-2-methoxyphenol (EC1/FD: 6.6x10⁶-8.5x10⁶; IC1/IC2: 7.8x10⁵-564 1.5×10^6), that also confers spicy notes and 2-methoxyphenol (EC1/FD: $6.1 \times 10^6 - 7.5 \times 10^6$; IC1/IC2: 1.5×10^5 -1.6 $\times 10^6$), with burnt and smoky aroma notes, which were compounds 565 566 reported to be present in coffee brews and absent/minor in instant coffee (Sanz et al., 567 2002; Semmelroch et al., 1995). On overall, although EC machine revealed higher 568 intensities, the volatile profile of this sample processed by freeze-drying (EC1) or one 569 obtained from an infusion process (FD) was similar.

570 3.5. Global analysis

571 The analysis of FTIR spectra allow to comprehensively study the samples overall 572 composition. Besides the espresso reference (EC1), the freeze- (FD) and spray-dried (SD) 573 extracts and the instant samples (IC1 and IC2), other espresso coffee samples (E2-E5) 574 were added to increase the robustness of the results. Figure 3a evidenced that IC samples 575 differed from all other. PCA (Figure 3b,c) suggested similarity on overall composition 576 between espresso coffee samples (E1-E5) and the freeze-dried extracts (FD). On the other 577 hand, the SD sample was separated from the freeze-dried ones, explained mainly by a shift in the 1029 cm⁻¹ peak to 1032 cm⁻¹. This is an effect of the drying process, once the 578 579 dissolution of SD sample in water and its posterior freeze-drying (SDFD in Figure 3c), 580 placed this sample next to all other FD samples. Loading analysis showed that the

carbohydrate region (800-1200 cm⁻¹) differentiated IC samples from the remaining 581 582 samples, with the major variation in PC1 explained by the wavenumber 1029 cm⁻¹ 583 (56.4%). This is associated with higher carbohydrates content in IC samples (Table 1 and 584 S6), even the one labelled as instant espresso coffee (IC2). The sugar composition of 585 additional espresso samples (E2-E5, Table S6) was similar to EC1 and infusion extracts. 586 The EC/FD/SD samples showed greater peak intensities at 1580, 1645 and 1699 cm⁻¹, 587 related to higher caffeine and chlorogenic acids content, explaining the shift towards 588 negative PC1. Furthermore, EC1-EC5 samples showed a higher peak intensity at 2923 cm⁻¹, associated to lipids, in accordance with their higher content in EC samples. The 589 590 FTIR analysis demonstrated that the extracts produced (mainly FD) were chemically 591 close to EC samples and greatly distinct from IC samples, even the one labelled as 592 espresso, possibly related to the drastic conditions of extraction used to obtain them which 593 hinder their resemblance to EC.

594 Figure 4a shows a heatmap representation covering all analyses performed for 595 EC1, FD, and IC1 samples highlighting the similarity of EC1 and FD in most of the 596 parameters analysed and the considerable difference to IC1. The PCA (Figure 4b) shows 597 that PC1, explaining 60% of data variability, separated the EC1 and FD sample from IC1, 598 evidencing extracts similarity in most of the compounds. Carbohydrates (mainly 599 galactose) differentiated IC1 sample explained by their higher amount. Moreover, lipids 600 had a considerable influence on the separation between EC1 and FD samples. The 601 addition of flavour extracts (as the unextracted roasted coffee lipid extract) could enrich 602 both the lipids content and the aroma profile, approximating the FD aroma to the one of 603 a fresh coffee. Furthermore, melanoidins and protein seem also to have influence, 604 although the differences in their amounts between the two extracts was low (5.9%

 $w/w_{extract}$ in EC1 compared to 5.4% w/w in FD for melanoidins, and 3.8% w/w_{extract} in EC1 compared to 2.6% in FD sample).

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608 4. Concluding remarks

609 In the EC studied, 21±2% of the coffee compounds end up in the brew extract, 610 which represents an amount similar to the one obtained after modulation of a regular 611 infusion extraction. These extracts had similar composition to EC in many of the 612 parameters analysed (carbohydrates, caffeine, chlorogenic acid, pH, foamability or 613 colour). However, the processing by spray-drying was not favourable to process extracts 614 with low concentration of solids due to posterior poor dissolution in water. Moreover, the 615 freeze-dried extract lacked lipids content due to higher extractability of this fraction with 616 EC devices. However, the freeze-dried sample contained a volatile profile representative 617 of an EC, considering that the compounds are still present in the extract, although in 618 considerably lower amount. The results herein obtained could be used as a tool to create 619 new coffee brew formulations approximating instant extract powders to espresso coffees. 620 The modulation of studied infusion process resulted also in a high fraction of 621 unextracted compounds, namely carbohydrates. Thus, under a circular economy, the 622 residue can be posteriorly extracted in more drastic conditions to produce instant coffee, 623 leading to the total exploitation of the coffee powder in two distinct products, EC and IC, 624 by a two steps extraction process.

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638 **Conflict of Interest**

- 639 The authors declare no conflict of interests.
- 640

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815 Figure Captions

Figure 1. Carbohydrate composition of coffee samples (Rha, rhamnose; Ara, arabinose; Man, mannose; Gal, galactose; Glc, glucose). a) freeze-dried espresso coffee (EC1); b) plots of response optimization strategy applied according to desirability function (X_1 , extraction time; X_2 , temperature; X_3 , coffee powder/water ratio; X_4 , grinding level). The responses were the extraction of the main sugar residues (%, w/w_{powder}) and the proportion of these residues in the coffee extract obtained (mol%), for models with high determination coefficients ($R^2 > 80\% - 86-95\%$).

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Figure 2. Coffee volatile profile analysis. a) Total GC peak area grouped by chemical family (left) and contribution of each family for the total area (right - the number inside the box represents the number of compounds in each chemical family). b) Heatmap representation of the aroma contributing volatile compounds identified, grouped by chemical families, considering the GC peak areas after mean-centred the data for each variable and dividing by the standard deviation (autoscaling). c) Principal component analysis (PCA) of the volatile compounds identified, presenting the distribution of the samples (scores, left) and compounds (loadings, right and below).

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831 Figure 3. FTIR analysis of the different coffee extracts. a) FTIR spectra (SNV-corrected), b) PCA loadings832 and c) scores.

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- Figure 4. a) Heatmap representation (a) and principal component analysis (b) of all the compounds andproperties determined for EC1, FD and IC1 samples.
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837 Figures and tables





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Figure 1. Carbohydrate composition of coffee samples (Rha, rhamnose; Ara, arabinose; Man, mannose; Gal, galactose; Glc, glucose). a) freeze-dried espresso coffee (EC1); b) plots of response optimization strategy applied according to desirability function (X_1 , extraction time; X_2 , temperature; X_3 , coffee powder/water ratio; X_4 , grinding level). The responses were the extraction of the main sugar residues (%, w/w_{powder}) and the proportion of these residues in the coffee extract obtained (mol%), for models with high determination coefficients ($\mathbb{R}^2 > 80\% - 86-95\%$).

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Indole a) 8.0×10⁰⁸ **Compounds Phenols** Pyrazines Pyridines Others Aldehydes Furans Pyrroles Others 5 2 2 10 Pyrroles _____ Pyridines Total Area (a. u.) 6.0×10⁰⁸ IC2 Pyrazines Phenols IC1 Indole Compounds 4.0×10⁰⁸ FD Furans Aldehydes EC1 2.0×10⁰⁸ EC Machine ò 40 60 80 100 20 Contribution (% GC peak area) 0.0 EC1 IC1 IC2 EC FD Machine 0.4 2-Methylbutanal b) 0 • Aldehydes c) oFD 3-Methylbutanal 2 PC2 (14.5 %) 0.2 Hexanal **IC1** Furans 0 -oadings 2 0 2,5-Dimethylfuran 1 ∽ EC -0.0 2-(Methoxymethyl)furan • Phenols 0 0 Furfural Pyrazines Furfuryl methyl sulfide 0 -0.2 -1 Furfuryl acetate 0 Pyrroles Furfuryl alcohol Ş -0.4 -2 2-Methoxypheno Others -3∔ -6 -0.6∔ -0.3 4-Ethyl-2-methoxypheno 2 4-Vinyl-2-methoxyphenol -4 -2 Ó 4 6 -0.2 -0.1 0.0 0.1 2-Ethylpyrazine Loadings 1 PC1 (67.2 %) 2-Ethyl-6-methylpyrazine 2-Ethyl-5-methylpyrazine 0.4 2-Ethyl-3,5-dimethylpyrazine 0.2 📕 PC1 📕 PC2 2,3-diethyl-5-methylpyrazine -0.0 1-Methylpyrrole 2,3-Pentanedione -0.2 -0.4 IC1 EC1 IC2 -0.6

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Figure 2

Figure 2. Coffee volatile profile analysis. a) Total GC peak area grouped by chemical family (left) and contribution of each family for the total area (right - the number inside the box represents the number of compounds in each chemical family). b) Heatmap representation of the aroma contributing volatile compounds identified, grouped by chemical families, considering the GC peak areas after mean-centred the data for each variable and dividing by the standard deviation (autoscaling). c) Principal component analysis (PCA) of the volatile compounds identified, presenting the distribution of the samples (scores, left) and compounds (loadings, right and below).

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- 861

862 Figure 3





Figure 3. FTIR analysis of the different coffee extracts. a) FTIR spectra (SNV-corrected), b) PCA loadings

⁸⁶⁵ and c) scores.

Figure 4



Figure 4. a) Heatmap representation (a) and principal component analysis (b) of all the compounds and

870 properties determined for EC1, FD and IC1 samples.

Parameter				Infusion		
		-		FD	SD	
		EC1	ICI			
Total Carbohydrates (%, w/w	extract) ¹	17.6±0.9 ^a	34.5±1.1 ^b	19.3±1.5°	16.8±0.9 ^a	
Rha (r	nol%)	4.3±0.5 ^a	1.5 ± 0.1^{b}	4.3±0.5 ^a	$4.4{\pm}0.2^{a}$	
Ara (r	nol%)	15.7±0.5 ^a	9.4 ± 0.6^{b}	15.7±0.6 ^a	15.0±1.1ª	
Man (r	nol%)	$44.4{\pm}1.8^{a}$	33.9 ± 1.0^{b}	43.0±1.0 ^a	44.8±4.1ª	
Gal (r	nol%)	29.8±1.3ª	52.1 ± 1.5^{b}	31.6±1.1 ^a	33.0±3.4ª	
Glc (r	nol%)	5.8 ± 0.6^{a}	3.1 ± 0.4^{b}	5.4 ± 0.6^{a}	$5.7{\pm}0.5^{a}$	
Total Lipids (%, w/wextract	$)^{1}$	$0.92{\pm}0.05^{a}$	0.05 ± 0.02^{b}	0.10 ± 0.04^{b}	0.10±0.01 ^b	
Caffeine (%, w/wextract) ¹		8.83±0.42ª	4.92 ± 0.38^{b}	8.83±0.64 ^a	8.67±0.64 ^a	
5-CQA (%, w/wextract) ¹		2.39±0.15ª	1.01 ± 0.16^{b}	2.47 ± 0.17^{a}	2.37 ± 0.16^{a}	
Density (g cm ⁻³)		1.007 ± 0.003^{a}	1.008 ± 0.004^{a}	1.008 ± 0.004^{a}	1.008 ± 0.006^{a}	
Colour (Powder)	L^*	15.9±3.1ª	8.9 ± 0.7^{b}	21.7±3.1°	38.7 ± 2.9^{d}	
	a^*	7.2 ± 0.4^{a}	10.8 ± 0.5^{b}	7.6±1.2 ^a	$6.9{\pm}0.6^{a}$	
	b^*	14.9±2.1ª	12.8±0.4 ^a	17.9±2.3 ^b	23.1±0.7°	
	C^*	16.6 ± 2.0^{a}	16.8±0.5 ^a	19.4±2.6 ^b	24.2±0.8°	
	h_{ab}	64.0 ± 2.3^{a}	50.4 ± 0.4^{b}	66.9±1.1°	73.4 ± 1.0^{d}	
Colour (Brew) ²	L^*	36.9±0.8ª	36.7±0.9 ^a	37.0±1.1ª	38.1±2.4 ^a	
	a^*	1.4 ± 0.1^{a}	1.5±0.1 ^a	3.2±0.1 ^b	3.7±0.8°	
	b^*	1.4 ± 0.1^{a}	1.3±0.1 ^a	$1.5{\pm}0.2^{a}$	1.6±0.9 ^a	
	C^*	2.0±0.1ª	1.9±0.1ª	3.5±0.2 ^b	4.1±1.1 ^b	
	h_{ab}	43.8 ± 3.3^{a}	40.9±3.3 ^a	24.6±2.5 ^b	21.5±6.8 ^b	
Colour ($K_{mix,405 \text{ nm}}$)		0.69 ± 0.03^{a}	0.66 ± 0.08^{a}	0.44 ± 0.02^{b}	0.46±0.01 ^b	
Kinematic Viscosity (cSt) ²	1.06 ± 0.01^{a}	1.03 ± 0.00^{b}	1.05±0.01 ^a	1.06±0.01ª	
Electrical conductivity (mS c	$m^{-1})^2$	3.56±0.31 ^a	2.33±0.21 ^b	3.83±0.36 ^a	3.85±0.14 ^a	
pH ²		5.75±0.12 ^a	4.88 ± 0.04^{b}	6.09±0.09°	5.87 ± 0.04^{a}	
Foamability (mL) ²		8.1±2.1ª	8.1 ± 0.4^{a}	$7.2{\pm}1.4^{a}$	_3	
Foam index (%) ²		20.3±5.2ª	20.3±1.0 ^a	$18.0{\pm}3.6^{a}$	_3	
Foam Stability $(s)^2$		68.8 ± 8.4^{a}	79.4±28.2ª	80.2 ± 22.6^{a}	_3	
pH (after effervescence)		5.76 ± 0.09^{a}	5.23 ± 0.05^{b}	5.95±0.09°	5.69±0.06ª	

872 Table 1. Composition of EC1, IC1, and roasted coffee infusion obtained through optimization procedure
 873 and processed by freeze- (FD) and spray-dried (SD) methodologies.

874 ¹: relative content of the compounds in relation to the total solids extracted; ²: analysis performed after 875 redissolution of freeze-dried samples in water (30 mg mL⁻¹). ³: the extract did not form the foam. n.d.: not 876 determined. Columns with different characters (^{a-d}) in each row indicate samples with significant difference 877 (p < 0.05). (Rha, rhamnose; Ara, arabinose; Man, mannose; Gal, galactose; Glc, glucose)

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Fraction	EC1	IC1	FD
HMWM _{Total} (%, w/w extract)	22.4±0.3 (224)	25.2±4.2 (252)	19.7±0.5 (197)
Total Carbohydrates (%, w/w HMWM)	56.7±3.5 (127)	68.6±0.7 (173)	59.0±8.2 (116)
Rha (mol%)	4.4±0.0 (5)	1.7±0.1 (3)	4.1±0.2 (4)
Ara (mol%)	12.8±0.2 (14)	6.9±0.0 (10)	12.6±0.7 (12)
Man (mol%)	50.5±0.9 (66)	28.3±0.4 (50)	50.6±2.2 (61)
Gal (mol%)	30.4±0.4 (40)	60.9±0.2 (107)	31.2±1.3 (37)
Glc (mol%)	1.9±0.2 (2)	2.2±0.5 (4)	1.4±0.0 (2)
Protein (%, w/w HMWM)	16.8±0.5 (38)	12.2±0.1 (31)	13.4±0.3 (26)
Melanoidins (%, w/w HMWM) ¹	26.4 (59)	19.2 (48)	27.6 (54)
HMWM _{Sol} (%, w/w extract)	17.6±0.2 (176)	20.8±2.1 (208)	18.8±0.3 (188)
Total Carbohydrates (%, w/w HMWMSol)	58.7±0.9 (103)	78.8±10.9 (164)	62.0±0.0 (117)
Rha (mol%)	5.0±0.2 (5)	1.8±0.2 (3)	4.2±0.1 (5)
Ara (mol%)	14.5±0.4 (13)	7.5±0.3 (10)	12.6±0.1 (12)
Man (mol%)	44.9±1.4 (48)	16.0±0.1 (27)	50.4±0.0 (61)
Gal (mol%)	34.1±0.9 (36)	72.5±0.1 (121)	31.4±0.1 (38)
Glc (mol%)	1.5±0.0 (2)	2.3±0.1 (4)	1.4±0.1 (2)
Protein (%, w/w HMWMSol)	12.6±0.5 (22)	11.4±0.1 (24)	12.5±0.0 (24)
Melanoidins (%, w/w HMWMSol) ¹	28.7 (50)	9.7 (20)	25.5 (48)
<i>Kmix</i> ,280nm	4.87±0.20	4.35±0.29	4.62±0.33
Kmix,325nm	3.95±0.17	3.36±0.22	3.68±0.28
Kmix,405nm	1.14±0.07	0.91±0.05	1.24±0.11
HMWM _{Insol} (%, w/w extract)	4.8±0.3 (48)	4.4±2.1 (44)	0.8±0.2 (8)
Total Carbohydrates (%, w/w HMWMInsol)	11.8±2.1 (6)	68.7±5.1 (31)	31.2±8.8 (3)
Rha (mol%)	5.5±0.6 (0)	0.6±0.0 (0)	3.3±0.5 (0)
Ara (mol%)	17.1±2.2 (1)	2.1±0.1 (1)	9.7±1.7 (0)
Man (mol%)	37.6±1.6 (2)	86.5±0.3 (27)	63.4±6.0 (2)
Gal (mol%)	29.7±2.1 (2)	9.2±0.3 (3)	19.8±2.4 (1)
Glc (mol%)	10.2±3.3 (1)	1.6±0.1 (0)	3.8±1.4 (0)
Protein (%, w/w HMWMInsol)	36.1±0.4 (17)	15.5±1.1 (7)	25.8±1.5 (2)
Melanoidins (%, w/w HMWMInsol) ¹	52.0 (25)	15.8 (7)	43.0 (4)

879 **Table 2.** High molecular weight material for the espresso coffee and the infusion samples. The estimated amount (in mg) is shown in brackets *per* g of sample.

¹: values for melanoidins obtained from the difference between the total polymeric material and the material determined as carbohydrates (Rha, rhamnose; Ara, arabinose; Man, mannose; Gal, galactose; Glc, glucose) and proteins.

884 CRediT authorship contribution statement

885

886 Guido R. Lopes: Conceptualization, Methodology, Investigation, Formal analysis,

- 887 Writing original draft.
- 888 Cláudia P. Passos: Methodology, Writing review & editing.
- 889 Sílvia Petronilho: Methodology, Investigation Writing review & editing.
- 890 Carla Rodrigues: Supervision, Writing review & editing.
- 891 **José A. Teixeira:** Supervision, Writing review & editing.

	Journal Pre-proofs
892	Manuel A. Coimbra: Conceptualization, Validation, Resources, Supervision, Writing -
893	review & editing.
894 895 896 897	Highlights
898	• Espresso coffee (EC) carbohydrates are target compounds for infusion
899	optimization.
900	• Infusion extracts can be chemically similar to EC and different from instant
901	coffee.
902	• Freeze-drying is better than spray-drying to prepare instantly soluble powders.
903	• Infusion extracts differ from EC in lower lipids content.
904	• Volatile compounds of infusion extracts exhibit an aroma profile typical of an EC.
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