

1 Solvent-Free Strategy Yields Size and Shape-Uniform Capsules

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4 **S** Supporting Information

5 **ABSTRACT:** Capsules with a liquefied core were
 6 fabricated via the assembly of polymeric droplets induced
 7 by superamphiphobic surfaces. These highly repellent
 8 substrates exhibit distinct features such as (i) an easy and
 9 precise control over the particle size and shape, (ii) a high
 10 encapsulation efficiency, (iii) mild processing conditions,
 11 and (iv) the possibility to include any object in either a
 12 water or oil-based liquid core, which are not found on the
 13 current available strategies. As proof of concept, a photo-
 14 cross-linkable derivative of chitosan was used to produce
 15 the polymeric shell while a wealth variety of template cores
 16 were tested using a reversible cross-linking mechanism,
 17 interfacial gelation process or ice. Owing to the widespread
 18 application of polymeric capsules, the developed strategy is
 19 poised to usher the development of the next generation of
 20 materials not only for biomedical purposes but also for
 21 cosmetics, agriculture and electronics.

22 **A** significant research interest is being devoted toward the
 23 use of hollow materials as encapsulation devices for a
 24 plethora of different fields, spanning from electronics to
 25 cosmetics, including biomedical applications.¹ Core-shell
 26 structured particles with a liquid core exhibit (i) a more
 27 efficient and homogeneous transfer of solutes, (ii) a higher
 28 loading capacity provided by their internal ample space, and
 29 (iii) a lighter weight when comparing with their cross-linked-
 30 core counterparts.² Drawn by these appealing features, distinct
 31 strategies to fabricate polymeric capsules have been devised.³
 32 However, most of them are based on complex and harsh
 33 synthesis procedures, eluding the use of coagulating baths,
 34 which can ultimately compromise the cargo stability and
 35 loading efficiency. Thus, the absence of a simple and solvent-
 36 free methodology to prepare liquid-core capsules under mild
 37 conditions was the motivation of this work.

38 Herein, highly repellent substrates were used to design
 39 monosized and spherical polymeric capsules with a (i) hydrogel
 40 shell made of methacrylamide chitosan (MACHI), a biocom-
 41 patible and light-sensitive derivative of CHI, and (ii) a liquefied
 42 core, wherein different molecules can be dispersed. Recently,
 43 surfaces with low wettability were successfully employed to
 44 produce compact spherical particles, from a wide range of
 45 materials and under mild conditions, by cross-linking pregel
 46 spherical droplets formed when in contact with these substrates
 47 (SI; S1).⁴ However, the use of this solvent-free technology to
 48 attain liquefied capsules have not been reported.⁵ First, the
 49 liquefied core was obtained by dispensing a predefined volume
 50 of an alginate (ALG) solution onto a SA surface, which is
 51 characterized by contact angles higher than 150° for both water

and oil-based liquids (Figure 1I and SI; S1.1). ALG was selected due to its biocompatibility as well as for its ability to

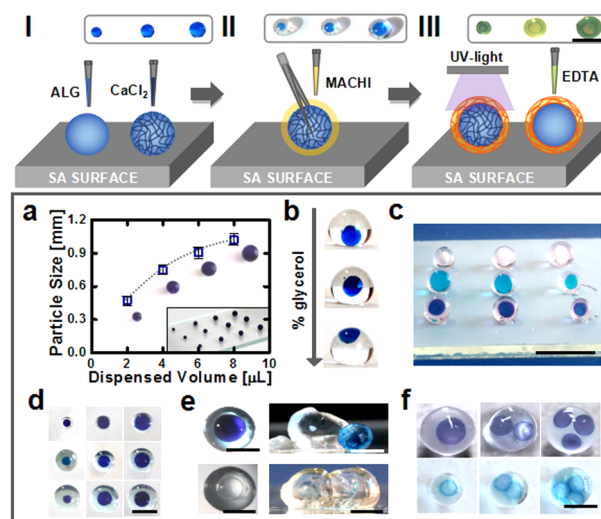


Figure 1. (I) Spherical ALG droplet induced by a SA surface and their subsequent Ca^{2+} -mediated cross-linking. (II) Entrapment of a ALG core within a MACHI droplet. (III) UV-mediated cross-linking of the MACHI shell followed by the core dissolution via EDTA action. Scale bar stands for 1.2 mm. (a) Effect of the dispensed volume of ALG solution on the size of the obtained ALG particles after 15 min of Ca^{2+} -mediated gelling. Scale bar corresponds to 6 mm. (b) Effect of glycerol on the position of the ALG core inside a MACHI pregel droplet (0, 16 and 20% (v/v) of glycerol/water). (c) Scale-up of the developed strategy to attain simultaneously polymeric capsules containing cores with different sizes and entrapping different compounds. Scale bar stands for 2 mm. (d) Multicompartmental hydrogel particles with distinct shell thickness. Scale bar is 1.2 mm. (e) MACHI capsule before (upper panel) and after (lower panel) the EDTA treatment. Scale bar stands for 400 μm . (f) Hydrogel particles with a multicore structure before (upper panel) and after (lower panel) EDTA treatment. Scale bar corresponds to 700 μm .

reversibly form hydrogels at mild conditions, making it a great 54 candidate for the capsule liquid core (SI, S1.2). As shown in 55 Figure 1a, pregel ALG droplets remained suspended above SA 56 substrates, acquiring an almost spherical shape (SI; S1.1, shape 57 factor of 0.95 ± 0.02). This shape was induced by the extreme 58 wettability of these surfaces, which, in turn, is the result of the 59

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60 presence of a hierarchical topography with micro- and
61 nanofeatures and a low surface energy (SI; S1.3).

62 Afterward, calcium chloride (CaCl_2) was added above the
63 preformed ALG droplets to prompt their gelation (Figure 1I).
64 Figure 1a shows the possibility of controlling the ALG particle
65 size with high precision by simply tuning the dispensed volume
66 above the SA surface. By changing the droplet volume from 2
67 to 8 μL , the particle size increased from 0.5 ± 0.05 mm to $1.0 \pm$
68 0.05 after 15 min of Ca^{2+} -mediated cross-linking, which
69 corroborates with previous studies ($R^2 \approx 0.99$) (SI; S1.4).⁶

70 The preformed ALG hydrogel particles were then entrapped
71 within a larger volume of MACHI solution, previously
72 dispensed above a SA surface, to form a shell around it (Figure
73 III). As shown in Figure 1b (upper panel), the ALG core sank
74 almost instantaneously onto the SA due to a density mismatch
75 between the MACHI solution (liquid) and the ALG particle
76 (hydrogel). Consequently, the external MACHI droplet may
77 not surround the core in the contact area with SA surface,
78 forming a hole from which the immobilized cargo may be
79 released in an uncontrolled way (SI; S1.4). To overcome this
80 main issue, glycerol was used to increase the density of the
81 external MACHI solution and compensate for the higher
82 density of the ALG particle. As can be observed in Figure 1b,
83 the ALG particle position inside the MACHI droplet can be
84 tuned by adjusting the amount of glycerol added. Moreover, the
85 inclusion of this compound ensures the scale-up of this process
86 to attain simultaneously polymeric capsules with different core
87 sizes (Figure 1c).

88 Afterward, the MACHI hydrogel shell was cross-linked upon
89 exposing this photosensitive polymer to UV-light for 1 min
90 (Figure 1III and SI; S1.5). By varying the dispensed volume of
91 MACHI polymer, the capsule thickness ranged from around
92 100 to 400 μm (Figure 1d).

93 Finally, the ALG core was dissolved upon dropping an
94 ethylenediaminetetraacetic acid (EDTA) solution above the
95 previous particle, yielding a MACHI capsule with a liquid core
96 (Figure 1III). EDTA, a divalent ion chelating agent, can disrupt
97 the ALG/ Ca^{2+} matrix as demonstrated by the conversion of the
98 ALG solid core into a liquid (Figure 1e and SI; S1.2). Further
99 control over the internal structure was demonstrated by
100 synthesizing capsules exhibiting multiple-cores (Figure 1f).
101 To produce these particles, different number of the preformed
102 ALG templates were assembled simultaneously within a droplet
103 of a MACHI precursor solution, which was subsequently gelled
104 by UV-light exposure (Figure 1f; upper panel) and its cores
105 liquefied upon EDTA action (Figure 1f; lower panel). Capsules
106 with a hierarchical architecture of more than two core
107 assemblies could be useful for individual reagent loading in
108 each of the created subcompartments, being attractive as
109 artificial organelles, bioreactors for confined synthesis or as
110 drug carriers.⁷

111 Capsules with a core-shell structure were subsequently
112 loaded with cells to assess the suitability of the proposed
113 strategy to encapsulate highly sensitive compounds. To this
114 end, the viability of human fibroblasts entrapped in five distinct
115 cell carrier formulations was assessed. First, cells were
116 homogeneously distributed within an ALG/ Ca^{2+} matrix,
117 exhibiting good viability rates due to (i) the mild processing
118 conditions used, (ii) the efficient exchange of essential
119 molecules with the surroundings provided by the particle
120 small size, and (iii) the ALG biocompatible character (Figure
121 2A). Then, these cell carriers were entrapped within a second
122 polymeric layer made of MACHI (SI; S2). As shown on Figure

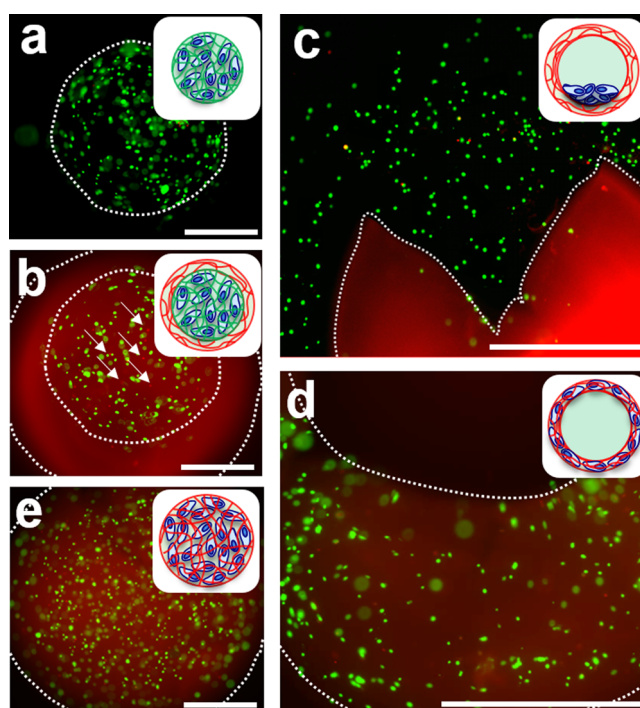


Figure 2. LIVE/DEAD images of cell-laden ALG microparticles (A), MACHI capsule with a cross-linked cell-laden ALG core (arrow indicates some nonviable cells) (B), a ruptured MACHI capsule releasing the encapsulated cells (C), cell-laden MACHI shell (D), and cell-laden compact MACHI particle (E) using calcein (green; living cells) and Ethd-1 (red; dead cells) dyes. Scale bar corresponds to 200 μm .

123 2B, some nonviable cells appeared on the core center after the
124 incorporation of this barrier between the core and the culture
125 medium. This may be ascribed to the increase of the overall
126 diameter of the particle, which hampered the diffusion of
127 nutrients/ O_2 /cell residuals and, hence, compromised the cell
128 viability. Therefore, these particles were subjected to a EDTA
129 step to create liquefied cell-laden capsules. The results suggest
130 the formation of a cell-friendly liquid environment wherein cells
131 are metabolically active, highlighting the potential of these
132 capsules as cell encapsulation devices (Figure 2C). Indeed,
133 previous works have shown higher cell viability rates for higher
134 core dissolution degrees, which can justify the attained high
135 viability levels.⁸ Other alternative to enhance the diffusion rates
136 was tested by entrapping fibroblasts within the thin (≈ 200 μm)
137 MACHI shell (Figure 2D). When comparing with compact
138 cell-laden MACHI particles, which revealed nonviable cells at
139 the inner areas (Figure 2E), most of the cells enclosed on the
140 MACHI shell were viable, which further strengthens the potential
141 of the developed liquefied capsules.

142 **Figure 3** summarizes different strategies to produce
143 polymeric capsules using different sacrificial cores along with
144 distinct removal methods. Gelatin, the denaturated form of
145 collagen protein, was used as a core due to its temperature-
146 responsive behavior. At low temperatures, its chains undergo a
147 conformational change from a random coil to a triple helix,
148 resulting on the formation of a 3D cross-linked network (Figure
149 3a). Interestingly, this aggregation process can be reversibly
150 disrupted above 30 $^\circ\text{C}$ to yield liquefied capsules (Figure 3b).⁹
151 The use of gelatin as template constitutes a simplification over
152 the process described on Figure 1 because it avoids the addition
153 of any compound to either cross-link or liquefy the core.

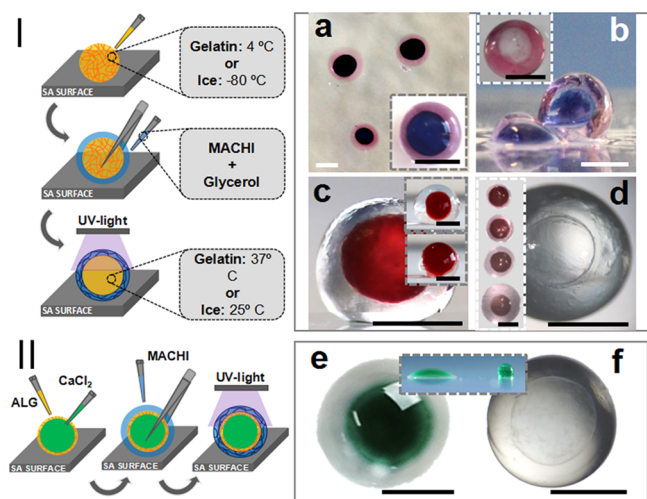


Figure 3. Fabrication of polymeric capsules: (I) Thermoresponsive sacrificial cores: examples of MACHI capsules with a gelatin (a,b) or ice core (c,d) before (a,c) and after (b,d) the core removal, respectively. Regarding the ice-core capsules, ethanol was added to decrease the density of the surrounding MACHI solution (c, upper inset), the temperature was controlled to avoid the core melting (c, lower inset) and the shell thickness tuned by controlling the volume of MACHI solution dispensed (e, inset). (II) Interfacial gelation process: example of a MACHI capsule with a CaCl_2 liquid-core before (e) and after (f) the dye release.

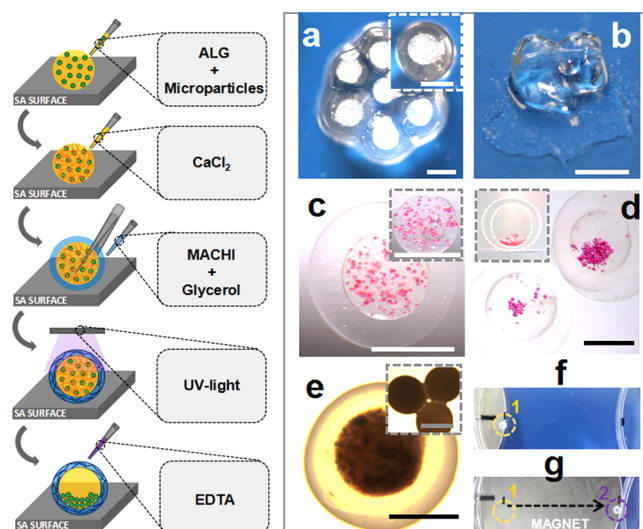


Figure 4. Fabrication of hierarchical capsules containing either CaCO_3 (a,b) or PLLA microparticles (c,d), or Fe_3O_4 nanoparticles before (a,c,e) and after (b,d,f,g) EDTA treatment. Motion control of the produced capsules using a permanent magnet (surface magnetic intensity of 0.075 T; f,g). Scale corresponds to 400 μm .

154 Another strategy also based on the use of thermosensitive
 155 templates consists in using ice as template. Contrarily to cross-
 156 linked cores, an ice core floats when placed above a MACHI
 157 droplet (Figure 3c). Thus, ethanol was added to lower the
 158 density of the surrounding droplet (Figure 3d). Such capsules
 159 may be extremely attractive for the cryopreservation of living
 160 cells, an issue that has received increasing attention. Contrarily
 161 to some living organisms, most mammalian cells are unable to
 162 survive when exposed to subzero temperatures unless they are
 163 placed in solutions with specific additives and following defined
 164 freezing protocols.¹⁰ Recently, the entrapment of the desired
 165 structures inside hydrogels emerged as an alternative to the
 166 established protocols since they allow the cell protection from
 167 mechanical damage upon ice crystallization and preserve the
 168 cell–cell interactions.¹¹ With this in mind, polymeric capsules
 169 containing both cells and cryopreservatives could be fabricated
 170 following this methodology, envisioning cell preservation for
 171 future outcomes. Polymeric capsules were also templated on a
 172 liquid core by depositing a CaCl_2 droplet above another of
 173 ALG, resulting on a thin, elastic interfacial membrane (Figure
 174 3II). Following this methodology, bicompartmental hydrogel
 175 particles were formed by assembling this core inside a MA-CHI
 176 shell (Figure 3e,f). Using this strategy, the addition of any
 177 compound to adjust the density or to remove the core is
 178 avoided.

179 Hierarchical systems were fabricated by incorporating
 180 different objects inside the core during the synthesis process,
 181 proving once more the versatility of this strategy. Herein,
 182 calcium carbonate (CaCO_3) particles were evenly distributed
 183 within the ALG core as visualized by an arrangement of white
 184 dots, characteristic of these particles (Figure 4a). These
 185 subcompartments can also be disrupted through the action of
 186 EDTA, which turn the core into a liquid (Figure 4b). Such
 187 compartmentalized systems may find biomedical utility, which
 188 is imparted by their proven biocompatibility.¹² Similarly, PLLA

189 microparticles were also enclosed within MACHI capsules and
 190 may have an increased importance, for example, as supporting
 191 points of anchorage-dependent cells as they are able to grow
 192 in suspension (Figure 4c,d). Actually, it was previously reported
 193 higher cell viability levels, around 50%, when cells were
 194 encapsulated within particles containing anchorage points,
 195 highlighting the importance of these particulate devices for
 196 application in Tissue Engineering rather than be merely used as
 197 cell carriers.¹³ Furthermore, the stability of the obtained
 198 capsules was assessed through a rotational test using capsules
 199 with two layer thicknesses, i.e., 250 and 350 μm . Interestingly,
 200 after 1 h at 200 rpm, both capsules maintained their integrity
 201 avoiding the release of their contents, thus proving the
 202 production of stable capsules. Furthermore, Fe_4O_3 particles
 203 were successfully encapsulated within MACHI capsules (Figure
 204 4e), empowering these capsules with magnetic-responsiveness
 204 that can be used to guide them over a surface (Figure 4f and
 205 4g).
 206

In summary, SA surfaces were successfully employed to
 207 fabricate ready-to-use and stable multiscaled liquefied capsules
 208 enclosing different objects. This strategy benefit from its (i)
 209 solvent-free character enabling a loading efficiency of almost
 210 100%, (ii) reproducibility as demonstrated by the great control
 211 over the particle size and shape, (iii) versatility as shown by the
 212 fabrication of a wide variety of core–shell capsules, (iv) mild
 213 processing conditions as proved by the safe encapsulation of
 214 metabolically active cells, and (v) its cost-effective character
 215 inasmuch as it is based on a simple setup. Based on all these
 216 features, this simple, yet efficient strategy is envisioned to
 217 constitute an innovative approach to produce liquid-core
 218 polymeric systems to entrap a variety of sensitive molecules
 219 including not only cells but also proteins, genes, enzymes, and
 220 drugs, with minimal adverse effects on their functionality.
 221 Moreover, due to the simultaneously superhydrophobic and
 222 superoleophobic character of the used substrates, capsules may
 223 contain virtually any type of liquid make it possible to broad
 224 the application spectrum to diverse technological purposes such as
 225 agriculture, biotechnology, cosmetics, and electronics, where 226

227 solvents different than water are often required. Owing to the
228 widespread application of polymeric capsules like the produced
229 ones, modifications to the conventional fabrication techniques
230 are likely to have a strong impact and open new prospects for
231 the development of the next generation of engineered
232 polymeric assemblies for both science and technology.

233 ■ ASSOCIATED CONTENT

234 ● Supporting Information

235 The Supporting Information is available free of charge on the
236 ACS Publications website at DOI: [10.1021/jacs.6b11925](https://doi.org/10.1021/jacs.6b11925).

237 Preparation and characterization of the polymeric
238 capsules, the superamphiphobic surfaces, the methacry-
239 lamide chitosan, cell carriers, and hierarchical polymeric
240 capsules (PDF)

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246 Notes

247 The authors declare no competing financial interest.

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